

# Physique des neutrinos avec les expériences à longue ligne de base

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DE LA RECHERCHE À L'INDUSTRIE

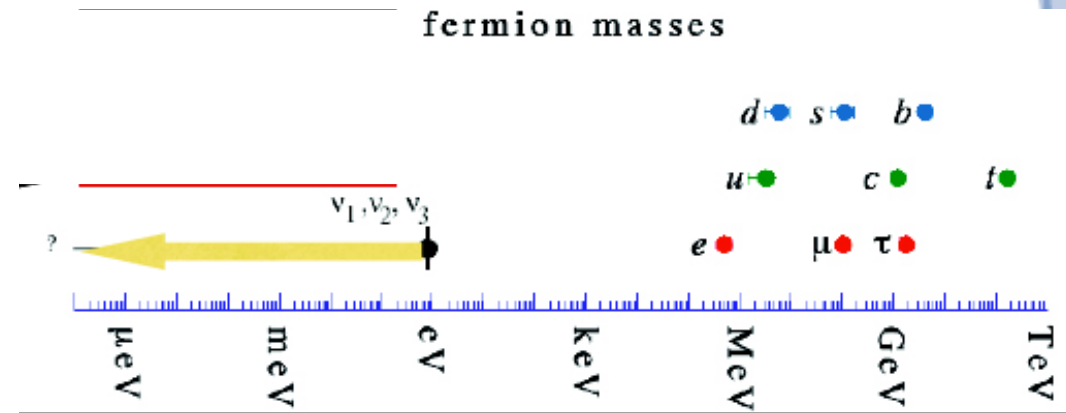


# Outline

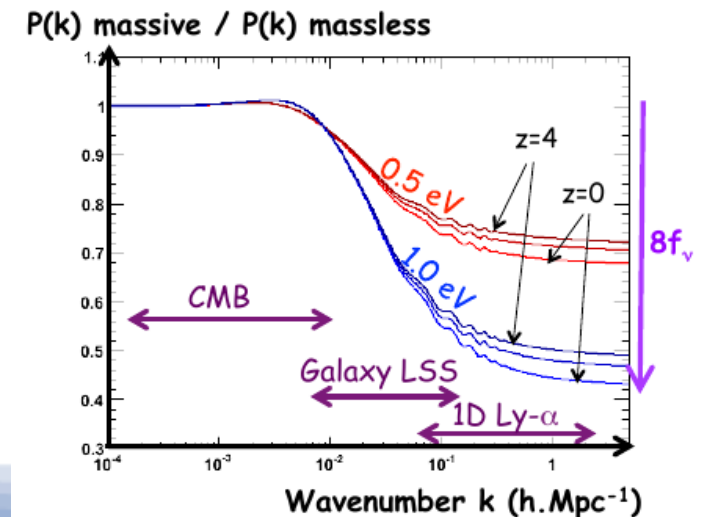
- Introduction and motivations
- Physics with a long baseline experiment
- Long Baseline (LB) experiment: beam, near detector, far detector
- 
- Results of LB:
  - Nu tau Appearance experiments: OPERA
  - Precision  $\nu_{\mu}$  disappearance experiments
  - $\nu_e$  Appearance experiments: T2K, NovA
  - The next generation: HyperKamiokande (HK) and DUNE
- Other interesting physics with a large underground detector (SK, HK, DUNE)

# Neutrino physics: surprising results

- The extreme lightness of neutrino masses begs a compelling explanation
- The neutrino mixing angles are large, at variance with the quark mixing angles: large CP violation effects are allowed
- Neutrinos play an important role in the evolution of the Universe. Can they explain matter-antimatter asymmetry ?



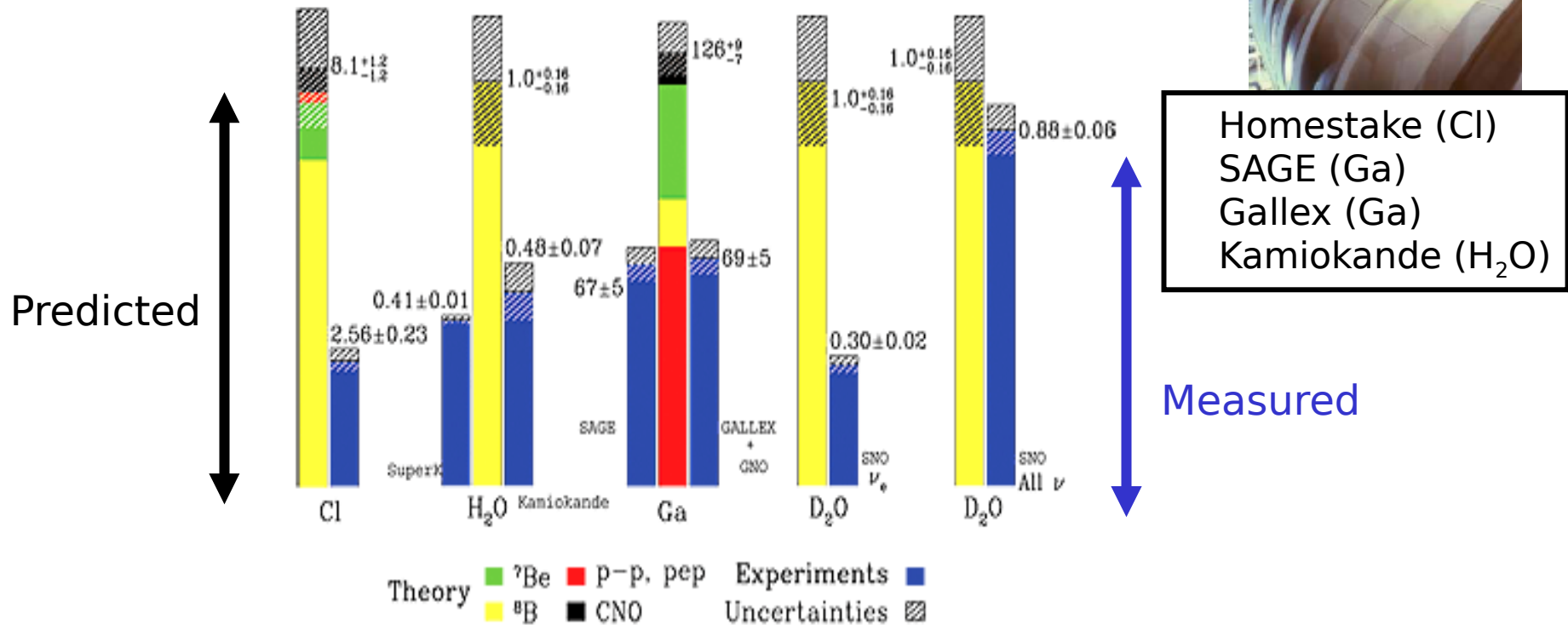
$$V_{PMNS} = \begin{pmatrix} 0.8 & 0.5 & 0.2 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix} \quad V_{CKM} = \begin{pmatrix} 1 & 0.2 & 0.001 \\ 0.2 & 1 & 0.01 \\ 0.001 & 0.01 & 1 \end{pmatrix}$$



Why were long baseline neutrino experiments planned and built around the year 2000 ?

# Fact 1 : the solar neutrino deficit

Total Rates: Standard Model vs. Experiment  
Bahcall-Serenelli 2005 [BS05(OP)]

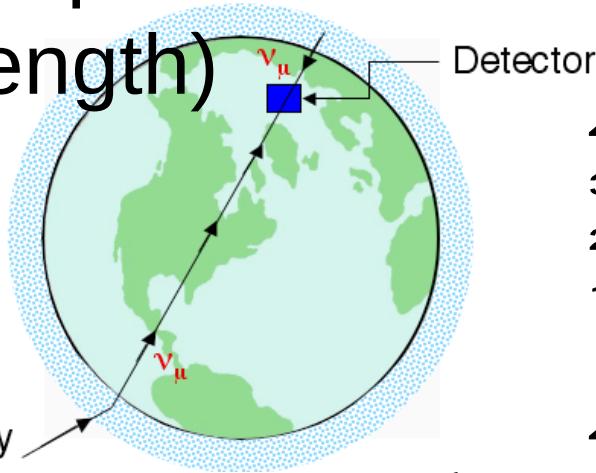


- Sun is the a very bright source of neutrinos
- Normalize the flux by the measured solar power (solar constant)
- Long and difficult experiments
- Is it neutrino physics or solar model ?

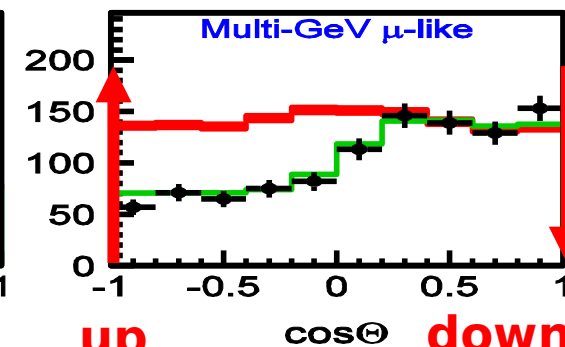
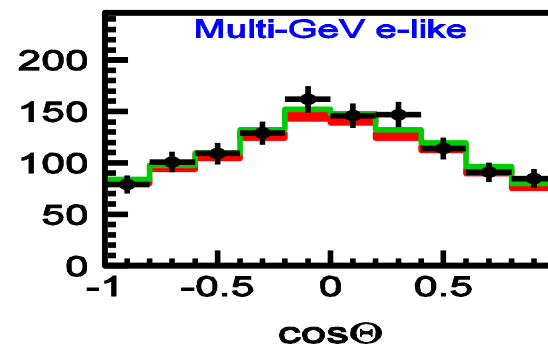
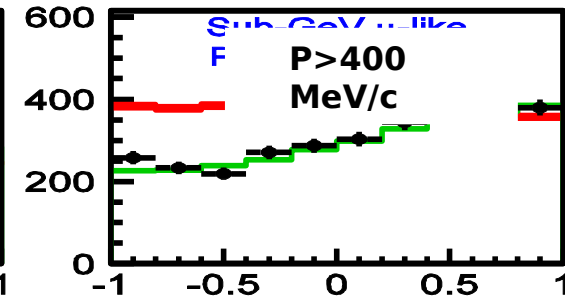
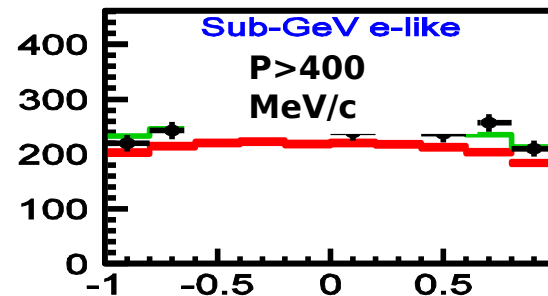
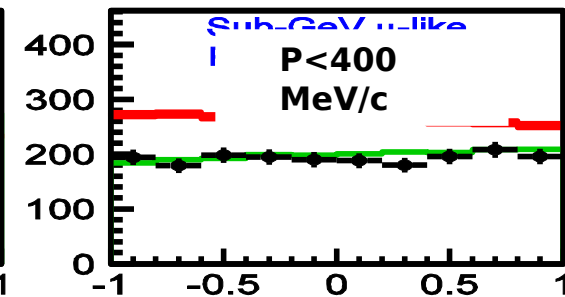
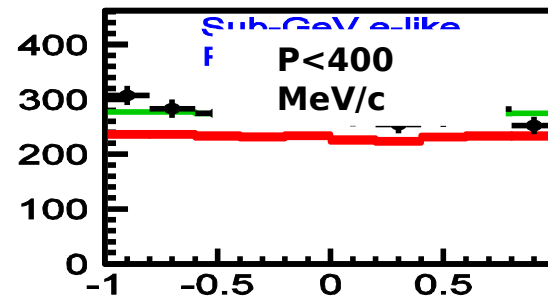
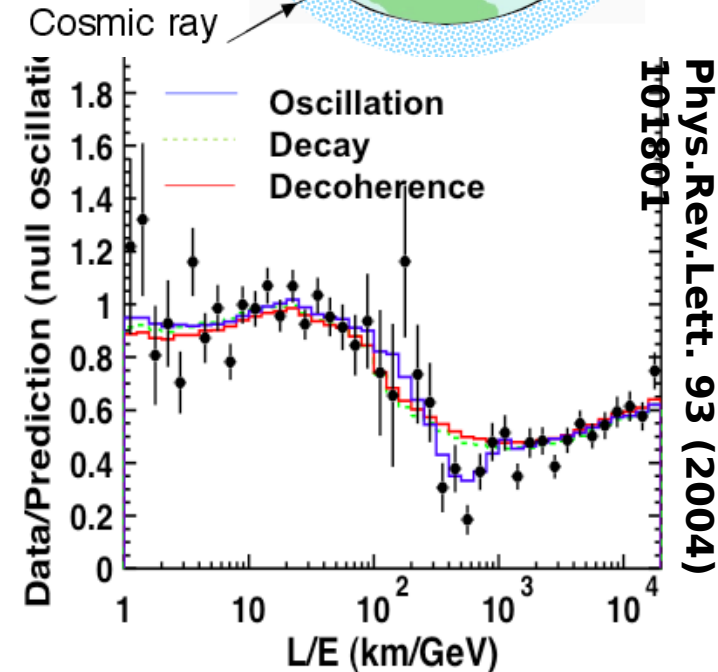
# Evidence for atmospheric oscillation : SK

$$1 - 1/2 \sin^2 2\theta$$

- Dependence on zenith angle (oscillation length)



**e** SK-I + SK-II **μ** Phys.Rev. D71 (2005) 112005



# Neutrino physics circa 2001

- The neutrino oscillations scenario was reasonably established (however explanations like decoherence or decays were still allowed)
- But a clear oscillatory pattern in experimental data was missing
- Other unknowns:
  - $\nu_{\mu} \rightarrow \nu_{\tau}$  or  $\nu_{\mu} \rightarrow$  sterile ?
  - Precise measurement of  $\Delta m^2_{\text{atm}}$
  - Only upper limits on the third mixing angle  $\theta_{13}$
- This motivated a new program to study neutrino oscillation with beam and large underground experiments

# Today: next steps in neutrino physics

1) Is  $\theta_{23} = 45^\circ$ ? which octant ?

2) Determine the mass ordering

3) Measure the CP violation parameter  $\delta$

4) Precision tests of the PMNS paradigm (ideally at the % level, as for the CKM matrix)

5) Are there any new neutrino states ?

6) Dirac or Majorana ?

1) Is there a symmetry between  $\nu_\mu$  and  $\nu_\tau$  ?

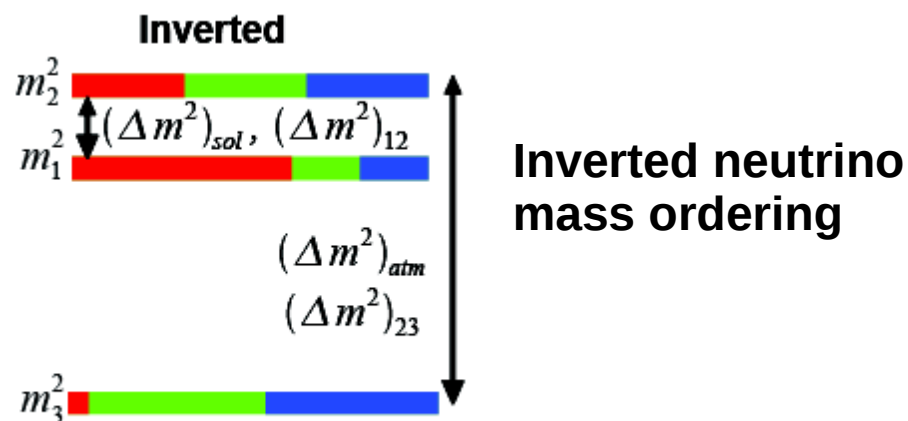
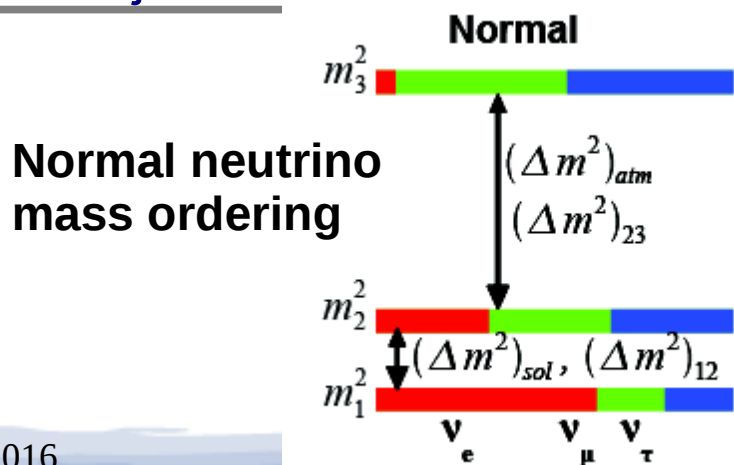
2) Help model builders. Impact on cosmology.

3) Link with leptogenesis. Are we born out of (heavy) neutrinos ?

4) How different are neutrinos ?

5) New states are expected btw 1eV and  $10^{16}$  GeV

6) Majorana mass term: major discovery





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Important input from LB experiments

1) Is there a symmetry between  $\nu_\mu$  and  $\nu_\tau$ ?

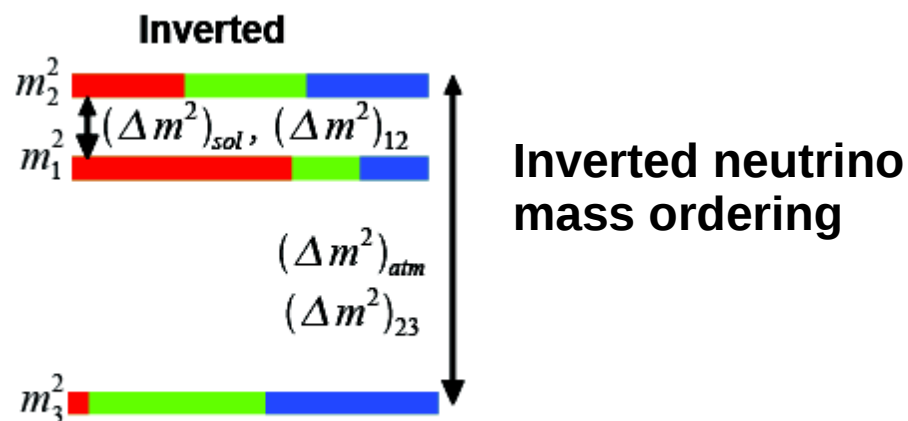
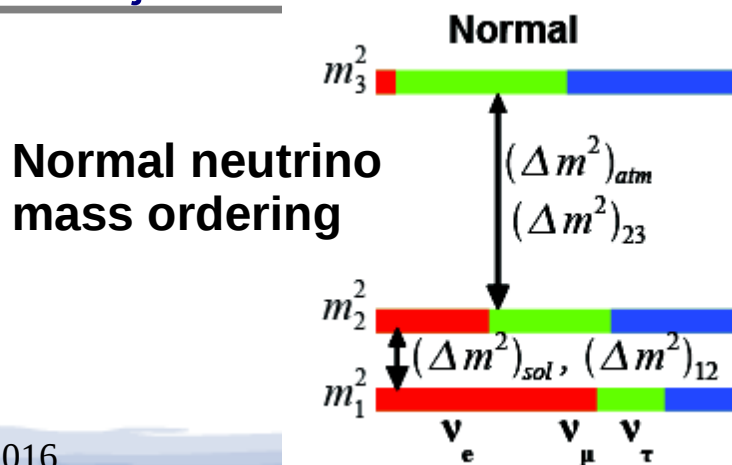
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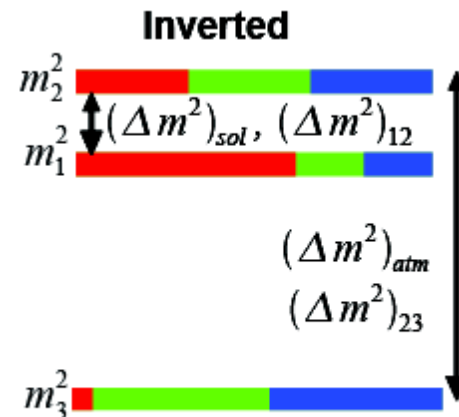
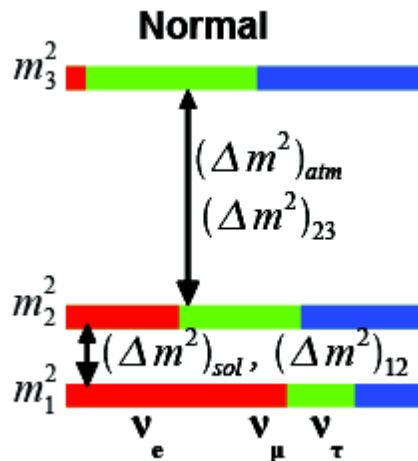
# Baryon asymmetry in the Universe and leptonic CP violation

- To explain the Baryon Asymmetry in the Universe (BAU) (i) C and CP violation, (ii) B violation and (iii) processes out of thermal equilibrium are needed (Sakharov 1967)
- The observed CP violation in the quark sector is many order of magnitudes below what is needed to explain BAU
- The decay of heavy neutral leptons with CP violation may produce a lepton asymmetry first, later converted into a baryon asymmetry: leptogenesis model (Fukugita Yanagida 1986)
- Observing CP violation in the neutrino sector would be a supporting piece of evidence for leptogenesis (NB not a proof!)

# Neutrino masses and ordering

- Neutrinos have a tiny mass :  $m < 2$  eV from measurement of the beta spectrum (KATRIN will push this limit to 0.2 eV)
- Since they oscillate, neutrino have masses (NB clear sign of phenomena BSM)
- Oscillations have measured two mass splitting:  $|\Delta m^2_{atm}| = 2.4 \cdot 10^{-3} \text{ eV}^2$  and  $\Delta m^2_{sol} = 7.5 \cdot 10^{-5} \text{ eV}^2$  and vacuum leading order measurements are not sensitive to the absolute mass scale

The lightest solution is:  $m_1 \sim 0$ ,  $m_2 \sim 7$  meV and  $m_3 \sim 50$  meV



The lightest solution is:  $m_3 \sim 0$ ,  $m_1 \sim m_2 \sim 50$  meV

- The measurement of the sign of  $\Delta m^2_{atm}$  has implications for the theoretical understanding of the nu mass mechanism, long baseline CP violation measurements, 0-nu double beta decay, and cosmology

# Impact of neutrino mass ordering

- Mass ordering has an impact on neutrinoless double beta decay and cosmology
- It has no impact on the design of future long baseline experiments

$\beta$  decay, sensitive to the “effective electron neutrino mass”:

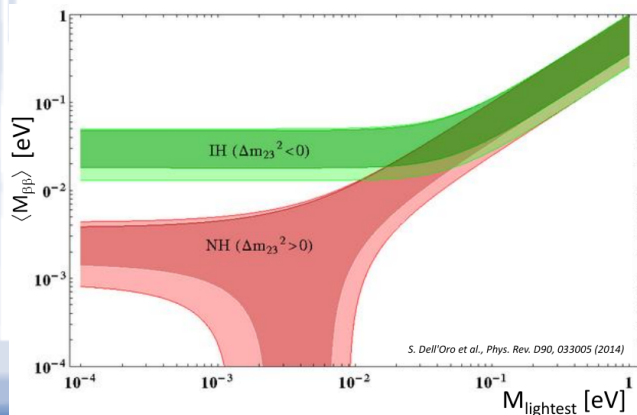
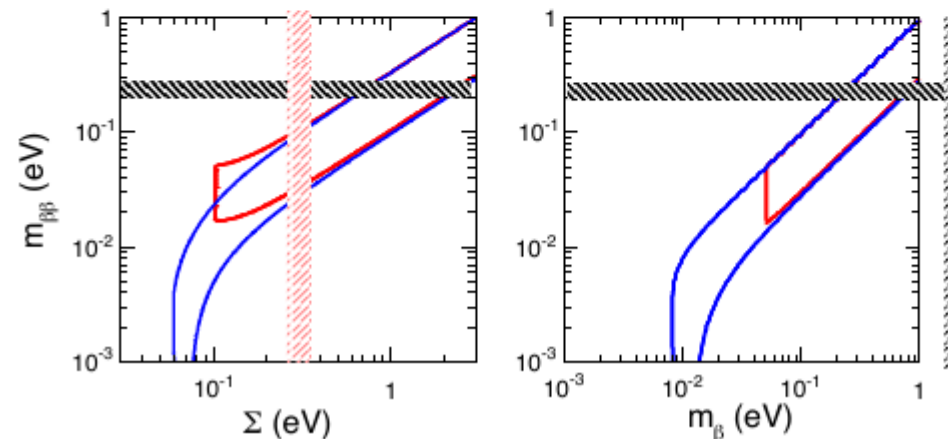
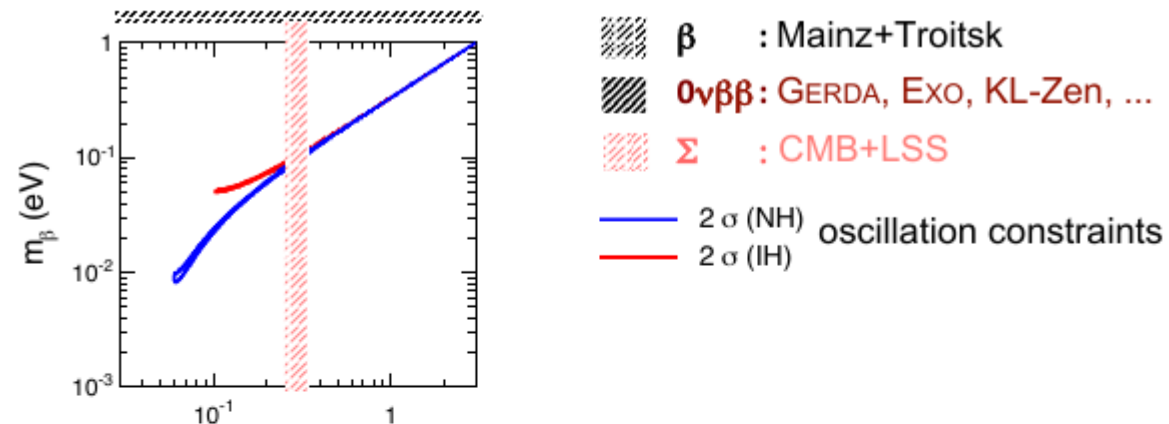
$$m_\beta = [c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2]^{\frac{1}{2}}$$

$0\nu\beta\beta$  decay: only if Majorana. “Effective Majorana mass”:

$$m_{\beta\beta} = |c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3}|$$

Cosmology: Dominantly sensitive to sum of neutrino masses:

$$\Sigma = m_1 + m_2 + m_3$$



- To summarize: LB experiments were planned to demonstrate the oscillation mechanisms and make more precise measurements of several oscillation parameters
- Today, further fundamental questions like CP and mass ordering are in front of us and new facilities are taking data (T2K, NovA) or are planned (HyperKamiokande, DUNE)

# Neutrino oscillations

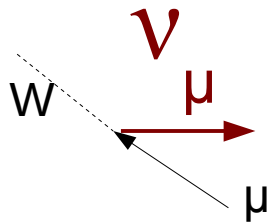
$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

If neutrino flavor eigenstates are different from mass eigenstates, propagation induces a phase shift with the appearance of a new flavor

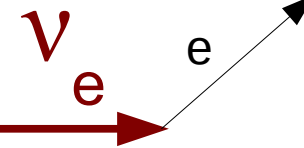
$$\nu_\mu = -\sin \theta \nu_1 + \cos \theta \nu_2$$

Propagation

Source



$$\begin{aligned} \nu_1 &\rightarrow \exp(-ip_1 x) \nu_1 \\ \nu_2 &\rightarrow \exp(-ip_2 x) \nu_2 \\ \Delta\phi &= \Delta m^2 L / (4E) \end{aligned}$$



Detector

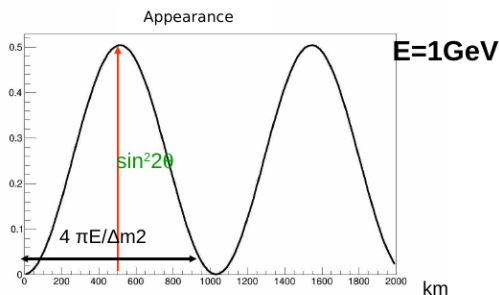


L

$$\text{Prob}(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta) \sin^2(\Delta m^2 L / 4E)$$

This is a simplified two neutrino scenario

Notice that the expression is invariant replacing  $\Delta m^2 \rightarrow -\Delta m^2$

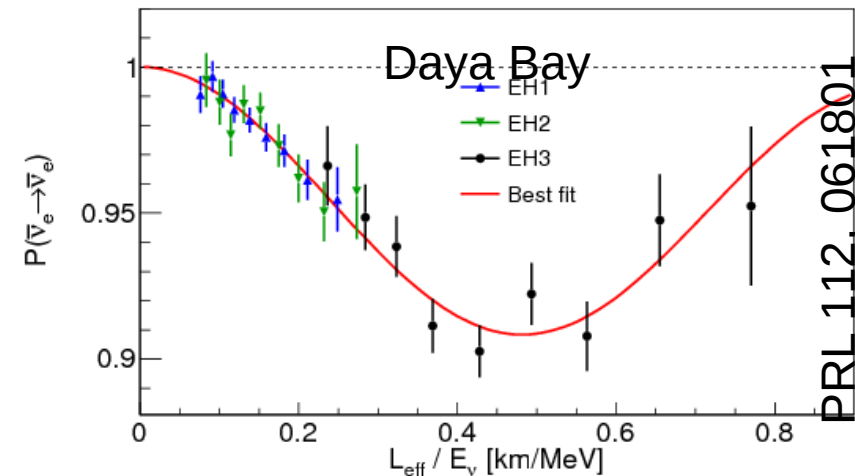
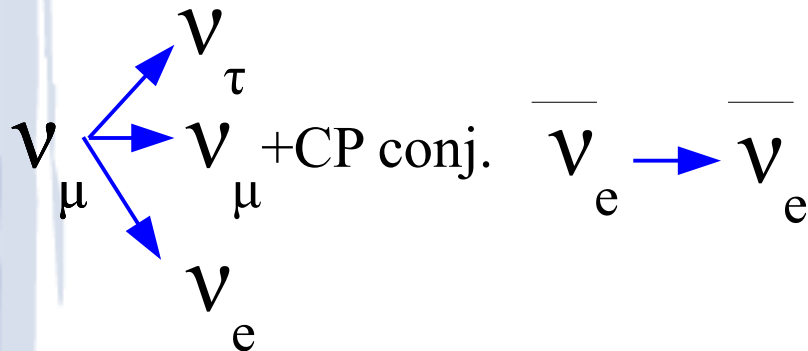


# The Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing matrix

$$s_{ij} = \sin \theta_{ij}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- The oscillation phenomena have been convincingly observed using solar, atmospheric (Nobel prize 2015), reactor and accelerator neutrinos, establishing the three neutrino SM paradigm
- Currently unveiling three-neutrino subleading effects



Parameter	Value	Precision (%)
$\Delta m_{21}^2$	$7.5 \cdot 10^{-5} \text{ eV}^2$	2.6
$\theta_{12}$	$34^\circ$	5.4
$\Delta m_{32}^2$	$2.4 \cdot 10^{-3} \text{ eV}^2$	2.6
$\theta_{23}$	$42^\circ$	$\sim 10$
$\theta_{13}$	$8.4^\circ$	4 (Daya Bay 2016)

Capozzi et al.  
ArXiv:1312.2878



- What can we study with a neutrino beam ?
- To understand this we will consider the propagation of muon neutrino beam (for reasons to be explained later) of  $E \sim \text{GeV}$  in matter for  $L \sim 100\text{-}1000 \text{ km}$



# The 3 neutrino oscillation formula in vacuum

$$Prob(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j=1}^3 \text{Re} J_{\alpha\beta ij} \sin^2 \Phi_{ij} + 4 \sum_{i>j=1}^3 \text{Im} J_{\alpha\beta ij} \sin \Phi_{ij} \cos \Phi_{ij}$$

$$J_{\alpha\beta ij} = U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j} \quad \Phi_{ij} = \frac{\Delta m_{ij}^2 L}{4E}$$

Change sign for antineutrinos

$$Prob(\nu_\alpha \rightarrow \nu_\beta) = 4 |U_{\alpha 3}|^2 |U_{\beta 3}|^2 \sin^2 \frac{\Delta m_{31}^2 L}{4E} \quad \text{if} \quad \frac{\Delta m_{21}^2 L}{4E} \ll 1$$

# Atmospheric driven oscillations

$$Prob(\nu_\alpha \rightarrow \nu_\beta) \simeq 4 |U_{\alpha 3}|^2 |U_{\beta 3}|^2 \sin^2 \frac{\Delta m_{31}^2 L}{4 E} \quad \text{if } \frac{\Delta m_{21}^2 L}{4 E} \ll 1$$

$$Prob(\nu_\mu \rightarrow \nu_\tau) \simeq 4 |U_{\mu 3}|^2 |U_{\tau 3}|^2 \sin^2 \frac{\Delta m_{31}^2 L}{4 E} = \cos^4 \theta_{13} \sin^2 2 \theta_{23} \sin^2 \frac{\Delta m_{31}^2 L}{4 E}$$

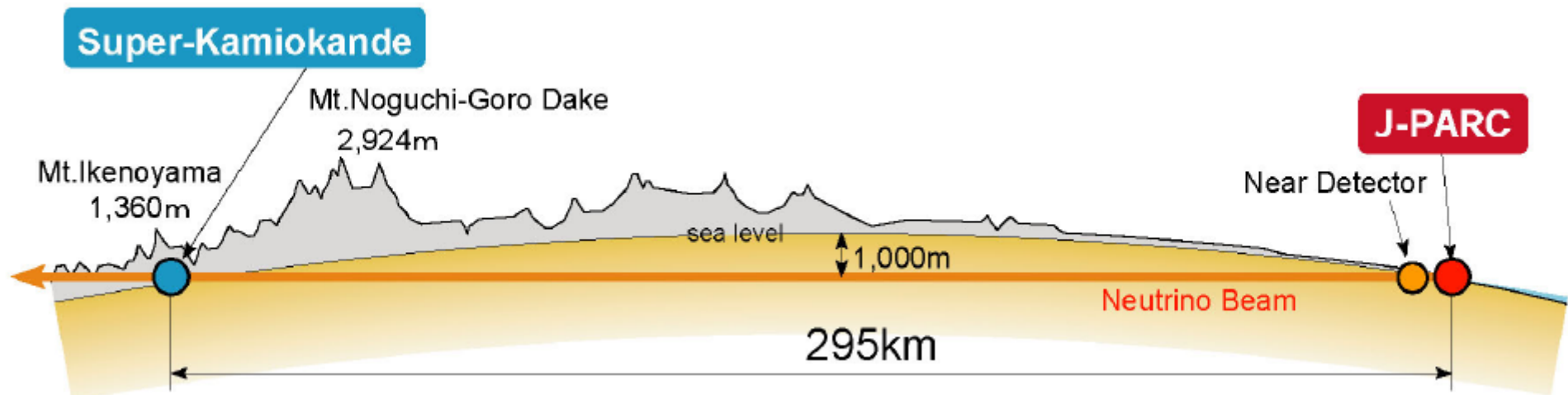
$$Prob(\nu_\mu \rightarrow \nu_e) = 4 |U_{\mu 3}|^2 |U_{e 3}|^2 \sin^2 \frac{\Delta m_{31}^2 L}{4 E} = \sin^2 \theta_{23} \sin^2 2 \theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4 E}$$

$$Prob(\nu_\mu \rightarrow \nu_\mu) = 1 - (\sin^2 \theta_{23} \sin^2 2 \theta_{13} + \cos^4 \theta_{13} \sin^2 2 \theta_{23}) \sin^2 \frac{\Delta m_{31}^2 L}{4 E}$$

These formulae are very similar to two neutrino oscillation formulae. Notice however the sensitivity to both  $\theta_{23}$  (included the octant) and  $\theta_{13}$

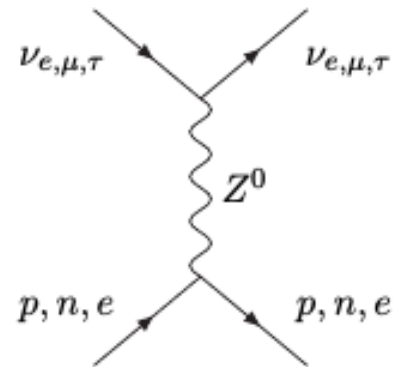
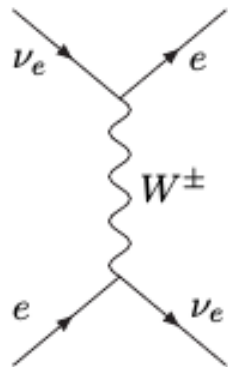
We will now introduce two additional effects:

- Neutrino propagation takes place in the earth crust, so matter effects are present
- The PMNS matrix might be complex (Dirac phase), so additional terms beyond the leading order could be present
- Long baseline experiments are especially sensitive to these terms because they can



# Neutrino oscillation in matter

- Neutrino forward scattering on electrons, equivalent to light refraction index, leads to an additional phase for electron neutrinos proportional to  $G_F N_e$
- The sign of the phase depends on neutrino vs antineutrino and normal/inverted ordering
- NC diagrams do not contribute to the phase shift (same for  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$ )



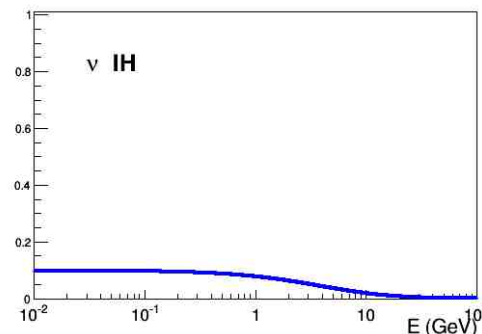
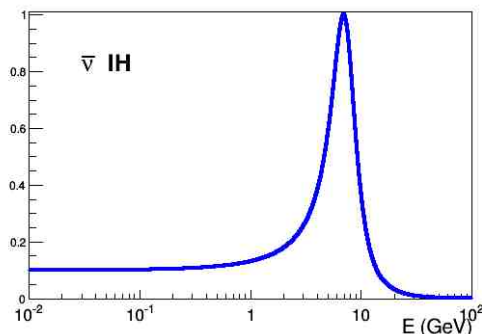
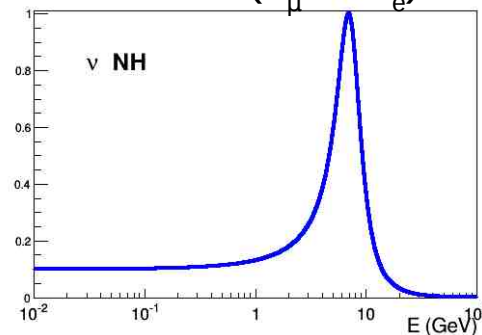
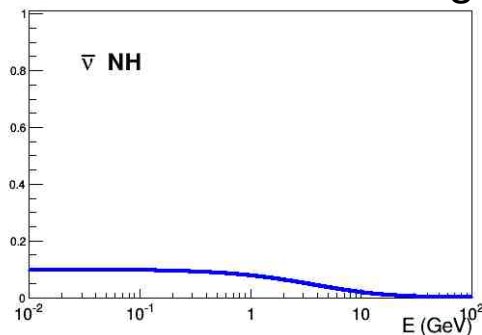
# Neutrino oscillation in matter

In the constant density case, the effective mixing angle reads

$$\sin^2(2\theta_m) = \frac{(\Delta m^2/2E)^2 \sin^2(2\theta_0)}{((\Delta m^2/2E) \cos(2\theta_0) - 2\sqrt{2}G_F N_e)^2 + (\Delta m^2/2E)^2 \sin^2(2\theta_0)}$$

This expression has a resonant behavior, the effective mixing angle can be maximal even if the vacuum mixing is tiny (MSW effect)

Effective mixing angle in matter ( $\nu_\mu \rightarrow \nu_e$ )



$E_{res} = 7$  GeV for atm mass splitting and 4.5 g/cm<sup>3</sup> density

For the three neutrino case, for Normal Ordering matter effect enhance Prob ( $\nu_\mu \rightarrow \nu_e$ ) and suppress it for antineutrinos. Viceversa for Inverted Ordering.<sup>21</sup>

# CP violation effects

$\nu_\mu \rightarrow \nu_e$  : beyond the leading term in vacuum

$$P(\nu_\mu \rightarrow \nu_e) \approx 4C_{13}^2 S_{13}^2 S_{23}^2 \sin^2 \Phi_{31}$$

“Atmospheric” term

$$\mp 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \sin \Phi_{32} \sin \Phi_{31} \sin \Phi_{21}$$

CP violating term

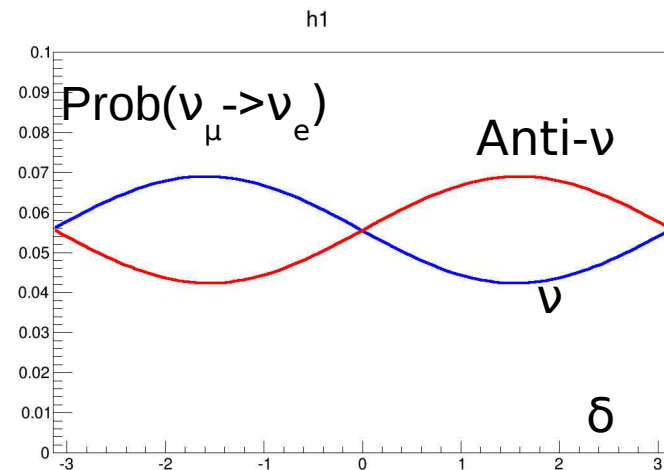
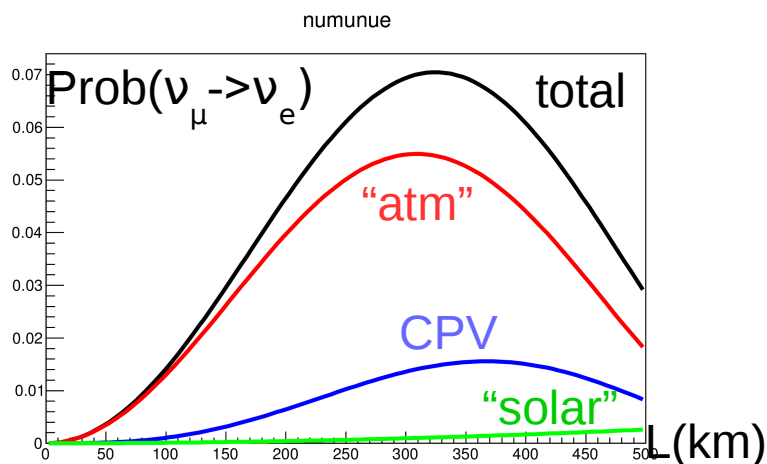
$$+4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta) \sin^2 \Phi_{21}$$

“Solar” term

$$C_{ij} = \cos(\theta_{ij})$$

$$\Phi_{ij} = \Delta m_{ij}^2 L / 4E$$

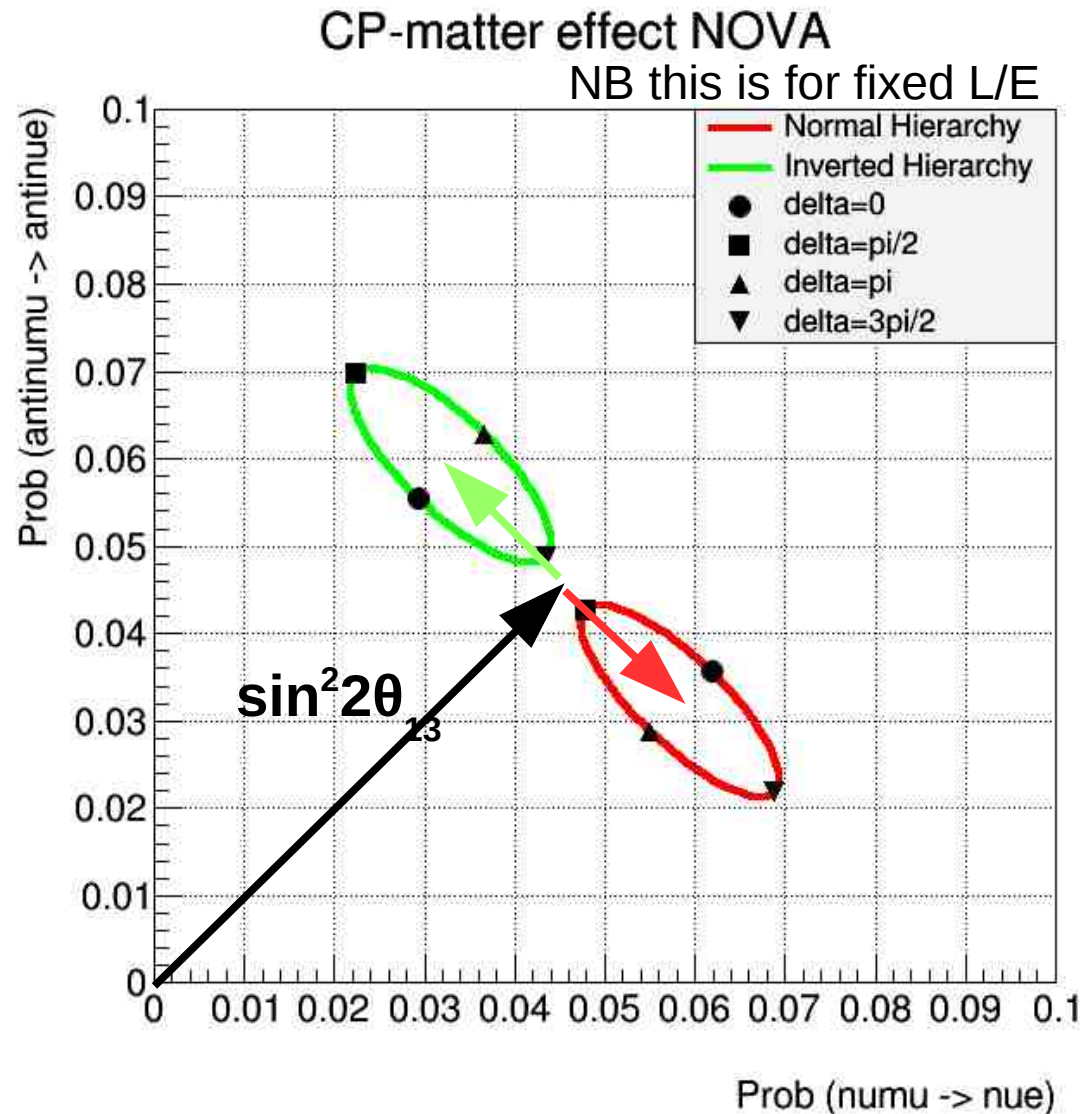
Change sign from  $\nu$  to anti- $\nu$ ! An accelerator based neutrino beam is ideal to study this, as either neutrinos or antineutrinos can be produced



~27% modulation

Caution: indicative plots !!

# Combined effect of CP and matter



NB A precise measurement in this plane can determine  $\theta_{13}$ , MH,  $\delta$ , octant



# Combined effect of CP and matter

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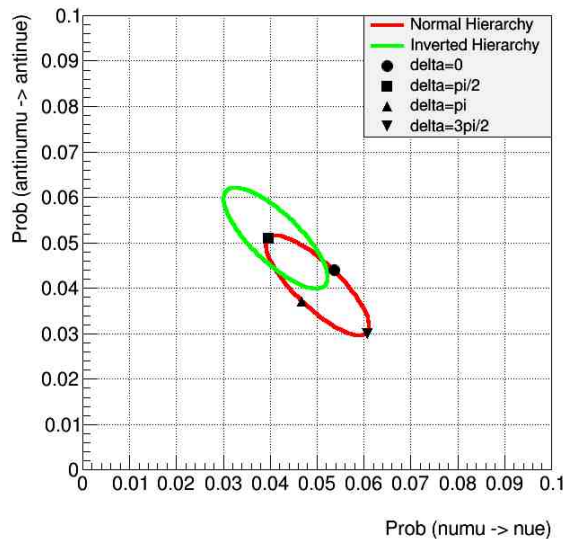
810

1300

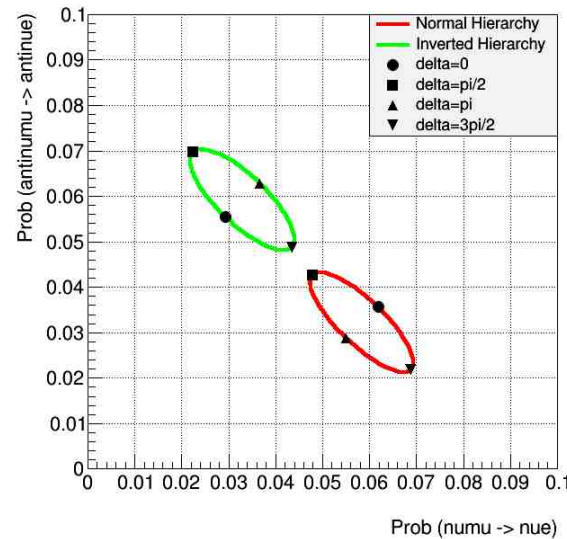
L (km)



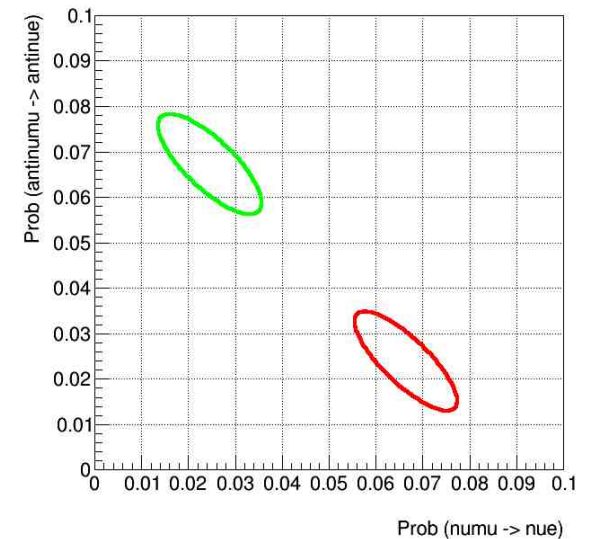
CP-matter effect T2K



CP-matter effect NOVA



CP-matter effect DUNE



The relative increase of matter effect versus CP effect is due to the fact that these experiments are tuned to the  $L/E$  of the first oscillation maximum. The increasing  $L$  and  $E$  are such that  $\text{Prob}(\text{numu} \rightarrow \text{nue})$  climbs the slope of the MSW resonance.

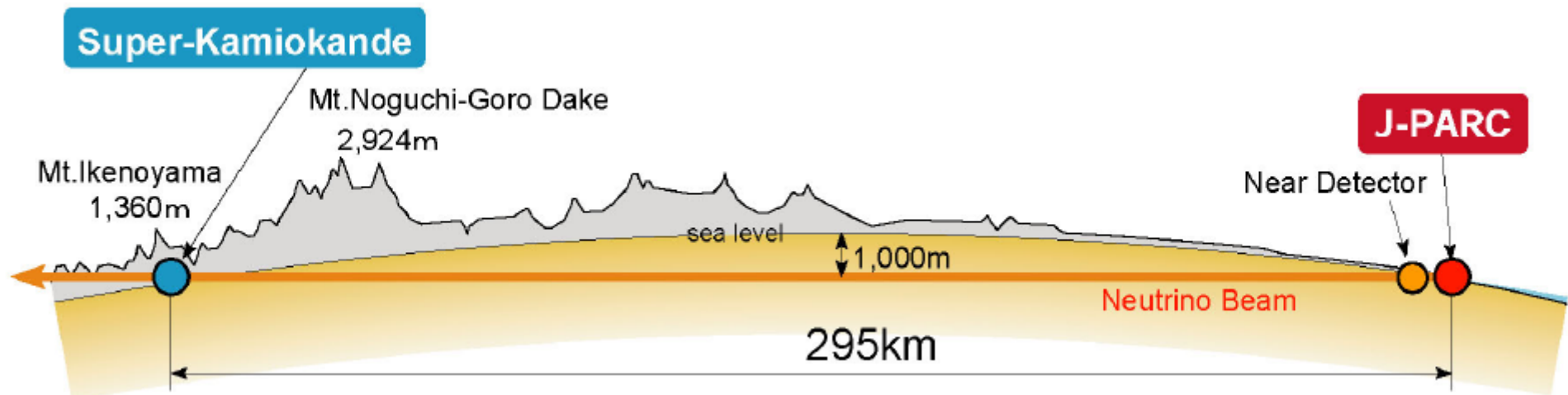
For T2K, CP modulation  $\pm 27\%$ , Matter effect  $\sim 10\%$



# Long baseline schematic

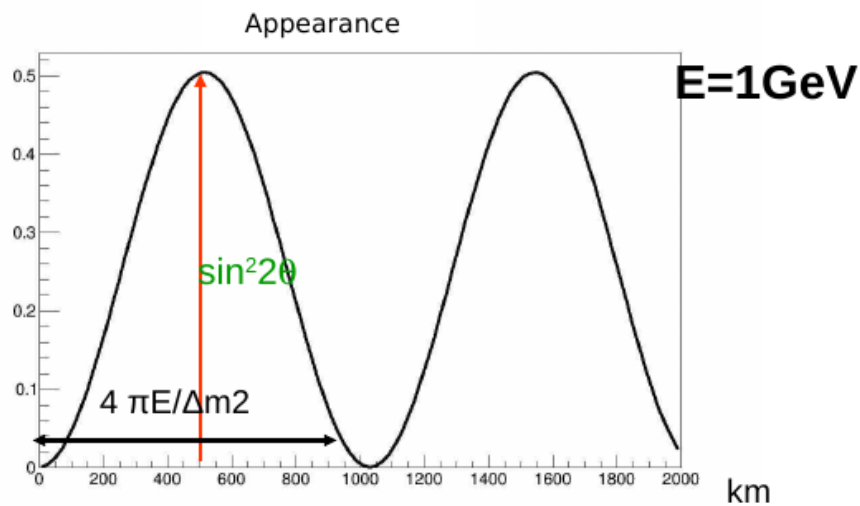
A long baseline neutrino experiments requires

- 1) A powerful neutrino beam
- 2) A near detector complex
- 3) A very massive far detector, best if underground

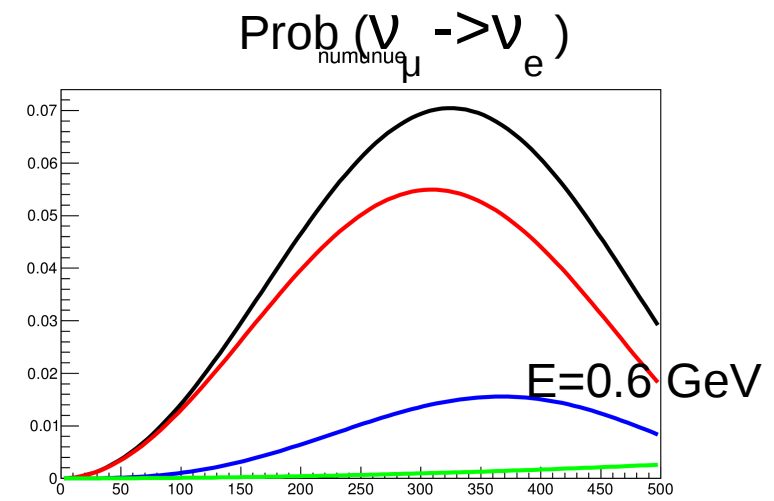


# Design of a long baseline exp.

- Define L and E such that  $\Delta m_{32}^2 L/4E = \pi/2$  (eg 480 km for 1 GeV)
- Then maximum of  $\nu_\mu$  disappearance and  $\nu_e$  appearance probability
- Choice of L depends on external constraint (including the possibility to build or use an underground laboratory in a particular location)
- Choice of E depends (weakly) on the proton beam energy and strongly on the detection technique



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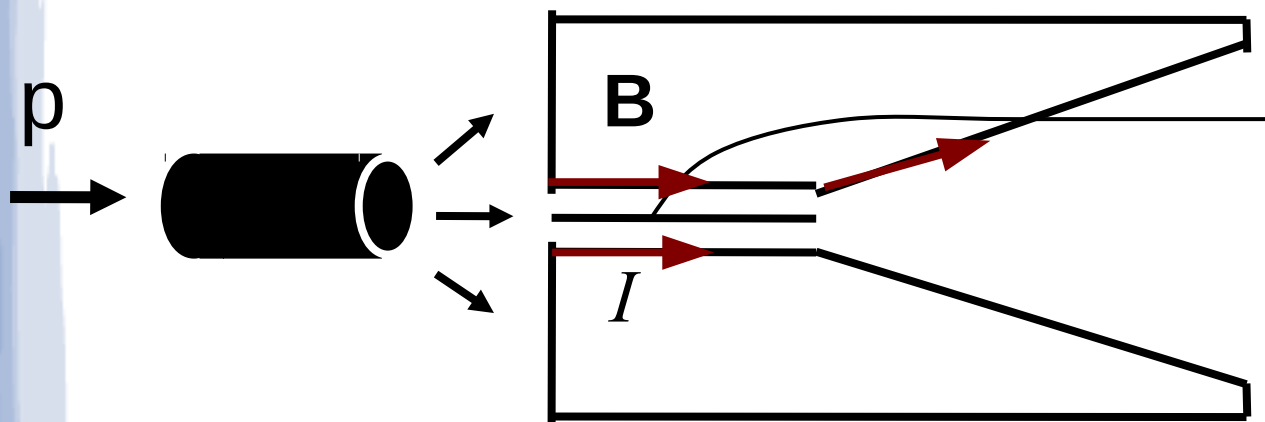


# Paramètres des LB

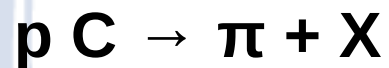
Exp.	Energie (GeV)	Puissance (kW)	L (km)	FD mass (kt)
K2K	30	15	250	22.5
MINOS	120	700	790	5.4
OPERA	450	500	732	1.8
T2K	30	750	295	22.5
NOvA	120	700	810	14
HK	30	1300	295	380
DUNE	120	1200	1300	40

TABLE 1 – Paramètres des expériences long baseline. FD est la masse fiducielle du détecteur lointain. L'énergie est celle du faisceau de protons, la puissance est celle nominale.

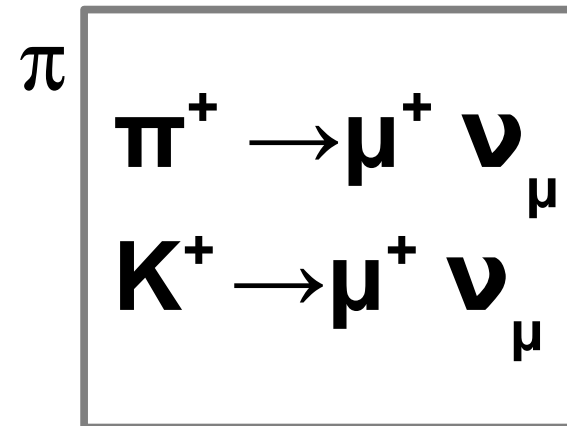
# Producing a neutrino beam



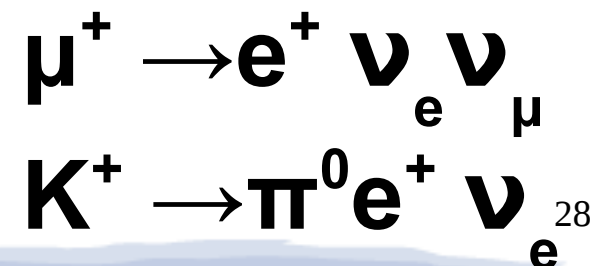
Primary proton  
beam on target



Focusing the pions with  
a magnetic device  
(horn). The current  
allows to focus either  $\pi^+$   
(numu) or  $\pi^-$  (numubar)



Decay volume. Need to  
stop the mu before they  
decay. Otherwise nue are  
produced by



# The first discovery with a neutrino beam

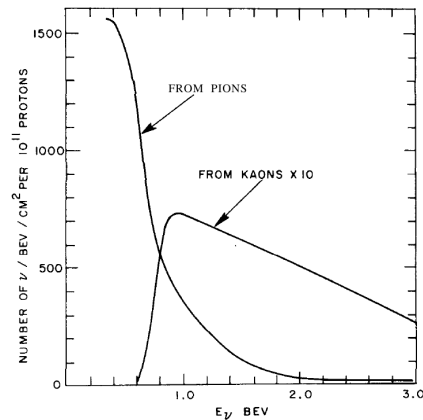


Figure 2. Energy spectrum of neutrinos as expected for A.G.S. running at 15 GeV.

$$\pi \rightarrow \mu \bar{\nu}$$

But is  $\nu = \nu_e$  or  $\nu = \nu_\mu$  ?

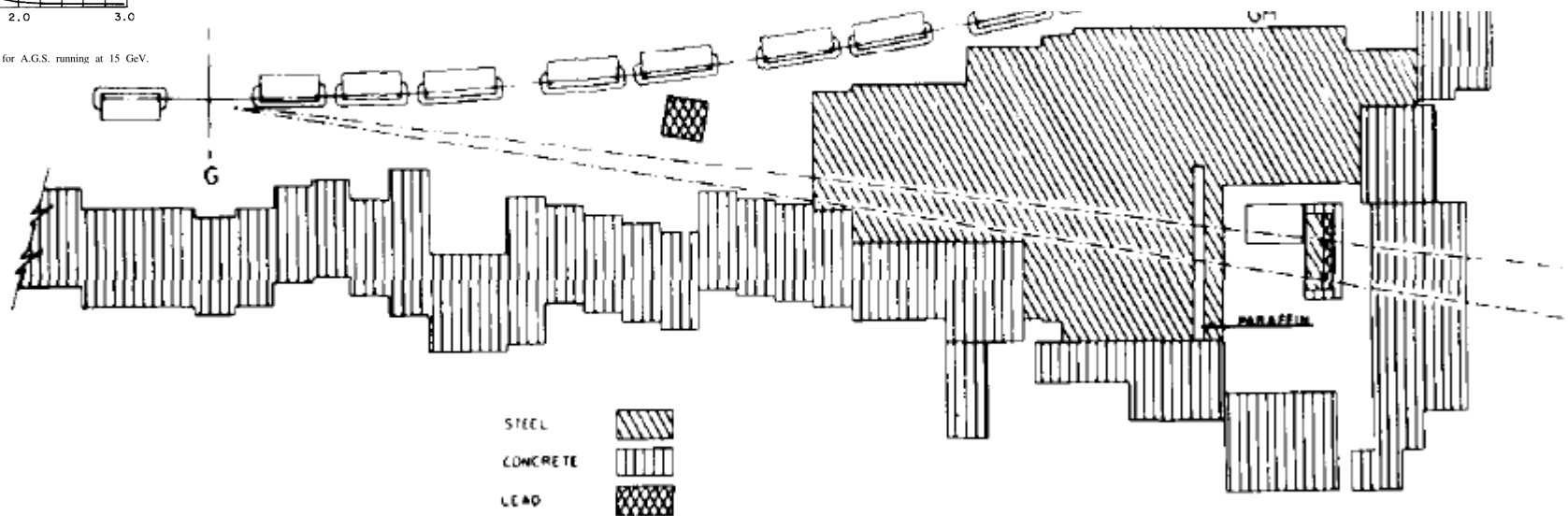
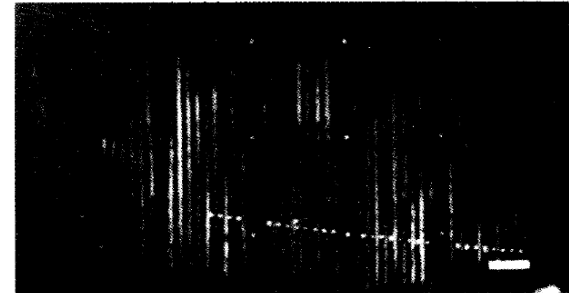


Figure 1. Plan view of the A.G.S. neutrino experiment.

In 1962 L. Lederman, Steinberger and M. Schwartz (Nobel 1988) produced a neutrino beam from pion and kaon decays.

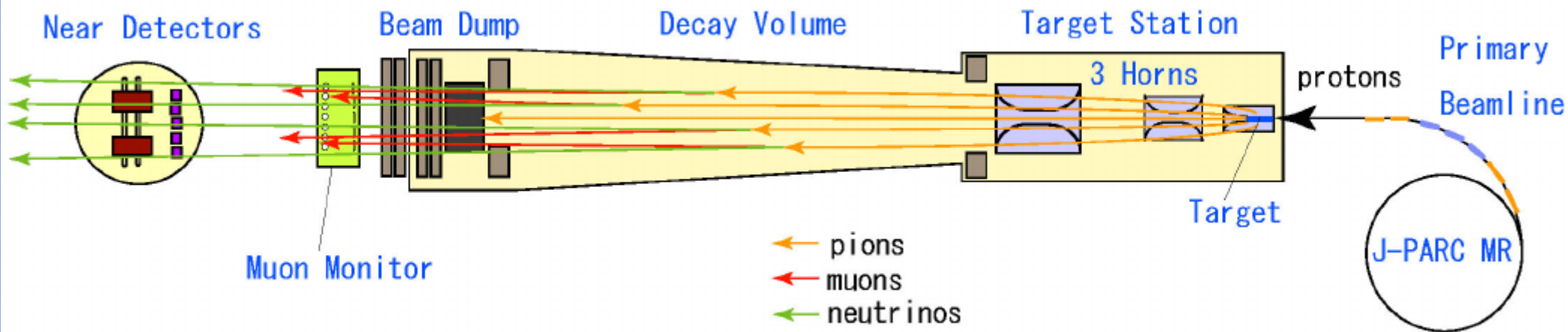
This tested and demonstrated the „two neutrino hypothesis“ (neutrino in pion decays are muon neutrinos). No shower was observed.

# Magnetic horn: a long history in particle physics



Simon van der Meer, CERN-61-07 1961

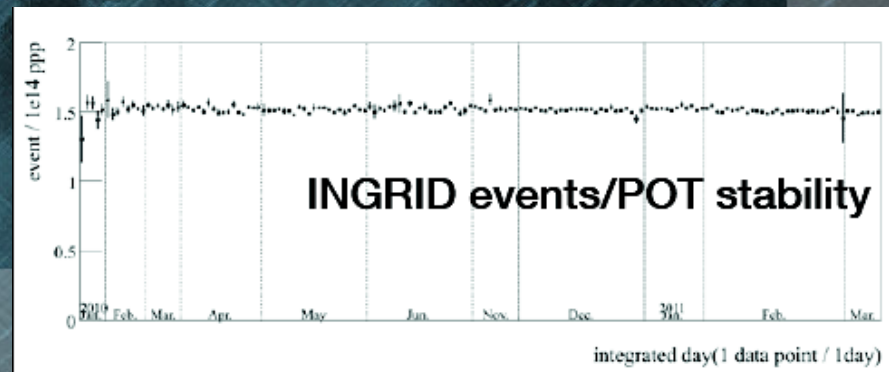
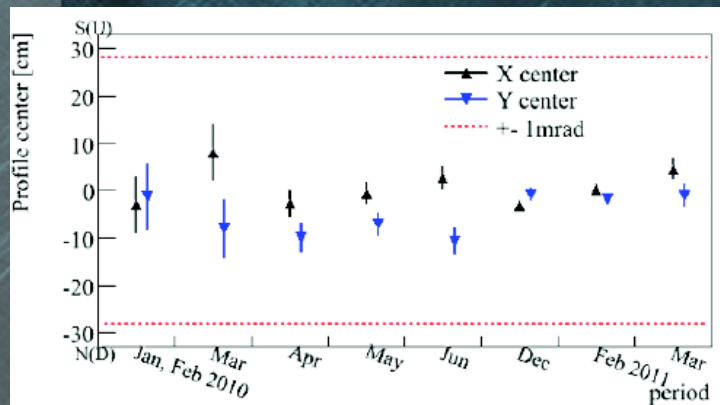
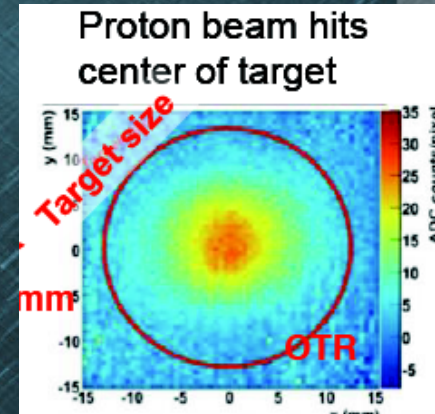
# The T2K neutrino beam



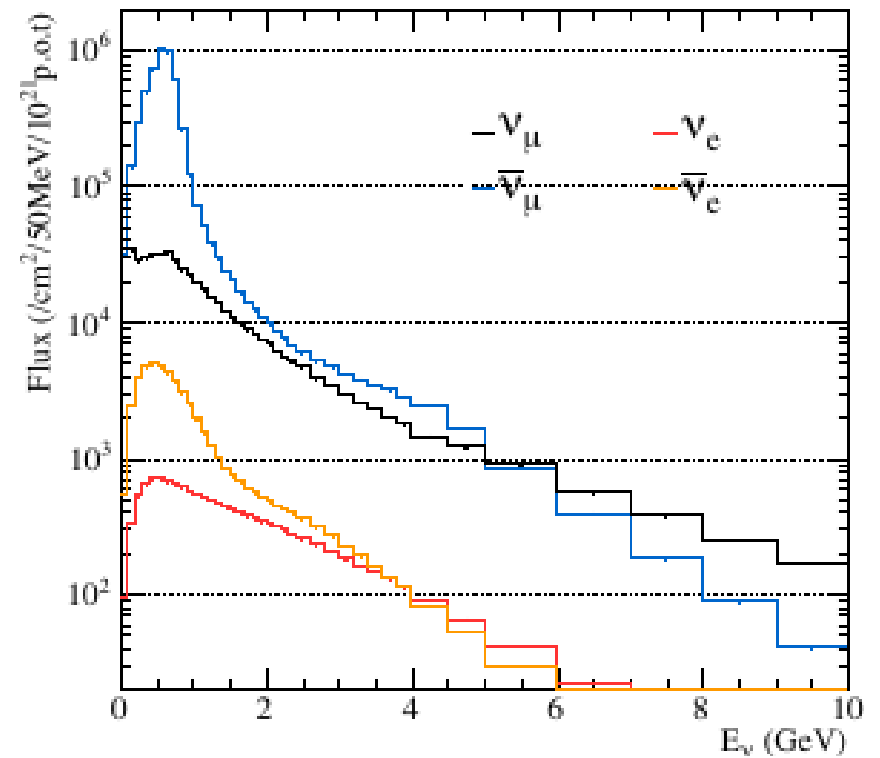
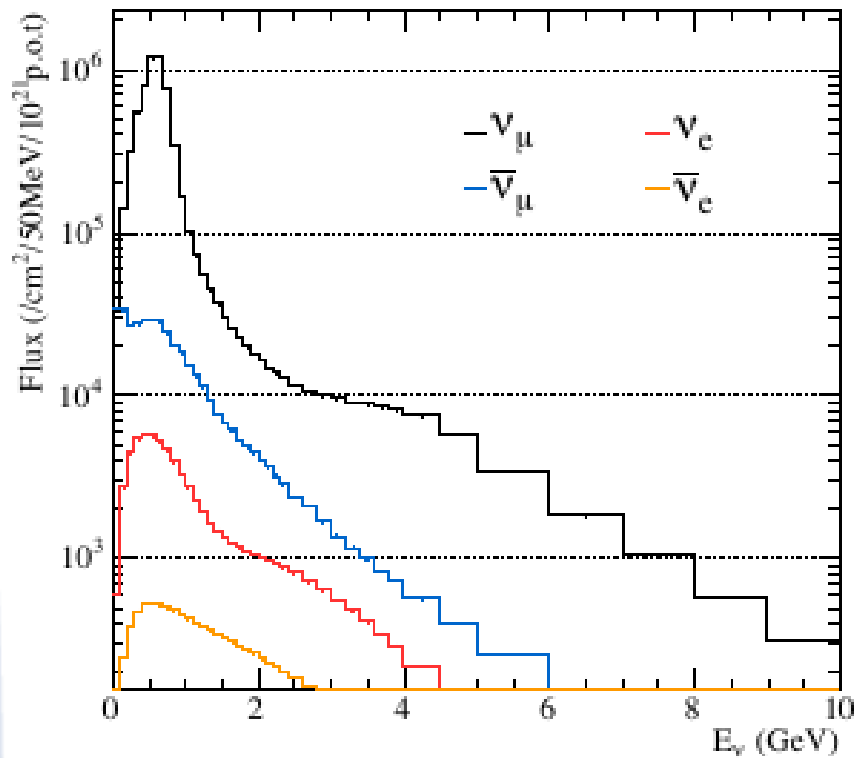


# T2K Beam monitoring

- Beam position on target within 1 mm
- Muon monitor : beam direction within 1 mrad, intensity stable ( $<1\%$ )
- Neutrino beam monitoring on axis





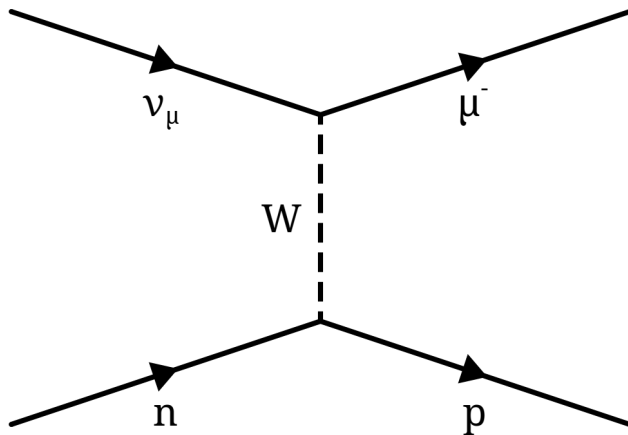


T2K beam flux for neutrino mode (left) and antineutrino mode (right)

# Controlling the neutrino flux and cross-sections with near detectors

- Two strategies:
- 1) use a near detector (almost) identical to far detectors (eg reactor experiments)
- 2) use the most sophisticated near detector possible (T2K)

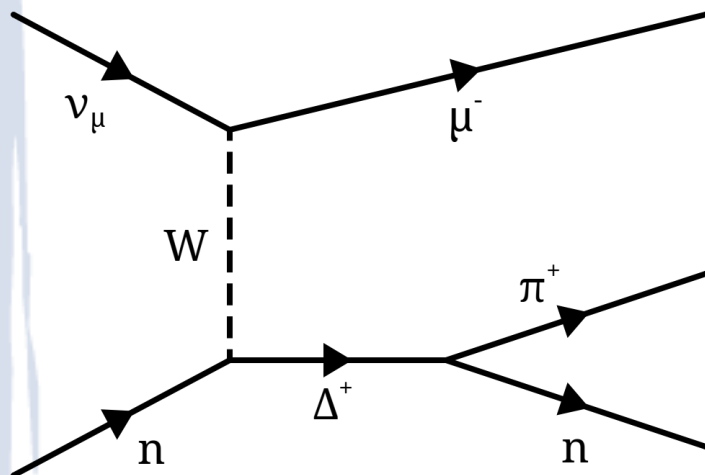
# Neutrino nucleus interactions-1



Charged current quasi-elastic (CCQE) interaction.

The neutrino energy can be reconstructed from the measurement of the lepton

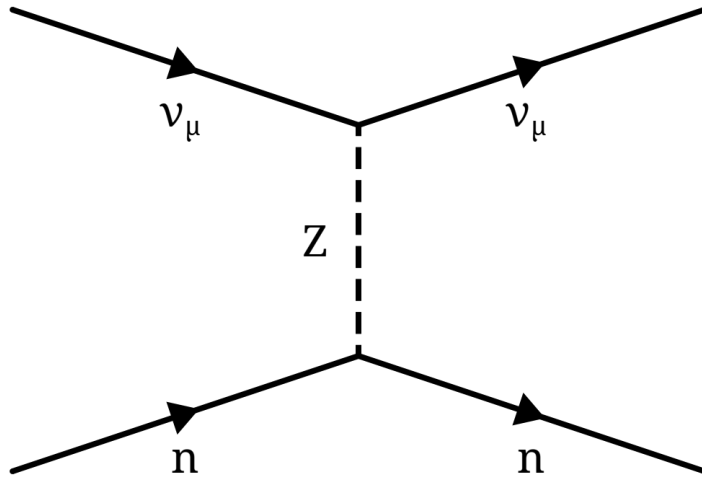
$$E_\nu \simeq \frac{m_p^2 - m_n^2 - m_\mu^2 + 2m_n E_\mu}{m_n - E_\mu + p_\mu \cos \theta}$$



Charged current resonant production. Production of an excited nucleon states like Delta, then decaying with pion production. Might look like CCQE if the pion is not detected !

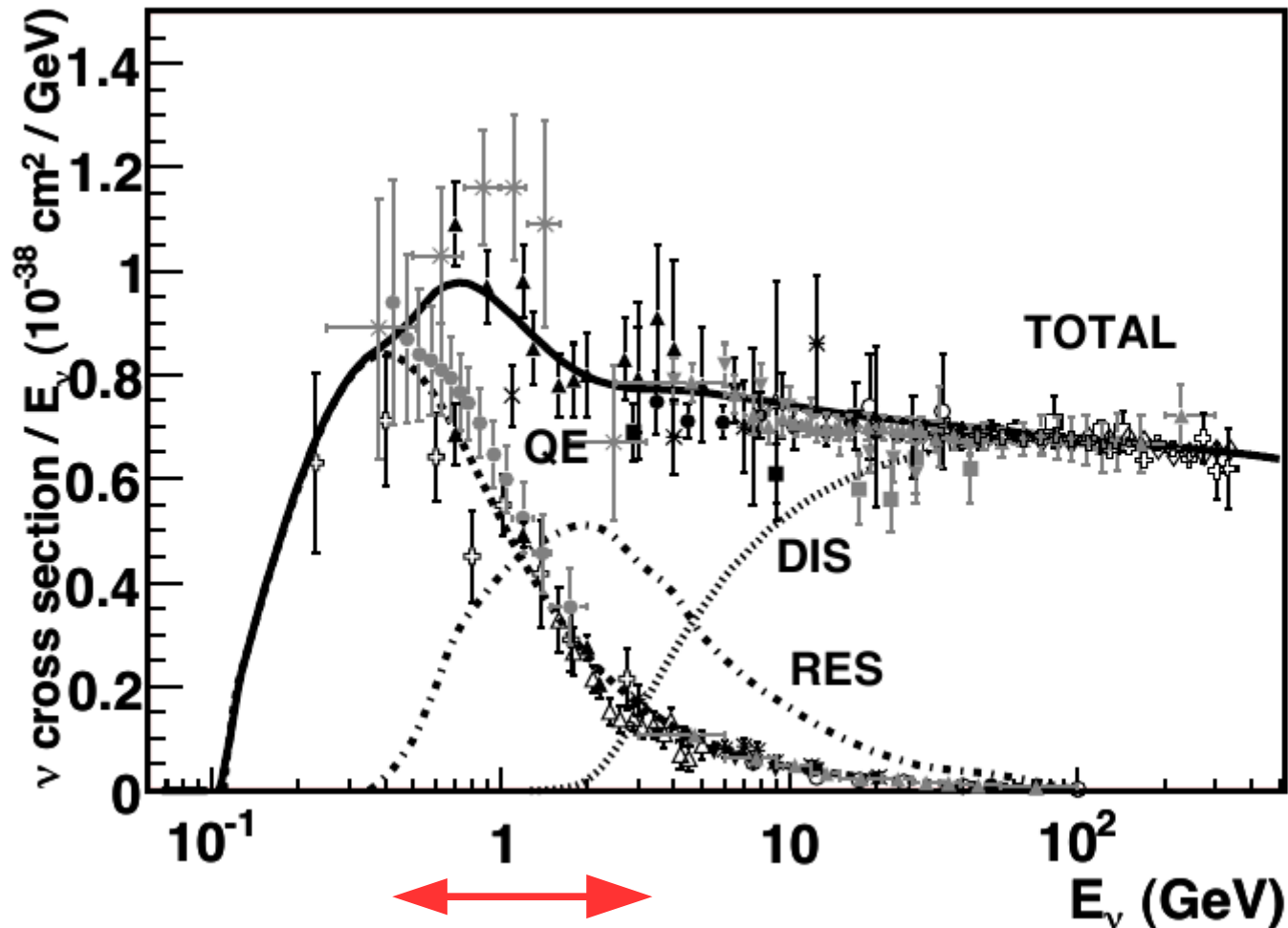
Another charge current process is the deep inelastic scattering (DIS) at higher energies. The neutrino breaks the nuclon and initiate a quark „jet“.

# Neutrino nucleus interactions-2



Neutral current interaction. Can also produce resonant states and  $\pi^0$  in the final state. Often difficult to distinguish a  $\pi^0 \rightarrow \gamma\gamma$  from one electron in the final state

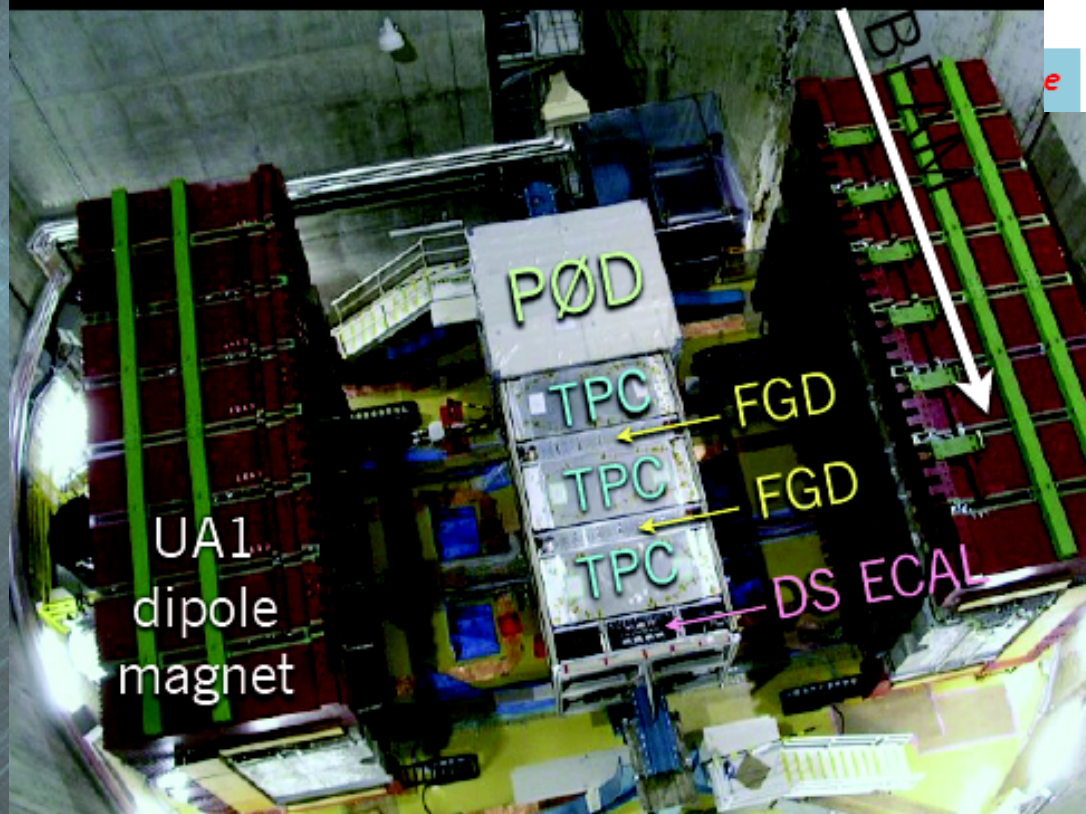
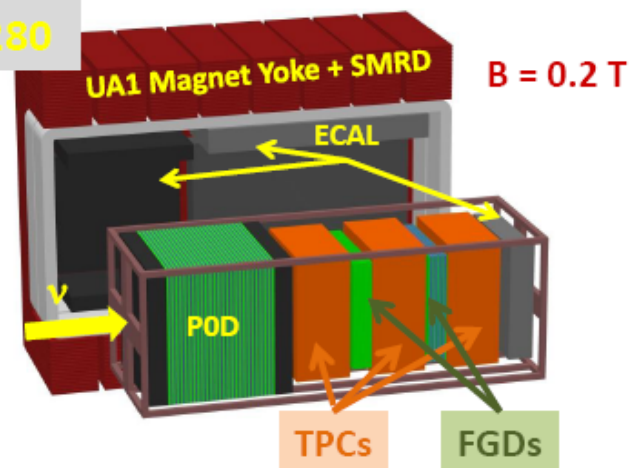
# The neutrino nucleus cross section



Typical range used for LB experiments

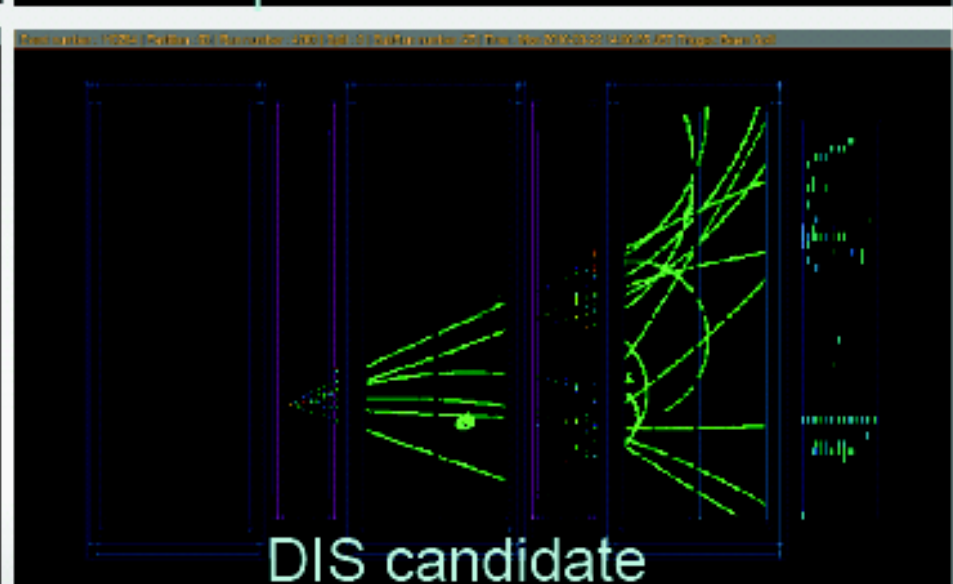
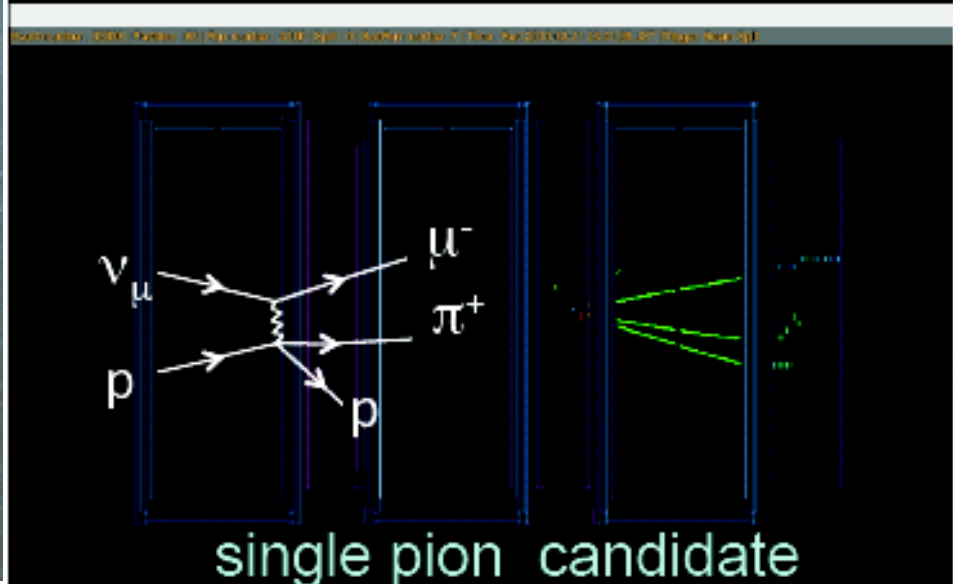
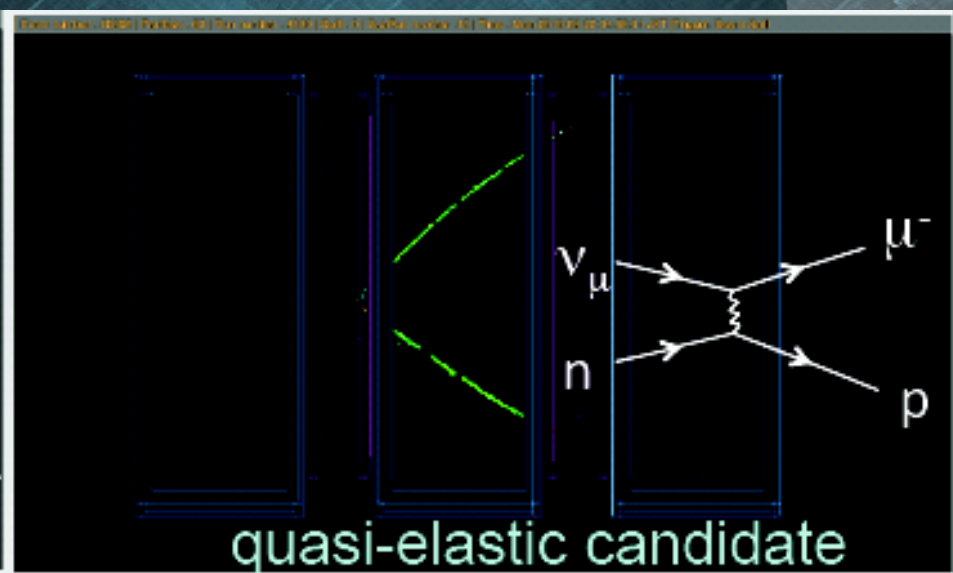
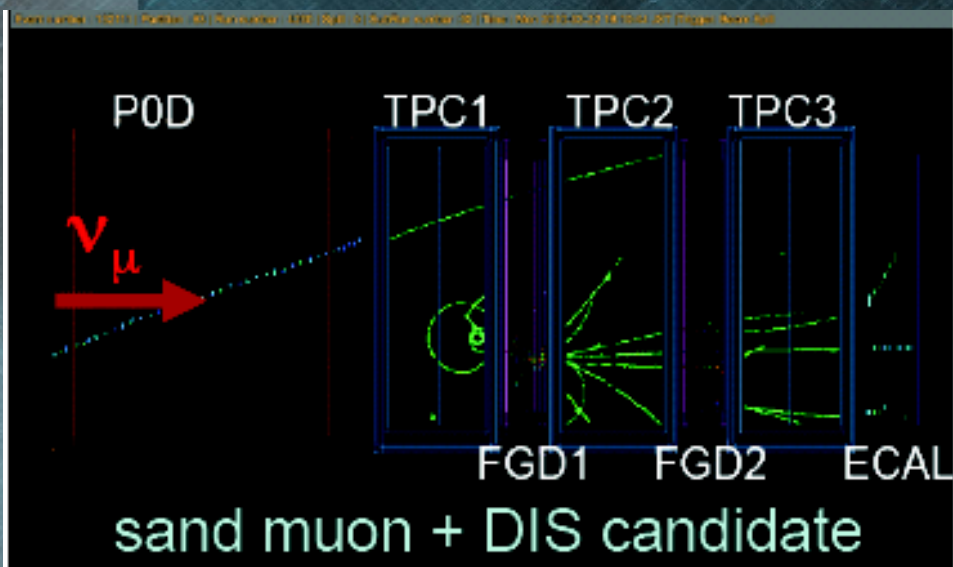
# Off-axis Near Detector

ND280

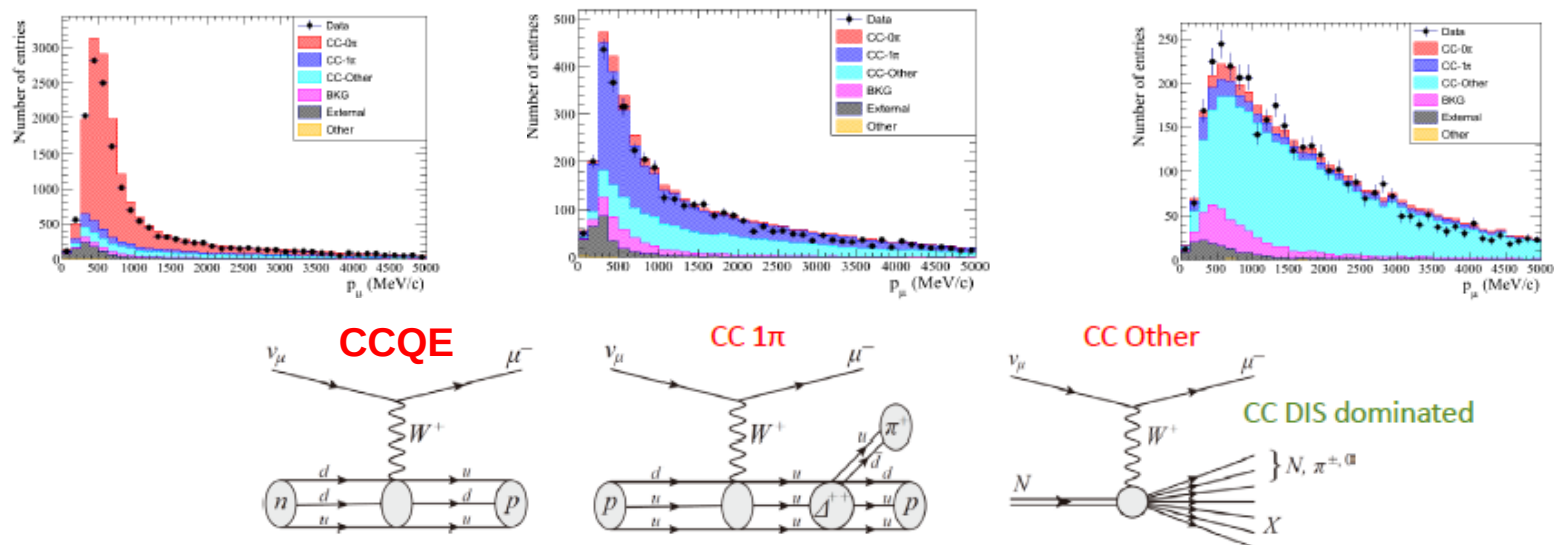




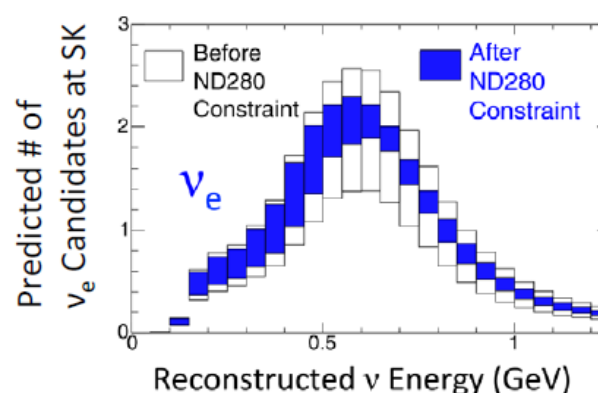
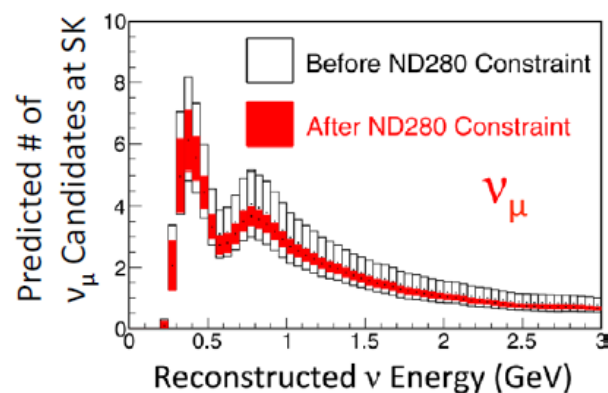
# Neutrino interactions in ND280



# T2K Near detector constraint



Flux and cross-section systematic uncertainty on  $N_{SK}$  significantly reduced to  $\sim 7\%$



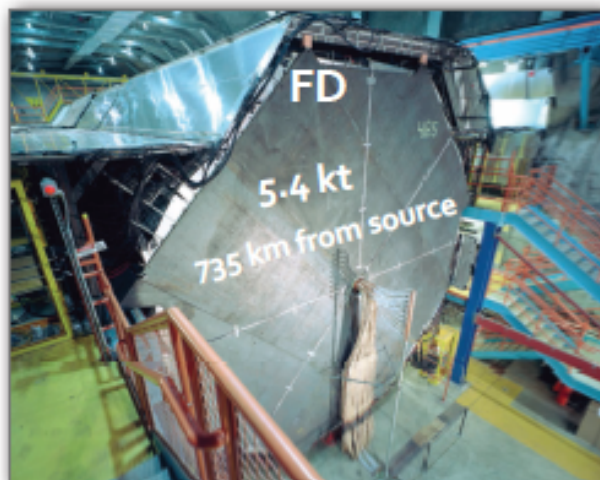


# Neutrino detectors

- Need a large instrumented mass to overcome the small cross-section
- A calorimeter fulfills the requirements, especially if it is totally active
- Main options
  - Magnetised iron, scintillator (MINOS) ~kt
  - Water Cherenkov (SuperKamiokande 50kt, Antares, IceCube....) (1 Mt)
  - Liquid Scintillator (Kamland, JUNO)
  - Liquid Argon TPC (Icarus, DUNE) ~10kt

# The MINOS+ Concept

MINOS+

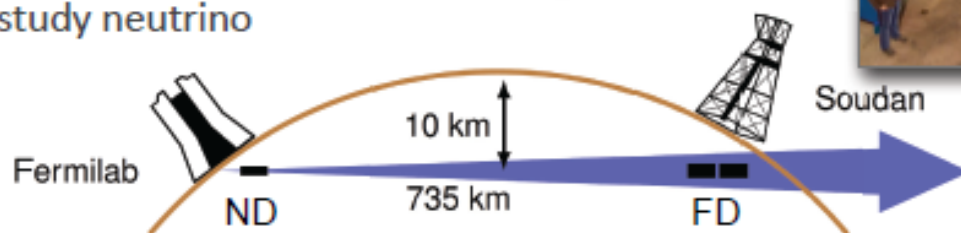


▶ Long-baseline neutrino oscillation experiment

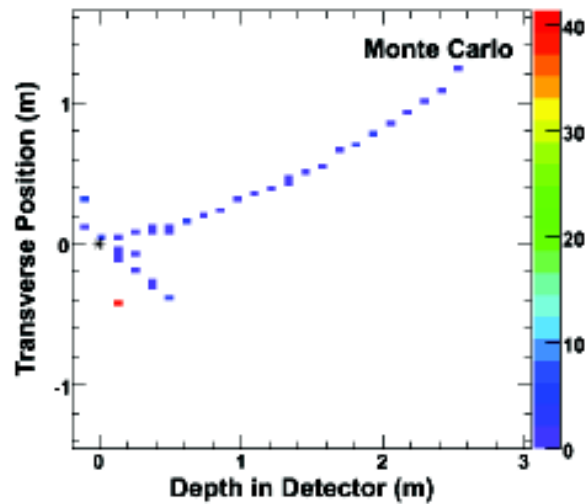
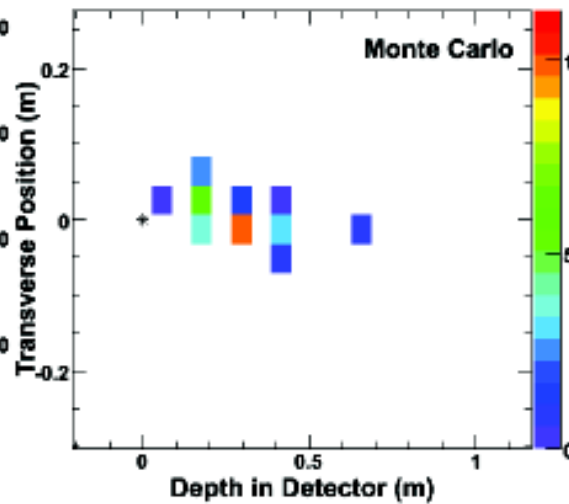
▶ Measure NuMI Neutrino beam energy and flavor composition with two detectors over 735 km

•  $L/E \sim 500 \text{ km/GeV}$

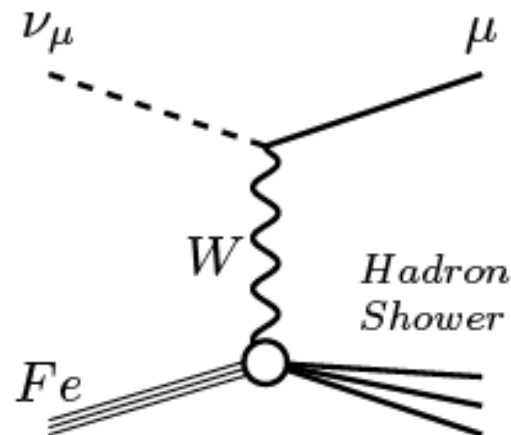
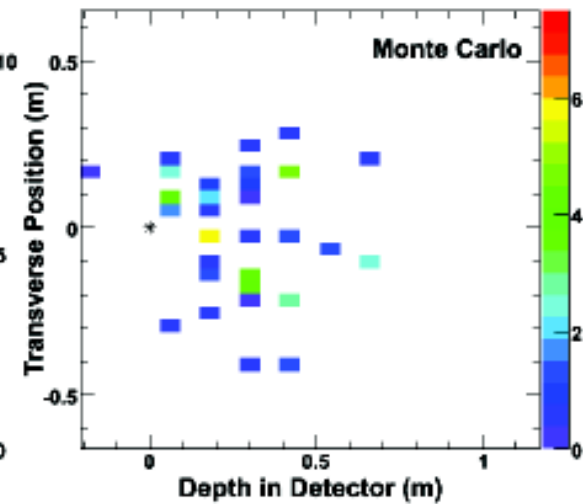
- ▶ Near Detector at Fermilab
- ▶ Far Detector at Soudan Underground Lab, MN
- ▶ Compare Near and Far measurements to study neutrino mixing



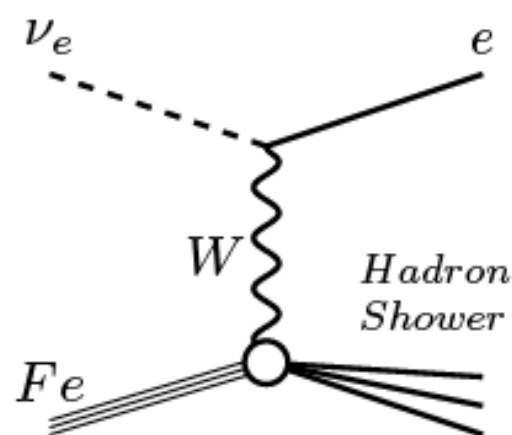
# MINOS event topology

 $\nu_\mu$ -CC event $\nu_e$ -CC event

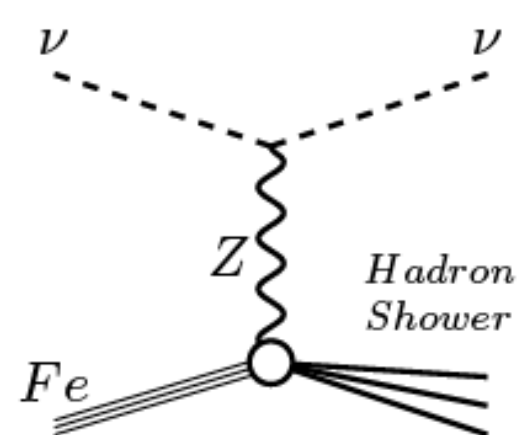
NC event



Coelho, J. A. B. (Tufts)



MINOS



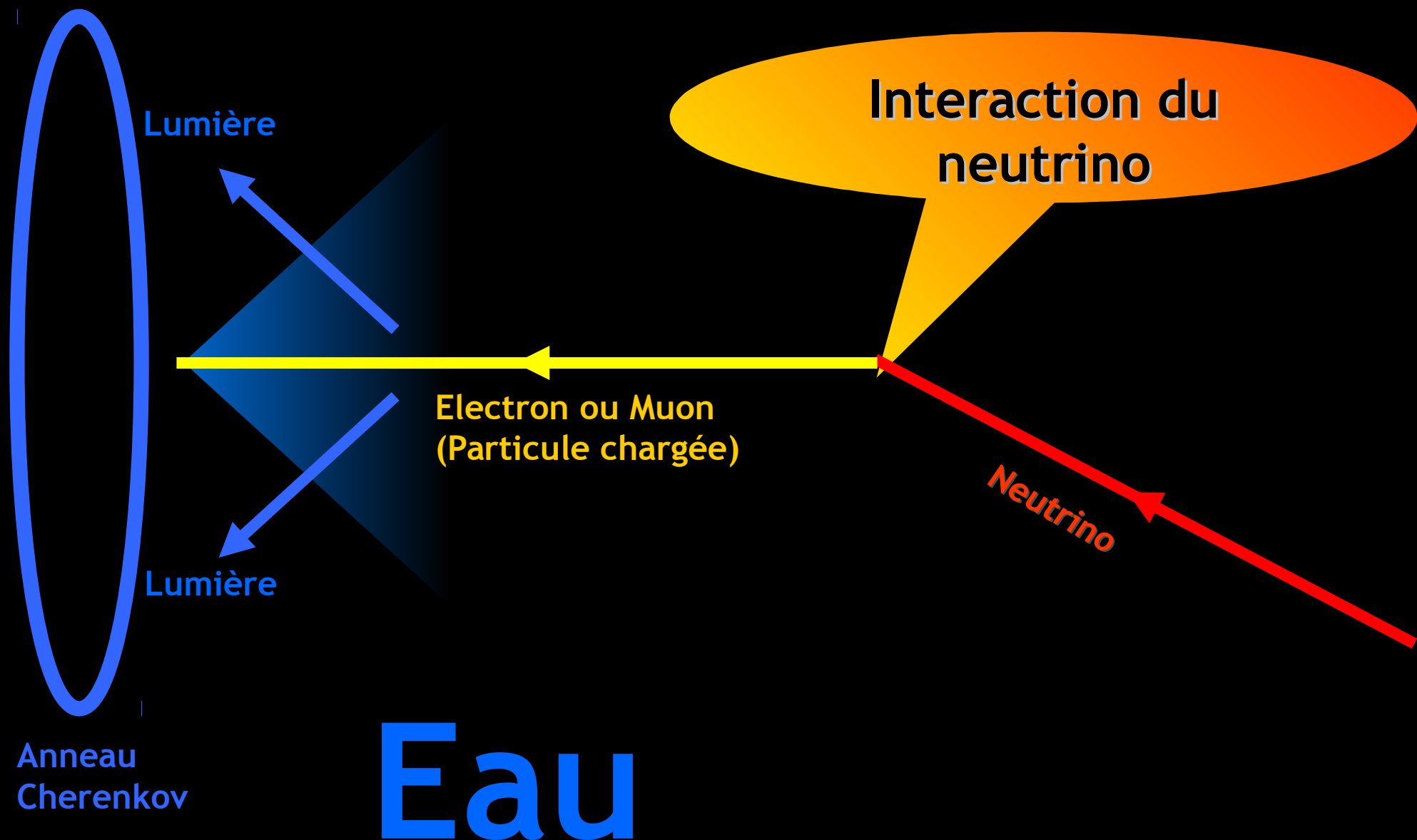
Fermilab Seminar

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# Large Water Cherenkov detectors

- These detectors are in operation since the 1980 (IMB, Kamiokande) and were actually built originally to study the proton lifetime
- The light signal is due to Cherenkov light: only from charged particle above the Cherenkov threshold in water ( $n=1.33$ ) (eg only protons above  $p \sim 1.07 \text{ GeV}/c$ )
- PID: possibility to distinguish  $\bar{\nu}_e$  from  $\nu_\mu$
- For LB physics it works best below GeV: CCQE  $\nu$  interaction. The neutrino energy can be reconstructed from the lepton momentum and angle
- Amazing variety of physics: from solar neutrinos (threshold 3.5 MeV) to SN neutrinos all the way up to multi-GeV atmospheric neutrinos !

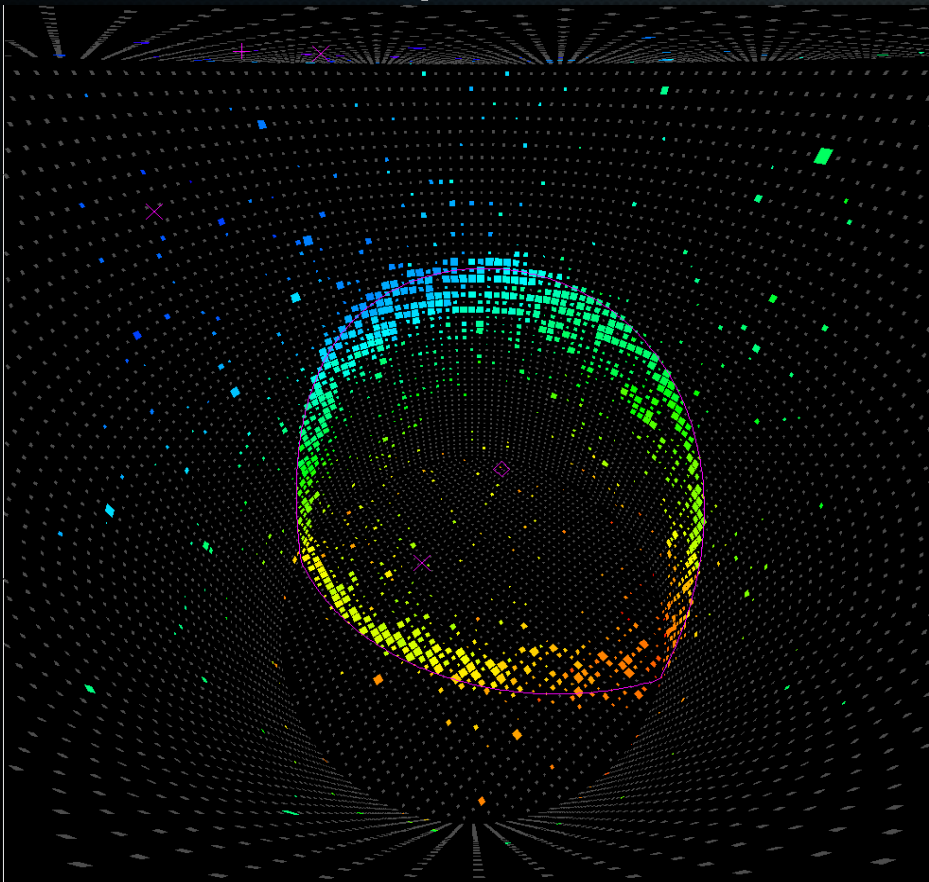
# L'effet Cherenkov



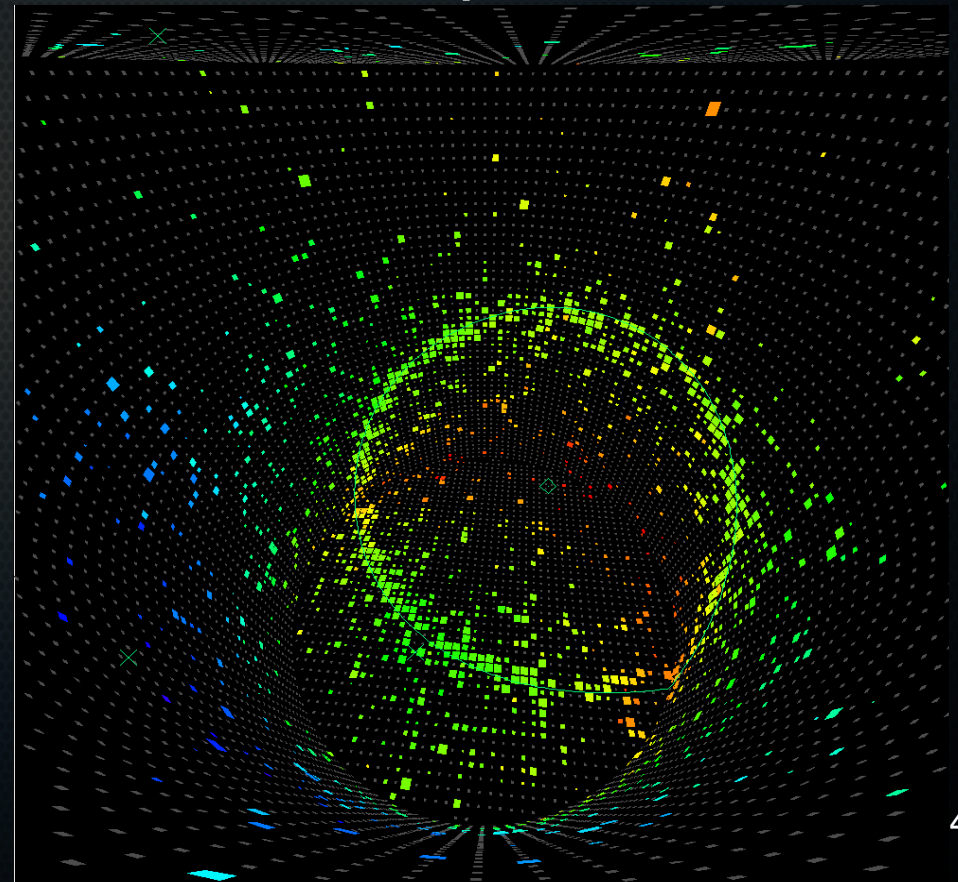


# Interactions des neutrinos vues par Super-Kamiokande

- Neutrino de type muonique

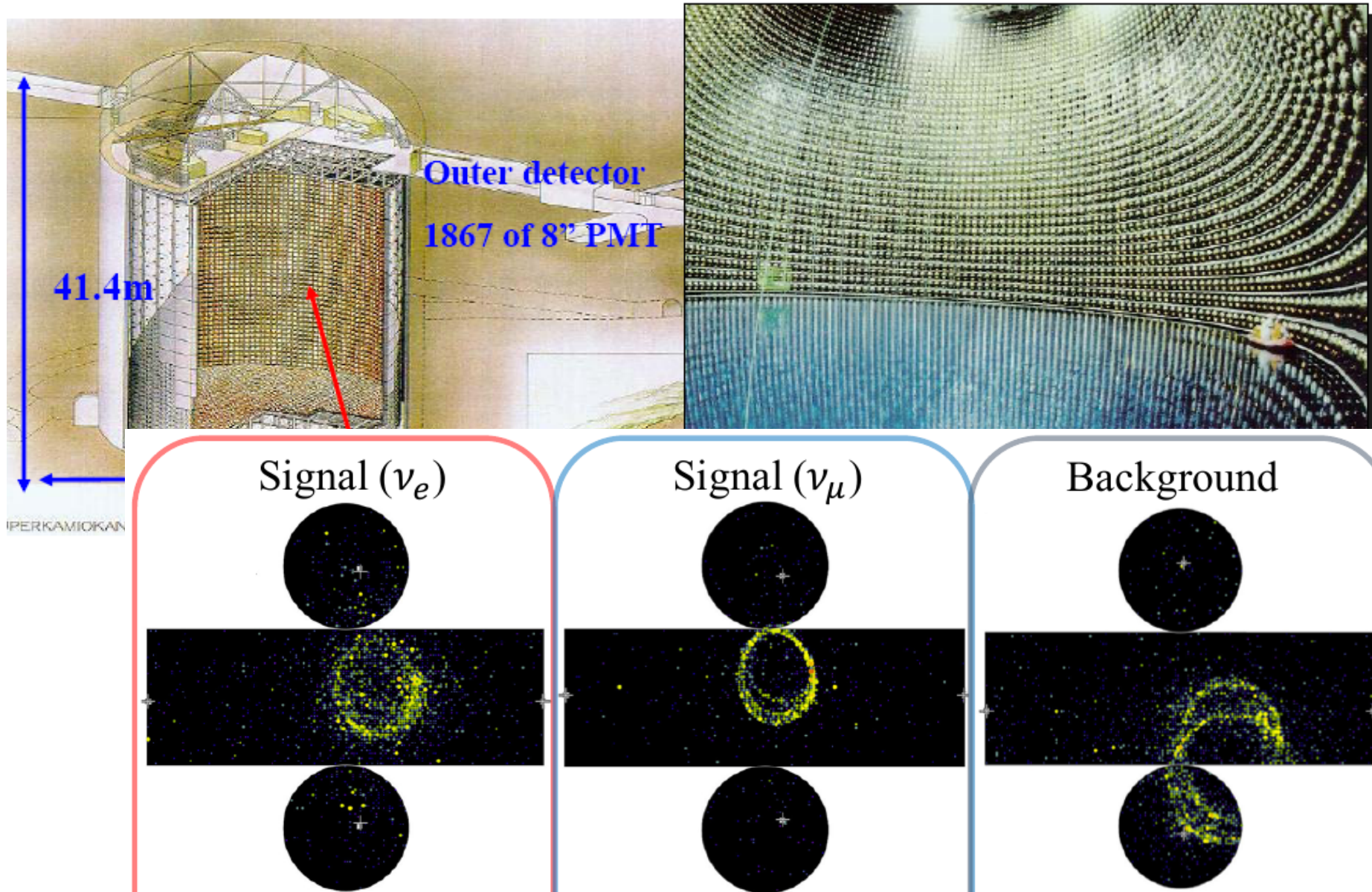


- Neutrino de type électronique



# Super Kamiokande

50 kton



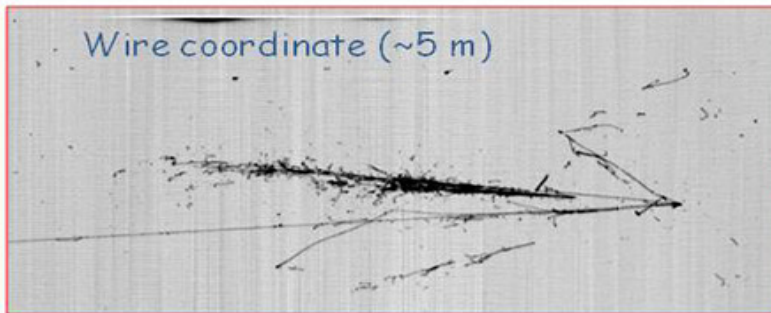


# Liquid Argon TPC

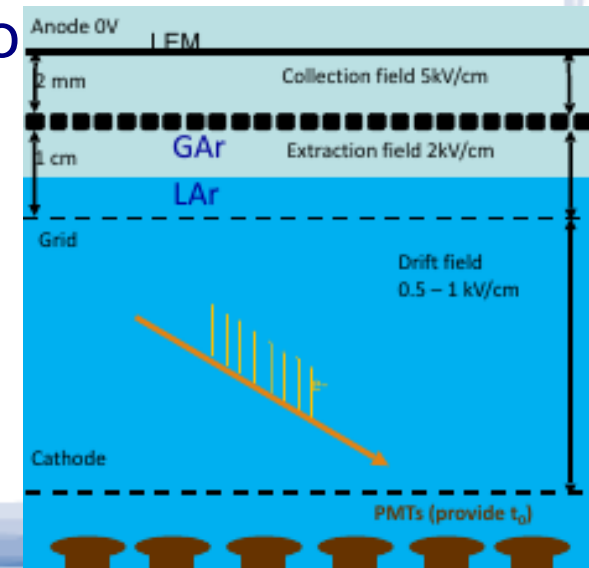
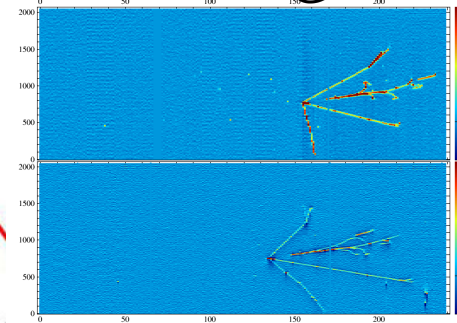
- A Liquid Argon TPC is a very sensitive detector : can detect both scintillation light and the primary ionisation
- It is capable of PID by  $dE/dx$  and range
- It is an excellent calorimeter
- However it has never been tested at the multi-kt size. The largest is ICARUS 600t.
- An active R&D program to develop the new generation Liquid Argon Detector for the DUNE project

# Why Liquid Argon TPC ?

- Technology pioneered by the ICARUS collaboration
- Fully active high granularity detector (voxel  $\sim 3 \times 3 \times 0.4 \text{ mm}^3$ ): a modern bubble chamber
- PID (from range and  $dE/dx$ ) and high resolution calorimetry
- Sensitive to  $\nu_\mu$ ,  $\nu_e$  and  $\nu_\tau$
- Currently used by the MicroBoone short baseline exp.
- A full program with several prototypes and demonstrators will lead to a large optimized underground detector



ARGONEUT@FNAL



Collection right view

ICARUS CNGS neutrino interaction

Marco Zito

**Next part of the presentation:  
experimental results with LB**