

**IRSN**

INSTITUT  
DE RADIOPROTECTION  
ET DE SÛRETÉ NUCLÉAIRE

*Enhancing nuclear safety*

# Sensitivity and optimization methods applied to the dynamic fuel cycle

*Example of SUR and RSUR application*

Technical workshop on nuclear scenarios

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# Overview

## ➤ Issues

## ➤ Principle of SUR and RSUR Algorithms

- SUR algorithm
- RSUR algorithm

## ➤ Calculation packages and Algorithms

- CLASS code
- Promethee

## ➤ Application case

- Scenario study
- Using the SUR algorithm
- Using the RSUR algorithm

## ➤ Conclusions

## Hypothesis and parameterization

### Large number of different hypothesis types in fuel cycle scenario calculations

- General description of the nuclear park or strategy: Reactors (type, number...), fuel cycle options (open, recycling...)...
- Technological parameters: Reactors (power, fuel mass...), fuel (enrichment, Pu content or burnup to be achieved...), facilities characteristics (constrains, performances)...
  - **Controlled or defined** by the operators
- External parameters: electricity mix could impact to the reactor loading factor...
  - **Uncontrolled** by the operators and should impact reactor and fuel cycle

Parameters values are not exactly known



Parameterization and definition of variation ranges

## Parameterization approach

### Standard parameterization:

- manual
- Random
- Batch by batch
- ...



N values by parameter  
 $N_1 * N_2 * \dots$   
Very large number of calculations to investigate the space of possible

### Advanced parameterization - Resort to an algorithm:

- dichotomy
- genetic algorithm
- neural networks
- ...



The algorithm choice depend of your goals and your problem

## Which parameters combinations that respect the scenario constraints?

### Type of problem presented in this talk

- Parameters:

- Controled or not
- Numbers  $< 5$
- ...

- 1 target

- Goal: Find the subspace of parameters that respects the target value of an observable



SUR and RSUR algorithm

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# Principle of SUR and RSUR Algorithms

## SUR (Stepwise Uncertainty Reduction) algorithm

### Goal

- $x_1, x_2, x_3$  and  $x_4$  parameters
- $f(x_1, x_2, x_3, x_4)$  observable
- target value  $f_t$

Find the subspace of parameters where an observable  $f(x_1, x_2, x_3, x_4)$  is  $>$  or  $<$  at  $f_t$

Or investigate all the  $(x_1, x_2, x_3, x_4)$  to determine the boundary where  $f(x_1, x_2, x_3, x_4) = f_t$

### Example

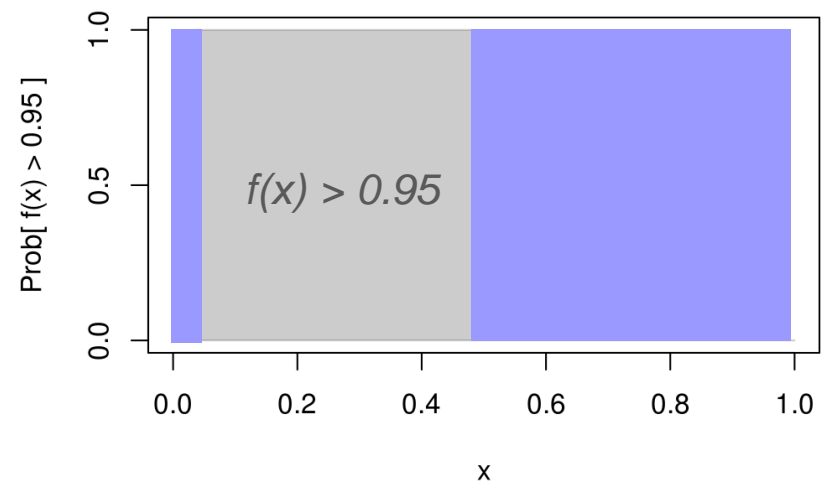
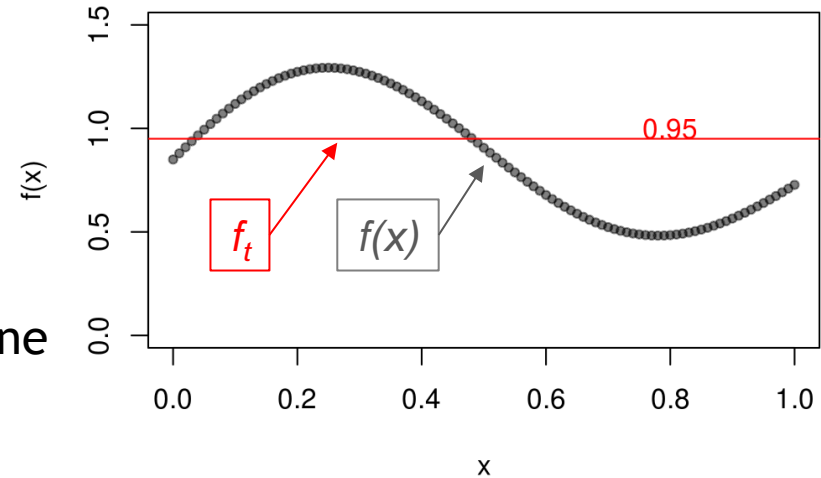
$f(x)$  known function and  $f_t = 0.95$

We aim to reach full certainty about:

$$f(x) > \text{ or } < 0.95$$



$$\text{Prob}[ f(x) > 0.95 ] = 0 \text{ or } 1$$



# Principle of SUR and RSUR Algorithms

## Calculation steps

### 1. Calculation of the $f(x_i)$ for 1<sup>st</sup> set of points

- $f(x_i) \sim$  results of the calculation for  $x = x_i$
- $x_i \sim$  value chosen by **random draw or LHS** (+ the **bounds of the parameters space**)

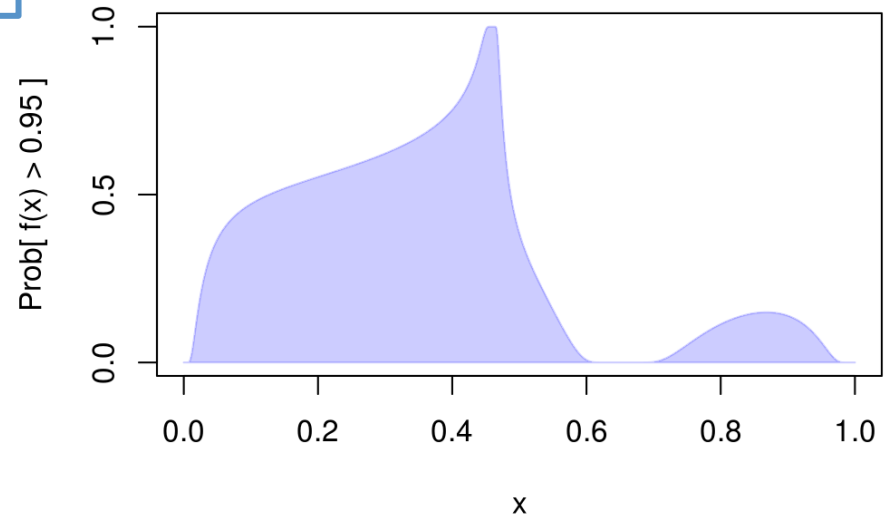
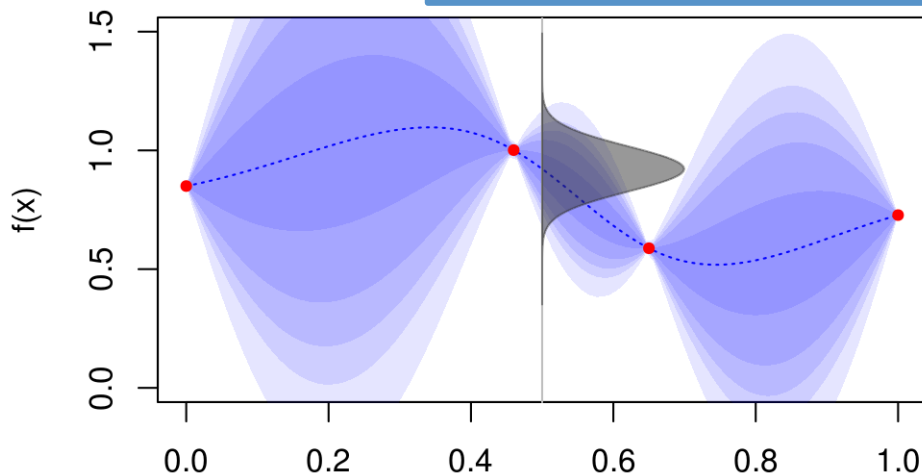
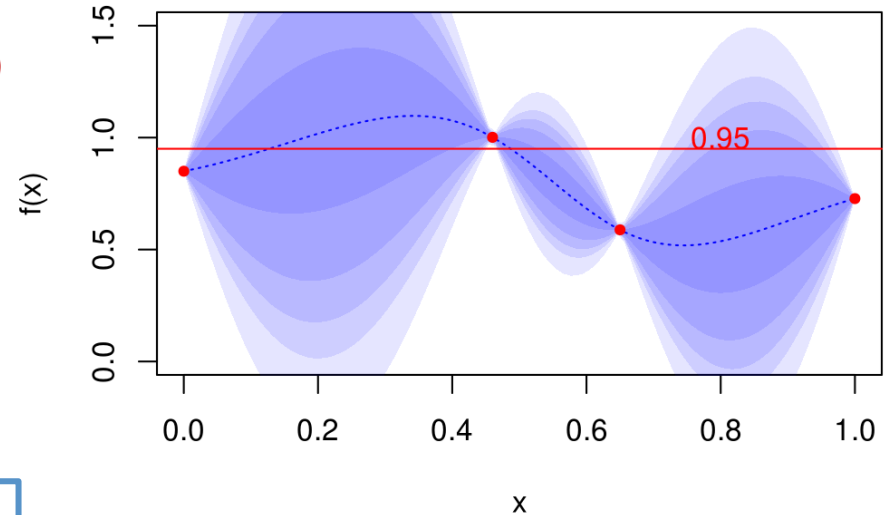
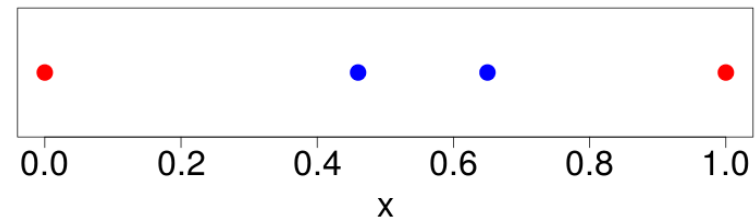
### 2. Interpolation by kriging

- Surrogate function  $f_1(x)$
- Uncertainty between the  $x_i$
- Gaussian predictor:

$mean(f_1(x))$

$var[f_1(x)] = \text{standard deviation}(f_1(x))^2$

$P_1 = Prob(f_1(x) > 0.95)$





# Principle of SUR and RSUR Algorithms

## Calculation steps

1. Calculation of the  $f(x_i)$  for 1<sup>st</sup> set of points
2. Interpolation by kriging
3. Search the next most “valuable” points  $x$

Valuable is  $x$  such that

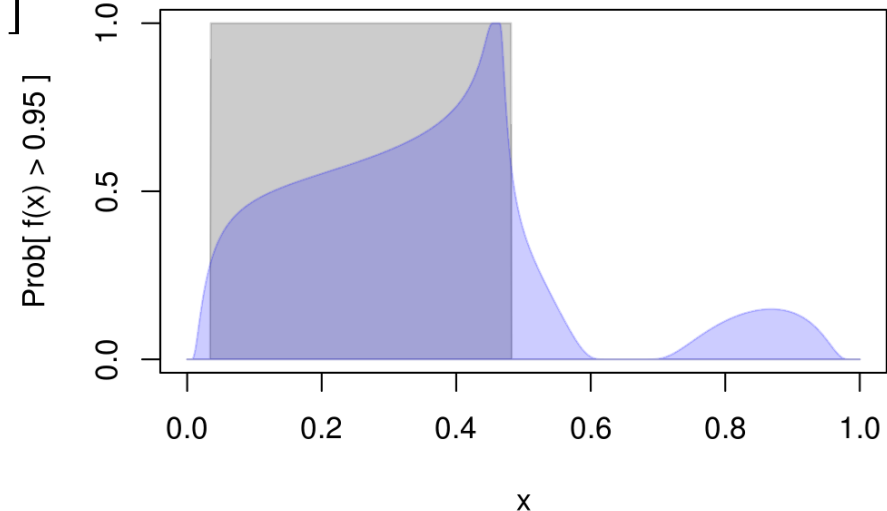
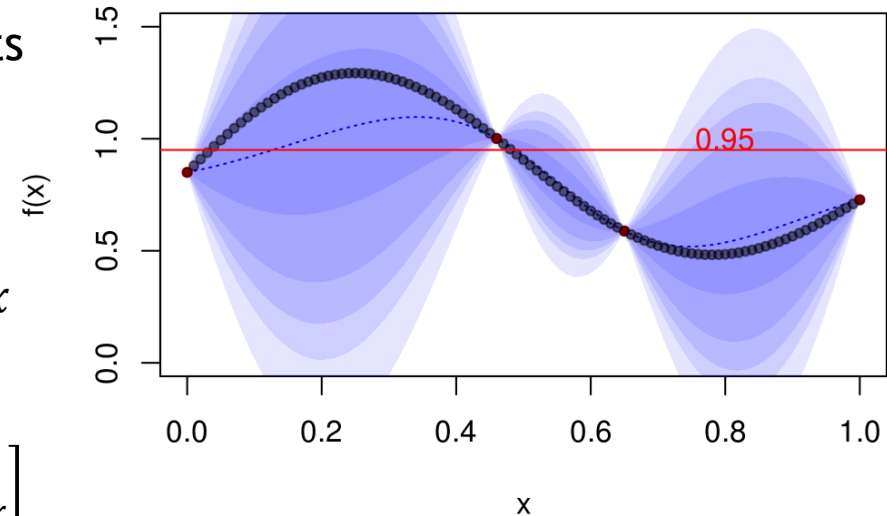
$$\text{Min} \left[ \int P_{n+1}(x)(1 - P_{n+1}(x)) dx - \int P_n(x)(1 - P_n(x)) dx \right]$$

$n$  ~ number of batch

$P_n$  ~ probability that  $f_n > 0.95$  with the previous points

$P_{n+1}$  ~ probability that estimate  $f_{n+1} > 0.95$   
with previous points + the new point

4. Perform calculations for this new points
5. Repeat steps 2, 3 an 4



# Principle of SUR and RSUR Algorithms

## Calculation steps

1. Calculation of the  $y(\vec{x})$  for 1<sup>st</sup> set of points
2. Interpolation by kriging
3. Search the next most “valuable” points  $x$

Valuable is  $x$  such that

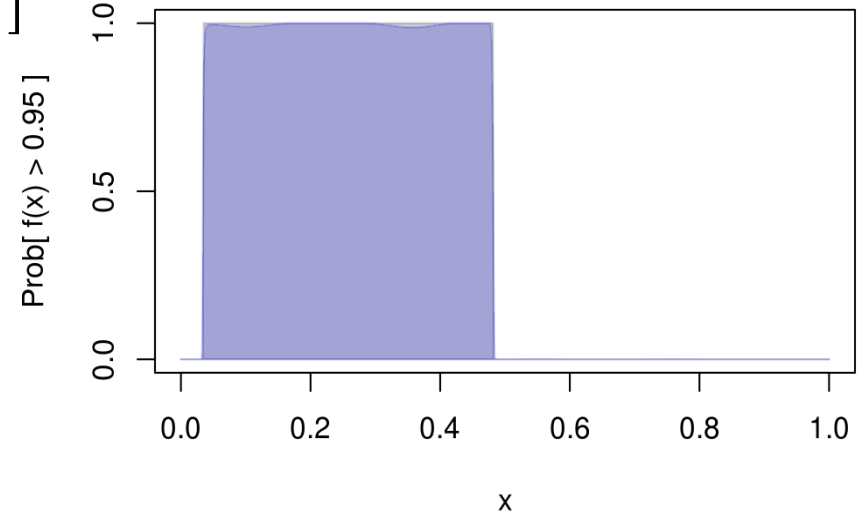
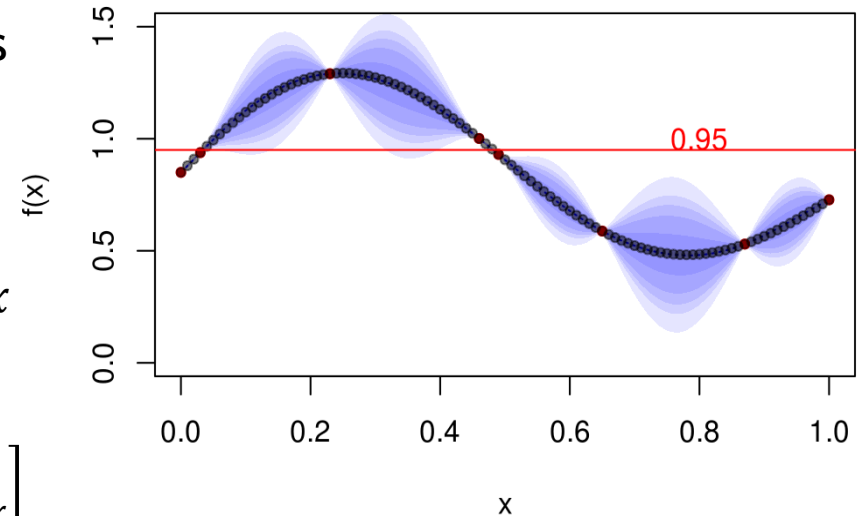
$$\text{Min} \left[ \int P_{n+1}(x)(1 - P_{n+1}(x)) dx - \int P_n(x)(1 - P_n(x)) dx \right]$$

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with previous points + the new point

4. Perform calculations for this new points
5. Repeat steps 2, 3 an 4



# Principle of SUR and RSUR Algorithms

## RSUR (Robust Stepwise Uncertainty Reduction) algorithm

### Goal

- $x_1$  and  $x_2$  control parameters
- $x_3$  and  $x_4$  uncontrol parameters
- $f(x_1, x_2, x_3, x_4)$
- target value  $f_t$

Find the subspace of parameters where an observable  $f(x_1, x_2, x_3, x_4)$  is  $>$  or  $<$  at  $f_t$  for  **$x_3$  and  $x_4$  the uncontrolled (or penalization) parameters.**

Or investigate all the  $(x_1, x_2)$  to determine the contour line where  $f(x_1, x_2, x_3, x_4) = f_t$  and the values of  $(x_3, x_4)$  are penalizing.

### SUR versus RSUR - Search “valuable” points $\vec{x}$ :

#### SUR approach

$P_n$  ~ probability that  $f_n > 0.95$   
with the previous points

$$\text{Min} \left[ \int P_{n+1}(\vec{x})(1 - P_{n+1}(\vec{x})) dx - \int P_n(\vec{x})(1 - P_n(\vec{x})) dx \right]$$

#### RSUR approach

$$P_n \rightarrow P_n^*(x_1, x_2) = \text{Max}_{(x_3, x_4)} [P_n(x_1, x_2, x_3, x_4)]$$
$$\text{Min} \left[ \int P_{n+1}^*(\vec{x})(1 - P_{n+1}^*(\vec{x})) dx - \int P_n^*(\vec{x})(1 - P_n^*(\vec{x})) dx \right]$$

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## CLASS (Core Library for Advanced Scenario Simulation)

Dynamic fuel cycle simulation tool



Open source package of C++ libraries using ROOT libraries



<https://root.cern.ch/>

Collaborative development (CNRS and IRSN)

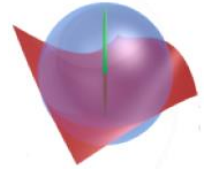


Presented in details in the previous talks:

- “Reactor model in CLASS”. B. LENIAU
- “Pu multi-recycling in PWR”. F. COURTIN
- “Am mono-recycling using PWR - a waiting strategy”. A-A. ZAKARI-ISSOUFOU

## PROMETHEE

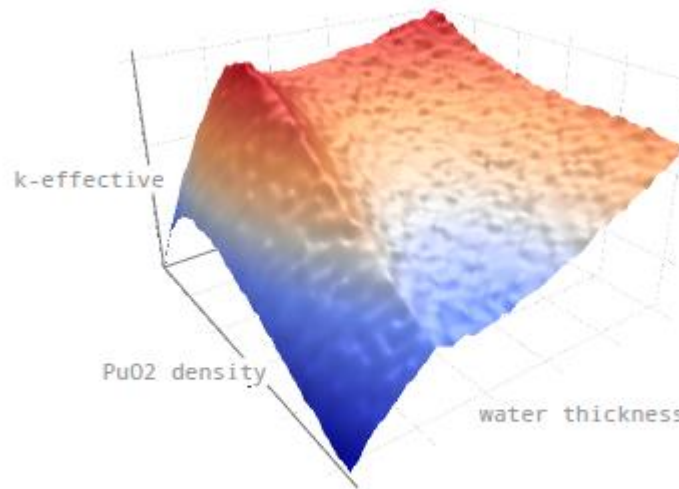
<http://promethee.irsn.org>



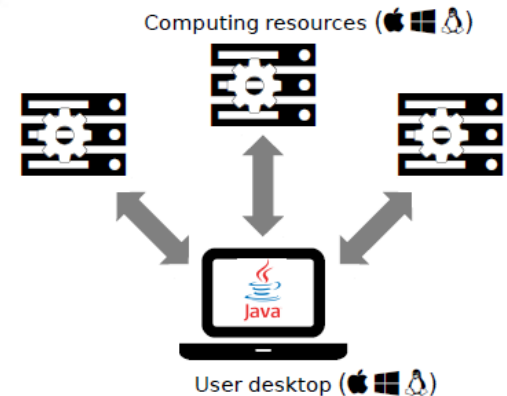
- Generic front-end dedicated to parametric studies (Editor integrated)
- Parallel distribution of calculations relies on its cross-platform back-end (any kind of computing resources are compatible: clusters, workstations...)
- Plugins available with IRSN codes, MCNP, CLASS... (new code plugin ~ working day)
- Extensible architecture to plug algorithms for advanced engineering based on R language (response surface, uncertainties propagation, optimization, calibration, inversion)

```
15 * Weight fraction of Pu in PuO2
16 * @: Pu_in_PuO2=0.88231
17
18 * Fissile height as a function of the Plutonium mass (per can)
19 * @: h_fiss_cm <- function(Pu_nassg, PuO2_dens) {
20 *   min( 296, 1000*Pu_nassg / (pi/4*11.5^2*PuO2_dens*Pu_in_PuO2) )
21 * }
22
23 * Test the formula
24 * @? round(pi/4*11.5^2*h_fiss_cm(32.4)*4.0*Pu_in_PuO2) == 32900
25
26
27 GEOMETRY
28 MODULE 0
29 TYPE 1 BOX @([spitch_cm/2] @([spitch_cm/2] 360
30 TYPE 2 BOX @([spitch_cm/2] @([spitch_cm/2] 300
31 TYPE 3 CYLZ @([6.8+water_thick] 300
32 TYPE 4 CYLZ 6.8 300
33 TYPE 5 CYLZ 6.5 300
34 TYPE 6 CYLZ 5.9 300
35 TYPE 8 CYLZ 5.75 148
36 TYPE 9 CYLZ 5.75 @([h_fiss_cm]*Pu_nassg/PuO2_dens)/2)
37
38 VOLUME 1 0 1 CONCRETE 0. 0. 0.
39 VOLUME 2 1 2 AIR 0. 0. 0.
40
```

Input text file editor with parameters



Response surface model from MORET neutron simulation software



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# Application case

## Scenario study

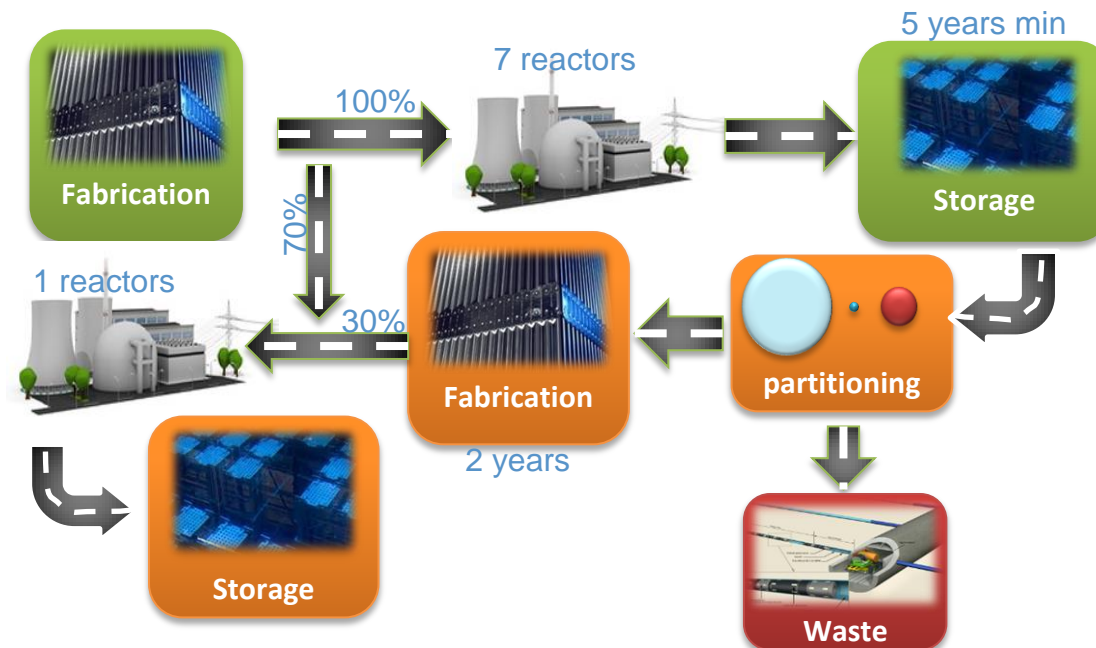
### Nuclear reactors fleet

#### ■ PWR 2.785 GW<sub>th</sub>

- 7 reactors using UOX fuel
- 1 reactor using fuel composed by 70% UOX and 30% MOX
- The fuel achieves the defined burnup ( $BU_{UOX}$  &  $BU_{MOX}$  [35 , 55])

#### ■ Fuel cycle strategy

- Only used UOX is recycled
- Minimum waiting before recycling  $5 \leq T_{cool} \leq 15$  years
- Instantaneous partitioning
- Recycling in chronological order
- Fuel fabrication : 2 years





# Application case

## Scenario study

### Context and Issue

#### Example of context

The electricity production with renewable sources increases and is consumed in priority.

The consumption stays constant or increases slower than the electricity production capacity.

The installed nuclear power stays constant and the facilities are unmodified.

The load factors (LF) of the reactors decrease and the constrains of the fuel cycle facilities stay constant.

#### Issue

What are the **fuel Burnup** ( $BU_{UOX}$  &  $BU_{MOX}$ ), the **time before partitioning** ( $T_{cool}$ ) and the **load factors** (LF) of the PWR UOX that respect a constraint on the **Pu content** ( $C_{Pu}$ ) in the fresh MOX fuel?

*(The  $C_{Pu}$  is a constraint in the fabrication plant.)*

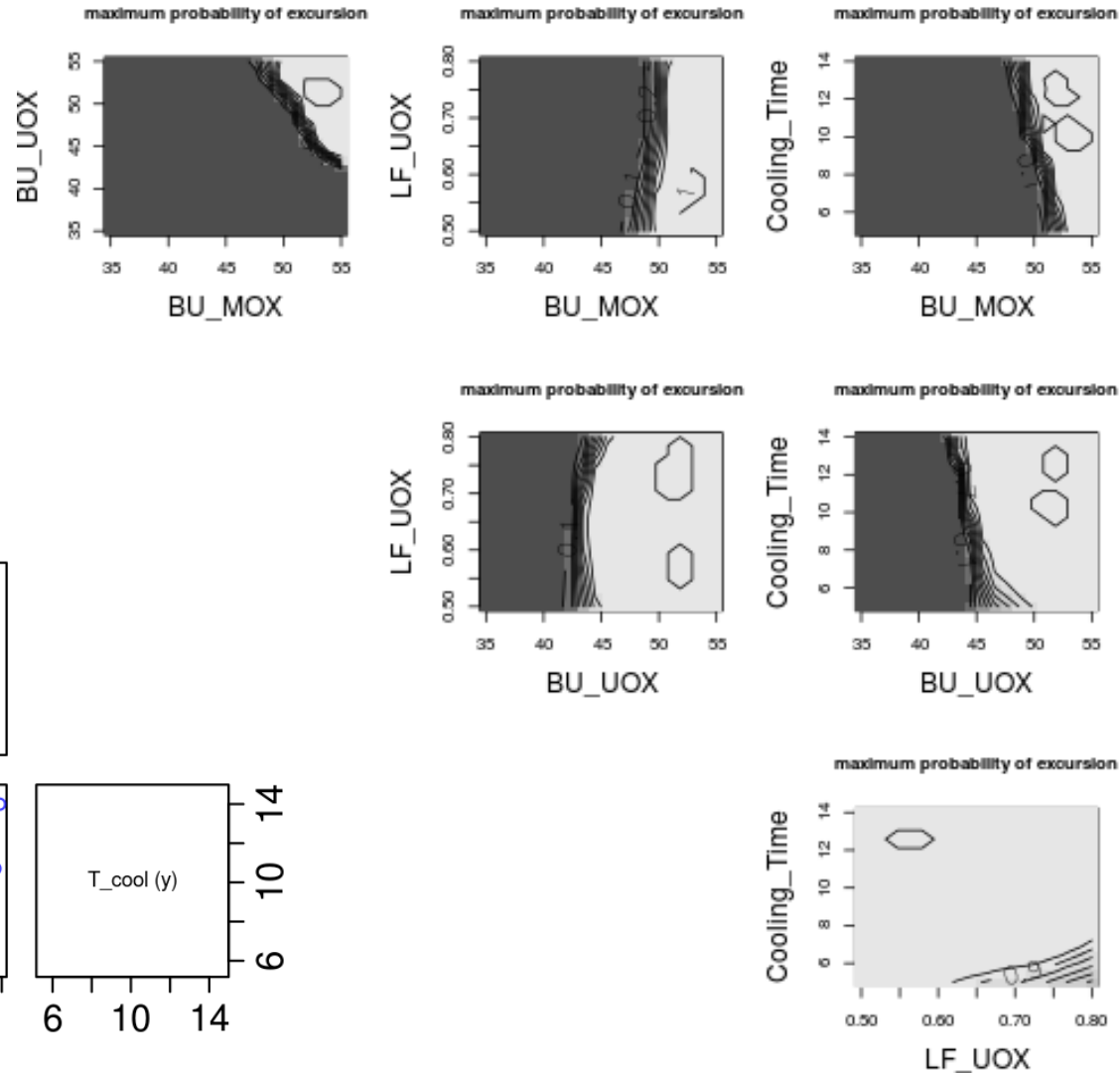
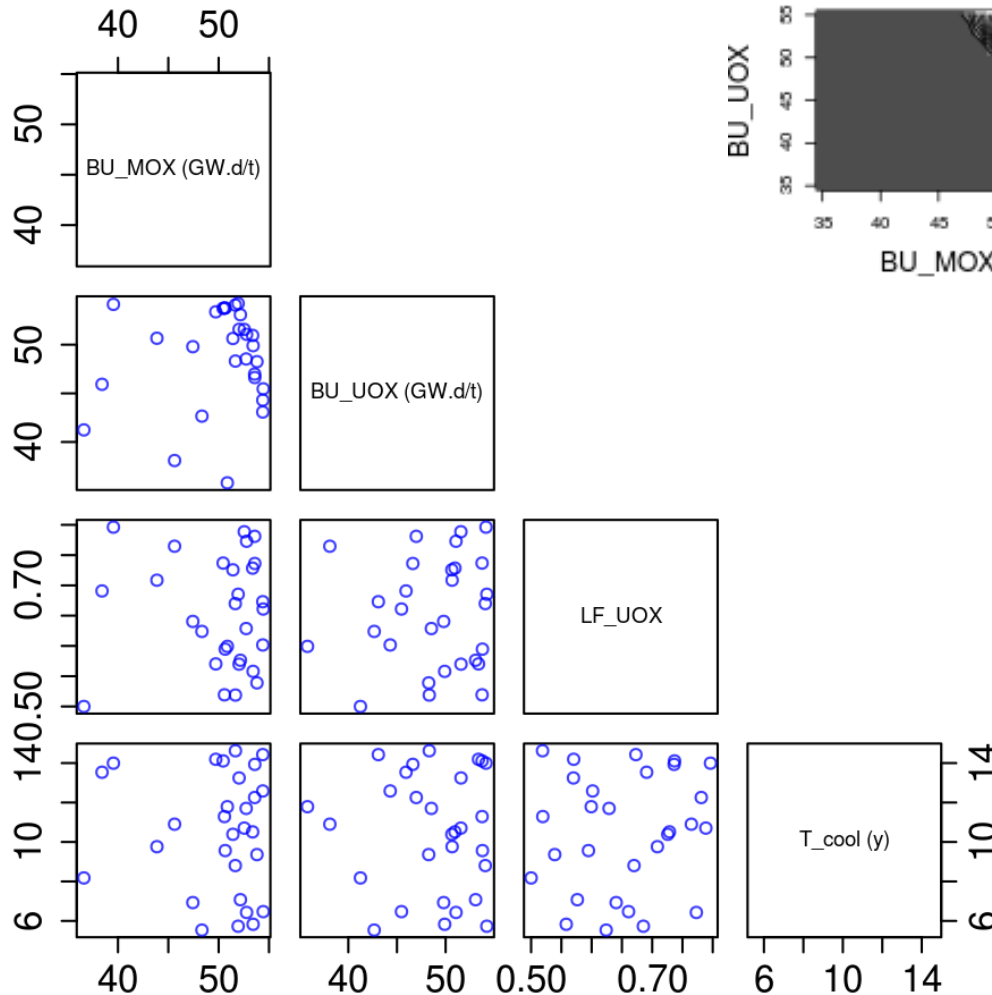
#### Parameter range and target value

- $BU_{UOX}, BU_{MOX} \sim [35, 55]$  GW.d/t
- $LF_{UOX} \sim [0.5, 0.8]$
- $T_{cool} \sim [5, 14]$
- $C_{Pu} = 10\%$

$BU_{UOX}$  and  $LF_{UOX}$  concern all PWR using UOX  
The 4 parameters are independent.  
 $LF_{MOX}$  stays constant (= 0.75).

## ➤ Using the SUR algorithm

Which sets of the 4 parameters respect the fuel constraint?

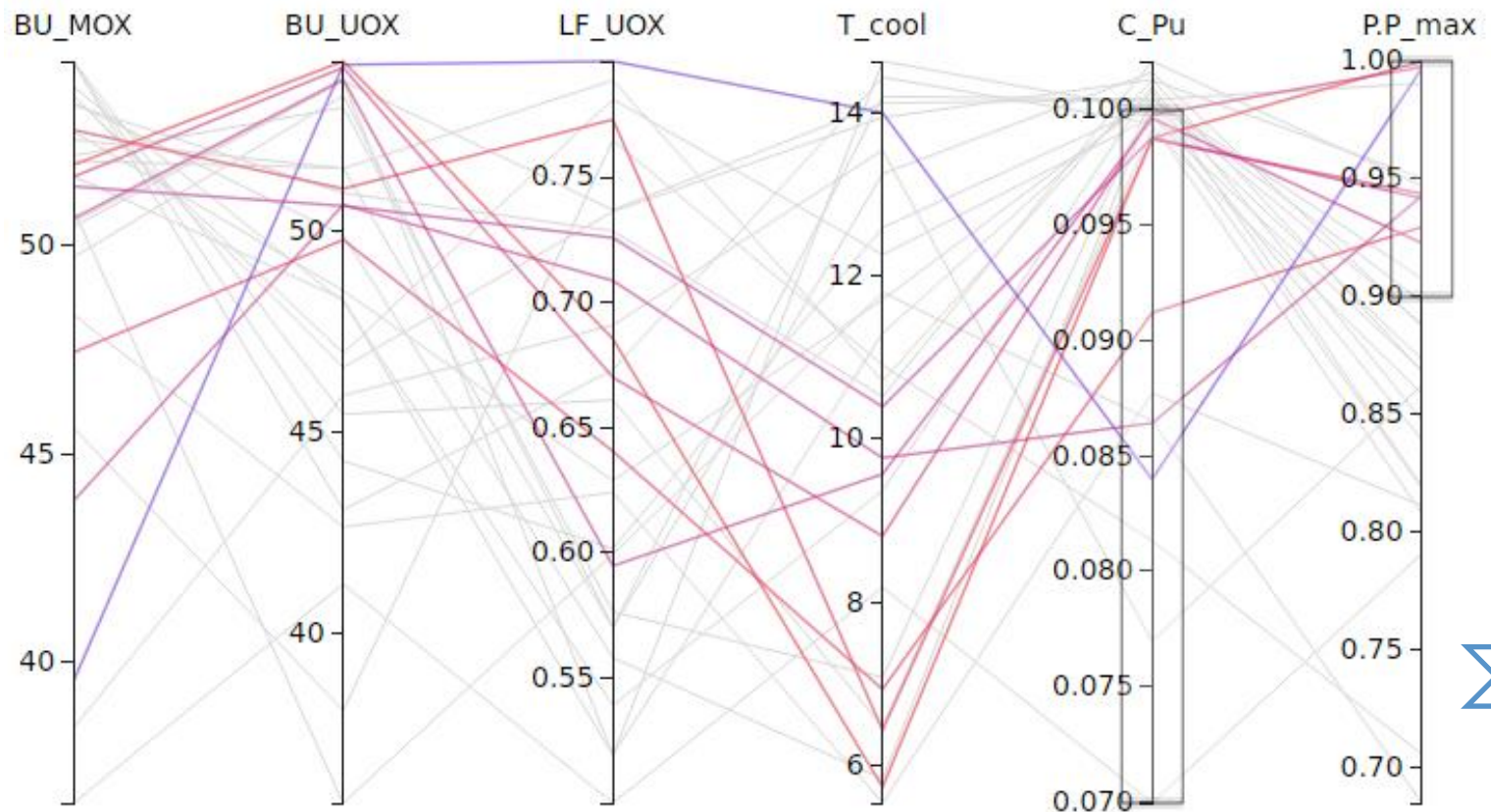


## ➤ Using the SUR algorithm

*Which sets of the 4 parameters respect the fuel constraint ?  
What about the power production ?*

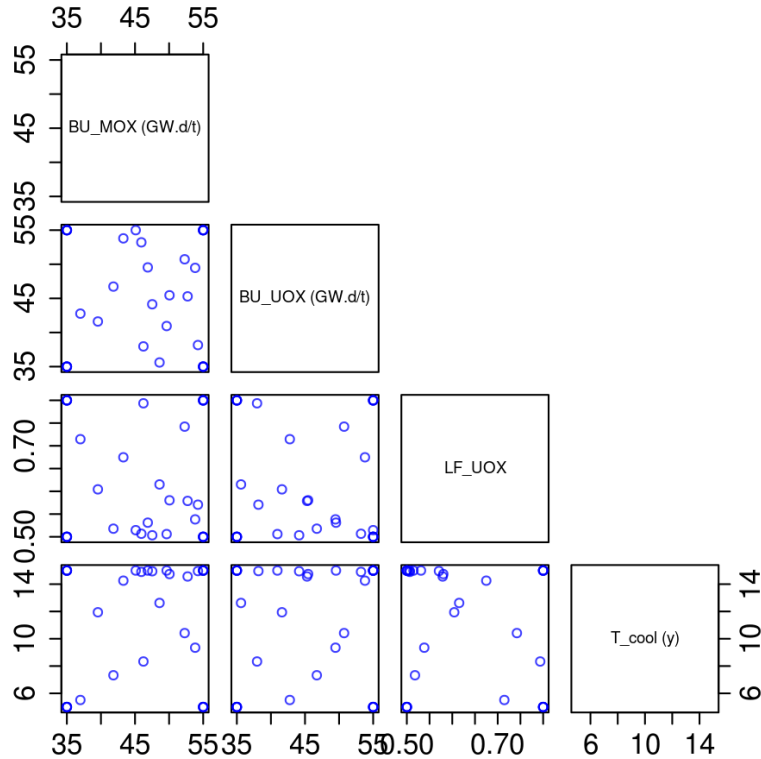
Comment:

- In the simulation if the PWR-MOX hasn't any more of Pu, the reactor doesn't run. And try to restart at the next expected reactors fuel cycle.
- P.P\_max is the sum of the power produce divide by the maximum value of all the simulation.



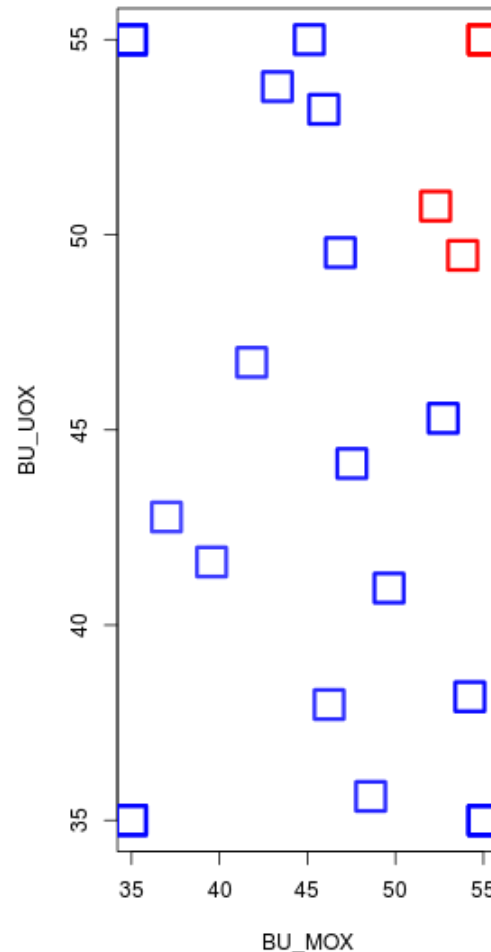
## ➤ Using the RSUR algorithm

If  $LF_{UOX}$  and  $T_{cool}$  are the penalizing parameters, what are the sets of the  $BU_{UOX}$  and  $BU_{MOX}$  that respect the fuel constraint  $C_{Pu}$ ?

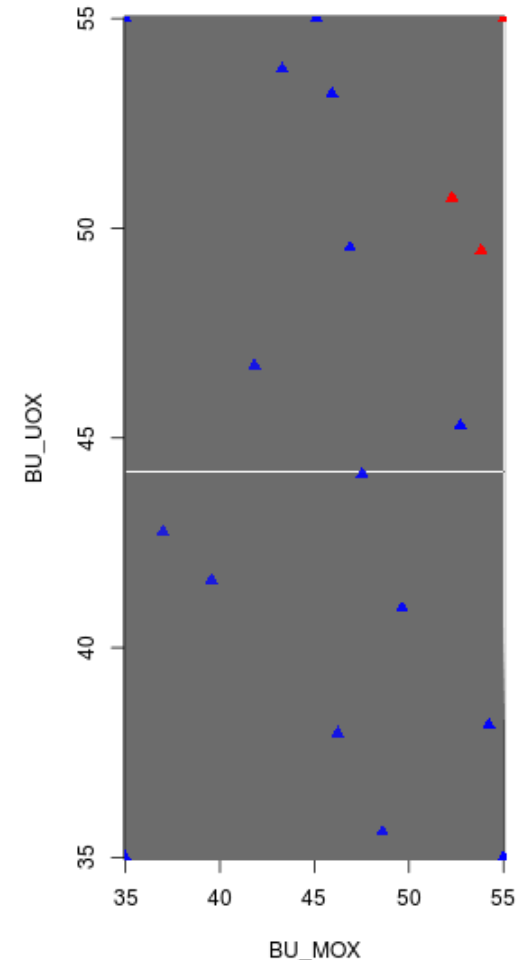


*Distribution of the calculation points*

pn(x) after 1 iterations of SUR



Exc. probability Lower bound

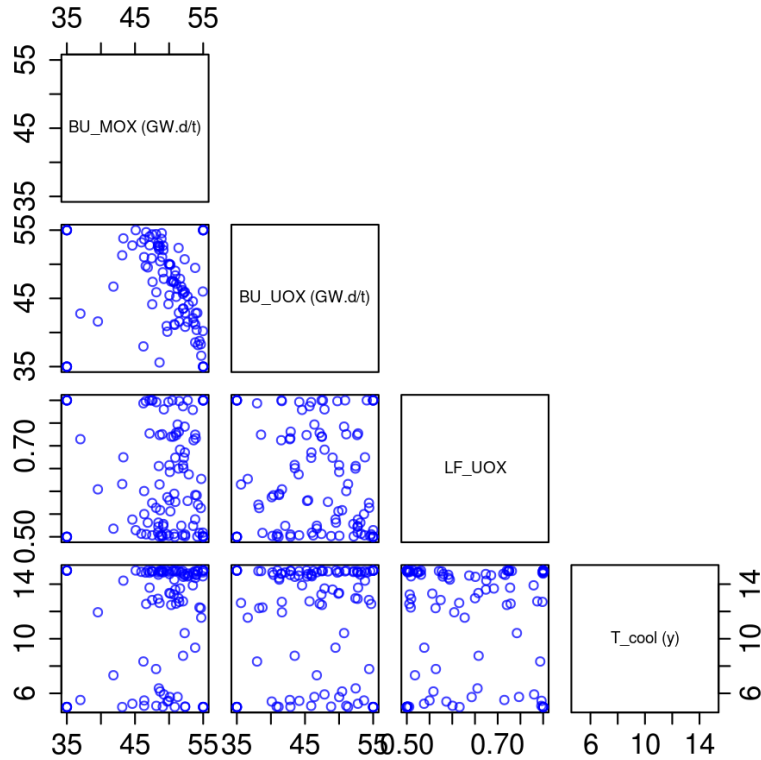


- ▲ Calculation points with  $C_{Pu} < 0.1$
- ▲ Calculation points with  $C_{Pu} > 0.1$

Probability than :  
  $C_{Pu} < 0.1$   
  $C_{Pu} > 0.1$

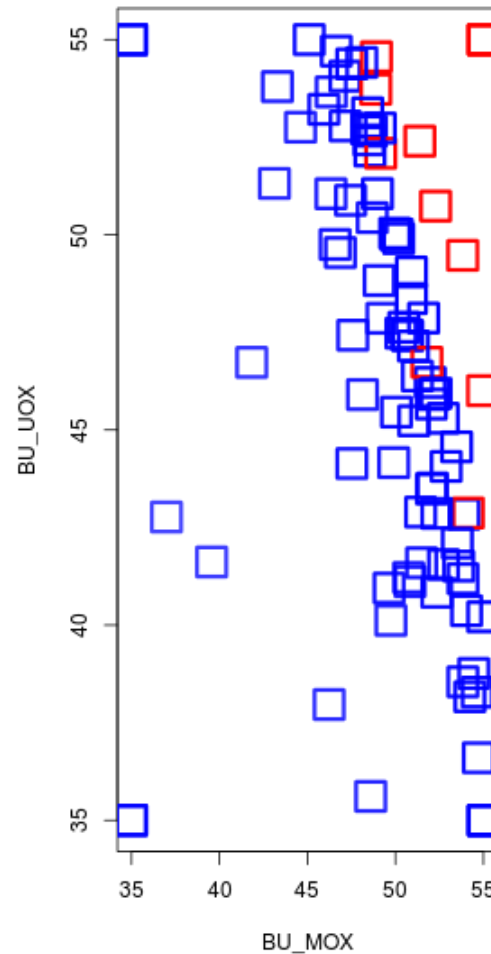
## ➤ Using the RSUR algorithm

If  $LF_{UOX}$  and  $T_{cool}$  are the penalizing parameters, what are the sets of the  $BU_{UOX}$  and  $BU_{MOX}$  that respect the fuel constraint  $C_{Pu}$ ?

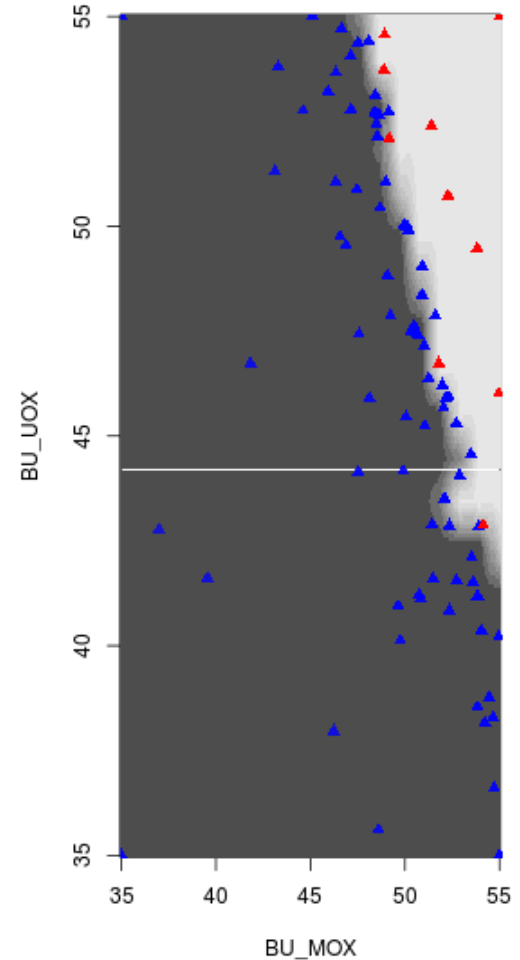


*Distribution of the calculation points*

pn(x) after 10 iterations of SUR



Exc. probability Lower bound

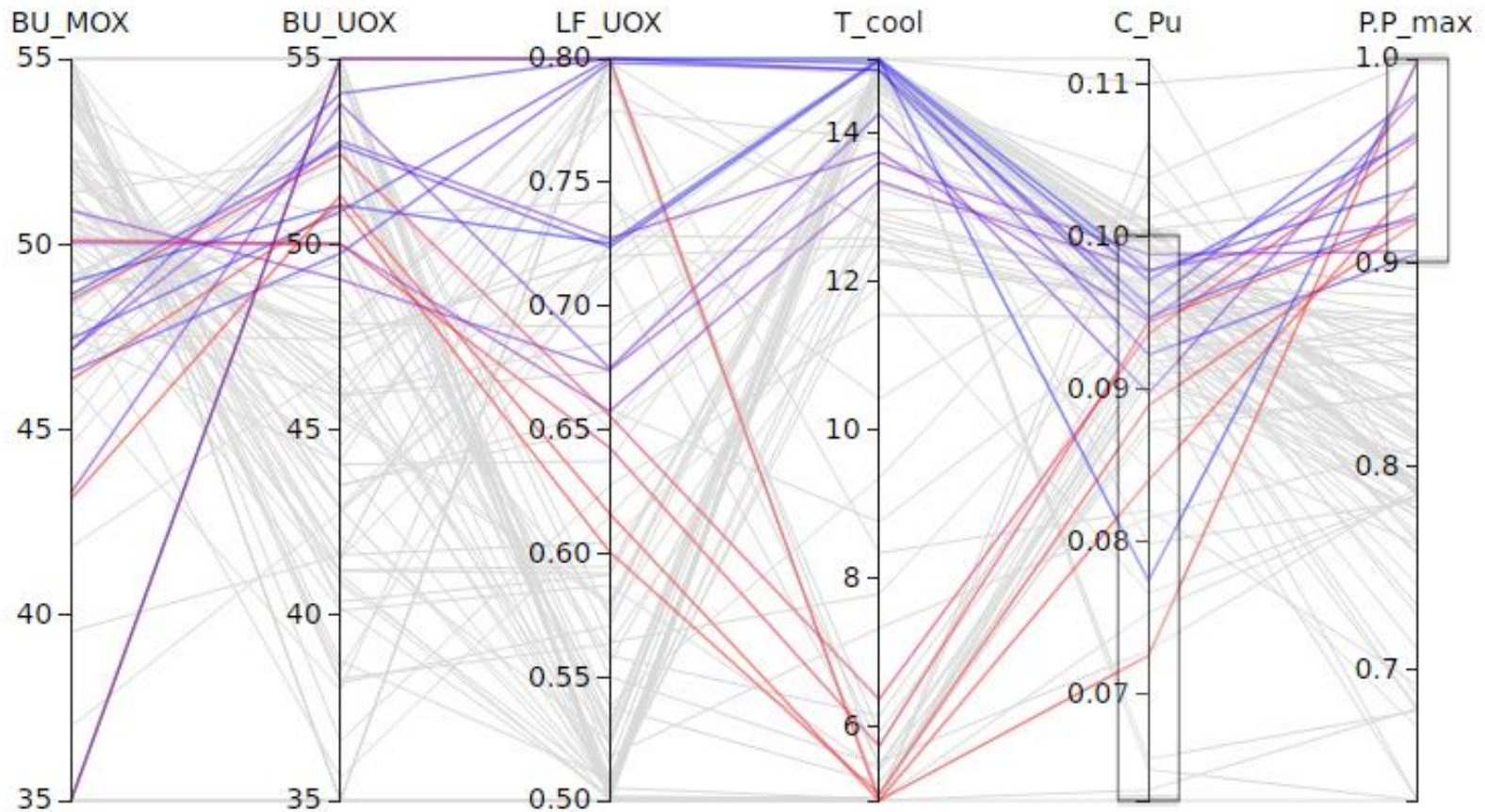


- ▲ Calculation points with  $C_{Pu} < 0.1$
- ▲ Calculation points with  $C_{Pu} > 0.1$

Probability than :  
  $C_{Pu} < 0.1$   
  $C_{Pu} > 0.1$

## ➤ Using the RSUR algorithm

If  $LF_{UOX}$  and  $LF_{MOX}$  are the penalizing parameters, what are the sets of the  $BU_{UOX}$  and  $BU_{MOX}$  that respect the fuel constrain  $C_{Pu}$ ?  
*What about the power production?*



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# Conclusions

- The fuel cycle scenarios studies require a parameterization approach
- Using algorithms can help to investigate the various options
- 2 examples of algorithm are presented: SUR and RSUR
  - Application with the CLASS and PROMETHE coupling
  - Determination of the subspace of parameters that respect a target value
  - For SUR approach all parameters are investigate without difference
  - For RSUR approach some parameters are define like uncontrolled or penalized parameters



**Thank you for your attention**

**Questions?**

**Have a nice lunch!**