

Lattice QCD with $N_f = 2 + 1 + 1$ dynamical quarks: recent results from the ETM collaboration

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Grenoble, June 2014

Quantum Chromodynamics (QCD):

The theory of strong interactions

asymptotic freedom	$\longleftrightarrow$	confinement
small distances		large distances
energies $\gg 1\text{GeV}$		energies $\leq 1\text{GeV}$
coupling $\alpha_s < 1$		coupling $\alpha_s \approx 1$
perturbative treatment possible		non-perturbative treatment needed

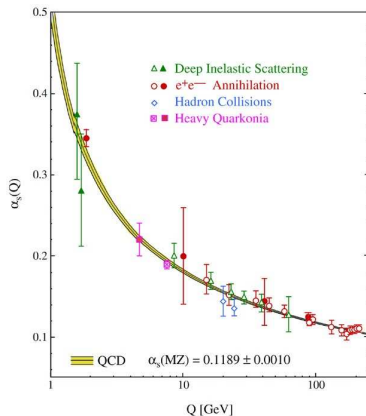
- QCD Lagrange density

$$\mathcal{L}_{\text{QCD}} = \sum_f \bar{\psi}_f (i \not{D} - m_f) \psi_f - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$\bar{\psi}, \psi$: quarks, $F_{\mu\nu}$: Gluons

- strongly coupled at low energies
 - hadron masses, decay constants
 - SM parameters
 - scattering/resonance properties
 - parton distributions
 - ...

QCD running coupling



[Bethke, 2006]

⇒ need a non-perturbative method

fundamental question:

can we compute these quantities from first principles?

- ① Lattice QCD in a nutshell
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- expectation value of operator $\mathcal{O}$

$$\langle \mathcal{O} \rangle = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O} \exp(iS[\bar{\psi}, \psi, A])$$

- Ken Wilson noticed: rotate $t \rightarrow i\tau$ to Euclidean space-time

$$\langle \mathcal{O} \rangle = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O} \exp(-S_E[\bar{\psi}, \psi, A])$$

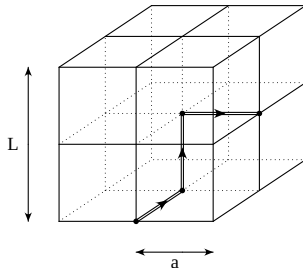
- allows to apply Monte-Carlo methods
interpreting $\exp(-S_E)$ as a probability density
- can still obtain Minkowski space quantities

[Osterwalder and Schrader (1973,1975)]

→ source of statistical errors

- lattice regularisation: discretise space-time

- hyper-cubic $L^3 \times T$ -lattice with lattice spacing a
- $\Rightarrow$ momentum cut-off: $k_{\max} \propto 1/a$
- derivatives $\Rightarrow$ finite differences
- integrals $\Rightarrow$ sums
- gauge potentials A_μ in $G_{\mu\nu} \Rightarrow$ link matrices U_μ (' $\longleftrightarrow$ ')



- need to remove the cut-off

$\Rightarrow$ continuum limit $a \rightarrow 0$

$\rightarrow$ source of systematic errors

- need to take thermodynamic limit

$\Rightarrow$ study $1/L \rightarrow 0$

- lattice calculations still mostly at larger than physical up/down quark masses

- need to extrapolate to physical up/down quark masses

⇒ guidance by chiral perturbation theory (χ PT)

[Weinberg (1979), Gasser and Leutwyler (1984,1985)]

- in particular for (pseudo) Goldstone bosons at LO

- $M_\pi^2 \propto m_\ell \leftarrow M_\pi^2$ proxy for m_ℓ
- others $M^2 \equiv f(m_\ell, m_s)$

- η' more involved: $M_{\eta'} = \text{const} + g(m_\ell, m_s)$

→ source for systematic errors

- let $O(x, t)$ be an operator with quantum numbers of a given state
- for instance for the pion it is given by

$$O(x, t) = \bar{u}i\gamma_5 d(x, t), \quad O(t) = \sum_x O(x, t)$$

projected to zero momentum

- create pion at time 0 and annihilate at t

$$C_\pi(t) = \langle O(0) O(t) \rangle \propto \sum_n \langle 0 | O(0) | n \rangle \langle n | e^{-Ht} O(0) e^{+Ht} | 0 \rangle$$

inserting a complete set of states $|n\rangle$ and using the time evolution operator $\exp -Ht$

- which leads to

$$C_\pi(t) \propto \sum_n |\langle 0 | O | n \rangle|^2 e^{-(E_n - E_0)t}$$

- and for large times the lowest state dominates

$$\lim_{t \rightarrow \infty} C_\pi(t) \propto e^{-(E_1 - E_0)t}$$

- $E_1 - E_0$ corresponds at zero momentum to the mass M_π
- and matrix elements of the pion for $n = 1$

$$\langle 0 | O | \pi \rangle$$

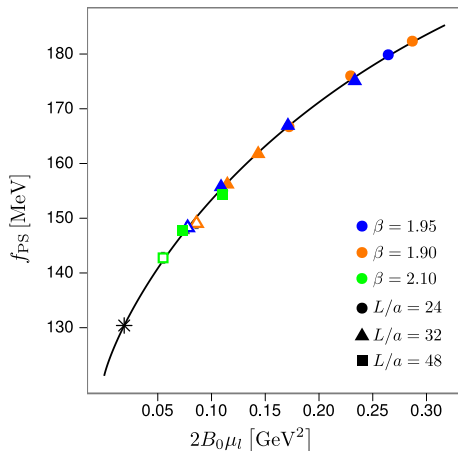
- also define effective masses

$$M = -\frac{d}{dt} \log C_\pi(t)$$

- $2 + 1 + 1$ quark flavour ensembles from ETM Collaboration
 $m_u = m_d < m_s < m_c$ Wilson twisted mass fermions
[\[ETMC, R. Baron et. al., JHEP 06 111 \(2010\)\]](#)
- collaborative effort with more than 30 scientists all over Europe
- charged pion masses range from ≈ 230 MeV to ≈ 500 MeV
- $L \geq 3$ fm and $M_\pi \cdot L \geq 3.5$ for most ensembles
- different volumes to check for finite size effects
- bare m_s and m_c fixed for each lattice spacing

- three lattice spacings
(A , B and D ensembles):
 $a_A = 0.086$ fm, $a_B = 0.078$ fm
and $a_D = 0.061$ fm
 $\beta = 1.90, 1.95, 2.10$
- use $r_0 = 0.45(2)$ fm (from f_π)
throughout the talk
 r_0 Sommer scale determined from
static $\bar{q}q$ potential

$\Rightarrow r_0 M$ is dimensionless (with M a
mass)



[ETMC, R. Baron, C.U., et al., 2010, 2011]

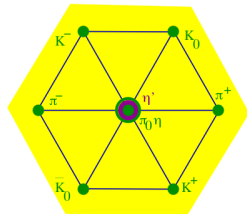
- there is large freedom on how to chose the discretisation!
- advantages of twisted mass at maximal twist
 - improved continuum scaling: $\mathcal{O}(a)$ artifacts absent in physical quantities
 - only modest tuning effort
 - simplified renormalisation patterns
- disadvantages:
 - flavour and parity broken at finite lattice spacing value

⇒ only M_{π^0} strongly affected
- quite successful in the recent past

[Frezzotti, Rossi, 2003; Jansen, CU, et al., 2004, 2005, ETMC...]

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- nine lightest pseudo-scalar mesons show a peculiar spectrum:
 - 3 very light pions (140 MeV)
 - kaons and the η around 500 MeV
 - η' around 1 GeV



- pions, kaons and eta (pseudo) Goldstone bosons of chiral symmetry
⇒ motivates chiral perturbation theory
- heavy η' : this was called the $U(1)$ -problem
- solution:
⇒ the large mass of the η' meson is thought to be caused by the QCD vacuum structure and the $U_A(1)$ anomaly

[Weinberg (1975), Belavin et al. (1975), 't Hooft (1976), Witten (1979), Veneziano (1979)]

- chiral perturbation theory χ PT: low energy effective theory
- describe mass dependence with $n_f = 2$ NLO χ PT plus leading lattice artifacts

$$M_{\text{PS}}^2 = \chi_\mu \left[1 + \xi \log(\chi_\mu / \Lambda_3^2 + D_m a^2) \right] K_m^2(L)$$
$$f_{\text{PS}} = f_0 \left[1 - 2\xi \log(\chi_\mu / \Lambda_4^2) + D_f a^2 \right] K_f(L)$$

with $\chi_\mu = 2\hat{B}_0 Z_\mu \mu_q$ and $\xi = \chi_\mu / (2\pi f_0)^2$

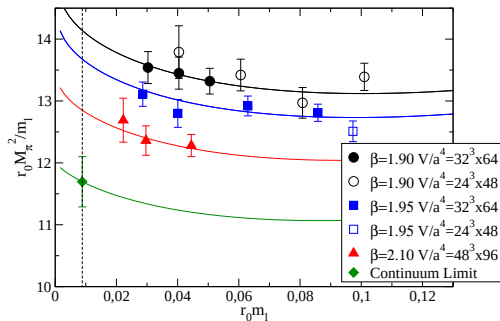
- finite size corrections $K_m(L), K_f(L)$ from continuum χ PT

[Gasser, Leutwyler, 1987, 1988; Colangelo, Dür, Haefeli, 2005, Colangelo, Wenger, Wu, 2010]

- fit simultaneously to our data at all lattice spacing values
- 15 ensembles $\rightarrow$ 30 data points

Pion Sector: $(M_{\text{PS}})^2$ as Function of the Quark Mass

- at first glance completely linear
- in ratio sensitivity to Λ_3 clearly visible
- sizable lattice artifacts
- data finite size corrected

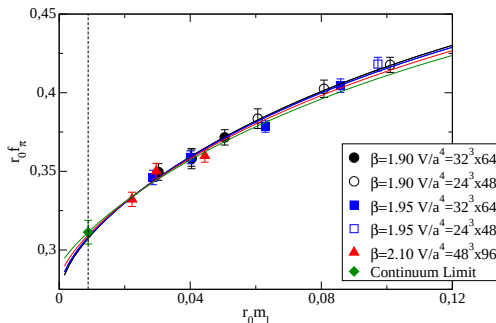


- a constant extrapolation cannot be ruled out

[ETMC, Carrasco, CU, et al., 2014, arXiv:1403.4504]

Pion-Sector: f_{PS} as Function of the Quark Mass

- clearly finite in the chiral limit
- lattice artifacts less pronounced
- data finite size corrected



- also here a linear extrapolation is not completely excluded

⇒ still sizable systematic errors from chiral extrapolation

[ETMC, Carrasco, CU, et al., 2014, arXiv:1403.4504]

- perform NLO χ PT fit and polynomial fits with different fit ranges
- set the scale by $f_{\text{PS}} = f_\pi$ where $M_{\text{PS}}/f_{\text{PS}}$ assumes its physical value

⇒ extract the bare light quark mass μ_ℓ

- compute renormalisation constant using RI-MOM scheme
- in the $\overline{\text{MS}}$ scheme at 2 GeV

$$m_\ell = \frac{1}{Z_P} \mu_\ell = 3.70(17) \text{ MeV}$$

- errors include systematic effects from fit form, finite size, continuum extrapolation
- similarly for m_s , m_c and quark mass ratios
for details see [arXiv:1403.4504](https://arxiv.org/abs/1403.4504)

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- the $U(1)$ axial current is anomalous at quantum level

[Adler (1969), Jackiw and Bell (1969)]

$$\partial^\mu A_\mu^{0q} = \partial^\mu (\bar{q} \gamma_\mu \gamma_5 q) = 2m_q (\bar{q} i \gamma_5 q) + \frac{\alpha_s}{4\pi} F \tilde{F}$$

and **not** spontaneously broken

- anomaly vanishes to all orders in perturbation theory at zero momentum
- ⇒ need additional mechanism

- instantons with non-trivial topology allow to explain η' mass

[Belavin et al. (1975), 't Hooft (1976)]

⇒ suggests consistency of QCD with nature

- for exact SU(3) flavour symmetry ($m_u = m_d = m_s$) define (considering only $\bar{q}q$ states ...)
- the flavour octet state η_8

$$\eta_8 \equiv \frac{1}{\sqrt{6}}(\bar{u}i\gamma_5 u + \bar{d}i\gamma_5 d - 2\bar{s}i\gamma_5 s)$$

⇒ a (pseudo) Goldstone boson

- the flavour singlet state η_0

$$\eta_0 \equiv \frac{1}{\sqrt{3}}(\bar{u}i\gamma_5 u + \bar{d}i\gamma_5 d + \bar{s}i\gamma_5 s)$$

⇒ related to $U(1)_A$ anomaly

- SU(3) flavour symmetry broken by larger m_s : $m_s \gg m_u = m_d = m_\ell$
 $\Rightarrow$ physical states will be a mixture, e.g.

$$\begin{pmatrix} |\eta\rangle \\ |\eta'\rangle \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \cdot \begin{pmatrix} |\eta_\ell\rangle \\ |\eta_s\rangle \end{pmatrix}$$

in the quark flavour basis

$$\eta_\ell \equiv \frac{1}{\sqrt{2}}(\bar{u}i\gamma_5 u + \bar{d}i\gamma_5 d), \quad \eta_s = \bar{s}i\gamma_5 s$$

- in nature $m_u \neq m_d \quad \Rightarrow \quad$ also π_0 mixes
- how did nature arrange the mixing pattern?
- can one determine the mixing angle(s)?

- mixing can hardly be defined via Fock states
- ⇒ use matrix elements instead

$$\begin{pmatrix} \mathbf{P}_\eta^\ell & \mathbf{P}_\eta^S \\ \mathbf{P}_{\eta'}^\ell & \mathbf{P}_{\eta'}^S \end{pmatrix} = \begin{pmatrix} c_\ell \cos \phi & -c_s \sin \phi \\ c_\ell \sin \phi & c_s \cos \phi \end{pmatrix}$$

defined via pseudo-scalar matrix elements

$$\mathbf{P}_P^q = \langle 0 | \bar{q} i \gamma_5 q | P \rangle, \quad P = \eta, \eta', \quad q = \ell, s$$

- note: usually defined via decay constants obtained from

$$\langle 0 | A_\mu^q | P(p) \rangle = i f_P^q p_\mu$$

with

$$A_\mu^\ell = \frac{1}{\sqrt{2}} (\bar{u} \gamma_\mu \gamma_5 u + \bar{d} \gamma_\mu \gamma_5 d), \quad A_\mu^s = \bar{s} \gamma_\mu \gamma_5 s$$

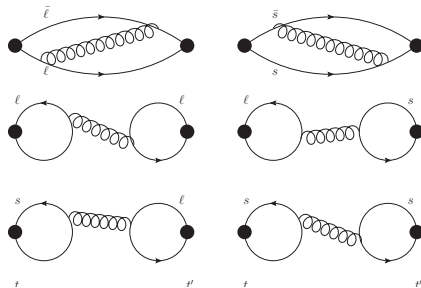
- need to estimate correlator matrix

$$\mathcal{C} = \begin{pmatrix} \eta_{\ell\ell} & \eta_{\ell s} \\ \eta_{s\ell} & \eta_{ss} \end{pmatrix}$$

- η_{XY} correlator of appropriate operators, e.g.

$$\eta_{ss}(t) \equiv \langle \bar{s} i \gamma_5 s(t) \bar{s} i \gamma_5 s(0) \rangle$$

- connected and disconnected contributions



- diagonalise matrix $\Rightarrow$ masses M_P and matrix elements $\mathbf{P}_P^q$
- $P = \eta$: lowest state, $P = \eta'$: second state, $P = \eta_c \dots$

Lattice Status η, η' Before the Project

- η' mainly the flavour singlet

- disconnected contributions significant

⇒ very noisy

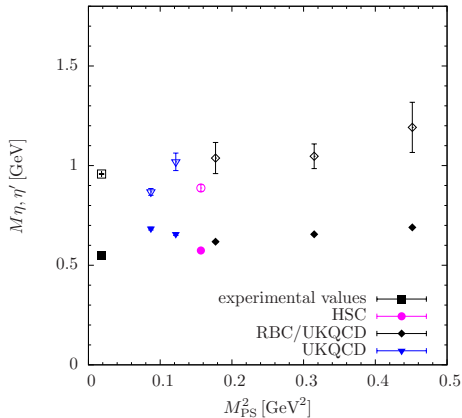
⇒ hard problem

- only little data available

- chiral extrapolation difficult

⇒ no clear picture

- need for improvement



filled symbols: η open: η'

[HSC, J. J. Dudek et al., Phys. Rev. D83 (2011)]

[RBC/UKQCD, N. Christ et al., Phys. Rev. Lett. 105 (2010)]

[UKQCD, E. B. Gregory et al., Phys.Rev. D86 (2012)]

η, η' after careful excited state subtraction

[Neff et al. (2001), K.Jansen, C.Michael, C.U. (2008)]

η :

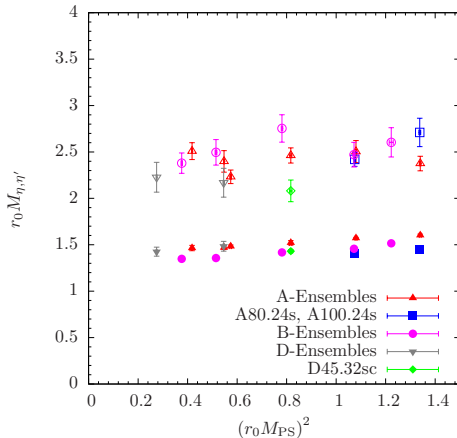
- high statistical precision

⇒ strange quark mass or lattice spacing dependence visible

η' :

- reasonable precision

⇒ within errors no strange quark or lattice spacing dependence visible

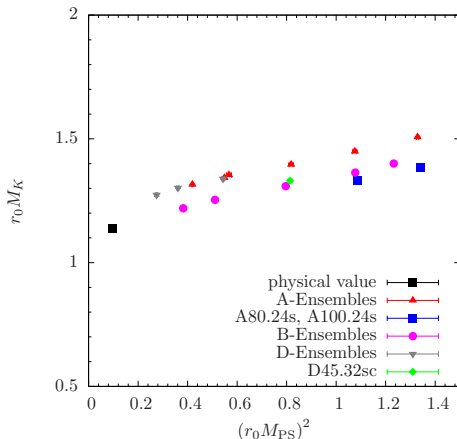


- m_S not perfectly tuned to its physical value
 - two re-tuned ensembles for a_A
- ⇒ can estimate m_S dependence

- estimate

$$D_\eta \equiv \frac{d(aM_\eta)^2}{d(aM_K)^2} = 1.47(11)$$

- now assume:
 D_η independent of
 a, m_ℓ, m_S, m_c



- now correct all $(r_0 M_\eta)^2$ using D_η
 $\Rightarrow (r_0 \bar{M}_\eta)^2 \propto \text{const} + (r_0 M_{\text{PS}})^2$

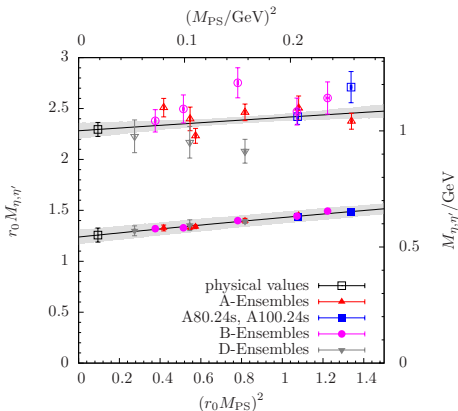
- all a -values fall on the same curve!

- extrapolate $(r_0 \bar{M}_\eta)^2$ linearly in $(r_0 M_{\text{PS}})^2$ to $M_{\text{PS}} = M_\pi$

- result

$$M_\eta = 552(10)_{\text{stat}} \text{ MeV}$$

- similarly with $(\bar{M}_\eta/\bar{M}_K)^2$ or GMO relation

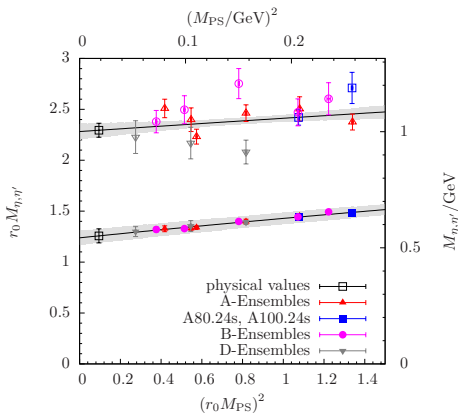


- no clear dependence on
 - lattice spacing
 - strange quark mass
- errors still significant
- include all data in extrapolation
- $(r_0 M_{\eta'})^2 \propto \text{const} + (r_0 M_{\text{PS}})^2$

⇒ result

$$M_{\eta'} = 1005(54)_{\text{stat}} \text{ MeV}$$

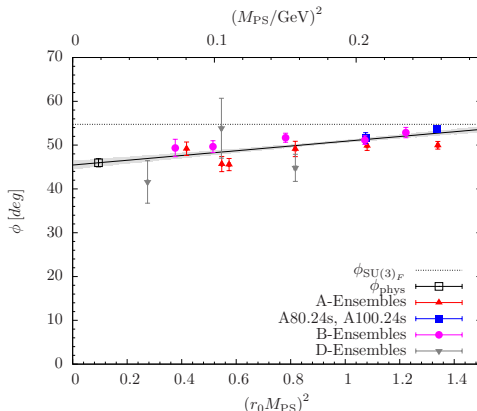
- fitting A , B and D separately gives compatible results



- extrapolate ϕ linearly in $(r_0 M_{\text{PS}})^2$

$$\Rightarrow \phi = 46(1)_{\text{stat}}(3)_{\text{sys}}^\circ$$

- systematic error from fitting different lattice spacings separately
- with $m_\ell = m_s$ we reproduce the SU(3) symmetric value 54.7°

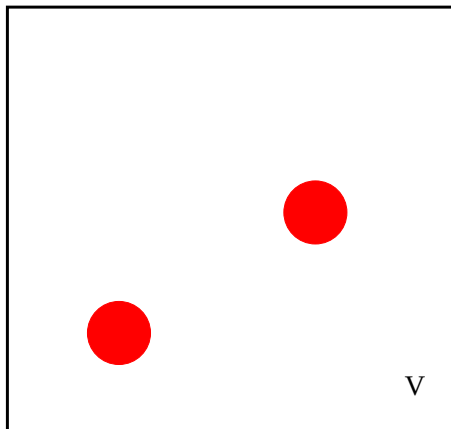


- interpretation: η' meson mainly the flavour singlet state

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- most of the hadrons are resonances
- ⇒ a determination of resonance and scattering properties from first principles highly valuable
- unfortunately: direct determinations in Euclidean time very difficult
 - nuclei bound states of multi nucleon systems
- ⇒ need to go beyond single hadron masses and matrix elements
- ⇐ how to treat such systems with Lattice QCD?

... make use of finite size effects!



- for $V \rightarrow \infty$:
 - $\Rightarrow$ interaction probability very low
 - $\Rightarrow E_{2p}(p=0) = 2M_{1p}$
- for finite V :
 - $\Rightarrow$ interaction probability rises
 - $\Rightarrow E_{2p}(p=0)$ receives corrections $\propto 1/V$
- Lüscher: correction in $1/V$ related to scattering properties!

[Lüscher, 1986]

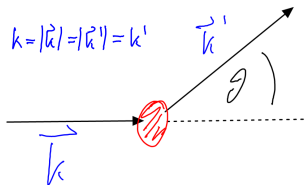
Special Case: Elastic $\pi\pi$ Scattering for $I = 2$

- scattering of two pions below inelastic threshold with isospin $I = 2$
- well described by finite range potential $V(r) = 0 \quad \forall \quad r > R$
- scattering amplitude in the partial wave expansion

$$f_k(\theta) = -\frac{8\pi}{M} \sum_{\ell=0}^{\infty} (2\ell + 1) f_{\ell}(k) P_{\ell}(\cos \theta)$$

with partial wave amplitude and phase shift δ_{ℓ}

$$f_{\ell}(k) = \frac{1}{k \cot \delta_{\ell}(k) - ik}$$



Special Case: Elastic $\pi\pi$ Scattering for $l = 2$

- at small momenta $k \rightarrow 0$ use effective range expansion

$$k^{2\ell+1} \cot \delta_\ell = \frac{1}{a_\ell} - \frac{r_\ell k^2}{2} + \dots$$

scattering length a_ℓ and effective range r_ℓ

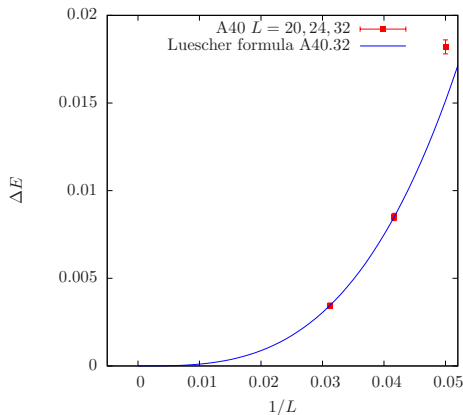
$\Rightarrow$ only S-waves ($\ell = 0$) contribute

- Lüscher:

$$E_{2p}(L) - 2M_{1p} = -\frac{4\pi a_0}{ML^3} \left\{ 1 + \mathcal{O}\left(\frac{1}{L}\right) + \dots \right\}$$

- translates directly to Quantum Field-theory for $\pi\pi$ systems with $l = 0, 2$ and πN with $l = 1/2, 3/2$

- three volumes $L = 20, 24, 32$
- otherwise fixed parameters
- use the result at $L = 32$ and Lüscher formula to predict $\Delta E(1/L)$
- good agreement to measured data!



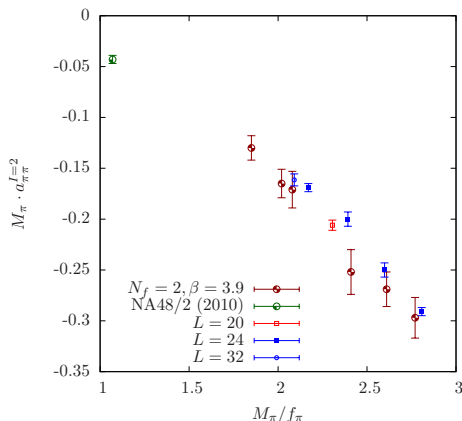
⇒ this picture suggests higher order corrections in $1/L$ are small

Preliminary Results $a_{\pi\pi}$ for $I = 2$ (preliminary)

[C. Helmes, C. Jost, B. Knippschild, C.U., M. Werner, SFB/TR 110]

- first $N_f = 2 + 1 + 1$ results (preliminary)
[see also: Bean et al. NPLQCD, Dudek et al. HSC, ...]
- only one value of the lattice spacing $a = 0.09$ fm
- only 100 configurations per ensemble
- agreement with $N_f = 2$ results
- significantly improved statistical precision

⇒ next steps: $\pi\pi$ for $I = 1$,
 πK and πN



[$N_f = 2$: Xu, Jansen, Renner, Phys.Lett. B684 (2010)]

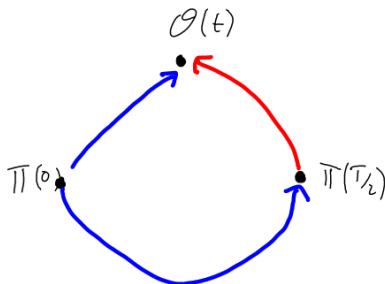
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- (generalised) parton distribution functions
- important in DIS

⇒ not directly accessible on the lattice

- can compute matrix elements of local operators
- which are related to moments of parton distribution functions
- requires the computation of so-called three point functions of appropriate local operators
- note: there are new ideas on how to compute GPDs directly

- momentum fraction of a (valence) quark in the pion
- matrix element of non-singlet, twist-2 operator
- related to first x-moment of leading twist vector GPD H^π
- computed using sequential quark propagators
- and stochastic estimators
- disconnected contributions currently omitted

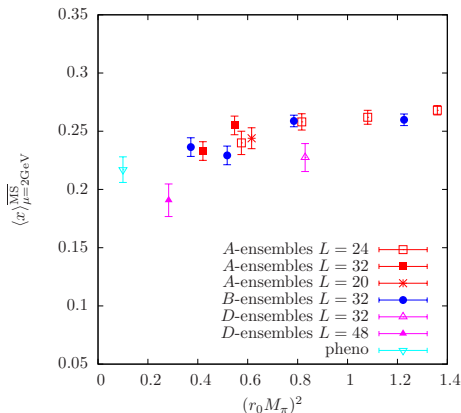


$\langle x \rangle_\ell$ of the Pion (preliminary)

- renormalised in $\overline{\text{MS}}$ at 2 GeV

[M. Constantinou, private communication]

- no big finite size effects visible in contrast to old quenched results
- phenomenological status unclear
- curvature at small quark masses needs confirmation
- data preliminary
- phenomenological value from Wijesooriya, Reimer, and Holt, (2005)
- higher moments in progress



- Lattice QCD with $N_f = 2 + 1 + 1$ dynamical quark flavours
- three lattice spacings and various bare quark mass values
- SM parameters like quark masses determined with precision
- investigation of η and η' for the first time controlling all sources of systematics, where possible
- first results for $\pi\pi$ scattering with $N_f = 2 + 1 + 1$ quarks very encouraging
- $\langle x \rangle_\ell$ of the pion
- ETMC has many more results...

- simulation at the physical point ($N_f = 2$, preliminary)
- will significantly reduce systematic uncertainties
- ongoing effort
- will be
 - basis for many physical results
 - allow crosscheck of chiral extrapolations and validity of χ^{PT}
 - basis for finite T simulations

