

# Strong CP problem and axions



Christopher Smith



- Outline

I. Strong CP puzzle

II. Axions

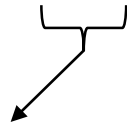
III. Baryonic/leptonic axions

IV. Conclusion

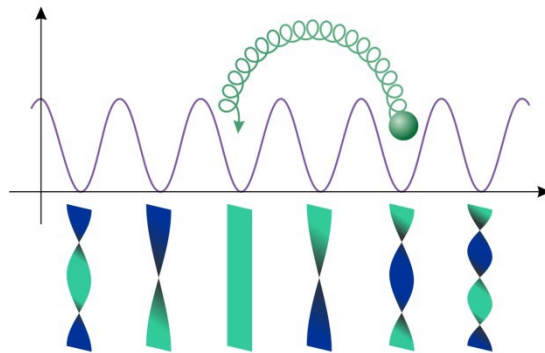
# I. Strong CP puzzle

## Origin of the strong CP puzzle - in a few words

$$\mathcal{L}_{\mathcal{CP}} = (\theta_C - \arg \det \mathbf{Y}_u - \arg \det \mathbf{Y}_d) \frac{\alpha_S}{8\pi} G_{\mu\nu} \tilde{G}^{\mu\nu}$$



Non-trivial QCD topology:



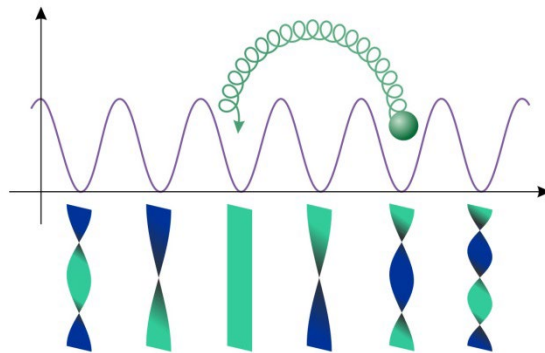
Also  
explains  
 $\eta'$  mass

$G_{\mu\nu} \tilde{G}^{\mu\nu}$  is a total derivative,  
Fields cancel at infinity?  
No! Vacuum = pure gauge,  
SU(3) pure gauge can be twisted.

Origin of the strong CP puzzle - in a few words

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Non-trivial QCD topology:



Also explains  $\eta'$  mass

Quark-Higgs Yukawa couplings:

We know they are complex.

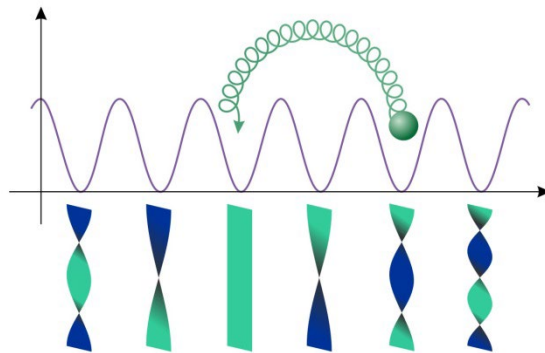
↓  
 $\delta_{CKM} \neq 0$   
 from K and B physics

Origin of the strong CP puzzle - in a few words

Neutron EDM implies:  $\theta \equiv \theta_C - \arg \det Y_u - \arg \det Y_d < 10^{-10}$

$$\mathcal{L}_{\cancel{CP}} = (\theta_C - \arg \det Y_u - \arg \det Y_d) \frac{\alpha_S}{8\pi} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

Non-trivial QCD topology:



Also explains  $\eta'$  mass

Quark-Higgs Yukawa couplings:

We know they are complex.

$\delta_{CKM} \neq 0$   
from K and B physics

## Solution 1: The massless quark

Three CPV terms are present in the SM gauge Lagrangian:

$$\mathcal{L}_{\text{CPV}} = \theta_C \frac{\alpha_s}{8\pi} G_{\mu\nu} \tilde{G}^{\mu\nu} + \theta_L \frac{g^2}{16\pi^2} W_{\mu\nu} \tilde{W}^{\mu\nu} + \theta_Y \frac{g'^2}{16\pi^2} B_{\mu\nu} \tilde{B}^{\mu\nu}$$

Individual fermion rephasing  $\psi \rightarrow \exp(i\alpha_\psi) \psi$  are anomalous:

$$\theta_C \rightarrow \theta_C - \sum_f (2\alpha_{q_L}^f + \alpha_{u_R}^f + \alpha_{d_R}^f)$$

$$\theta_L \rightarrow \theta_L - \sum_f (3\alpha_{q_L}^f + \alpha_{\ell_L}^f)$$

$$\theta_Y \rightarrow \theta_Y - \sum_f \left( \frac{1}{3} \alpha_{q_L}^f + \frac{8}{3} \alpha_{u_R}^f + \frac{2}{3} \alpha_{d_R}^f + \alpha_{\ell_L}^f + 2\alpha_{e_R}^f \right)$$

( $\mathcal{B} - \mathcal{L}$  and weak hypercharge are not anomalous)

## Solution 1: The massless quark

Three CPV terms are present in the SM gauge Lagrangian:

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Cannot be removed,  
Strong CP puzzle.

Removed thanks to  $U(1)_{B+L}$

Removed  
by partial  
integration.

Individual fermion rephasing  $\psi \rightarrow \exp(i\alpha_\psi) \psi$  are anomalous:

$$\theta_C \rightarrow \theta_C - \sum_f (2\alpha_{q_L}^f + \alpha_{u_R}^f + \alpha_{d_R}^f)$$

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All but one phase fixed by requiring **real fermion masses**.

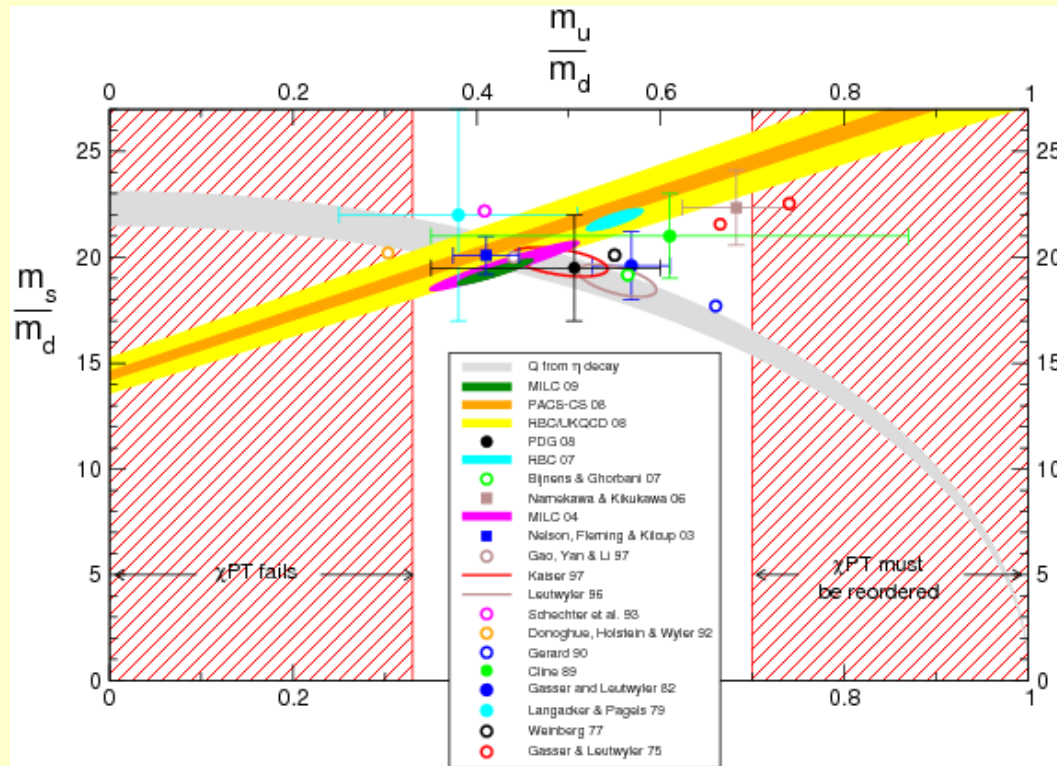


# Solution 1: The massless quark

Three CPV terms are present in the SM gauge Lagrangian:

$$\mathcal{L}_{CP} = \alpha_s \tilde{G} \tilde{G} + \sigma^2 \tilde{W} \tilde{W} + \sigma'^2 \tilde{B} \tilde{B}$$

Strong evidence **no quarks are massless**:



Cannot be re  
Strong CP p

Individual fer

$\theta_C$

$\theta_L$

$\theta_Y$

oved  
partial  
gration.

All but

Leutwyler '09

## Solution 2: The GUT paradigm

Imagine  $\theta_C = \theta_L = \theta_Y = 0$  at the GUT scale

In some GUTs,  $Y_{u,d}$  are hermitian, so  $\arg \det Y_{u,d} = 0$

$$\left. \begin{array}{l} \text{Imagine } \theta_C = \theta_L = \theta_Y = 0 \text{ at the GUT scale} \\ \text{In some GUTs, } Y_{u,d} \text{ are hermitian, so } \arg \det Y_{u,d} = 0 \end{array} \right\} \theta_{eff}(M_{GUT}) \equiv 0$$

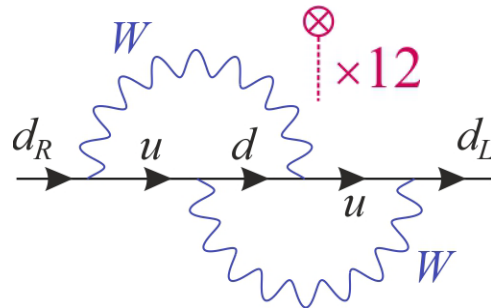
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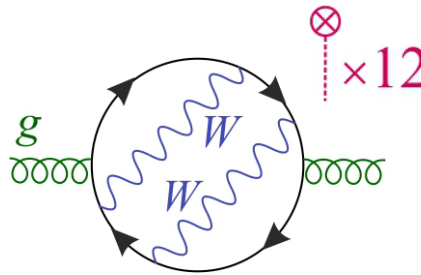
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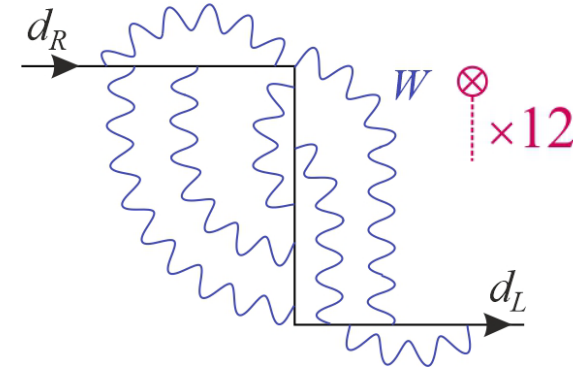
CKM-induced strong CP phases running down:



Shifts  $\arg \det Y_{u,d}$



Shifts  $G_{\mu\nu} \tilde{G}^{\mu\nu}$



UV divergent  $\Rightarrow$

$\theta_{eff}$  = physical parameter

$$\Delta\theta_{eff}(M_W) \approx \mathcal{O}(10^{-18})$$

$$\Delta\theta_{eff} \approx \mathcal{O}(10^{-16})$$

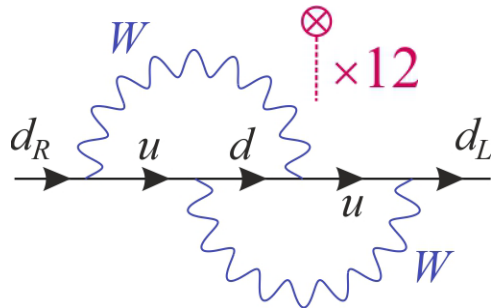
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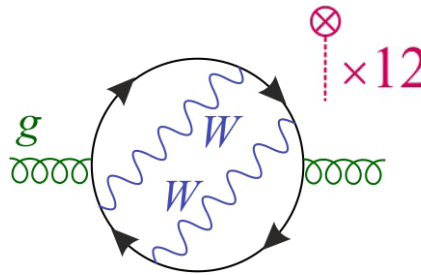
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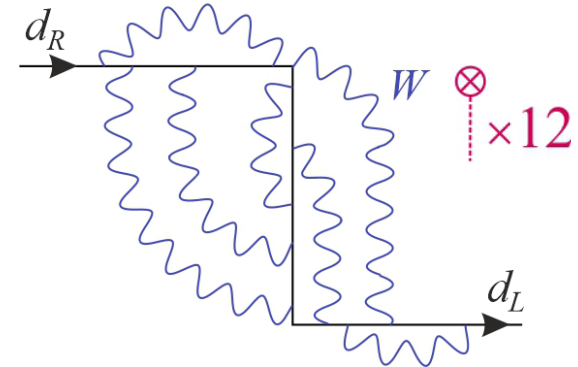
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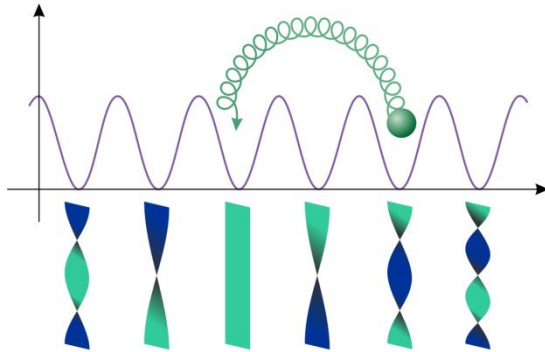
$\theta_{eff}$  = physical parameter

$$\Delta\theta_{eff}(M_W) \approx \mathcal{O}(10^{-18})$$

$$\Delta\theta_{eff} \approx \mathcal{O}(10^{-16})$$

Hierarchy & fermion masses in GUT can bring **new CPV sources**,  
 How to understand the « **vacuum matching** » at the GUT scale?

## Solution 3: Topological solution?



- Effects of  $\theta$  vanish at finite perturbative order,

$$G_{\mu\nu} \tilde{G}^{\mu\nu} = dC$$

- They come from a boundary term at infinity:

$$\int_V G_{\mu\nu} \tilde{G}^{\mu\nu} = \int_V dC = \int_{\partial V} C$$

- True vacuum is an infinite sum:

$$|\theta\rangle = \sum_k e^{ik\theta} |k\rangle$$

Unobservability of the  $\theta$  term is regularly put forward, e.g. recently:

**Order of the limits:** Taking  $\partial V$  to infinity before summing over  $k$ ?

Ai, Cruz, Garbrecht, Tamarit, 2021

**Do chromo-magnetic monopoles** confine when  $\theta \neq 0$ ?

Nakamura, Schierholz, 2021

Majority believes the problem exists, but this must be kept in mind...

## II. Axioms

## The axion solution, schematically

$$\mathcal{L}_{axion} = -\frac{1}{4} G_{\mu\nu} G^{\mu\nu} - \frac{\alpha_S}{8\pi} \theta G_{\mu\nu} \tilde{G}^{\mu\nu} + \bar{\psi}_{L,R} i \not{D} \psi_{L,R} + y \bar{\psi}_L \psi_R \phi + V(\phi^\dagger \phi)$$

Step 1: Add  $\phi$  to create a global U(1) PQ symmetry  $\phi \rightarrow \exp(i\theta)\phi$ .

Ensure it is anomalous by coupling  $\phi$  to colored fermions:

$$\Rightarrow \partial_\mu J^\mu \sim G_{\mu\nu} \tilde{G}^{\mu\nu}$$

Step 2: Break U(1) PQ spontaneously.

Goldstone boson is coupled to the current,  $\langle 0 | J^\mu | a(p) \rangle = i v p^\mu$ .

The shift symmetry permits to rotate  $\theta$  away:

$$\mathcal{L}_{axion} = -\frac{1}{4} G_{\mu\nu} G^{\mu\nu} - \frac{\alpha_S}{8\pi} \left( \theta + \frac{a}{v} \right) G_{\mu\nu} \tilde{G}^{\mu\nu} + \frac{1}{v} \partial_\mu a J^\mu + \dots$$

## The axion solution: Invisible axions

Step 3: One extra complex scalar field, but **two strategies**:

$$\phi = \frac{1}{\sqrt{2}}(v + \rho) \exp(i\eta / f_a) , \quad f_a \equiv v \gg v_{EW}$$

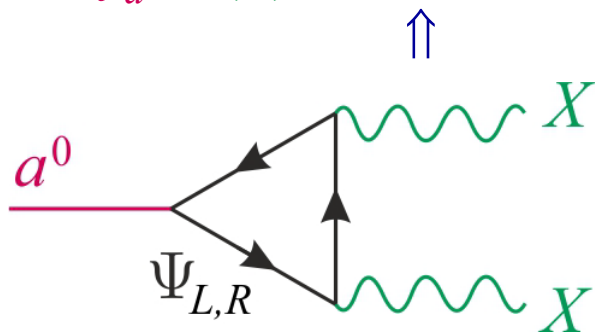
**KSVZ:**  $\phi \bar{\Psi}_L \Psi_R$

Kim '79, Shifman, Vainshtein, Zakharov '80

The axion is  $a = \eta$

Couplings to gauge bosons:

$$\frac{a}{16\pi^2 f_a} \sum_{X=G,W,B} g_X^2 \mathcal{N}_X X_{\mu\nu}^a \tilde{X}^{a,\mu\nu}$$



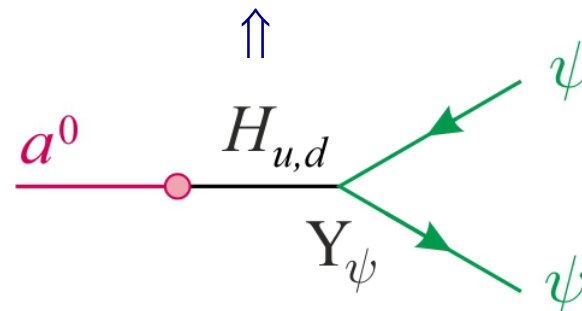
**DFSZ:**  $\phi H_u^\dagger H_d$

Dine, Fischler, Srednicki '81, Zhitnitsky '80

The axion is  $a = \eta + \mathcal{O}\left(\frac{v_{EW}}{f_a} A^0\right)$

Couplings to SM fermions:

$$\frac{a}{f_a} \sum_{\psi=u,d,e} \chi_\psi \bar{\psi} \gamma_5 \psi$$

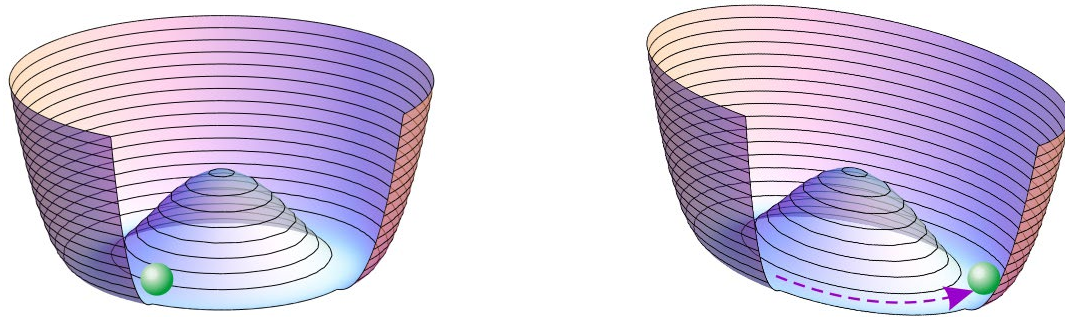




## The axion solution: QCD axion

$$\mathcal{L}_{axion} \supset -\frac{\alpha_S}{8\pi} \left( \theta_S + \frac{1}{f_a} a \right) G_{\mu\nu} \tilde{G}^{\mu\nu} + \frac{1}{4} g_{a\gamma\gamma}^{SD} a F_{\mu\nu} \tilde{F}^{\mu\nu} + \dots$$

Step 4: QCD non-perturbative effects are turned on.



Minimum at  
 $\langle a \rangle = -f_a \theta_S$

Strong CP relaxes to zero automatically:

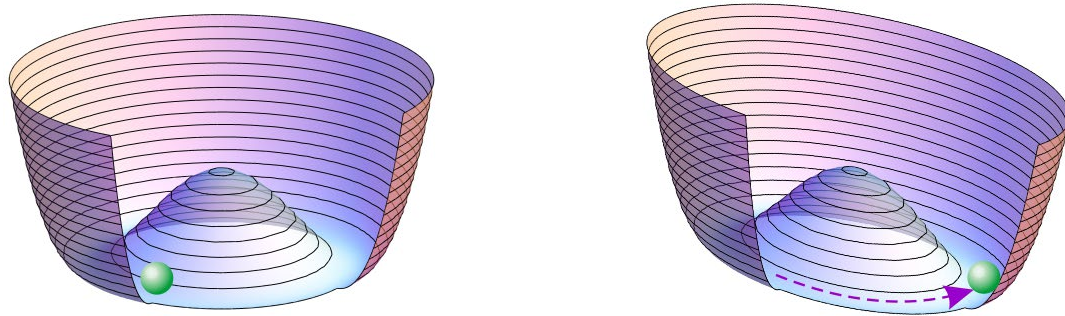
$$\frac{\alpha_S}{8\pi} \left( \theta_S + a / f_a \right) G_{\mu\nu} \tilde{G}^{\mu\nu} \rightarrow V_{eff} \left( \theta_S + a / f_a, \pi, \eta, \dots \right)$$

Potential **quality problem**: The hadronic 'tirling' is not that strong,  
 $\rightarrow$  PQ symmetry must be quite exact !

The axion solution: QCD axion

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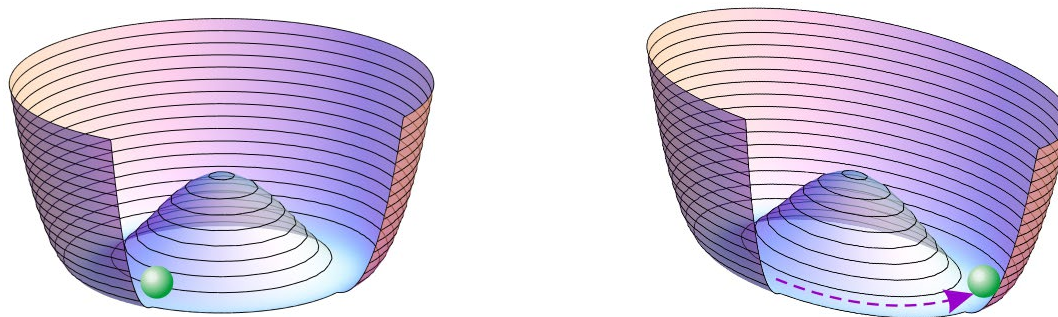
The axion is massive,

$$\frac{f_a^2 m_a^2}{f_\pi^2 m_\pi^2} = \frac{m_u m_d}{m_u + m_d} \rightarrow m_a \approx 6 \mu eV \times \frac{10^{12} GeV}{f_a}$$

The axion solution: QCD axion

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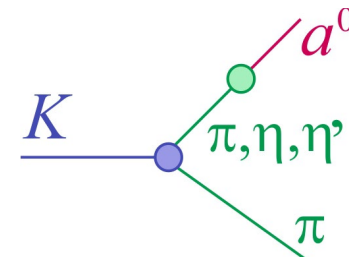


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The axion is massive,  $m_a \approx 6 \mu eV \times (10^{12} GeV / f_a)$ .

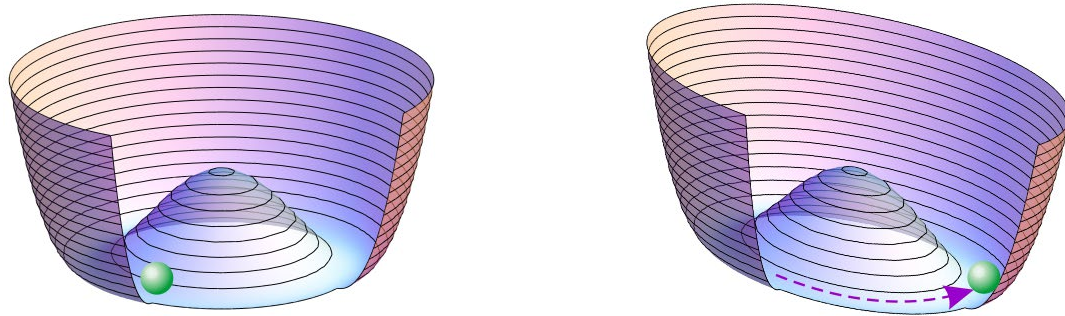
The axion mixes with  $\pi^0, \eta, \eta' \Rightarrow f_a > 30 \times v_{EW}$  (KEK '81)



The axion solution: QCD axion

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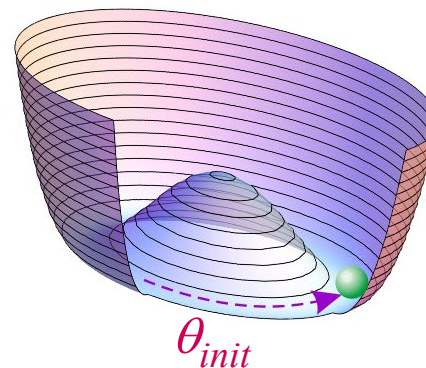
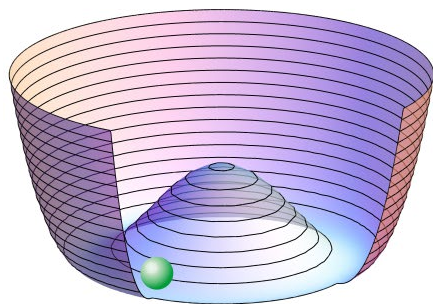
The axion mixes with  $\pi^0, \eta, \eta' \Rightarrow g_{a\gamma\gamma}$  correlated with  $m_a$ :

$$\Rightarrow g_{a\gamma\gamma}^{LD} = \frac{2\alpha}{6\pi f_a} \frac{4m_d + m_u}{m_u + m_d} \Rightarrow \frac{g_{a\gamma\gamma}^{LD} + g_{a\gamma\gamma}^{SD}}{m_a} \approx 10^{-10 \pm 1}$$

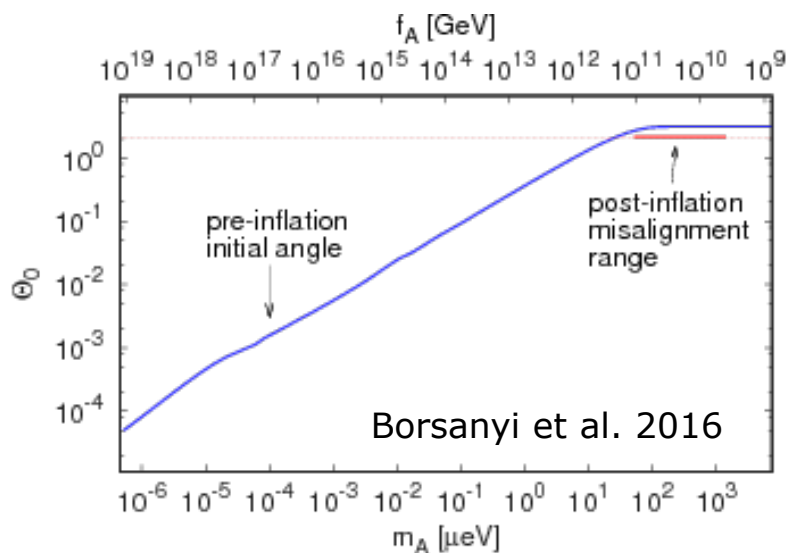
## The axion solution: Dark matter axion

$$\mathcal{L}_{axion} \supset -\frac{\alpha_S}{8\pi} \left( \theta_S + \frac{1}{f_a} a \right) G_{\mu\nu} \tilde{G}^{\mu\nu} + \frac{1}{4} g_{a\gamma\gamma} a F_{\mu\nu} \tilde{F}^{\mu\nu} + \dots$$

### Step 5: Cosmological consequences?



Minimum at  
 $\langle a \rangle = -f_a \theta_S$



The axion = cold dark matter!

$$n_{axion}(T) \sim f_a m_a(T) \theta_{init}^2 \sim \frac{1}{f_a} \chi(T) \theta_{init}^2,$$

Lattice simulations give  $\chi(T)$ .

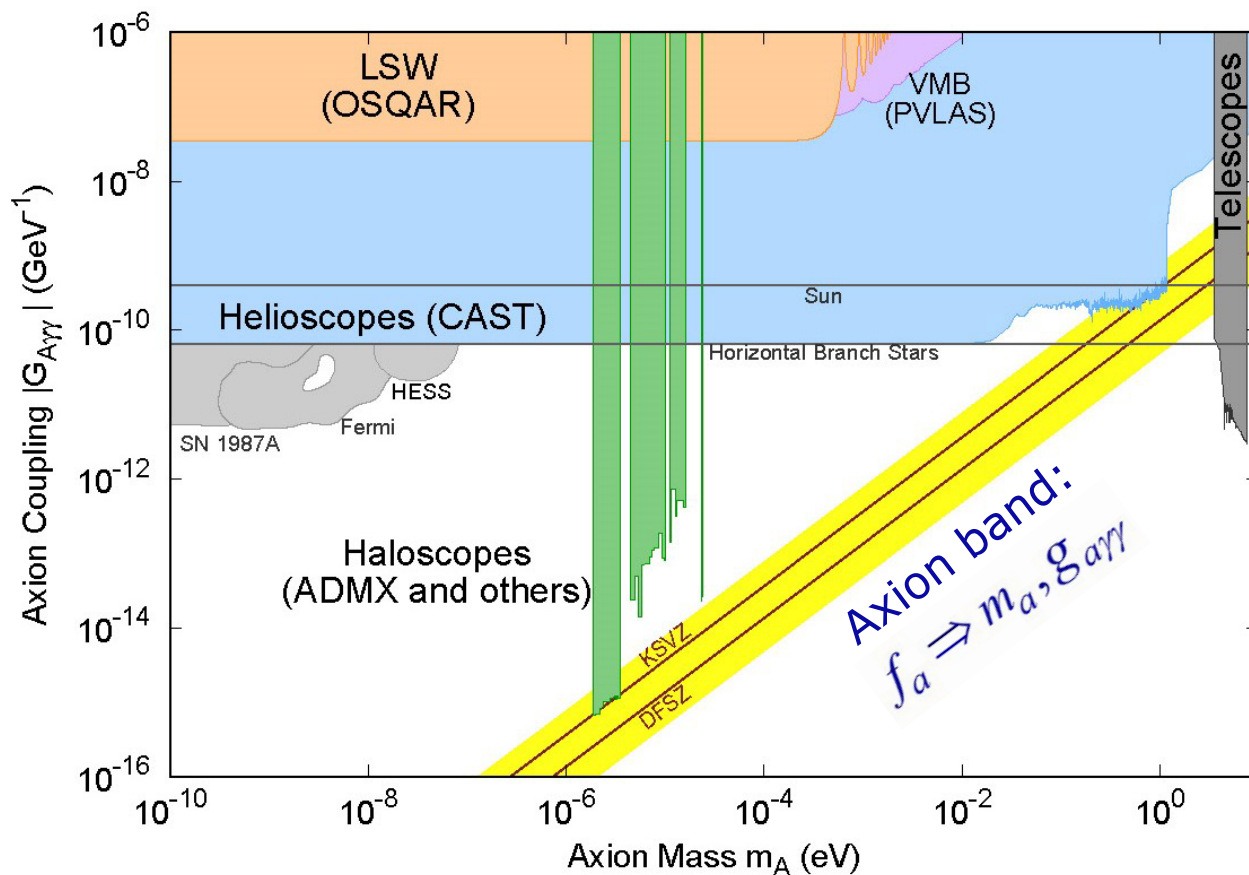
Initial misalignment  $\theta_{init}$  unknown.

## The axion solution: Experimental axions

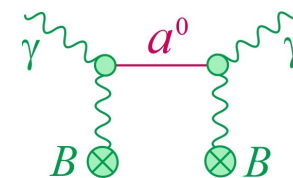
$$\mathcal{L}_{axion} \supset -\frac{\alpha_S}{8\pi} \left( \theta_S + \frac{1}{f_a} a \right) G_{\mu\nu} \tilde{G}^{\mu\nu} + \frac{1}{4} g_{a\gamma\gamma} a F_{\mu\nu} \tilde{F}^{\mu\nu} + \dots$$

$$aE \cdot B$$

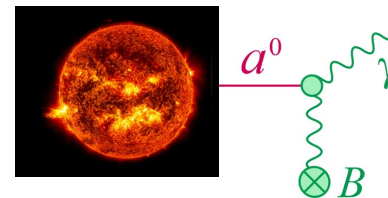
Step 6: Experimental constraints (PDG18, simplified)



LSW, VMB:



Helioscopes:



Haloscopes:



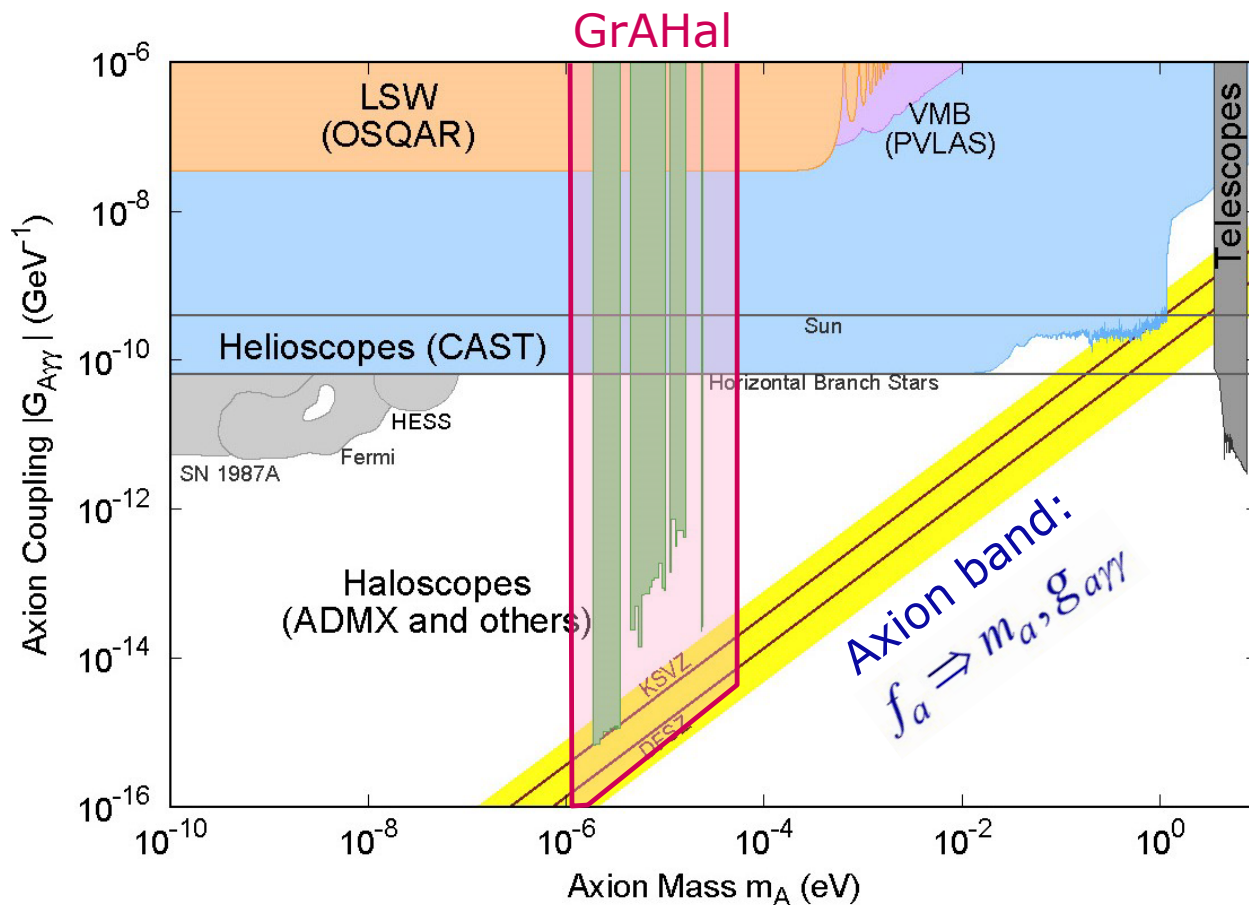


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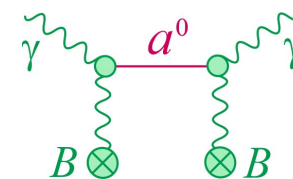
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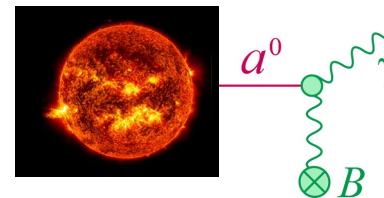
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LSW, VMB:



Helioscopes:



Haloscopes:



The axion solution: Axions in Grenoble

arXiv:2110.14406



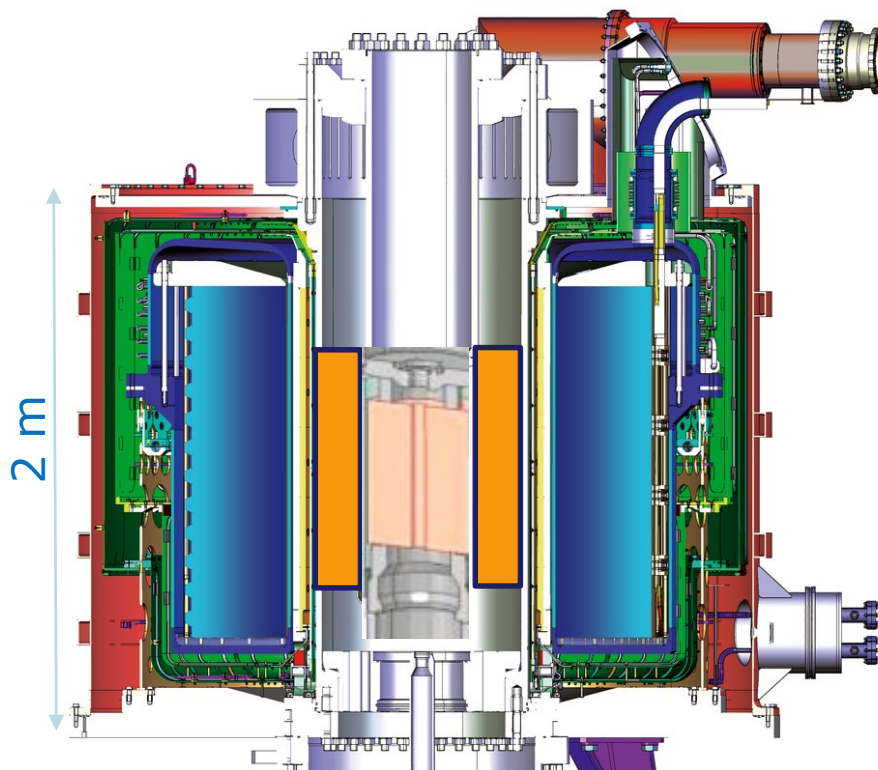
Grenet, Perrier,  
Basto, Ballou,  
Roch, Camus



Pugnat, Pfister,  
Krämer



Barrau, Smith,  
Quevillon, Martineau

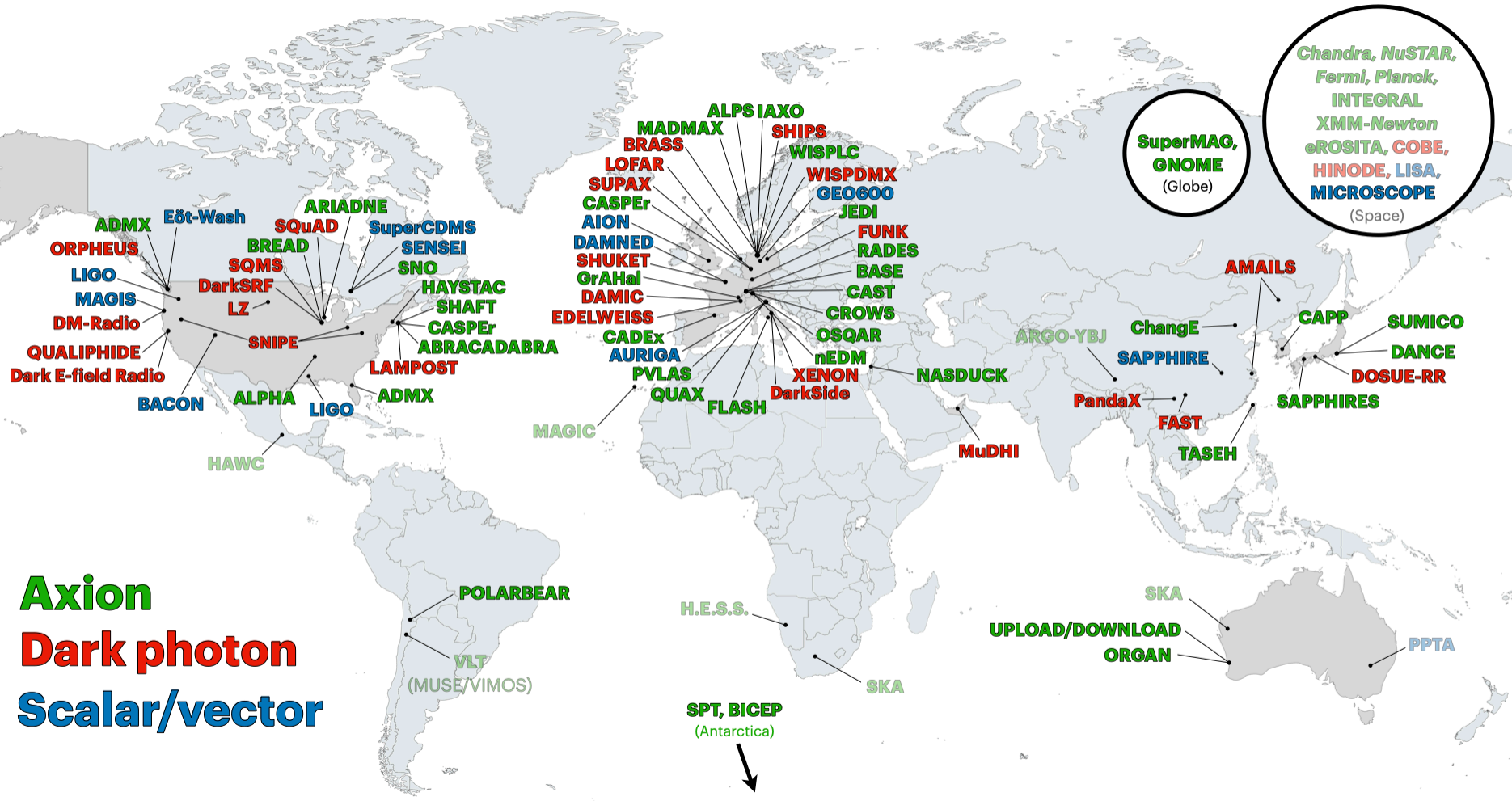


$$a(t) = \frac{\sqrt{2\rho_{DM}}}{m_a} \cos(m_a t) \rightarrow \alpha^0 \begin{array}{c} \gamma \\ \gamma \\ \otimes B \end{array}$$

Field	RF-cavity diameter (mm)	Frequency (GHz)	Axion mass ( $\mu\text{eV}$ )
43 T	8	29	118
40 T	23	10	41
27 T	110	2	8.6
17.5 T	315	0.7	3
9.5 T	675	0.34	1.4



# The axion solution: Axions in the World



## The axion solution: Alternatives

QCD axion models falling outside the axion band? E.g.:

Enlarging the band with many fields (larger irrep, clockwork,...)

Di Luzio, Mescia, Nardi, '16

Higaki, Jeong, Kitajima, Takahashi, '16

Ultra light axions from  $Z_n$  symmetry

Di Luzio, Gavela, Quilez, Ringwald '21

Heavy axions from a mirror QCD

Gaillard, Gavela, Houtz, Quilez, del Rey, '18

DM axions outside the misalignment mass range? E.g.:

May account only for a fraction of DM,

Misalignment with initial kinetic energy

Co, Hall, Harigaya '19

Topological defects (domain walls, axion strings)

For a review: Marsch '15

Axion-Like Particle = pseudoscalar bosons with a free mass term?

Do not solve strong CP, but quite conspicuous in string theory

For a review: Ringwald '14

Many search strategies with photons, electrons, nucleons, mesons,  
via cavities, NMR (EDMs), colliders, on earth or in space.

### III. Baryonic/leptonic axions

- Prelude

The axion is intimately connected to CP violation.

The PQ symmetry is a flavor symmetry, like  $\mathcal{B}$  and  $\mathcal{L}$  numbers.

The axion is a viable dark matter candidate.

Wouldn't it be nice if it could also induce baryogenesis?

Could this explain the closeness of DM and baryonic relic densities?

Let's try to incorporate  $\mathcal{B}$  and/or  $\mathcal{L}$  violation *within* axion models!

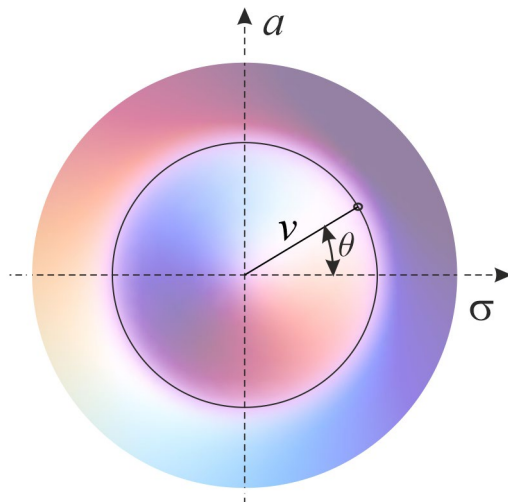
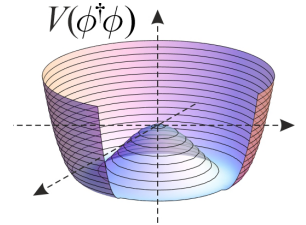
## Toy model for a "QED axion"

$$\mathcal{L}_{axion} = \frac{e^2}{16\pi^2} \theta F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi}_{L,R} i \not{D} \psi_{L,R} - (y \bar{\psi}_L \psi_R \phi + h.c.) + \partial_\mu \phi^\dagger \partial^\mu \phi - V(\phi^\dagger \phi)$$

Three equivalent representations in the broken phase:

Usual renormalizable representation:

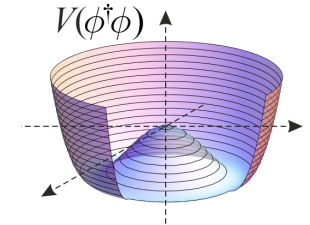
$$\phi = \frac{1}{\sqrt{2}} (\sigma + i a + v) \quad \mathcal{L}_{linear} = \frac{\alpha \theta}{4\pi} F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi} (i \not{D} - m) \psi - m \frac{a}{v} \bar{\psi} i \gamma_5 \psi + \dots$$



Toy model for a "QED axion"

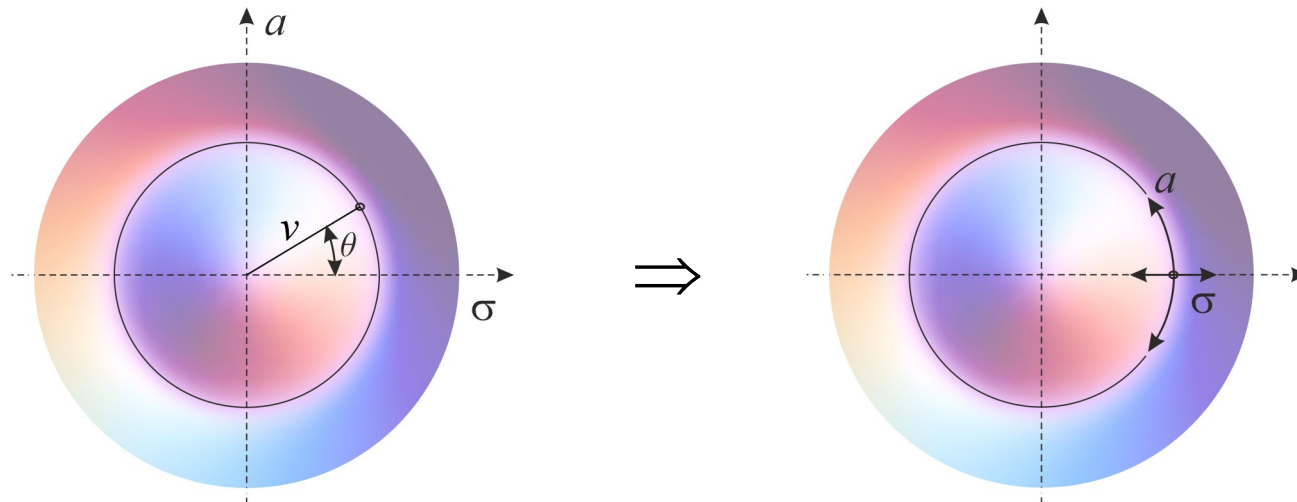
$$\mathcal{L}_{axion} = \frac{e^2}{16\pi^2} \theta F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi}_{L,R} i \not{D} \psi_{L,R} - (y \bar{\psi}_L \psi_R \phi + h.c.) + \partial_\mu \phi^\dagger \partial^\mu \phi - V(\phi^\dagger \phi)$$

Three equivalent representations in the broken phase:



Usual renormalizable representation:

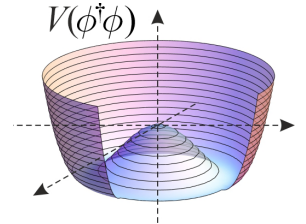
$$\phi = \frac{1}{\sqrt{2}} (\sigma + i a + v) \quad \mathcal{L}_{linear} = \frac{\alpha \theta}{4\pi} F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi} (i \not{D} - m) \psi - m \frac{a}{v} \bar{\psi} i \gamma_5 \psi + \dots$$



## Toy model for a "QED axion"

$$\mathcal{L}_{axion} = \frac{e^2}{16\pi^2} \theta F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi}_{L,R} i \not{D} \psi_{L,R} - (y \bar{\psi}_L \psi_R \phi + h.c.) + \partial_\mu \phi^\dagger \partial^\mu \phi - V(\phi^\dagger \phi)$$

Three equivalent representations in the broken phase:



Usual renormalizable representation:

$$\phi = \frac{1}{\sqrt{2}} (\sigma + ia + v) \quad \mathcal{L}_{linear} = \frac{\alpha\theta}{4\pi} F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi} (i \not{D} - m) \psi - m \frac{a}{v} \bar{\psi} i \gamma_5 \psi + \dots$$

Shift-symmetric polar representation, with  $\sigma$  integrated out:

$$\phi = \frac{1}{\sqrt{2}} (\sigma + v) e^{ia/v} \quad \mathcal{L}_{polar} = \frac{\alpha\theta}{4\pi} F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi} \left( i \not{D} - m e^{ia\gamma^5/v} \right) \psi + \dots$$

Derivative representation by making the fermion PQ-neutral:

$$\psi \rightarrow e^{-ia\gamma^5/2v} \psi \quad \mathcal{L}_{der} = \frac{\alpha}{4\pi} \left( \theta - \frac{a}{v} \right) F_{\mu\nu} \tilde{F}^{\mu\nu} + \bar{\psi} \left( i \not{D} - m + \frac{\partial_\mu a \gamma^\mu \gamma^5}{2v} \right) \psi + \dots$$

## Typical Axion effective Lagrangian

Georgi, Kaplan, Randal, '86

Anomalous couplings to gauge bosons:

$$\mathcal{L}_{Jac} = \frac{a^0}{16\pi^2 f_a} (g_s^2 \mathcal{N}_C G_{\mu\nu}^a \tilde{G}^{a,\mu\nu} + g^2 \mathcal{N}_L W_{\mu\nu}^i \tilde{W}^{i,\mu\nu} + g'^2 \mathcal{N}_Y B_{\mu\nu} \tilde{B}^{\mu\nu})$$

$$\dots \text{with } \mathcal{N}_X = \sum_{\psi} Q_{\psi} C_X(\psi) .$$

Derivative couplings to fermions (and other PQ-charged fields):

$$\mathcal{L}_{Der} = -\frac{1}{f_a} \partial_{\mu} a J_{PQ}^{\mu} ,$$

$$\begin{aligned} \dots \text{with } J_{PQ}^{\mu} &= \sum_{\psi=\psi_{L,R}} Q_{\psi} \bar{\psi} \gamma^{\mu} \psi + \dots \quad \curvearrowright 0 \text{ (partial integration + CVC)} \\ &= \sum_{\psi=u,d,e,\nu} \left[ (Q_{\psi_R} + Q_{\psi_L}) \bar{\psi} \gamma^{\mu} \psi + (Q_{\psi_R} - Q_{\psi_L}) \bar{\psi} \gamma^{\mu} \gamma_5 \psi \right] + \dots \end{aligned}$$

All this seems ok... but actually there is a serious ambiguity issue!



Problem: The fermion PQ charges are ill-defined

Scalars have well defined PQ charges, but fermions do not:

KSVZ:  $\phi \bar{\Psi}_L \Psi_R$

	$\Psi_L$	$\Psi_R$	$q_L$	$u_R$	$d_R$	$\ell_L$	$e_R$	$\nu_R$
$U(1)_{PQ}$	$\alpha$	$\alpha - 1$	$\beta$	$\beta$	$\beta$	$\gamma$	$\gamma$	$\gamma$
$U(1)_Y$	$Y$	$Y$	$1/3$	$4/3$	$-2/3$	$-1$	$-2$	$0$

DFSZ:  $\phi H_u^\dagger H_d$

	$q_L$	$u_R$	$d_R$	$\ell_L$	$e_R$	$\nu_R$
$U(1)_{PQ}$	$\beta$	$\beta + x$	$\beta - 1/x$	$\gamma$	$\gamma - 1/x$	$\gamma + x$
$U(1)_Y$	$1/3$	$4/3$	$-2/3$	$-1$	$-2$	$0$

$$x \equiv \nu_u / \nu_d$$

Free parameters reflects the conservation of  $\Psi$ ,  $\mathcal{B}$ ,  $\mathcal{L}$  numbers.

## Consequence: Ambiguous DFSZ Axion couplings for SM gauge bosons

Anomalous couplings to gauge bosons:

$$\begin{aligned} \mathcal{L}_{Jac} &= \frac{a}{16\pi^2 f_a} g_s^2 \mathcal{N}_C G_{\mu\nu}^a \tilde{G}^{a,\mu\nu} & \mathcal{N}_C &= \frac{1}{2} \left( x + \frac{1}{x} \right) \\ &+ \frac{a}{16\pi^2 f_a} g^2 \mathcal{N}_L W_{\mu\nu}^i \tilde{W}^{i,\mu\nu} & \mathcal{N}_L &= -\frac{1}{2} (3\beta + \gamma) \\ &+ \frac{a}{16\pi^2 f_a} g'^2 \mathcal{N}_Y B_{\mu\nu} \tilde{B}^{\mu\nu} & \mathcal{N}_Y &= \frac{1}{2} (3\beta + \gamma) + \frac{4}{3} \left( x + \frac{1}{x} \right) \end{aligned}$$

Derivative couplings to SM fermions:

$$\mathcal{L}_{Der} = -\frac{1}{2f_a} \partial_\mu a^0 \sum_{u,d,e,\nu} \chi_V^f \bar{\psi}_f \gamma^\mu \psi_f + \chi_A^f \bar{\psi}_f \gamma^\mu \gamma_5 \psi_f$$

	$u$	$d$	$e$	$\nu$
$\chi_V$	$2\beta + x$	$2\beta + \frac{1}{x}$	$2\gamma + \frac{1}{x}$	$\gamma$
$\chi_A$	$x$	$\frac{1}{x}$	$\frac{1}{x}$	$-\gamma$

Both manifestly  $SU(2)_L \otimes U(1)_Y$  symmetric, **both ambiguous!**

Consequence: Ambiguous DFSZ Axion couplings for SM gauge bosons

Axion couplings in the polar/linear representation (= THDM!!!)

$$\begin{aligned}
 \mathcal{L}_{polar} &= \frac{a}{16\pi^2 f_a} g_s^2 \mathcal{N}_C G_{\mu\nu}^a \tilde{G}^{a,\mu\nu} & \mathcal{N}_C &= \frac{1}{2} \left( x + \frac{1}{x} \right) \\
 &+ \frac{a}{16\pi^2 f_a} e^2 \mathcal{N}_{em} F_{\mu\nu} \tilde{F}^{\mu\nu} & \mathcal{N}_{em} &= \frac{4}{3} \left( x + \frac{1}{x} \right) \\
 &+ \frac{a}{16\pi^2 f_a} \frac{2e^2}{c_W s_W} (\mathcal{N}_0 - s_W^2 \mathcal{N}_{em}) Z_{\mu\nu} \tilde{F}^{\mu\nu} & \mathcal{N}_0 &= \frac{1}{2} \left( x + \frac{1}{x} \right) \\
 &+ \frac{a}{16\pi^2 f_a} \frac{e^2}{c_W^2 s_W^2} (\mathcal{N}_1 - 2s_W^2 \mathcal{N}_0 + s_W^4 \mathcal{N}_{em}) Z_{\mu\nu} \tilde{Z}^{\mu\nu} & \mathcal{N}_1 &= \frac{1}{12} \left( 3x + \frac{4}{x} \right) \\
 &+ \frac{a}{16\pi^2 f_a} 2g^2 \mathcal{N}_2 W_{\mu\nu}^+ \tilde{W}^{-,\mu\nu} & \mathcal{N}_2 &= \frac{1}{4} \left( x + \frac{3}{2x} \right)
 \end{aligned}$$

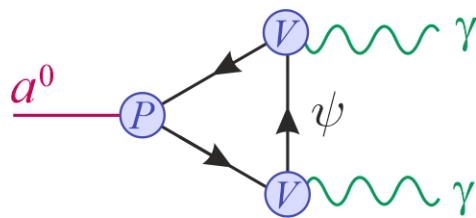
Gunion, Haber, Kao '91

Not ambiguous, but **does not match**  $\mathcal{L}_{Jac}$  :

$$\mathcal{N}_{em} = \mathcal{N}_L + \mathcal{N}_Y \quad \text{but} \quad \mathcal{N}_0 \neq \mathcal{N}_1 \neq \mathcal{N}_2 \neq \mathcal{N}_L = -\frac{1}{2}(3\beta + \gamma)$$

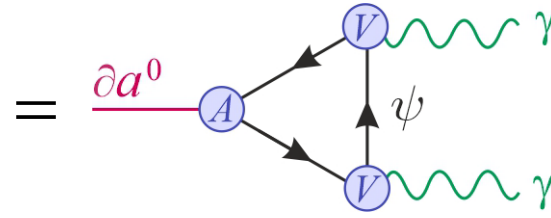
### Solution: Violations of Sutherland-Veltman theorem

Axial current anomalies:  $2imP = \partial_\mu A^\mu - \frac{1}{8\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$



Not anomalous

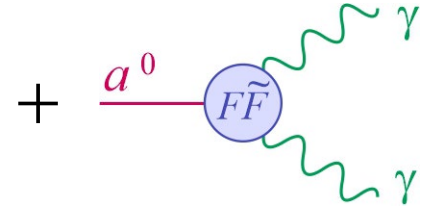
True coupling,  $\mathcal{L}_{linear}$



Anomalous

$\mathcal{L}_{Der}$

$\partial_\mu A^\mu \rightarrow 0$  when  $m \rightarrow \infty$

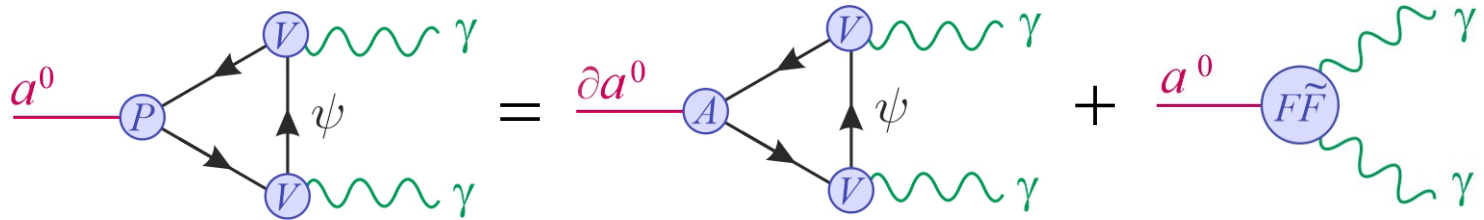


Anomaly

$\mathcal{L}_{Jac}$

Solution: Violations of Sutherland-Veltman theorem

Axial current anomalies:  $2imP = \partial_\mu A^\mu - \frac{1}{8\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$



Not anomalous

Anomalous

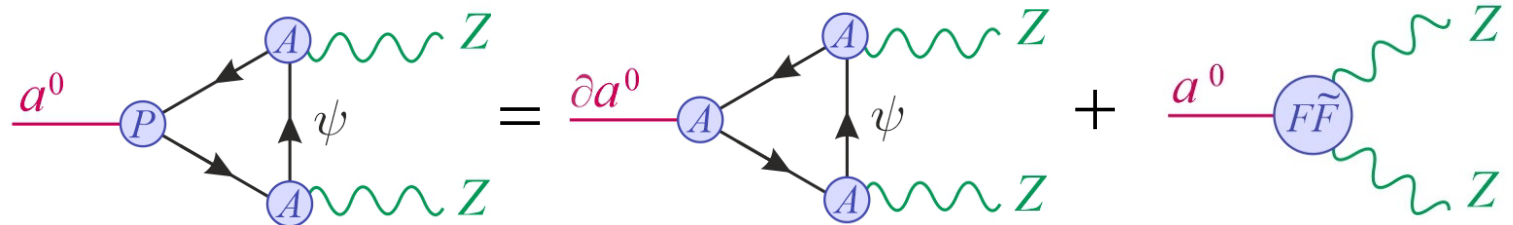
Anomaly

$\partial_\mu A^\mu \rightarrow 0$  when  $m \rightarrow \infty$

True coupling,  $\mathcal{L}_{linear}$

$\mathcal{L}_{Der}$

$\mathcal{L}_{Jac}$



Not anomalous

Anomalous

Anomaly

$\partial_\mu A^\mu \rightarrow 0$  when  $m \rightarrow \infty$

## Solution: Violations of Sutherland-Veltman theorem

Axial current anomalies:  $2imP = \partial_\mu A^\mu - \frac{1}{8\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$

Vector current anomalies:  $0 = \partial_\mu V^\mu - \frac{1}{8\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$

$$0 = \partial_\mu V^\mu \rightarrow 0 \text{ when } m \rightarrow \infty$$

 $\mathcal{L}_{Der}$  $\mathcal{L}_{Jac}$ 

$\mathcal{B}$  and  $\mathcal{L}$  ambiguities cancel out between  $\mathcal{L}_{Jac}$  and  $\mathcal{L}_{Der}$ .

The electroweak couplings  $a^0 + \gamma Z, ZZ, WW$  are **not given by**  $\mathcal{L}_{Jac}$ .

Using the ambiguities to entangle PQ with  $\mathcal{B}$  and  $\mathcal{L}$

Fermionic PQ charges are ambiguous.

	$\phi$	$H_u$	$H_d$	$q_L$	$u_R$	$d_R$	$\ell_L$	$e_R$	$\nu_R$
$U(1)_{PQ}$	1	$x$	$-1/x$	$\beta$	$\beta+x$	$\beta-1/x$	$\gamma$	$\gamma-1/x$	$\gamma+x$

The **incorrect** way to use these parameters:

Set them to some value,  $\beta = \gamma = 0$  say, which forbids for example:

$$\mathcal{L}_{Majo}^{eff} = \frac{1}{M} (\bar{\ell}_L^C H_u^T)(H_u \ell_L) \sim m_\nu \bar{\nu}_L^C \nu_L \rightarrow PQ(\mathcal{L}_{Majo}^{eff}) = 2(\gamma + x)$$

Yet, in the linear representation, **adding this operator is harmless!**

The **correct** way to use these parameters:

**Keep them free** to accommodate possible  $\mathcal{B}$  and/or  $\mathcal{L}$  violations.

If two models differ by their values: **equivalent phenomenology!**

### Using the ambiguities to entangle PQ with B and L

- Example: KSVZ axion as majoron

$$\begin{array}{l}
 \boxed{\phi \bar{\Psi}_L \Psi_R + \phi \bar{\nu}_R^C \nu_R} \begin{cases} \rightarrow f_a \bar{\nu}_R^C \nu_R \rightarrow m_\nu \sim \frac{v^2}{f_a} Y_\nu^T Y_\nu \\ \rightarrow a \bar{\nu}_R^C \nu_R \leftarrow \text{no } f_a \text{ suppression.} \end{cases}
 \end{array}$$

Langacker et al. '86  
 Shin '87  
 Clarke, Volkas '16

The PQ current eats up the lepton current:

	$\phi$	$H$	$\Psi_L$	$\Psi_R$	$q_L$	$u_R$	$d_R$	$\ell_L$	$e_R$	$\nu_R$
$U(1)_{PQ=L}$	1	0	$\alpha$	$\alpha - 1$	$\beta$	$\beta$	$\beta$	$-1/2$	$-1/2$	$-1/2$

- In general, SSB along two combinations of B and L only.

Beware though that EW instantons  $\Rightarrow \mathcal{L}_{eff} \sim (\ell_L q_L^3)^3 \Rightarrow 3\beta + \gamma = 0$ .

Too much B and/or L violations can make the axion massive.



## Rich phenomenology with leptoquarks & diquarks

- Spontaneous proton decay

$$S_1^{8/3} \bar{d}_R^C e_R^C + \tilde{S}_1^{8/3} \bar{u}_R^C u_R + \phi^2 S_1^{8/3 \dagger} \tilde{S}_1^{8/3}$$

(Very similar to Reig, Srivastava, '18)

- Spontaneous neutron-antineutron oscillation

$$S_1^{4/3} \bar{d}_R^C d_R + S_1^{8/3} \bar{u}_R^C u_R + \phi S_1^{4/3} S_1^{4/3} S_1^{8/3}$$

(Kind of similar to Barbieri, Mohapatra '81)

- ALP and the neutron lifetime puzzle

$$S_1^{2/3} \bar{d}_R^C u_R + V_{1,\mu}^{2/3} \bar{d}_R^C \gamma^\mu \nu_R + \partial^\mu \phi S_1^{2/3 \dagger} V_{1,\mu}^{2/3}$$

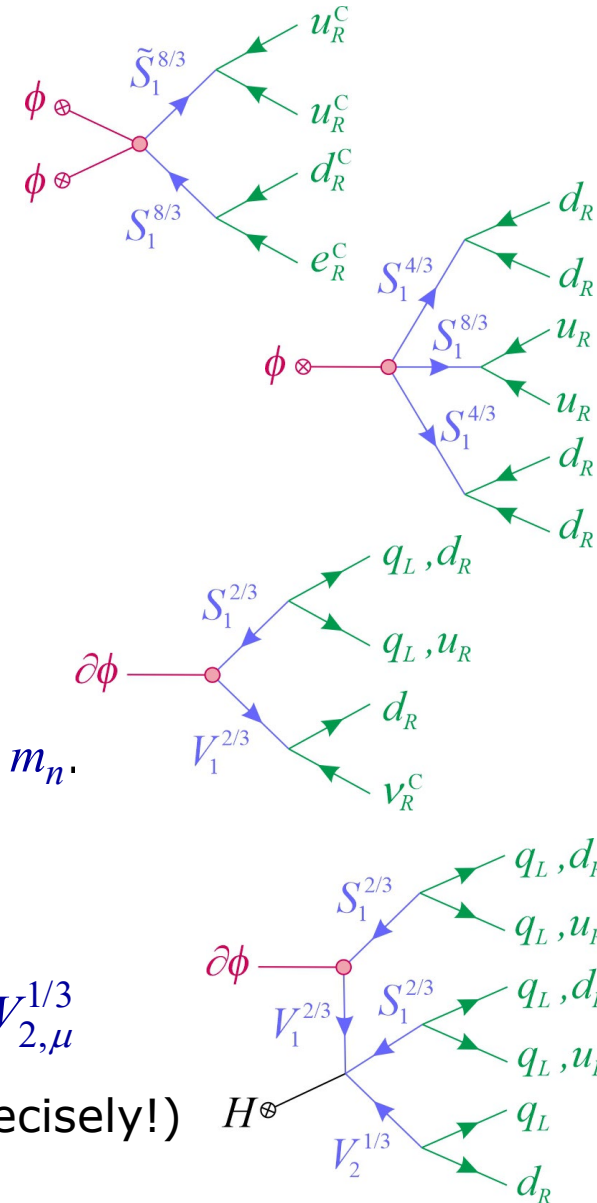
$$B(n \rightarrow \nu a) \sim 1\% \text{ with } p \rightarrow e \gamma \gamma > 10^{34} \text{ yr if } m_p < m_a < m_n.$$

(see Fornal, Grinstein, '18)

- Intense antimatter production?

$$S_1^{2/3} \bar{d}_R^C u_R + V_{2,\mu}^{1/3} \bar{d}_R^C \gamma^\mu q_L + \partial^\mu \phi V_{1,\mu}^{2/3 \dagger} S_1^{2/3} + H V_{1,\mu}^{2/3} S_1^{2/3} V_{2,\mu}^{1/3}$$

$$\text{Resonant } n \rightarrow a^0 \bar{n} \text{ if } \delta m_{n-\bar{n}} \approx B \times 10^{-7} \text{ eV} = m_a \text{ (precisely!)} H^\oplus$$



## IV. Conclusion

The axion is currently the best solution to the strong CP puzzle,

Great deal of freedom in implementing such a mechanism,

Theoretical description is more delicate than it seems.

The axion should not be a «one-problem solution»:

It is now one of the best DM candidate,

Could play a role in the origin of neutrino masses,

Could it also induce lepto/baryogenesis?

Theoretical and experimental efforts are well under way in many fields

Axion physics transcends all energy frontiers and relates low-energy QCD to cosmology, via atomic physics, EW and colliders, and the physics of stars and galaxies.