

Universidade do Minho





Search for new interactions in the top quark sector

Ana Peixoto



undo Europe

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GRENOBLE | MODANE

PROGRAMMES

PORTUGAL

para a Ciência

COMPETE

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Introduction New interactions with the top quark

Universidade do Minho (Braga, Portugal) - 2011-2021

- * 5
- Bachelor in Physics Final project on condensed matter physics
- Master in Experimental Physics also with Laboratory of Instrumentation and Experimental Particle Physics
 - Thesis on Flavour Changing Neutral Currents with data from the ATLAS Experiment
- PhD on the search for new interactions in the top quark sector <u>Overview in this talk!</u>
 - Stay at CERN in 2019 due to the ATLAS PhD Grant award



Outline New interactions with the top quark

- Motivation: <u>Slide 4</u>
- Interference studies with Flavour-Changing Neutral Currents (FCNCs): <u>Slide 10</u>
- Search for FCNC *tZq* processes with the ATLAS detector: <u>Slide 11</u>
 - Technical work with ATLAS Forward Proton: <u>Slide 14</u>
- Sensitivity study with FCNCs mediated by a new scalar particle at the electroweak scale: <u>Slide 27</u>
- Conclusions and current work: <u>Slide 33</u>

Useful links for more detailed information:

- PhD thesis: CERN-THESIS-2021-149
- ATLAS publication: <u>ATLAS-CONF-2021-049</u>

Standard Model New interactions with the top quark

- Standard Model (SM) of Particle Physics explains the fundamental interactions except gravity
- Why studying the top quark?
- Heaviest fundamental particle: ~ 173 GeV
- Only quark decaying before **hadronisation**:~ 5x10⁻²⁵s
- Strongly interacting with the electroweak sector and the Higgs: y_t ~ 1
- Inspiring to look beyond the Standard Model:
 Hierarchy problem: corrections to the Higgs mass
 First place to look for new particles coupling to mass



Beyond the Standard Model New interactions with the top quark

- $\begin{array}{c}
 \gamma, Z \\
 \hline V_{tb} t V_{ts}^{*} \\
 \end{array} \\
 \begin{array}{c}
 l^{+} \\
 l^{-} \\
 l^{-} \\
 V_{tb} \\
 v_{ts} \\
 \end{array} \\
 \begin{array}{c}
 l^{+} \\
 l^{-} \\
 v_{ts} \\
 s \\
 \end{array}$
- Flavour changing neutral currents (FCNC) processes, where a fermion changes its flavour without alternating its charge, is a great example of a rare interaction in the SM framework (with a branching ratio of ~ 10⁻¹⁴)

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Beyond the Standard Model New interactions with the top quark



- Flavour changing neutral currents (FCNC) processes, where a fermion changes its flavour without alternating its charge, is a great example of a rare interaction in the SM framework (with a branching ratio of ~ 10⁻¹⁴)
- Many New Physics models lead to FCNC contributions, often at tree level, by introducing new particles or interactions (with expected branching ratios between 10⁻⁵ and 10⁻¹⁰):

Process	SM	2HDM (FV/FC)	MSSM	RPV	RS
$t \to Zu$ $t \to Zc$	7×10^{-17} 1×10^{-14}	$^{-/-} \le 10^{-6} / \le 10^{-10}$	$\leq 10^{-7} \\ \leq 10^{-7}$	$ \leq 10^{-6} \\ \leq 10^{-6} $	$\frac{-}{\le 10^{-5}}$

 Searches for FCNC processes can be performed in a model independent way using an Effective Field Theory (EFT) approach, being the SM lagrangian extended by operators in higher-dimensions:

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda_{NP}^2} \sum_i C_i^{(6)} O_i^{(6)} + \dots$$

Flavour Changing Neutral Currents New interactions with top

- Top quark decay via FCNC processes present a powerful probe of new physics and it can occur in two modes:
 - In **production**: t+X production with X = H, Z, g, γ
 - In **decay**: *tt* production (with $t \rightarrow qX$) with q = u, c



Flavour Changing Neutral Currents New interactions with top

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Interference studies New interactions with top

- Evaluation of the interference effects between production and decay modes for FCNC *tZq* and *tyq* anomalous couplings performed in collaboration with the University of Dortmund (Germany)
- **Transverse momentum of Z and y bosons** were the most sensible variables
- Interference effects found to be smaller than variations of the renormalisation and factorisation scales
- <u>Study</u> published in The European Physical Journal Plus



Analysis strategy Data analysis



- Data analysis searching for FCNC processes with couplings between the Z boson, the top quark and a light-quark (up- or charm-quark):
 - Combining **production and decay** modes
 - Split into two dedicated analyses with only *tZu* or *tZc* anomalous coupling
 - Trileptonic topology: three leptons + b-tagged jet + Missing Transverse Energy (MET)
 ⇒ Leptonic decays of the Z boson and top quark provides a clear signature in the detector with a low jet multiplicity
 - **Main backgrounds:** *ttZ*, diboson (mainly *WZ* and *ZZ*) and SM *tZ* production
 - Collaboration with other ATLAS institutes: Roma Tor Vergata (Italy), Milano (Italy), Tbilisi (Georgia) and Berlin (Germany)



Technical work with AFP Qualification task





- Qualification task performed in the ATLAS Forward Proton (AFP) sub-detector
- Evaluation of the hardware trigger efficiencies of the silicon tracker



Technical work with AFP Qualification task

- Using data recorded in 2016, when only one arm was installed, studies on the interplane and global alignment of the four pixel layers were done, followed by the definition of acceptance of the interesting hits and tracks
- Depending on the selection implemented (with requirements on the number of tracks and hits in each layer), efficiencies on the hardware trigger are between 86% and 99%



Track map of AFP C-side - With x slope cuts



Track map of AFP C-side - With *x* and *y* slope cuts

Data and Monte Carlo samples Data analysis

 Search for FCNC tZq couplings analysed the full Run-2 dataset collected between 2015 and 2018 by the ATLAS detector (139 fb⁻¹±1.7%)



Data and Monte Carlo samples Data analysis



• Signal and background processes are modelled by Monte Carlo simulation samples

Signal:

- Top quark FCNC interactions considered at NLO in QCD using the TopFCNC UFO model with MadGraph
- Most relevant EFT operators contributing for FCNC *tZq* processes evaluated with dimension-six operator coefficients C_{uB} and C_{uW}
- Four signal scenarios: left-handed and right-handed couplings for *tZu* and *tZc* processes

Background:

- With three prompt leptons: Diboson, *ttZ*, *ttW*, *tWZ* and SM *tZ*, among other minor samples
- With non-prompt/fake leptons: *tt*, *Wt* and *Z*+jets production

Reconstruction of top quarks Data analysis

- Two top quark candidates (SM: t → bW, FCNC: t → qZ → qll) reconstructed under the FCNC tt decay signal hypothesis
- Decay objects to reconstruct: *b*-quark, *W* boson, *q*-quark and *Z* boson
 - *Z* boson reconstructed using the opposite-sign and same-flavour (OSSF) lepton pair with the closest invariant mass to 91.19 GeV
 - Lepton not used in *Z* boson reconstruction assumed to come from the *W* boson decay
 - MET assumed to be the transverse momentum of the neutrino
 - Minimisation of the *x*² expression choose the jet to assign to the *q*-quark and it also determines the most probable value for the longitudinal momentum of the neutrino from the *W* boson decay:

$$\chi^2_{t\overline{t}} = \frac{\left(m^{\rm reco}_{j_a\ell\ell} - m_{t_{\rm FCNC}}\right)^2}{\sigma^2_{t_{\rm FCNC}}} + \frac{\left(m^{\rm reco}_{j_b\ell_W\nu} - m_{t_{\rm SM}}\right)^2}{\sigma^2_{t_{\rm SM}}} + \frac{\left(m^{\rm reco}_{\ell_W\nu} - m_W\right)^2}{\sigma^2_W},$$

Analysis strategy Data analysis

- Two orthogonal signal regions focusing on: FCNC tt decay (SR1): >= 2 jets
 FCNC tZ production (SR2): = 1, 2 jets
- Suppression of Z+jets contribution by cutting on the transverse mass of the W boson (m_T(ℓ_W, υ)):

 $m_{\mathsf{T}}(\ell_W, \nu) = \sqrt{2E_{\mathsf{T}}^{miss} p_{\mathsf{T}}(\ell) \left(1 - \cos\left(\Delta\varphi(E_{\mathsf{T}}^{miss}, p_{\mathsf{T}}(\ell))\right)\right)}$

Kinematic variables as **pT(Z)** or **m_T(ℓ_w,υ)** more important for FCNC *tZ* signal, while angular variables as **ΔR(ℓ, Z)** are better discriminants for the FCNC *tt* decay signal

Exactly 3 leptons with $|\eta| < 2.5$ and $p_T(\ell_1) > 27$ GeV, $p_T(\ell_2) > 15$ GeV, $p_T(\ell_3) > 15$ GeV ≥ 1 OSSF pair, with $|m_{\ell\ell} - 91.19$ GeV| < 15 GeV

Decay channel - Full SR1	Production channel - Full SR2			
\geq 2 jets with $ \eta $ < 2.5	$ $ = 1 jet with $ \eta $ < 2.5	= 2 jets with $ \eta < 2.5$		
= 1 <i>b</i> -jet	= 1 <i>b</i> -jet	= 1 <i>b</i> -jet		
-	$m_{\rm T}(\ell_W, \nu) > 40 {\rm GeV}$	$m_{\rm T}(\ell_W, \nu) > 40 {\rm GeV}$		
$ m_t^{\text{FCNC}} - 172.5 \text{GeV} < 2\sigma^{FCNC}$	-	$ m_t^{\text{FCNC}} - 172.5 \text{ GeV} > 2\sigma^{FCNC}$		
-	-	$ m_t^{\rm SM} - 172.5{\rm GeV} < 2\sigma^{SM}$		

Process	Decay signal region (SR1)	Production signal region (SR2)
$t\bar{t}Z$	169 ±22	25 ±5
tWZ	35 ±13	10 ±4
$t\bar{t}W$	6.7 ±3.4	3.6 ±1.8
$t\bar{t}H$	7.7 ±1.2	0.95 ±0.18
VV+LF	29 ±13	33 ±12
VV+HF	150 ±70	160 ±70
tZq	50 ±8	113 ±19
tĪ	21.2 ±3.1	33 ±11
Wt	0.50 ±0.27	0.4 ± 1.2
Z+jets	11 ±11	9 ±9
VH	1.2 ±0.9	2.4 ±2.9
$t\bar{t}WW$	0.46 ±0.25	0.03 ±0.05
VVV	0.8 ±0.4	0.58 ±0.30
tītī	0.22 ± 0.11	0.0021 ± 0.0022
tīt	0.030 ± 0.016	0.0019 ± 0.0015
$tar{t}Z$ (2 ℓ)	0.05 ±0.06	0.021 ± 0.026
VV (2ℓ)	0.5 ±0.5	0.13 ±0.19
FCNC $(u)tZ$	13.2 ±2.1	52.5 ±2.8
FCNC $t\bar{t}(uZ)$	63 ±5	10.6 ±1.5
FCNC $(c)tZ$	3.6 ±0.6	12.2 ±0.9
FCNC $t\bar{t}$ (cZ)	76 ±6	18.5 ±1.9
Total background	480 ±80	390 ±70

Data and Monte Carlo comparison Data analysis

 Modelling of the dominant background processes tested through four dedicated control regions (CRs):

Exactly 3 leptons with $ \eta < 2.5$ and $p_T(\ell_1) > 27$ GeV, $p_T(\ell_2) > 15$ GeV, $p_T(\ell_3) > 15$ GeV							
$t\overline{t}$ CR	$ $ $t\bar{t}Z$ CR	Side-band CR1	Side-band CR2				
\geq 1 OS pair, no OSSF	≥ 1 OSSF pair	\geq 1 OSSF pair	≥ 1 OSSF pair				
	$ m_{\ell\ell} - 91.2\mathrm{GeV} < 15\mathrm{GeV}$	$ m_{\ell\ell} - 91.2 \mathrm{GeV} < 15 \mathrm{GeV}$	$ m_{\ell\ell} - 91.2 \mathrm{GeV} < 15 \mathrm{GeV}$				
-	-	-	$m_{\mathrm{T}}(\ell_W, \nu) > 40 \mathrm{GeV}$				
≥ 1 jet with $ \eta < 2.5$	\geq 4 jets with $ \eta $ < 2.5	\geq 2 jets with $ \eta $ < 2.5	= 1 jet with $ \eta < 2.5$				
= 1 <i>b</i> -jet	= 2 <i>b</i> -jets	= 1 <i>b</i> -jet	= 1 <i>b</i> -jet				
-		$ m_t^{\text{FCNC}} - 172.5 \text{GeV} > 2\sigma^{\text{FCNC}}$	_				
_	_	$ m_t^{\rm SM} - 172.5{\rm GeV} > 2\sigma^{SM}$	$ m_t^{\rm SM} - 172.5 {\rm GeV} > 2\sigma^{SM}$				

 While ttZ and side-band CRs target the main backgrounds of both signal regions, the tt CR allows an estimate of the non-prompt/fake leptons contribution using a semi-data driven method



Signal to background discrimination



Three multivariate discriminants defined using **Gradient Boosted Decision Trees** (GBDT): FCNC *tZu* and *tZc* in decay, FCNC *tZu* in production and FCNC *tZc* in decay and production

GBDT discriminant	Training Region	ing Region Training signal	
<i>D</i> ₁	Full SR1	FCNC tZu and tZc in $t\overline{t}$ decays	tZu, tZc
D_2^U	Full SR2	FCNC tZu in tZ production	tZu
$D_2^{\tilde{C}}$	Full SR2	FCNC tZc in $t\bar{t}$ decays and tZ production	tZc

- All the signal and background events selected by the signal regions divided into five equal parts and used for the training (80%) or for the testing (20%)
- Choice of the input variables taking into account the separation power, the correlation with other variables and the performance loss

GBDT performance Data analysis

- Detailed study of the variables separation power and its importance to the final discriminants provided a great performance for the three cases: 80% for D₁, 85% for D₂^U and 69% for D₂^C
- Optimisation of the GBDT hyper-parameters varying the number of threes and shrinkage, among others, with a total of 144 combinations
 ⇒ Reference parameters produce a stable and optimal GBDT performance



Training and test comparison for signal and background for the discriminant with *tZu* coupling on production

GBDT performance Data analysis

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Option	Value for D_1	Value for D_2^u	Value for D_2^c
NTrees	800	800	1000
MinNodeSize		2%	
BoostType		Grad	
Shrinkage	0.05	0.05	0.025
UseBaggedBoost		True	
BaggedSampleFraction		0.6	
nCuts		200	
MaxDepth	2	2	1
NegWeightTreatment	Ignore	eNegWeightsInTr	raining



Validation regions Data analysis

Validation Region	Defined from	Applied cut
VR1	Full SR1	D ₁ < -0.6
VR2	Full SR2	$D_2^u < -0.7 \text{ and } D_2^c < -0.4$

- Validation regions used to assess the background estimation defined by the same cuts of the signal regions but inverting cuts on GBDT score, while:
 - Minimising the effect on the expected limits (< 1%)
 - Keeping the contribution from signal as low as possible (~ 2%)







Likelihood fit Data analysis

- Profile likelihood fit performed under the signal-plus-background hypothesis using real data in all regions
- Several systematic uncertainties considered: background normalisation and modelling, objects resolution and efficiency, signal parton shower variations, among others
- Fitted nuisance parameters within their prior uncertainties
 - ⇒ Data well modelled with the Monte Carlo expectation



Fit results Data analysis

- Signal strength compatible with zero for both cases:
 tZu: µ = 0.08 ± 0.12 (stat) ± 0.08 (syst)
 tZc: µ = 0.10 ± 0.17 (stat) ± 0.14 (syst)
 ⇒ Data and SM prediction are in agreement within uncertainties and no evidence of new physics is found
- Post-fit impact on the signal strength parameter of ~ 3% for *tZu* (from SM *tZ* normalisation) and ~ 6% for *tZc* case (from *VV*+Heavy-Flavour generator with 3 jets)



	Signal coupling	tZu, left-handed	tZu, right-handed	tZc, left-handed	tZc, right-handed
Limits	$\mathcal{BR}(t \to qZ)[10^{-5}]$	$6.16 (4.88 \substack{+2.1 \\ -1.4})$	6.56 (5.05 ^{+2.1})	13.02 (10.76 ^{+4.7})	11.73 (10.06+4.3)
Data analysis	$\sigma(pp \rightarrow tZ)$ [fb]	37 (29 ⁺¹⁴)	33 (27 ⁺¹⁵)	118 (96 ⁺¹²)	119 (99 ⁺¹²)

- 95% Confidence Level (CL) upper limits on the BR(t→qZ) are extracted using the CL_s method with profile likelihood ratio as test statistics, being later converted to limits on the Wilson coefficients and on the production cross-section
- Systematic uncertainties have an **impact on the limits** of 25 % for *tZu* and 35% for *tZc*
- **Most stringent limits** for the FCNC *tZq* anomalous couplings with an improvement by a factor of 3 (2) for the *tZu* (*tZc*) couplings compared with the limits from the previous analysis

Signal coupling	Wilson coefficients	95 % CL upper limit
tZu, left-handed	$ C_{uW}^{(13)*} , C_{uB}^{(13)*} $	$0.151 (0.134^{+0.026}_{-0.019})$
tZu, right-handed	$ C_{uW}^{(31)} , C_{uB}^{(31)} $	$0.156 \ (0.136^{+0.026}_{-0.021})$
tZc, left-handed	$ C_{uW}^{(23)*} , C_{uB}^{(23)*} $	0.22 (0.20 ^{+0.04} _{-0.03})
tZc, right-handed	$ C_{uW}^{(32)} , C_{uB}^{(32)} $	$0.208 (0.194^{+0.038}_{-0.029})$

- Sensitivity study with FCNC in the top sector mediated by a new scalar particle at the electroweak scale performed in collaboration with theorists from LIP-Minho and from University of Granada (Spain)
- Such processes can easily arise in **scenarios of new physics** (in particular in composite Higgs models) and are poorly constrained by current experiments
- <u>Study</u> published in Journal of High Energy Physics





- **Three independent channels** (with **leptonic or hadronic** decays of the top quark considered for different cases):
 - 1) $pp \rightarrow tS + j$ with $S \rightarrow \mu^+ \mu^-$: a scalar *S* decaying into a pair of muons
 - 2) $pp \rightarrow tS + j$ with $S \rightarrow \tau^+ \tau^-$: a scalar *S* decaying into a pair of taus
 - 3) $pp \rightarrow tSS + j$ with $S \rightarrow \mu^+\mu^-$: two scalars S both decaying into a pair of muons
- Useful variables for the signal selection:
 - Jet multiplicity
 - Lepton multiplicity
 - Invariant masses requirement
 - Total mass of the system



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- Useful variables for the signal selection:
 - Jet multiplicity: ≥ 1 jet, = 1 *b*-jet
 - Lepton multiplicity: = 3 **e**
 - Invariant masses requirement: [m^{rec}_t-m_t] < 50 GeV and [m^{rec}_s-m_s] < 30 GeV

 - Total mass of the system:
 m(total) < 1 TeV





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- Useful variables for the signal selection:
 - Jet multiplicity: ≥ **3 jets, = 1** *b*-jet
 - Lepton multiplicity: = 1 **e**
 - Invariant masses requirement:
 [m,^{rec}-m,] < 30 GeV
 - Total transverse mass of the system:
 m_T(total) < 500 GeV



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 - 3) $pp \rightarrow tSS + j$ with $S \rightarrow \mu^+ \mu^-$: two scalars S both decaying into a pair of muons
- Useful variables for the signal selection:
 - Jet multiplicity: ≥ **3 jets, = 1** *b***-jet**
 - Lepton multiplicity: = 4 **e**
 - Invariant masses requirement: [m^{rec}_t-m_t] < 50 GeV and [m^{rec}_s-m_s] < 30 GeV

 - Total mass of the system: m(total) < 1 TeV



Scalar *S* particle - Expected limits Phenomenology





2) $pp \rightarrow tS, S \rightarrow \tau^+ \tau^-$





Excluding at 95% CL:

- $\sigma (pp \rightarrow tS, S \rightarrow \mu^+ \mu^-) > 10^{-3} \text{ pb}$
- BR $(t \rightarrow Sq) > 5 (15) \times 10^{-7}$ with q = u(c) for a m_s of 150 GeV
- $\sigma (pp \rightarrow tS, S \rightarrow \tau^+ \tau^-) > 10^{-2} \text{ pb}$
- BR $(t \rightarrow Sq) > 11 (12) \times 10^{-6}$ with q = u(c) for a m_s of 50 GeV
- $\sigma (pp \rightarrow tSS, S \rightarrow \mu^+ \mu^-) > 10^{-3} \text{ pb}$
- BR $(t \rightarrow Sq) > 5$ (25) x 10⁻¹⁰ with q = u(c) for a m_s of 80 GeV

Conclusions New interactions with the top quark

- Phenomenological studies of interference effects between production and decay modes with tZq and tyq anomalous couplings
- **Data analysis** searching for *tZq* anomalous coupling with **both production and decay modes** with full Run-2 dataset collected by the ATLAS detector
 - Most stringent limits set for the $t \rightarrow qZ$ processes with significant improvement with respect to previous analysis
- Sensitivity study on the search for a **new particle with top quark decays via FCNC** processes targeting distinct production modes and final states
- Estimate of the **hardware trigger efficiencies** with the AFP silicon tracker
- Strong engagement with the ATLAS and university communities through the CERN ATLAS team, expert on-call shifts, Early Career Scientist Board appointment, CERN guide to exhibitions and ATLAS cavern and International Masterclasses on Particle Physics



Next steps New challenges



Focusing now on jets:

• Calibration of the constituents of very small radius jets (with ΔR =0.2) by using Graph Neural Networks



Next steps New challenges



Focusing now on jets:

- Calibration of the constituents of very small radius jets (with ΔR =0.2) by using Graph Neural Networks
- Search for New Physics contributions in the dark QCD sector
 - Analysis targeting emerging jets with data recorded by the ATLAS detector during Run-3 (2022-2024)
 - Validation of new Pythia 8 Hidden Valley module within the Dark Showers Snowmass project











Objects definition Data analysis

- Electrons, muons, jets (that can be tagged as coming from a bottom-quark) are used in the analysis, as well as the missing transverse momentum
- Following a few optimisation studies, the criteria applied to these physics objects was defined as:

Objects	p_{T}	$ \eta $	ID	Isolation	Additional cuts
Electrons	> 15 GeV	< 2.47	MediumLH	PLVTight	$ d_0^{\text{BL}}$ significance $ < 5$
					$ \Delta z_0^{\mathrm{BL}} \sin \theta < 0.5 \mathrm{mm}$
Muons	> 15 GeV	< 2.5	Medium	PLVTight	$ d_0^{\text{BL}}$ significance $ < 3$
					$ \Delta z_0^{\mathrm{BL}} \sin \theta < 0.5 \mathrm{mm}$
Jets	> 25 GeV	< 2.5	PFlow	-	JVT
<i>b</i> -jets	> 25 GeV	< 2.5	DL1r @77%	-	-

FCNC *tZq* Multivariate discriminant - D₁

Variable separation

Variable	$\langle s^2 \rangle$	Definition
$m_{b\ell v}$	0.1364	SM top-quark candidate mass
p_{T}^q	0.07345	u/c-quark candidate transverse momentum
Njets	0.05747	Jet multiplicity
$m_{q\ell\ell}$	0.04173	FCNC top-quark candidate mass
$\Delta R(t_{\rm SM}, t_{\rm FCNC})$	0.04109	ΔR between SM and FCNC top-quark candidates
$\Delta R(\ell, Z)$	0.02441	ΔR between W boson lepton and Z boson candidates

Variable importance

Variable	GBDT #1	GBDT #2	GBDT #3	GBDT #4	GBDT #5
$m_{q\ell\ell}$	0.1886	0.1899	0.1929	0.1821	0.1825
$\Delta R(t_{\rm SM}, t_{\rm FCNC})$	0.179	0.1728	0.17	0.1768	0.1723
$m_{b\ell v}$	0.1755	0.1687	0.1571	0.1729	0.1646
$\Delta R(\ell, Z)$	0.1656	0.18	0.1775	0.173	0.1891
$p^q_{ au}$	0.1637	0.1626	0.1678	0.1614	0.1592
Njets	0.1277	0.126	0.1346	0.1338	0.1322





FCNC *tZq* Multivariate discriminant - D₂^u input variables

Variable separation

Variable	$\langle s^2 \rangle$	Definition
p_{T}^Z	0.3104	Z boson candidate transverse momentum
p_{T}^b	0.175	b-quark candidate transverse momentum
$\Delta R(\dot{b}, Z)$	0.08017	ΔR between <i>b</i> -quark and <i>Z</i> boson candidates
$m_{b\ell v}$	0.04636	SM top-quark candidate mass
χ^2_{tZ}	0.03171	χ^2 from the kinematic fit under the tZ production signal hypothesis
$\Delta R(\ell, Z)$	0.024	ΔR between W boson lepton and Z boson candidates

Variable importance

Variable	GBDT #1	GBDT #2	GBDT #3	GBDT #4	GBDT #5
$\Delta R(b,Z)$	0.1793	0.1761	0.1791	0.1809	0.1778
p_{T}^Z	0.1784	0.1717	0.1736	0.1785	0.181
$m_{b\ell v}$	0.1755	0.1819	0.1743	0.173	0.1776
$\Delta R(\ell, Z)$	0.1748	0.1739	0.1776	0.1762	0.166
p_{T}^b	0.1741	0.1778	0.1658	0.1715	0.1766
χ^2_{tZ}	0.1178	0.1186	0.1296	0.1199	0.121



FCNC *tZq* Multivariate discriminant - D₂^c

Variable separation

Variable	$\langle s^2 \rangle$	Definition
p_{T}^Z	0.07408	Z boson candidate transverse momentum
p_{T}^{b}	0.05261	b-quark candidate transverse momentum
$m_{b\ell\nu}$	0.02282	SM top-quark candidate mass
$\Delta R(b, Z)$	0.02143	ΔR between <i>b</i> -quark and <i>Z</i> boson candidates
χ^2_{tZ}	0.01561	χ^2 from the kinematic fit under the FCNC tZ production signal hypothesis
$\Delta R(\ell, Z)$	0.008783	ΔR between W boson lepton and Z boson candidates

Variable importance

Variable	GBDT #1	GBDT #2	GBDT #3	GBDT #4	GBDT #5
$\Delta R(b,Z)$	0.1938	0.1932	0.1962	0.188	0.1889
p_{T}^Z	0.1889	0.1869	0.19	0.1774	0.1857
$m_{b\ell v}$	0.1787	0.1805	0.1737	0.1826	0.183
$\Delta R(\ell, Z)$	0.1596	0.159	0.1496	0.1584	0.1639
p_{T}^b	0.152	0.1508	0.1557	0.1508	0.157
χ^2_{tZ}	0.127	0.1296	0.1347	0.1427	0.1216



FCNC *tZq* Multivariate discriminants

- BDT hyper-parameters optimisation is performed with 144 combinations of GBDT parameters: NTrees=[400,600,800,1000]; minNodSize=[2.0,4.0,6.0]; shrinkage=[0.025,0.05,0.1]; maxDepth=[1,2,3,4]
- Small difference between highest and lowest S/√B ⇒
 Stable BDT performance

Option	Value for D_1	Value for D_{2}^{u}	Value for D_{2}^{c}
NTrees	800	800	1000
MinNodeSize		2%	
BoostType		Grad	
Shrinkage	0.05	0.05	0.025
UseBaggedBoost		True	
BaggedSampleFraction		0.6	
nCuts		200	
MaxDepth	2	2	1
NegWeightTreatment	Ignore	eNegWeightsInTr	aining





Systematic uncertainties Data analysis

- **Object energy scale/resolution and efficiencies:** leptons, jets, b-tagged jets and missing transverse momentum
- Luminosity and pile-up reweighting
- **Parton shower variations** for signal, *ttZ*, SM *tZ* and *tt*
- Normalisation uncertainty applied for all **backgrounds**
- **Diboson systematic** uncertainties split into light- and heavy-flavour contribution
- **Diboson generator** and *tt* **non-prompt background** (photon conversion and *B*-meson decay) split into **jet multiplicities**
- Normalisation of the *tt+Wt* background as a free-floating parameter
- Symmetrisation, smoothing and pruning (1% on the normalisation and 0.5% on the shape) are applied to all systematic uncertainties

Process	Rate uncertainty
$t\bar{t}+Wt$	-
$t\bar{t}Z$	12%
$t\bar{t}W$	50 %
tWZ	30 %
tZq	15 %
VV+LF	20%
VV+HF	30 %
Z+jets	100 %
$t\bar{t}H$	15 %
Other	50 %

FCNC *tZq* Systematic uncertainties

Source	Naming of NPs		GlobalReduction_JET_BJES_Response GlobalReduction_JET_EffectiveNP_1-8restTerm	
Lepton reconstruction	EL_SF_Trig EL_SF_Reco EL_SF_ID EL_SF_Isol MU_SF_Trigger_STAT MU_SF_Trigger_SYST MU_SF_ID_STAT MU_SF_ID_SYST	Jet energy scale	GlobalReduction_JET_EtaIntercalibration_Modelling GlobalReduction_JET_EtaIntercalibration_NonClosure_2018dat GlobalReduction_JET_EtaIntercalibration_NonClosure_highE GlobalReduction_JET_EtaIntercalibration_NonClosure_negEta GlobalReduction_JET_EtaIntercalibration_NonClosure_posEta GlobalReduction_JET_EtaIntercalibration_TotalStat GlobalReduction_JET_Flavor_Composition GlobalReduction_JET_Flavor_Response GlobalReduction_JET_PunchThrough_MC16	
	MU_SF_ID_STAT_LOWPT MU_SF_ID_SYST_LOWPT MU_SF_Isol_STAT MU_SF_Isol_SYST MU_SF_TTVA_STAT MU_SF_TTVA_SYST	Jet energy resolution	GlobalReduction_JET_JER_DataVsMC_MC16 GlobalReduction_JET_JER_EffectiveNP_1-7restTerm GlobalReduction_JET_Pileup_OffsetMu GlobalReduction_JET_Pileup_OffsetNPV GlobalReduction_JET_Pileup_PtTerm GlobalReduction_JET_Pileup_RhoTopology GlobalReduction_JET_SingleParticle_HighPt	
	EG_SCALE_ALL	Jet vertex tagger	jvt	
Lepton momentum scale and resolution	EG_RESOLUTION_ALL MUON_ID MUON_MS	Missing transverse momentum	MET_SoftTrk_Scale MET_SoftTrk_ResoPerp MET_SoftTrk_ResoPara	
	MUON_SCALE MUON_SAGITTA_RHO MUON_SAGITTA_RESBIAS	<i>b</i> -tagging efficiency	bTagSF_eigenvars_B_0-8 bTagSF_eigenvars_C_0-3 bTagSF_eigenvars_Light_0-3	

Event yields Data analysis

• Data and post-fit background prediction in all control and validation regions within the uncertainty ⇒ No evidence of instability in the fit

Post-fit	SR1	SR2	Side-band CR1	Side-band CR2	$ $ $t\bar{t}Z$ CR	$t\bar{t}$ CR	Post-fit	VR1	VR2
$t\bar{t}Z + tWZ$ $t\bar{t}W$ $t\bar{t}H$ VV + LF VV + HF tZq $t\bar{t} + Wt$ Other fakes Other FCNC (u)tZ ECNC $t\bar{t}$ (u7)	$\begin{vmatrix} 137 \pm 12 \\ 4.2 \pm 2.1 \\ 4.8 \pm 0.7 \\ 18 \pm 7 \\ 114 \pm 19 \\ 46 \pm 7 \\ 14 \pm 4 \\ 7 \pm 8 \\ 2.0 \pm 1.0 \\ 0.9 \pm 1.7 \\ 5 \pm 9 \end{vmatrix}$	$\begin{array}{c c} 36 \pm 6 \\ 3.1 \pm 1.6 \\ 0.89 \pm 0.17 \\ 24 \pm 8 \\ 162 \pm 26 \\ 108 \pm 18 \\ 27 \pm 8 \\ 5 \pm 6 \\ 2.5 \pm 2.9 \\ 4 \pm 8 \\ 0.8 \pm 15 \end{array}$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	$\begin{array}{c} 8.2 \pm 1.4 \\ 2.3 \pm 1.2 \\ 0.33 \pm 0.07 \\ 12 \pm 4 \\ 64 \pm 9 \\ 6.8 \pm 1.4 \\ 7.2 \pm 2.1 \\ 2.0 \pm 2.8 \\ 0.8 \pm 0.4 \\ 0.17 \pm 0.33 \\ 0.04 \pm 0.07 \end{array}$	$ \begin{array}{c c} 230 \pm 18 \\ 3.0 \pm 1.5 \\ 7.5 \pm 1.2 \\ 0.23 \pm 0.19 \\ 17 \pm 8 \\ 21 \pm 5 \\ 4.0 \pm 1.3 \\ 0.15 \pm 0.18 \\ 1.9 \pm 0.9 \\ 0.09 \pm 0.18 \\ 0.11 \pm 0.20 \end{array} $	$ \begin{array}{c} 15.4 \pm 1.5 \\ 27 \pm 13 \\ 14.1 \pm 2.2 \\ 0.38 \pm 0.25 \\ 2.9 \pm 0.5 \\ 0.96 \pm 0.19 \\ 93 \pm 19 \\ 0.08 \pm 0.09 \\ 3.2 \pm 1.5 \\ 0.05 \pm 0.10 \\ 0.018 \pm 0.035 \end{array} $	$t\bar{t}Z + tWZ$ $t\bar{t}W$ $t\bar{t}H$ VV + LF VV + HF tZq $t\bar{t} + Wt$ Other fakes Other FCNC (u)tZ	$\begin{array}{c} 70 \pm 7 \\ 2.3 \pm 1.2 \\ 3.0 \pm 0.5 \\ 10 \pm 5 \\ 60 \pm 14 \\ 6.6 \pm 1.5 \\ 4.8 \pm 2.1 \\ 0.03 \pm 0.24 \\ 0.8 \pm 0.4 \\ 0.08 \pm 0.16 \end{array}$	$ \begin{array}{c} 2.4 \pm 0.6 \\ 0.48 \pm 0.25 \\ 0.108 \pm 0.033 \\ 9.7 \pm 3.0 \\ 47 \pm 8 \\ 14.7 \pm 2.6 \\ 3.8 \pm 1.4 \\ 0.8 \pm 1.1 \\ 0.5 \pm 0.6 \\ 0.07 \pm 0.14 \end{array} $
			0.14 ±0.27				FCNC $t\bar{t}$ (uZ)	0.14 ±0.27	0.05 ±0.10
	348 ±15	309 ±21	338 ±18	104 ±8	284 ±10	157 ±13	Total background	158 ±13	79 ±7
Data	345	380	343	104	286	157	Data	151	80
Data / Bkg.	0.99 ±0.04	1.03 ±0.06	1.01 ±0.05	1.00 ±0.08	1.01 ±0.06	1.00 ±0.08	Data / Bkg.	0.96 ±0.08	1.01 ±0.09
S / \sqrt{B}	0.016	0.013	0.002	0.002	0.001	0.000	S / \sqrt{B}	0.001	0.002

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FCNC *tZq* Ranking plots





Generation of signal and background events considering a center-of-mass energy of 13 TeV with full simulation through MadGraph, Pythia and Delphes:

- Signal events: UFO model implemented with Feynrules assuming seven benchmark masses of the scalar *S* 20, 50, 80, 90, 100, 120 and 150 GeV
- Background processes: *tW*, *ttV*, *VV*, *ZVV*, *tt*, *V* + jets and *tZ*, with *V* = *W*,*Z*

Reconstruction of the top quark:

 Reconstruction of the top quark through a jet coming from a bottom quark and the W boson decayed into one lepton and missing transverse momentum. The hadronic decay of the W boson is considered for the pp → tSS scenario.

Limits on the branching ratios $t \rightarrow Sq$ at 95% CL were obtained through a tool using the CL_s method and assuming an integrated luminosity of 150 fb⁻¹