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Interdisciplinary Workshop on the **Critical Stability** of Few-Body Quantum Systems

Ettore Majorana Centre for Scientific Culture

Erice 12-18th Octobre 2008

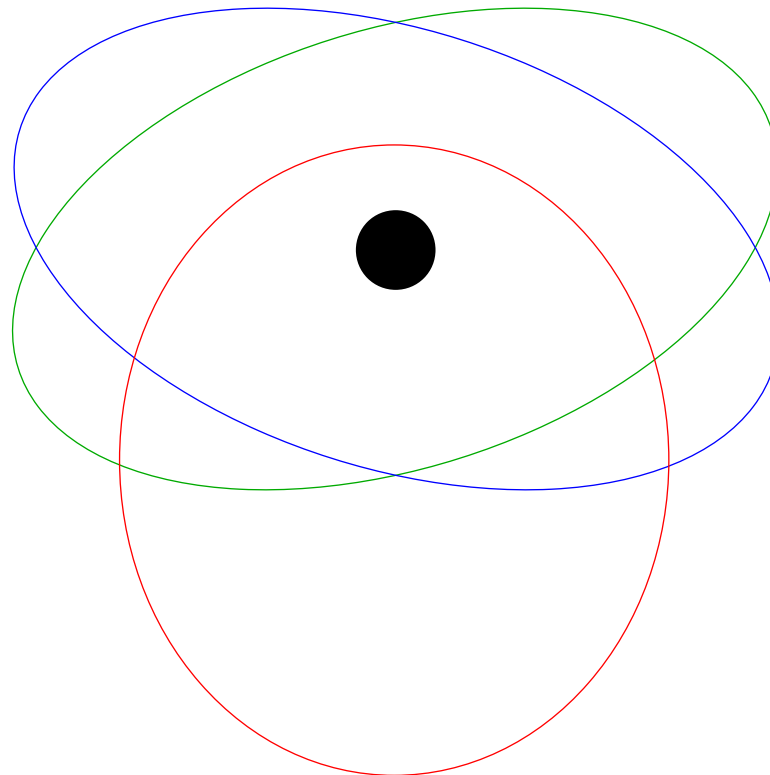
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$$H(N, Z) := \sum_{i=1}^N -\frac{\Delta_i}{2m_i} - \frac{Z}{|r_i|} + \sum_{i < j} \frac{1}{|r_i - r_j|}, \quad L^2(\mathbb{R}^{3N})$$

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$$\text{Feldmann \& King' 08 } 2.000001 \geq Z_c(3) > 2$$

# Motivations I

$$H^B(N, Z) := \sum_{j=1}^N \frac{(-i\nabla_j - \frac{1}{2}\vec{B} \wedge r_j)^2}{2m} - \frac{Z}{|r_j|} + \sum_{j < k} \frac{1}{|r_j - r_k|},$$

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$$\lim_{Z \& \frac{B}{Z^3} \rightarrow \infty} \frac{N_c(B, Z)}{Z} = 2,$$

• Can one have  $\sup_{B, Z} N_c(B, Z)/Z > 2$  ?

# Reduction to a one dimensional pb

$$h(N, Z) := \sum_{j=1}^N -\frac{1}{2} \partial_{x_j}^2 - Z \delta(x_j) + \sum_{i < j} \delta(x_i - x_j), \quad L^2(\mathbb{R}^N)$$

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● BSY'00 and BD'00

● Theorem BD'06 Let  $d_\delta(\xi) := \text{dist} \left( \xi, \sigma(h_\delta^{B, \mathbb{M}}) \right)$  and

$\alpha \sim \log(\sqrt{B})$  as  $B \rightarrow \infty$ . There exist  $0 < c_\delta < C_\delta$ ,  $B_\delta > 0$  depending on  $N$ ,  $Z$  and  $\mathbb{M}$ , such that for all  $B \geq B_\delta$  and real  $\xi$  satisfying

$$c_\delta \alpha \leq d_\delta(\xi) \leq \frac{1}{4} \alpha^2, \quad \Rightarrow \quad \xi \in \rho(H^{B, \mathbb{M}})$$

$$\| (H^{B, \mathbb{M}} - \xi)^{-1} - (h_\delta^{B, \mathbb{M}} - \xi)^{-1} \| \leq \frac{C_\delta \alpha}{d_\delta(\xi)^2}.$$

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Can one bind **three electrons** with **one hole** in a one dimensional periodic material?

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- The **Rosenthal'71** critical charge:

$$\hat{Z}(2) := Z_c(B = \infty, 2) \simeq 0.375 \quad \Rightarrow \quad \sup_{B,Z} N_c(B, Z)/Z \geq 5.33$$

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and violates the  $N < 2Z + 1$  Lieb bound.

- What is the value of  $\hat{Z}(3) := Z_c(B = \infty, 3)$ ?



# Particules exchange symmetries

$$\forall \sigma \in S_3, \quad (\Pi(\sigma)\psi)(x) = \psi(x_{\sigma(1)}, x_{\sigma(2)}, x_{\sigma(3)}), \quad L^2(\mathbb{R}^3)$$

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$$P_{\text{sym}} := \frac{1}{3!} \sum_{\sigma \in S_3} \Pi(\sigma), \quad P_{\text{antisym}} := \frac{1}{3!} \sum_{\sigma \in S_3} (-1)^\sigma \Pi(\sigma)$$

$$P_2 := \frac{1}{3} (2\text{id} - \Pi(\overline{312}) - \Pi(\overline{231}))$$

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$$P_{\text{sym}} \oplus P_{\text{antisym}} \oplus P_2 = 1, \quad [\Pi(\sigma), h(N, Z)] = 0$$

$$h(N, Z) = h_{\text{sym}} \oplus h_{\text{antisym}} \oplus h_2,$$

# Naive variationnal approaches

# Naive variational approaches

$$\Phi(x) = \prod_{i=1}^3 \sqrt{a} e^{-a|x_i|}, \quad (h(N, Z)\Phi, \Phi)/3 = -a + \frac{a}{2Z} + \frac{a^2}{2}$$

optimizing over  $a$   $(h(N, Z)\Phi, \Phi) = -\frac{3(1 - 2Z)^2}{8Z^2}$

# Naive variational approaches

$$\Phi(x) = \bigotimes_{i=1}^3 \sqrt{a} e^{-a|x_i|}, \quad (h(N, Z)\Phi, \Phi)/3 = -a + \frac{a}{2Z} + \frac{a^2}{2}$$

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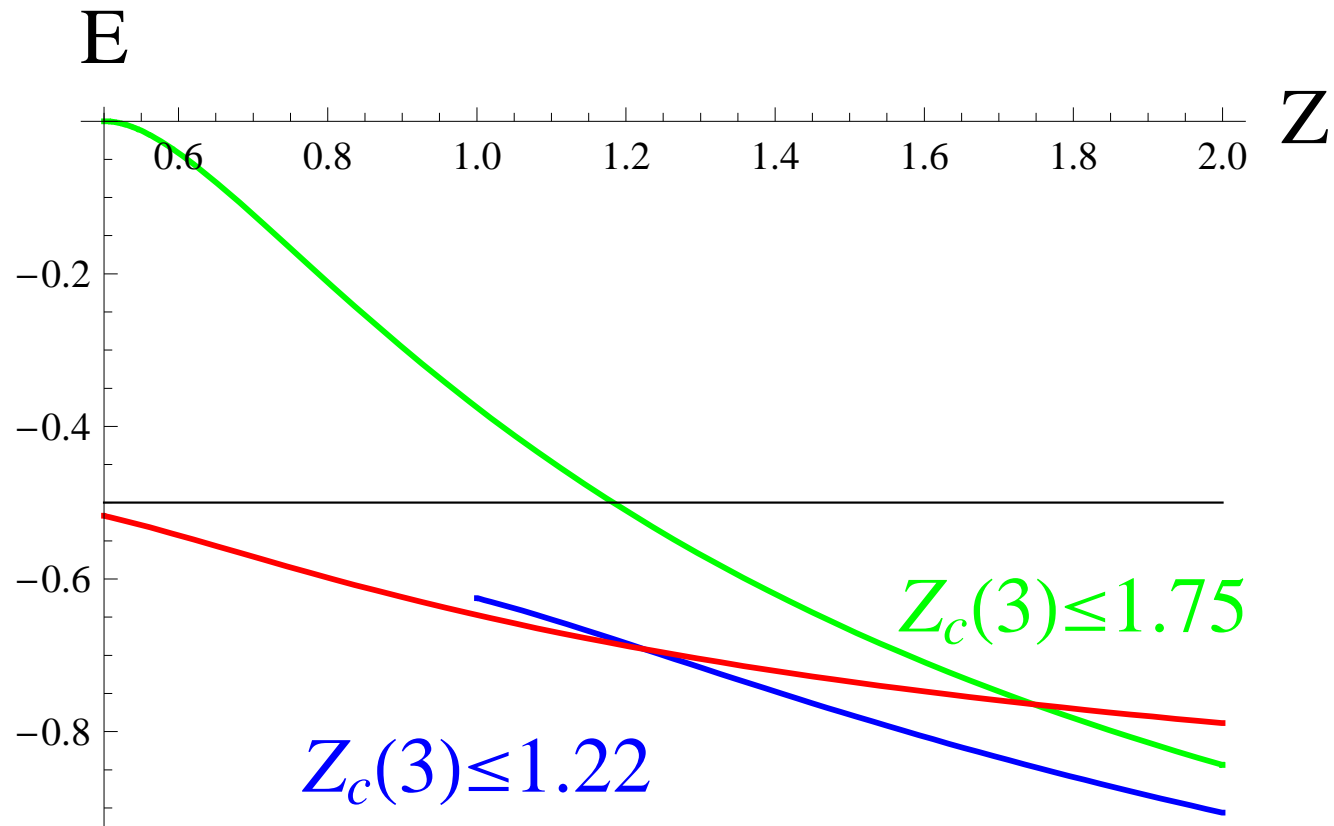
**BSY'00** Theorem. *Let*

$$\tilde{h}(N, Z) = \sum_{i=1}^N -\frac{\Delta_i}{2} - Z\delta(x_i) + \frac{1}{2} (\delta(x_i - x_j) + \delta(x_i + x_j))$$

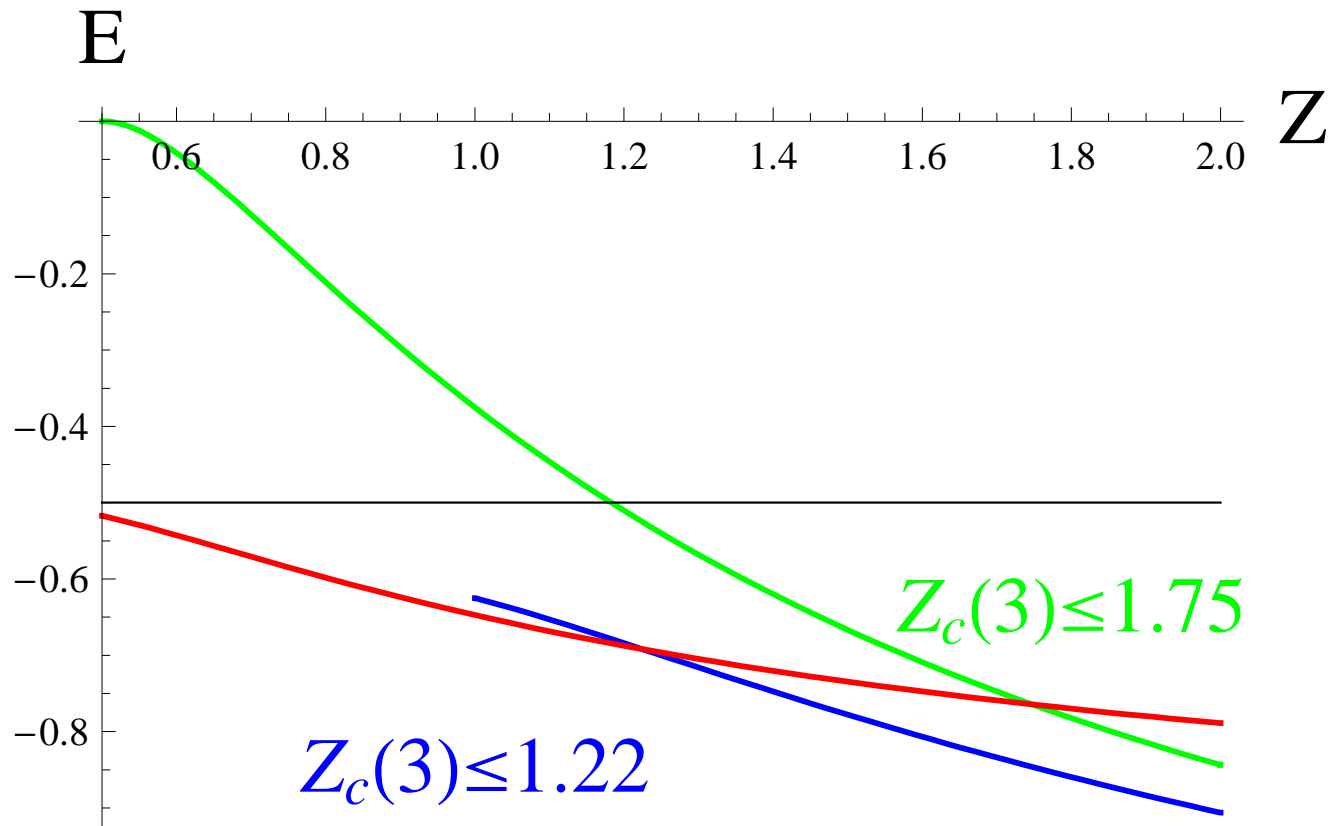
*then if  $N \leq 2Z + 1$  one has  $\inf h(N, Z) \leq \inf \tilde{h}(N, Z)$  with*

$$\tilde{h}(N, Z) = -\frac{N(2N^2 + 12Z(2Z + 1) - 3(4ZN + N) + 1)}{96Z^2}$$

# Naive variational approaches



# Naive variational approaches

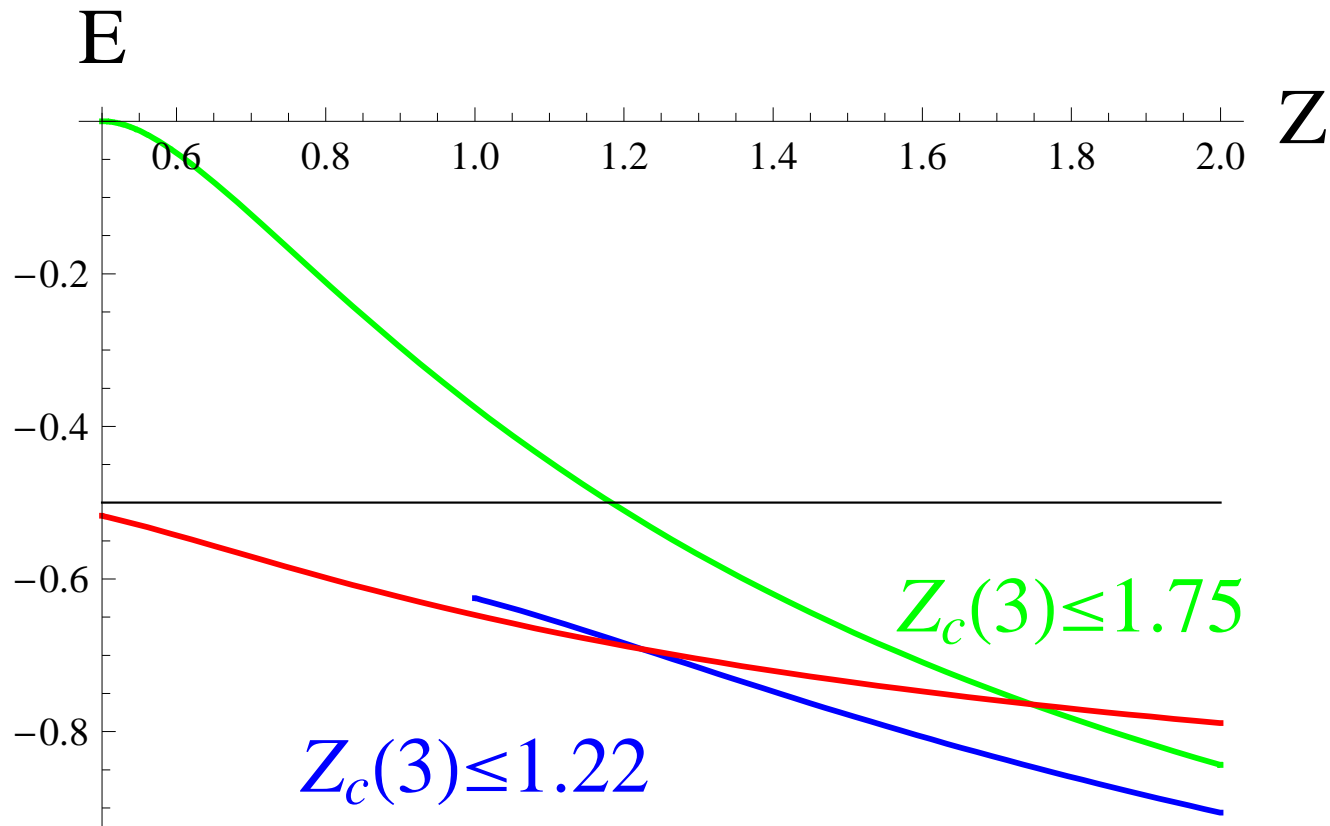


$$\sup_{B,Z} N_c(B, Z)/Z \geq 2.45$$



# Naive variational approaches

$$P_{\text{sym}} \bigotimes_{i=1}^2 \sqrt{a} e^{-a|x_i|} \otimes \sqrt{b} e^{-b|x_3|} \Rightarrow \hat{Z}_c(3) \leq 1.54$$



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# The Skeleton method

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$$h = -\frac{\Delta}{2} + \tau^* g \tau, \quad g := \begin{pmatrix} -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{\lambda}{\sqrt{2}} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{\lambda}{\sqrt{2}} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{\lambda}{\sqrt{2}} \end{pmatrix} \quad \lambda := \frac{1}{Z}$$

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$$R(z) = R_0(z) - R_0(z) \tau^* (g^{-1} + \tau R_0(z) \tau^*)^{-1} \tau R_0(z)$$

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$$S(k) := \ker g^{-1}k + \tau R_0(-1)\tau^* : \bigoplus_{i=1}^6 L^2(\mathbb{R}^2) \rightarrow \bigoplus_{i=1}^6 L^2(\mathbb{R}^2)$$

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$$\begin{pmatrix} -k + T_0 & T_{\frac{\pi}{2}} & T_{\frac{\pi}{2}}^* & T_{\frac{\pi}{4}} & \tilde{T}_{\frac{\pi}{2}} & \varepsilon T_{\frac{\pi}{4}} \\ T_{\frac{\pi}{2}}^* & -k + T_0 & T_{\frac{\pi}{2}} & \varepsilon T_{\frac{\pi}{4}} & T_{\frac{\pi}{4}} & \tilde{T}_{\frac{\pi}{2}} \\ T_{\frac{\pi}{2}} & T_{\frac{\pi}{2}}^* & -k + T_0 & \tilde{T}_{\frac{\pi}{4}} & \varepsilon T_{\frac{\pi}{4}} & T_{\frac{\pi}{4}} \\ & & & \frac{\sqrt{2}}{\lambda}k + T_0 & T_{\frac{\pi}{3}} & T_{\frac{\pi}{3}} \\ & & & T_{\frac{\pi}{3}} & \frac{\sqrt{2}}{\lambda}k + T_0 & T_{\frac{\pi}{3}} \\ & & & T_{\frac{\pi}{3}} & T_{\frac{\pi}{3}} & \frac{\sqrt{2}}{\lambda}k + T_0 \end{pmatrix}$$



# The Skeleton method

equ.	basis	normal	trace op.
$x_1 = 0$	$b^{(1)} := \{A_2, A_3\}$	$A_1$	$\tau_1$
$x_2 = 0$	$b^{(2)} := \{A_3, A_1\}$	$A_2$	$\tau_2$
$x_3 = 0$	$b^{(3)} := \{A_1, A_2\}$	$A_3$	$\tau_3$
$x_1 = x_2$	$b^{(4)} := \left\{ \frac{A_1 + A_2}{\sqrt{2}}, A_3 \right\}$	$\frac{-A_2 + A_1}{\sqrt{2}} =: A_4$	$\tau_4 = \tau_{1,2}$
$x_2 = x_3$	$b^{(5)} := \left\{ \frac{A_2 + A_3}{\sqrt{2}}, A_1 \right\}$	$\frac{-A_3 + A_2}{\sqrt{2}} =: A_5$	$\tau_5 = \tau_{2,3}$
$x_3 = x_1$	$b^{(6)} := \left\{ \frac{A_3 + A_1}{\sqrt{2}}, A_2 \right\}$	$\frac{-A_1 + A_3}{\sqrt{2}} =: A_6$	$\tau_6 = \tau_{3,1}$

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$$T_{\frac{\pi}{2}}(p, q) = \frac{\delta(q_1 - p_2)}{\pi (p_1^2 + p_2^2 + q_2^2 + 2)}$$

$$T_0(p, q) = \frac{\delta(p - q)}{\sqrt{p^2 + 2}}$$

# The Effective Skeleton

Using symmetries

$$S_{\text{eff}}(k) := \begin{pmatrix} -k + T_0 + 2\hat{T}_{\frac{\pi}{2}} & 3\hat{T}_{\frac{\pi}{4}} \\ 3\hat{T}_{\frac{\pi}{4}}^* & \frac{\sqrt{2}}{\lambda}k + T_0 + 2T_{\frac{\pi}{3}} \end{pmatrix}$$

with the following definitions

$$\hat{T}_{\frac{\pi}{2}} := \frac{1}{2} \left( T_{\frac{\pi}{2}} + T_{\frac{\pi}{2}}^* \right), \quad \hat{T}_{\frac{\pi}{4}} := \frac{1}{3} \left( (1 + \varepsilon)T_{\frac{\pi}{4}} + \tilde{T}_{\frac{\pi}{2}} \right)$$

# Operator pencil to eigenvalue pb

$$\begin{aligned}
 & \begin{pmatrix} 1 & 0 \\ 0 & -\frac{\lambda}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} -k + T_0 + 2\hat{T}_{\frac{\pi}{2}} & 3\hat{T}_{\frac{\pi}{4}} \\ 3\hat{T}_{\frac{\pi}{4}}^* & \frac{\sqrt{2}}{\lambda}k + T_0 + 2T_{\frac{\pi}{3}} \end{pmatrix} \\
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 := & -k \text{id} + M, \quad M =: \begin{pmatrix} M^{1,1} & M^{1,2} \\ M^{2,1} & M^{2,2} \end{pmatrix} \quad \text{in} \quad \bigoplus_{i=1}^2 L^2(\mathbb{R}^2)
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$$|\Sigma|^{\frac{1}{2}} < k \in \text{spect } M?$$

# The result

Choose the following system of vectors in  $L^2(\mathbb{R}^2)$ :

$$\Phi_\beta(p) := \varphi_{\beta_1}(p_1)\varphi_{\beta_2}(p_2), \quad \beta \in \{0.27, 1.7, 6\}^2$$

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Form the block matrices of  $M$

$$\mathcal{M}_{\beta,\gamma}^{i,j} := (M^{i,j} \Phi_\beta, \Phi_\gamma), \quad \mathcal{J}_{\beta,\gamma}^{i,j} := (\Phi_\beta, \Phi_\gamma) \delta_{i,j}, \quad 1 \leq i, j \leq 2$$



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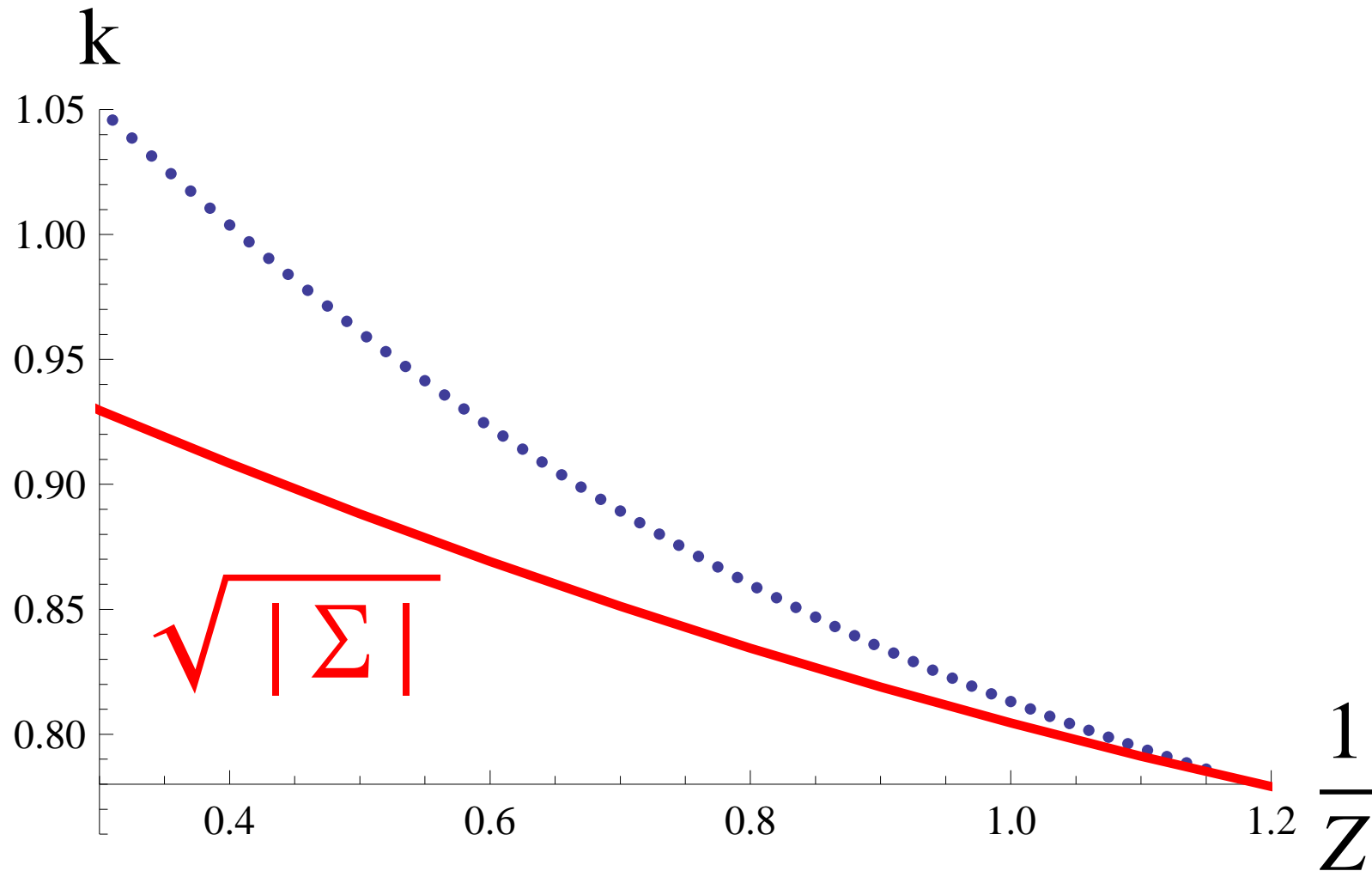
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and solve the generalized eigenvalue problem

$$\mathcal{M}v = k\mathcal{J}v \quad \iff \quad \mathcal{J}^{-\frac{1}{2}}\mathcal{M}\mathcal{J}^{-\frac{1}{2}}u = ku.$$

# The result



The highest eigenvalue of  $\mathcal{M}$  as a function of  $1/Z$

# Open problems

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- The threshold conjecture

$$\forall N \geq 0, \quad E(N) - E(N + 1) \leq E(N - 1) - E(N)$$



**FIN**  
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