

High-purity gluon jet showers using secondary Lund jet planes

[paper in preparation]

Cristian Baldenegro (Sapienza)

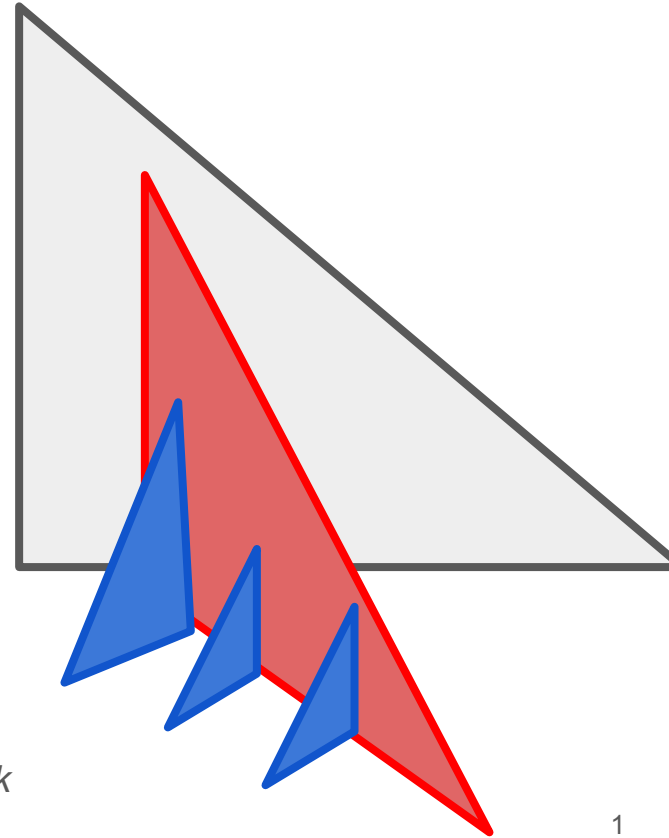
DIS 2024 @ Grenoble, France
April 8th–12th



SAPIENZA
UNIVERSITÀ DI ROMA

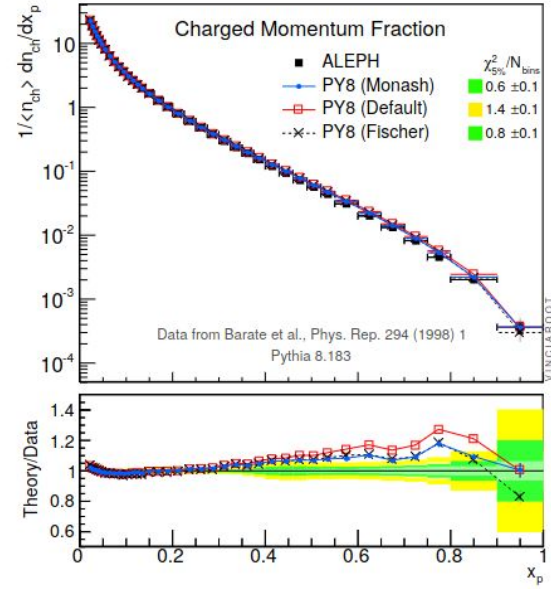
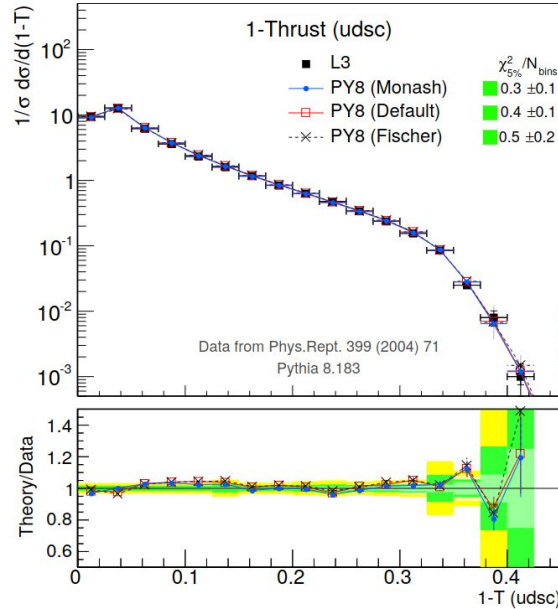
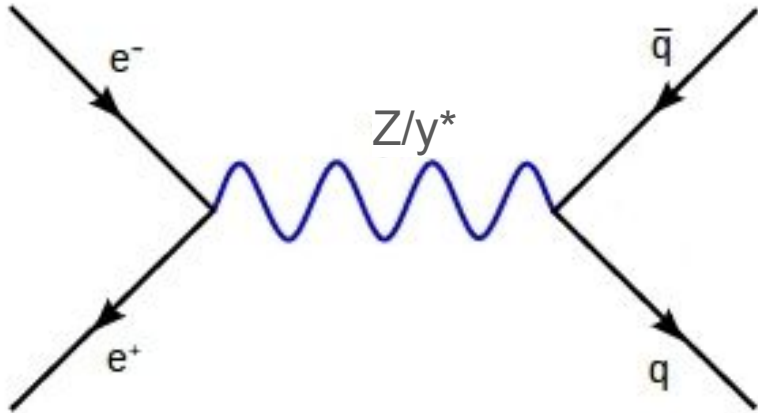


*Thanks to Jacob March for early contributions
& Alba Soto, Gregory Soyez, Leticia Cunqueiro, Matt Nguyen for feedback*



Particle physics lore:

“Quark showers are strongly constrained by LEP in $e^+e^- \rightarrow \gamma^*/Z^0 \rightarrow q\bar{q}$,
gluon showers not as much”



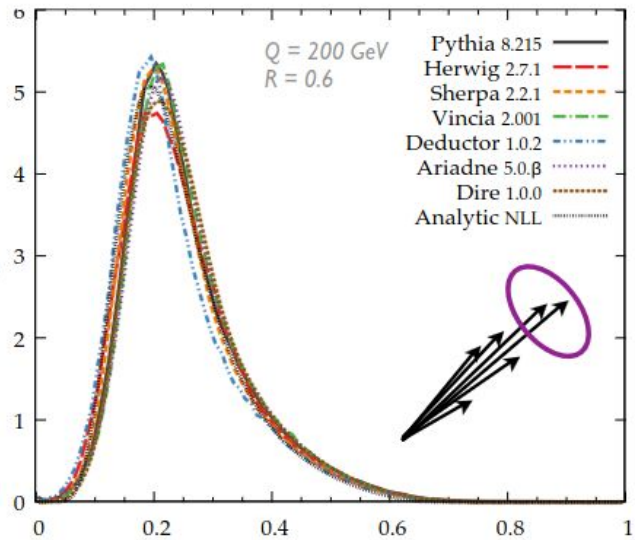
Example of LEP event shapes & frag. function used for tuning ([Pythia8 monash tune](#))

Les Houches 2015 substructure studies

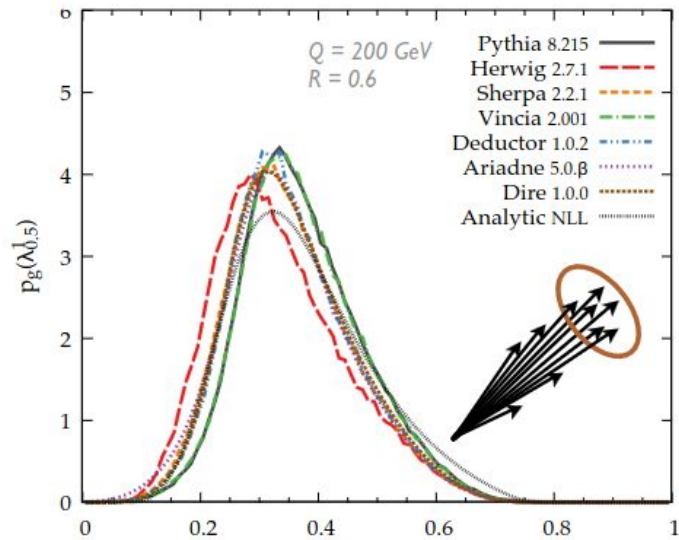
$e^+e^- \rightarrow \text{quarks } (C_F = 4/3)$

VS.

$e^+e^- \rightarrow \text{gluons } (C_A = 3)$



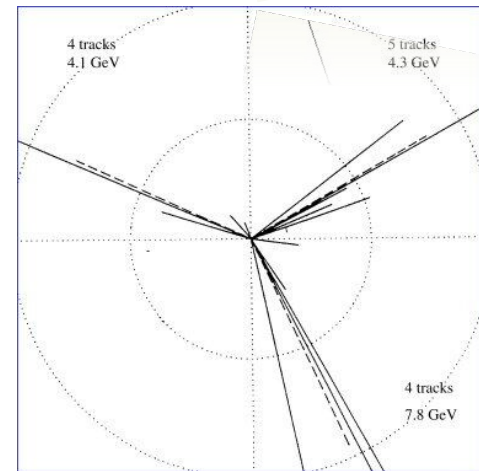
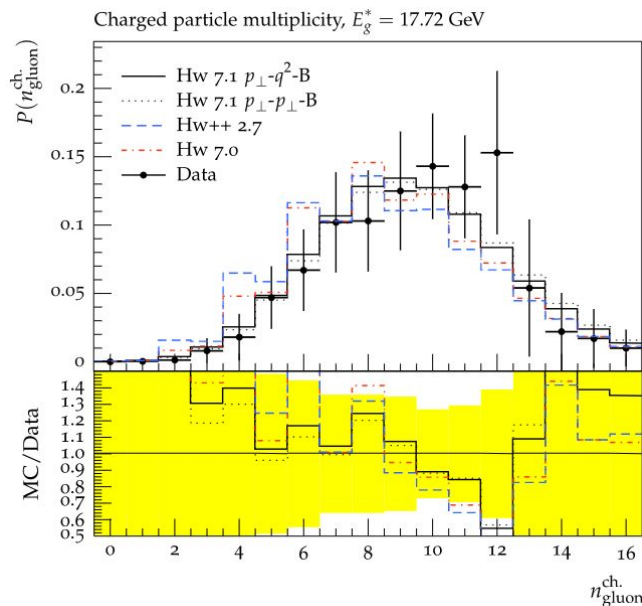
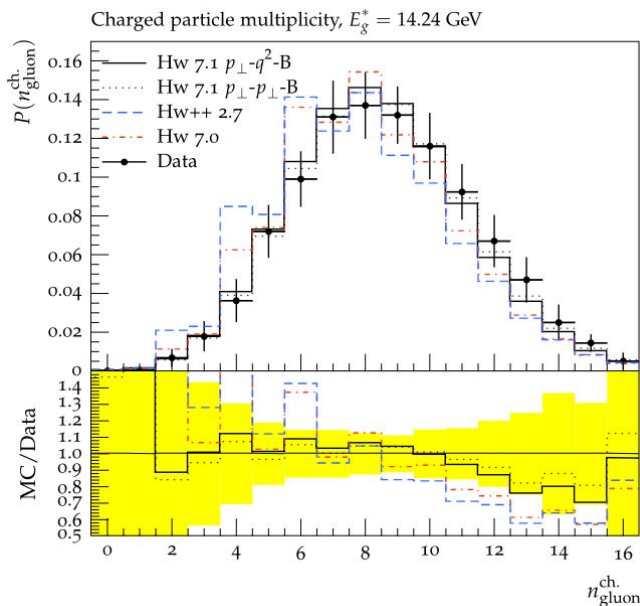
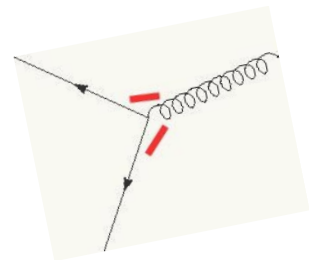
$1/p_{T,\text{jet}} \sum p_{T,i} \Delta R_{i,\text{jet}}$
small spread



$1/p_{T,\text{jet}} \sum p_{T,i} \Delta R_{i,\text{jet}}$
large spread

High-purity gluon jet samples at LEP ($e^+e^- \rightarrow bbg$)

Data used in [Herwig7.2 tuning](#) (post Les Houches 2015)

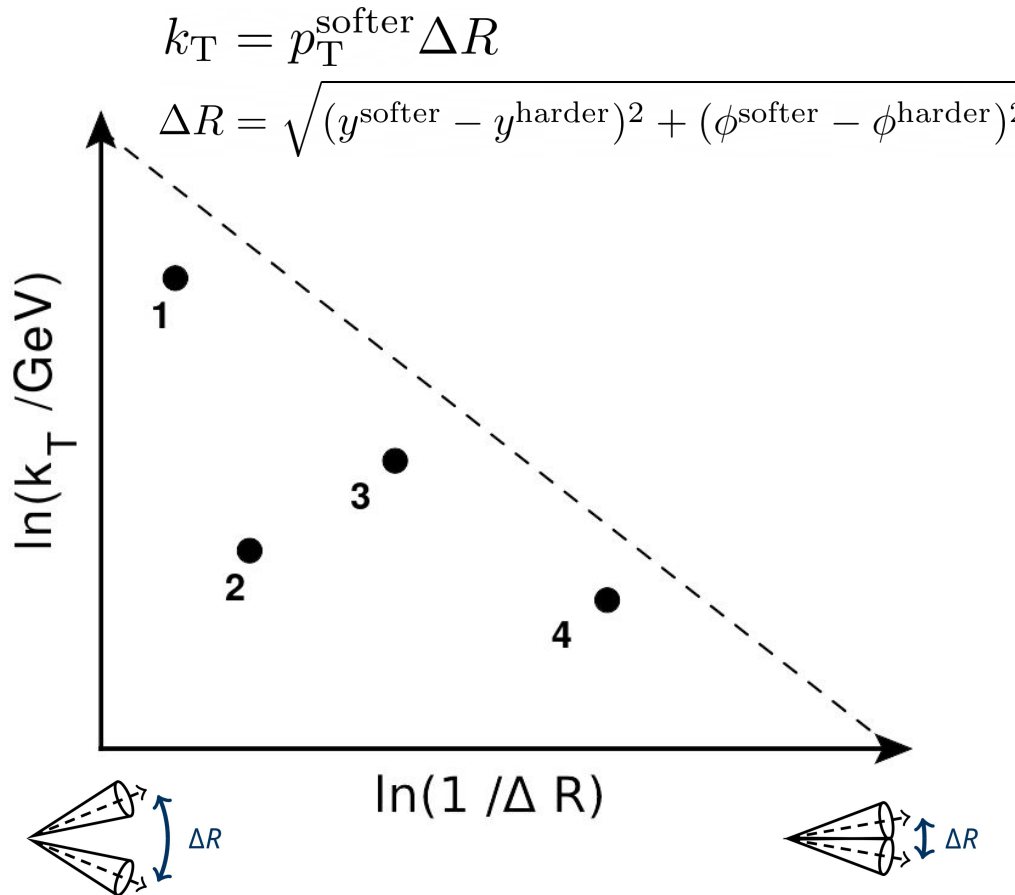
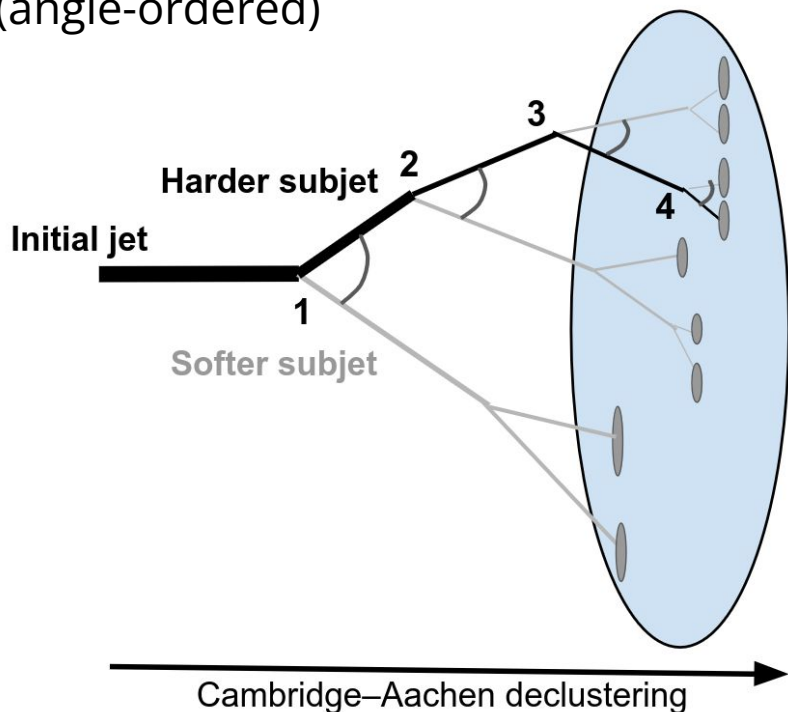


n^{ch} of soft gluon jets ($E_T \sim 14-18$ GeV), uncertainties of $\sim 30-40\%$
Otherwise, no other "pure" gluon jet samples available for MC tuning

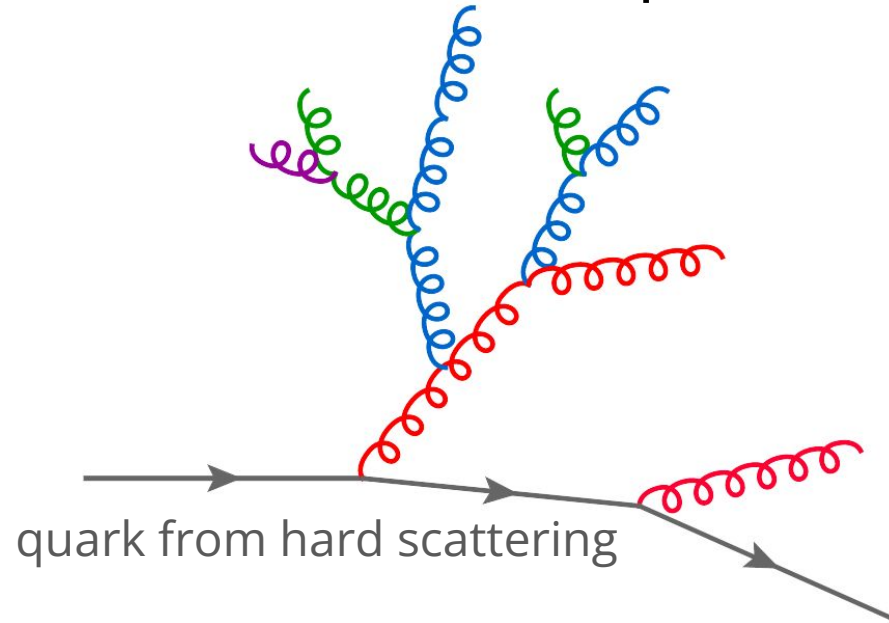
The Lund jet plane: 2D phase-space of $1 \rightarrow 2$ branchings in a jet

F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064

Cambridge–Aachen reclustering to construct a tree of intrajet emissions (angle-ordered)



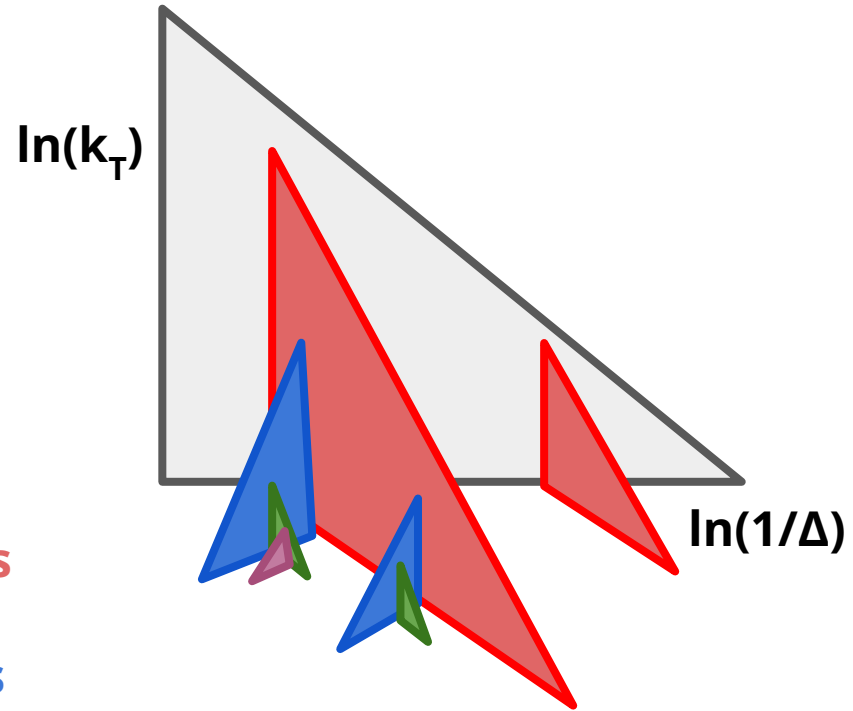
Each QCD emission spawns its own Lund plane



Emissions in **red** are the **"primary" emissions**

Emissions in **blue** are **"secondary" emissions**

Other colors represent "subsidiary" emissions



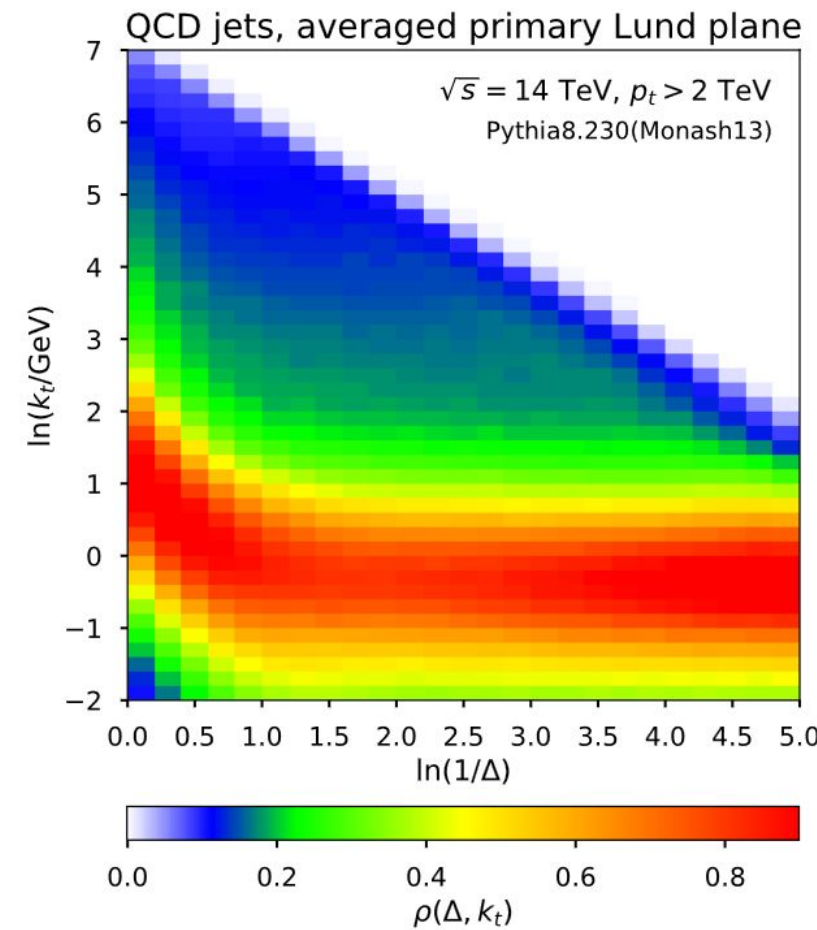
Define the *jet-averaged* number of emissions, the **primary Lund jet plane density**

$$\rho(k_T, \Delta R) \equiv \frac{1}{N_{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \ln(k_T/\text{GeV}) d \ln(R/\Delta R)}$$

At leading order, it's “sculpted” by the running of $\alpha_S(k_T)$

$$\rho(k_T, \Delta R)_{\text{LO}} \approx \frac{2}{\pi} C_R^{\text{eff}} \alpha_S(k_T)$$

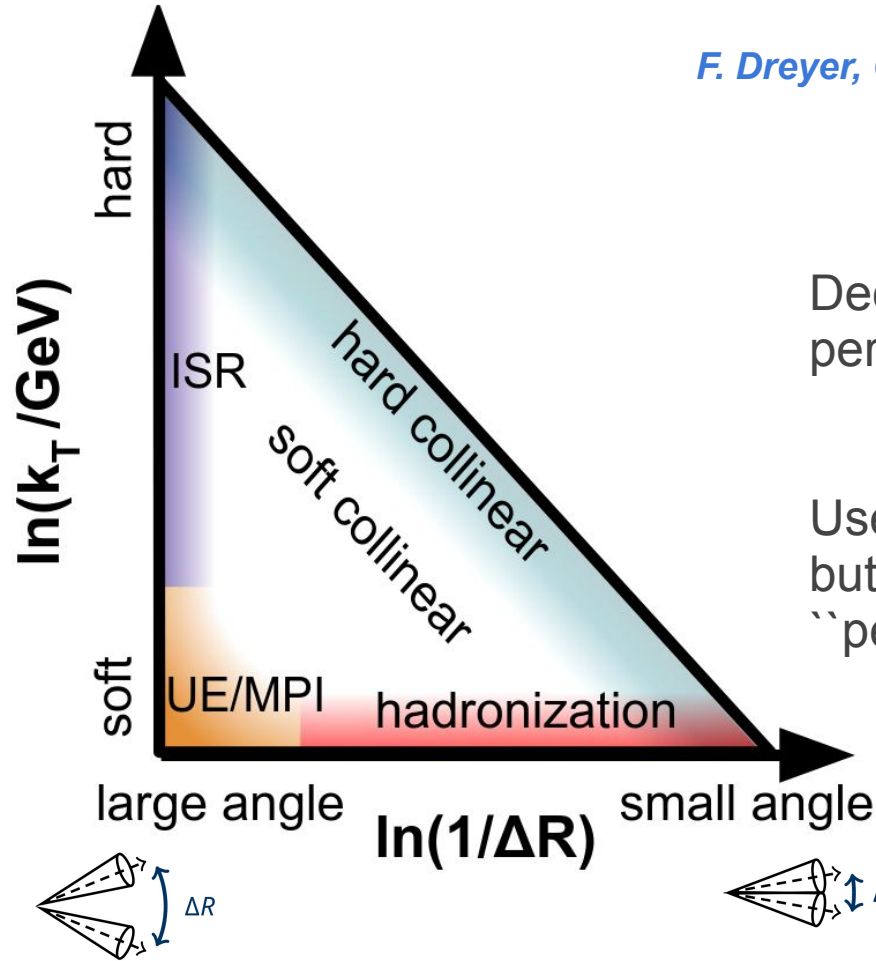
With $C_R = C_A = 3$ for $g \rightarrow gg$ or $C_F = 4/3$ for $q \rightarrow qq$ splittings



F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064

Physical mechanisms are “factorized” in the Lund jet plane

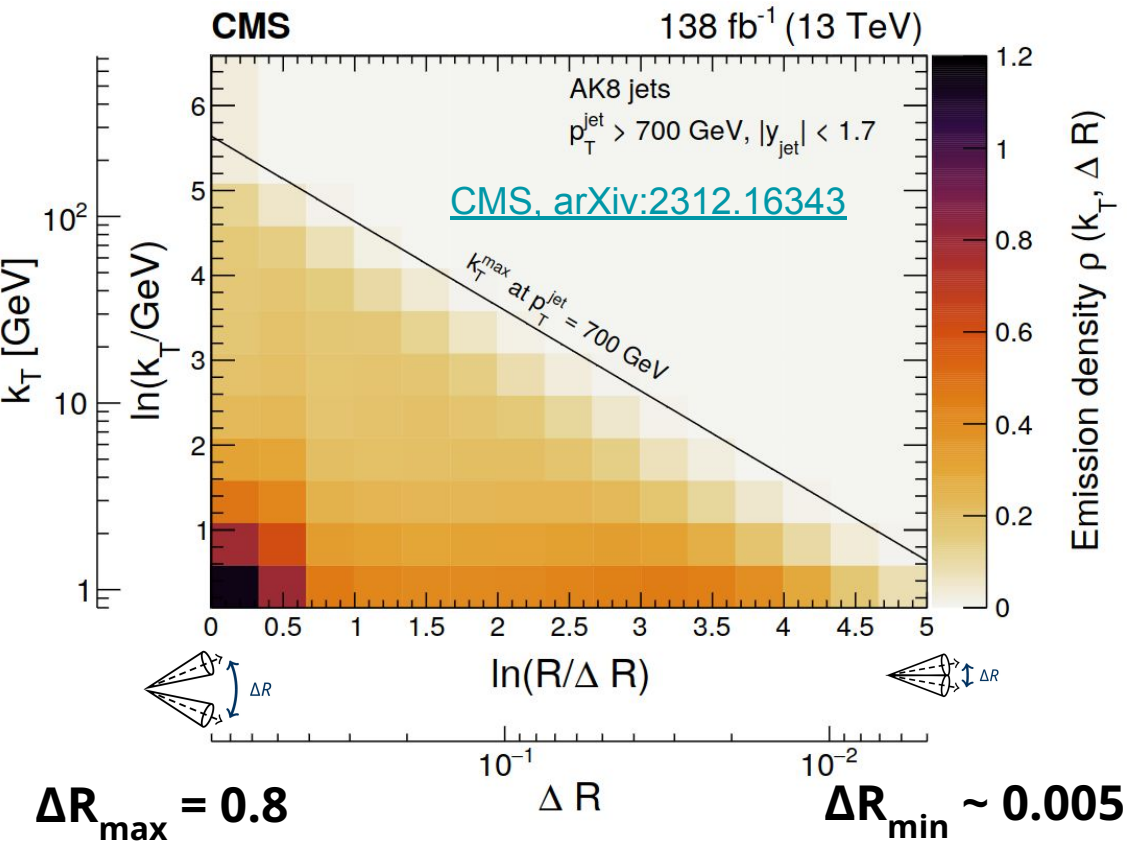
F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064



Decoupling of nonperturbative and perturbative contributions

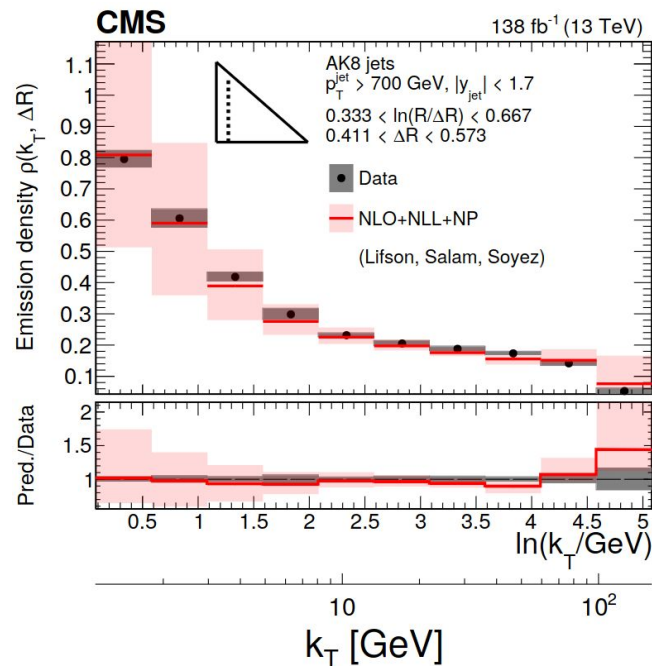
Useful for MC tuning,
but also for comparison with
“pen-and-paper” theory

measured primary Lund jet plane densities



Also measured by ATLAS [PRL 124, 222002 \(2020\)](#), & [ALICE-PUBLIC-2021-002](#)

Lifson, Salam, Soyez, [arXiv:2007.06578](https://arxiv.org/abs/2007.06578)



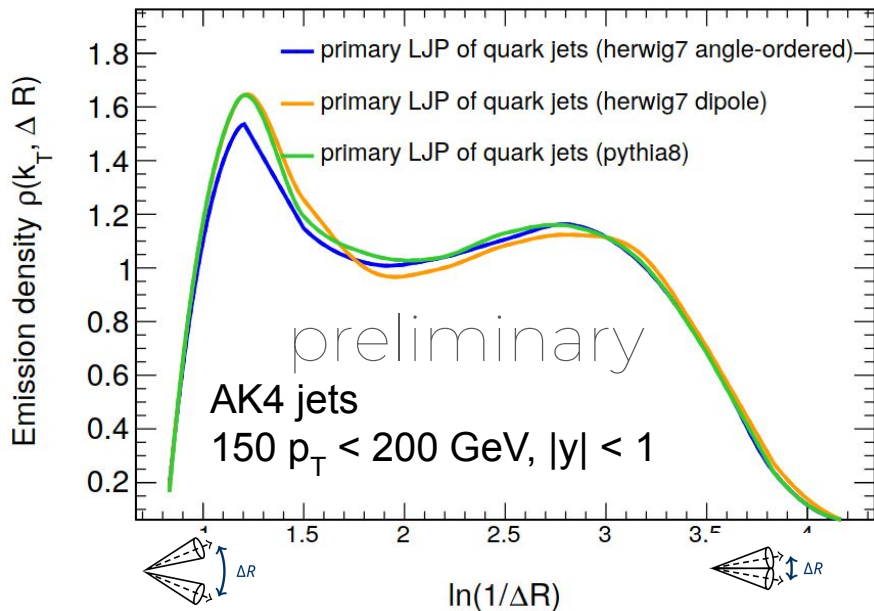
Approximately flat for hard&collinear emissions due to running $\alpha_s(k_T) \sim 1/\ln(k_T/\Lambda_{\text{QCD}})$

Quarks vs gluon primary LJPs in pp collisions

Same LJP slice at low $k_T \sim 1-2$ GeV

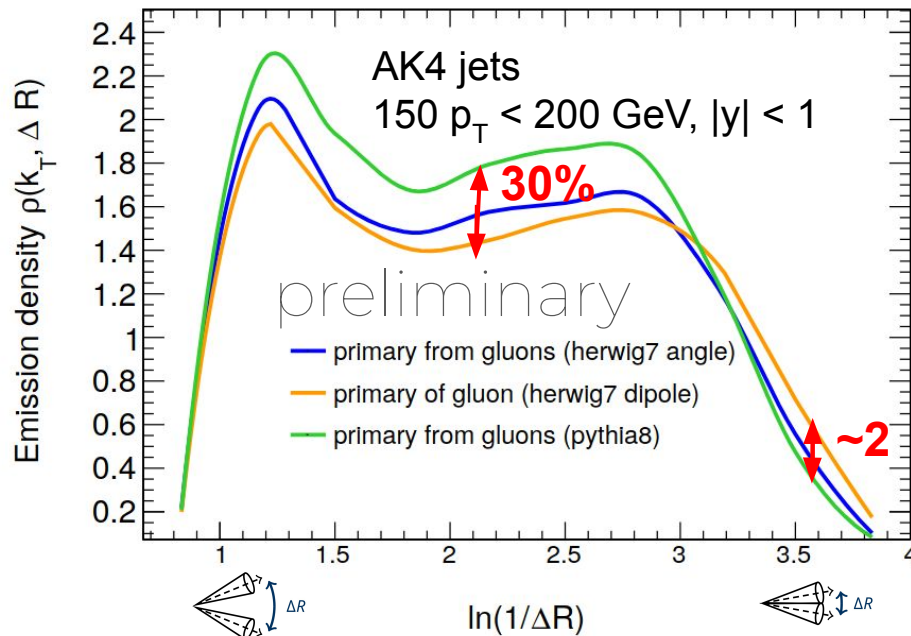


Quark jets ($qq \rightarrow qq$)



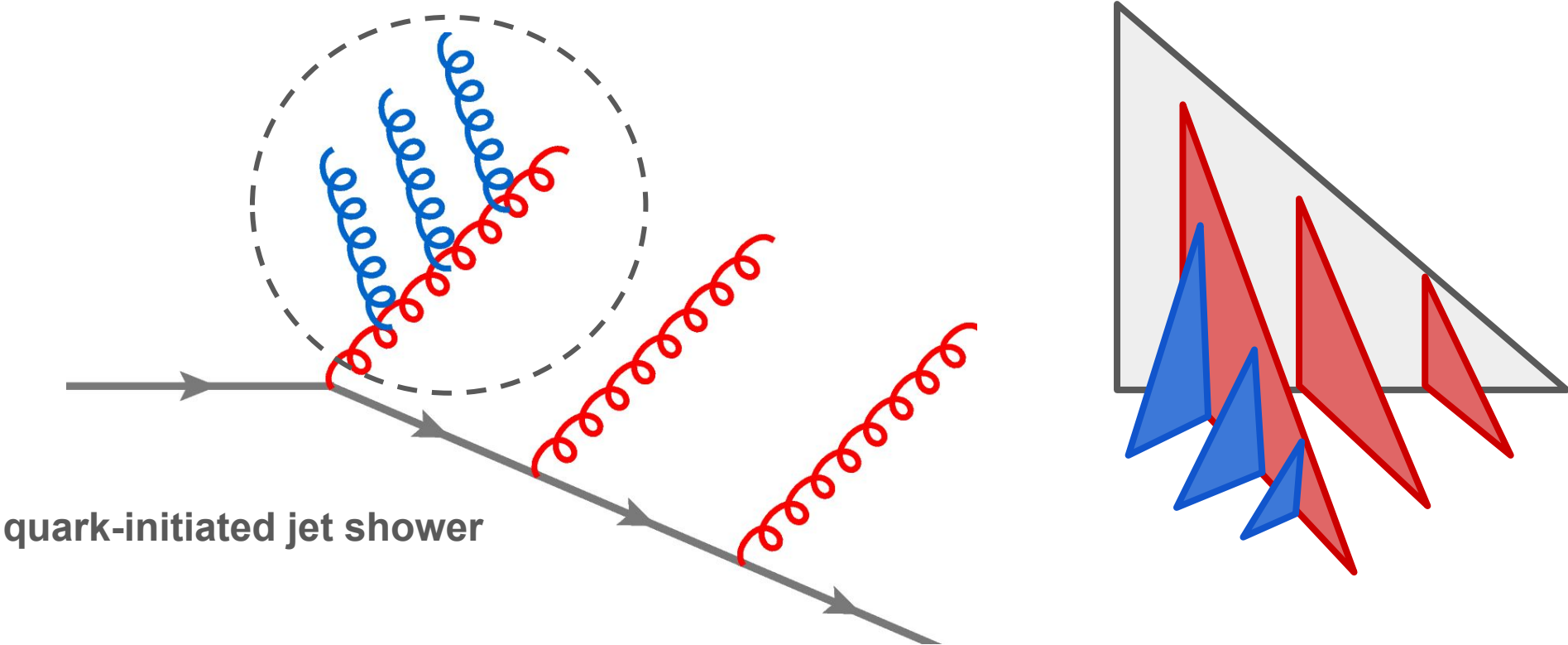
Spread of 1–5% (LEP constraints)

Gluon jets ($gg \rightarrow gg$)



Much larger spread, up to 30% differences.
Not as constrained by LEP!

Secondary Lund planes for gluon radiation

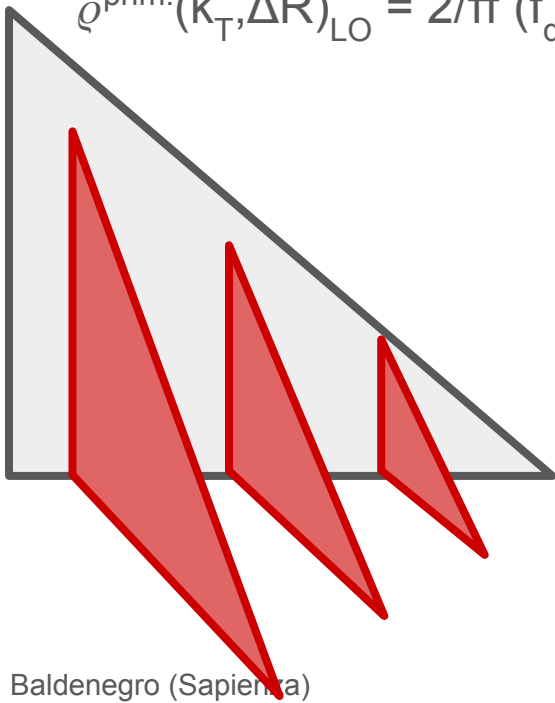


quark-initiated jet shower

Primary Lund plane

Average map for **mixture**
of quark/gluon jets at high- p_T

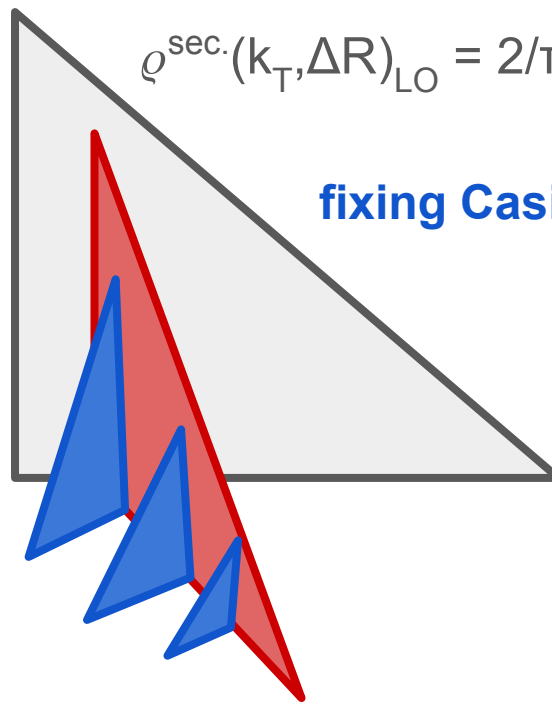
$$Q^{\text{prim.}}(k_T, \Delta R)_{\text{LO}} = 2/\pi (f_q C_F + f_g C_A) \alpha_S(k_T)$$



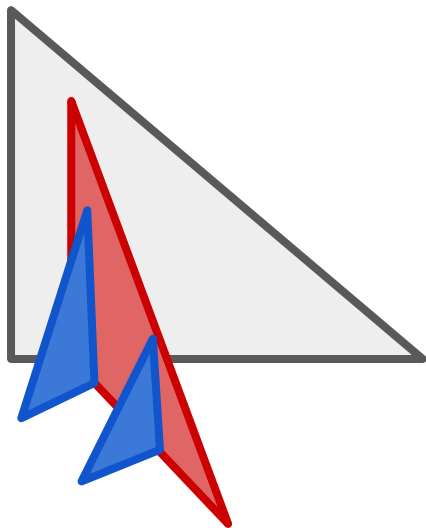
Secondary Lund jet plane

If **primary emission** is chosen
judiciously, can obtain gluon-rich jet
sample at a lower p_T

$$Q^{\text{sec.}}(k_T, \Delta R)_{\text{LO}} = 2/\pi \boxed{C_A \alpha_S(k_T)}$$

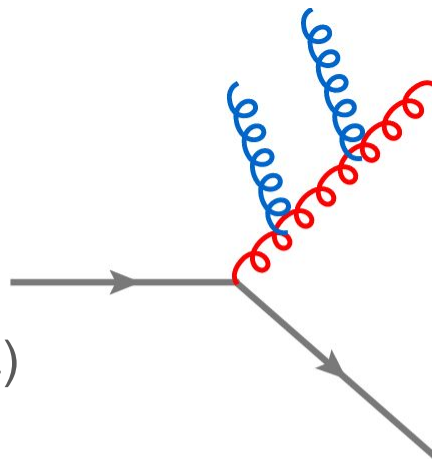


fixing Casimir factor!



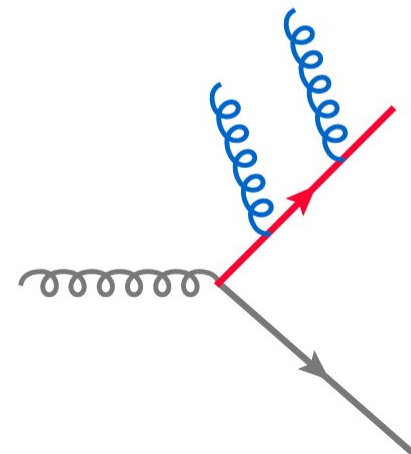
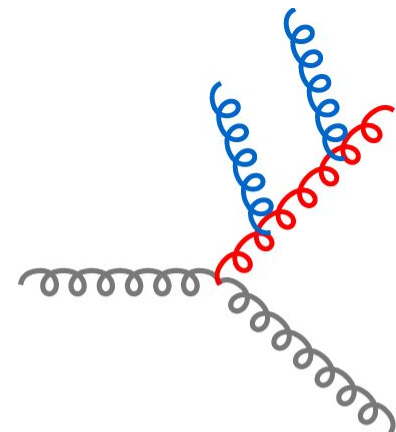
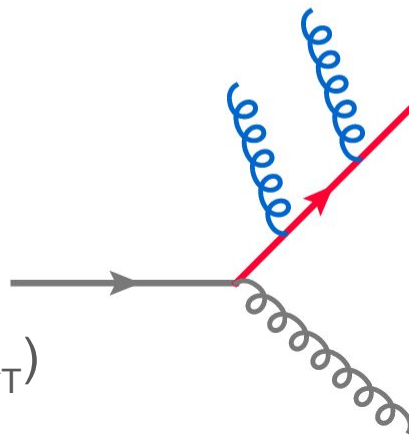
Gluon-dominated
secondary Lund planes

$$Q^{\text{sec.}} \sim 2/\pi \boxed{C_A} \alpha_S(k_T)$$



Quark-dominated
secondary Lund planes

$$Q^{\text{sec.}} \sim 2/\pi \boxed{C_F} \alpha_S(k_T)$$



Which Lund primary emission?

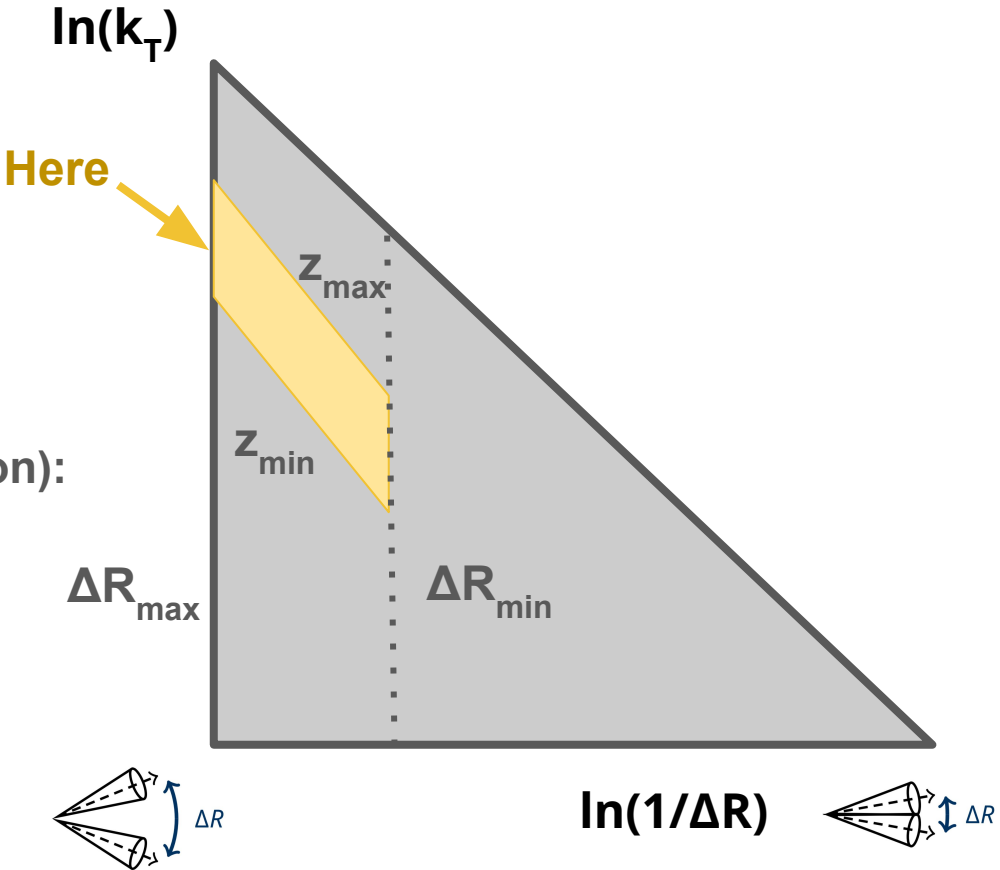
Collinear emission, but sufficiently large angles for phase space

(e.g., $\Delta R_{\min} \sim \frac{1}{2} R$, $\Delta R_{\max} \sim R$)

Soft emission (1/z pole of splitting function):

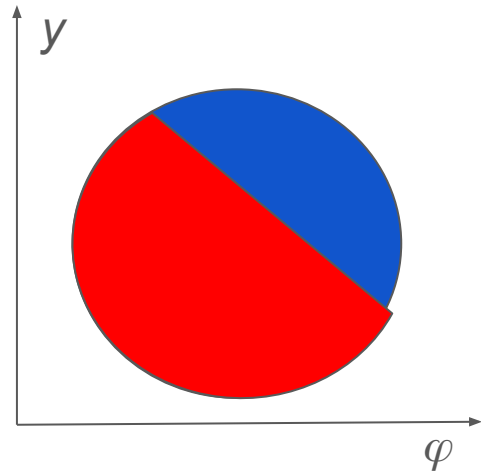
Asymmetric momentum balance,
 $z = p_{T,\text{soft}} / (p_{T,\text{soft}} + p_{T,\text{hard}})$ (e.g., $0.2 < z < 0.25$)

Phase-space region where parton flavor changes are negligible



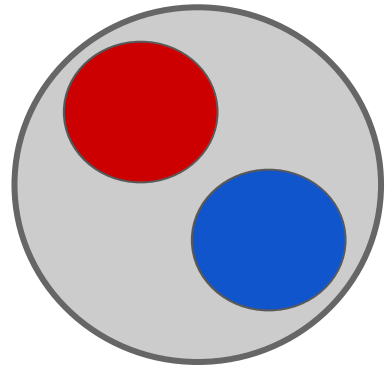
At least three setups that work

1. SoftDrop-like
(Cambridge/Achen tree)



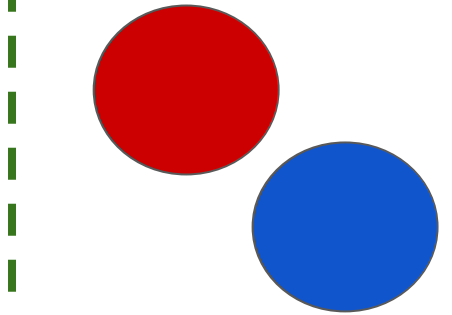
large $R = 1.2$ jet,
 → find soft-drop emission with
 $R_g > 0.6$ & $0.2 < z_{cut} < 0.3$
 (pick the **subleading subjet**)

2. Trimming
(reclustering with smaller R)



large $R = 1.2$ jet
 → recluster w/ small $R = 0.4$
 (pick the **subleading subjet**)

3. anti- k_T dijet selection
(or multijet)

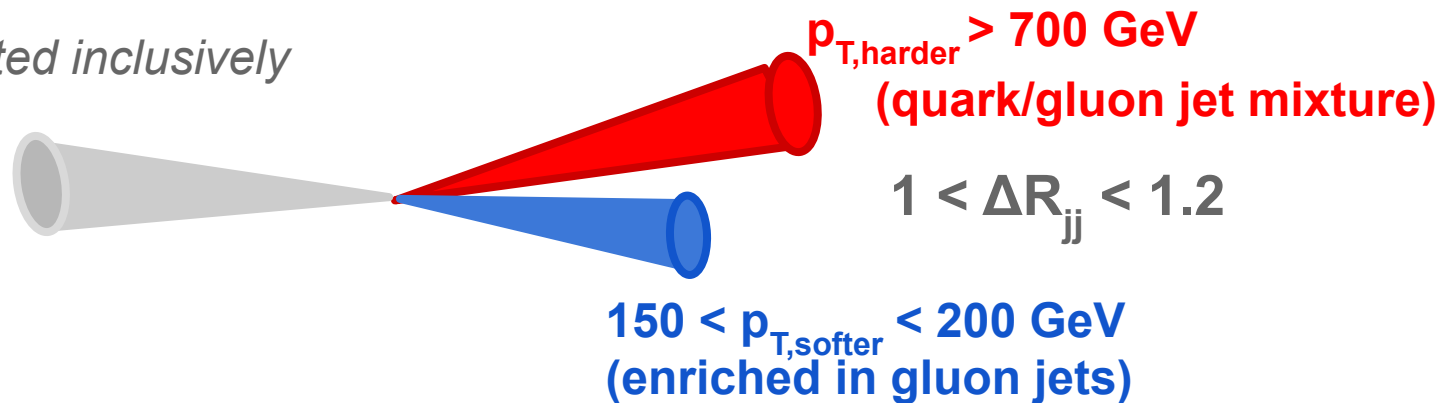


$R = 0.4$ jets, find all pairs
 of collinear jets with
 asymmetric p_T

anti- k_T $R = 0.4$ dijet selection

collinear topology +
asymmetric p_T share

Other jets are treated inclusively

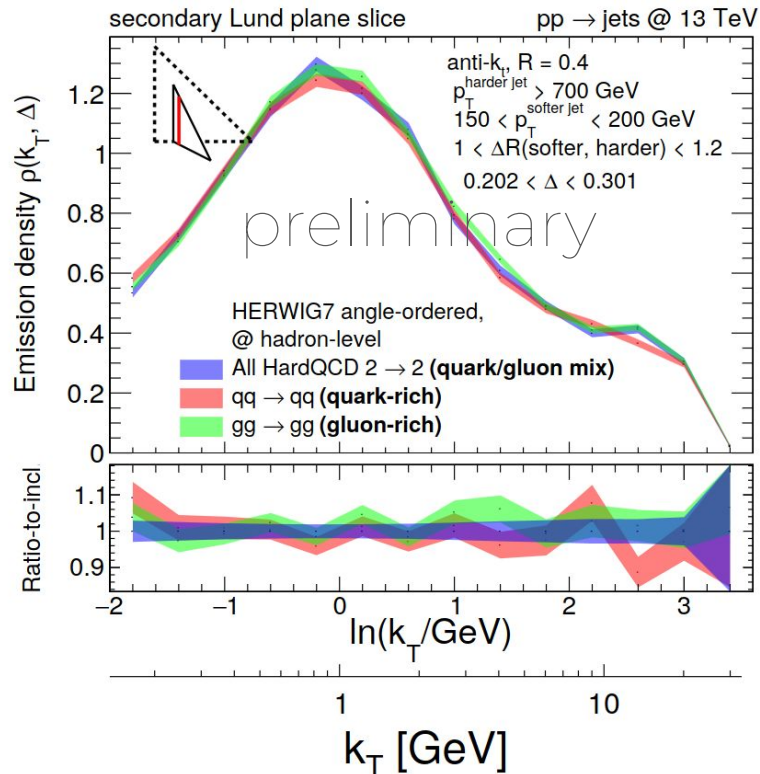
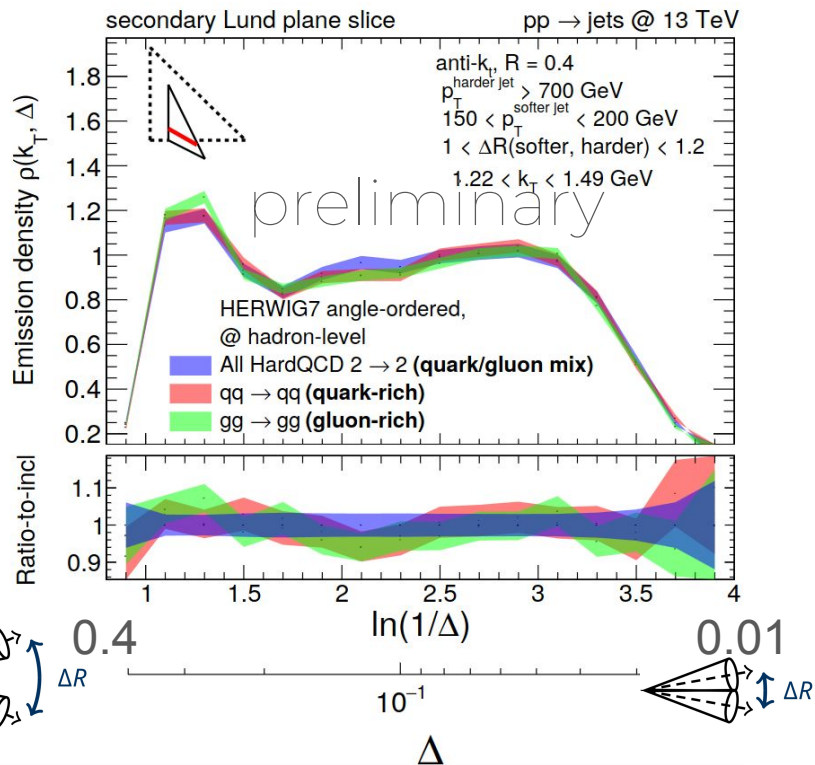


“inclusive” dijet selection (i.e., all jet pairs in the event contribute)

Unprescaled jet triggers $\mathcal{O}(200k)$ “high-purity” gluon jets in Run-2 or Run-3

“Rivet-friendly” selection, makes it easier for data reinterpretation

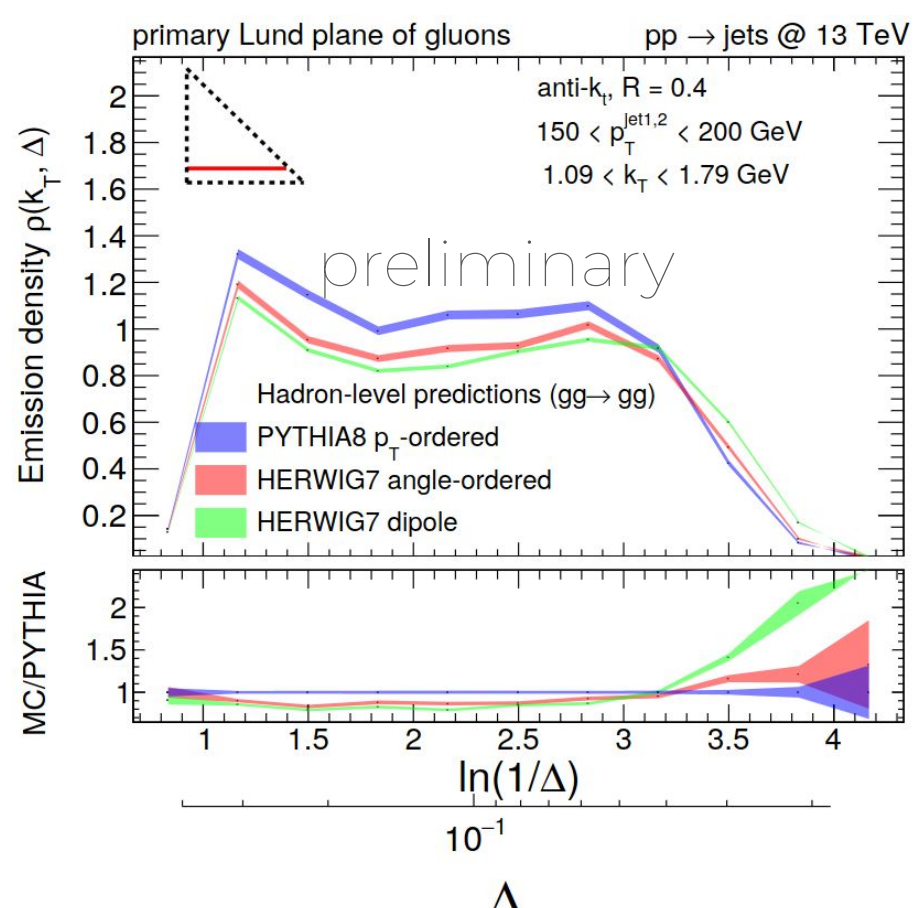
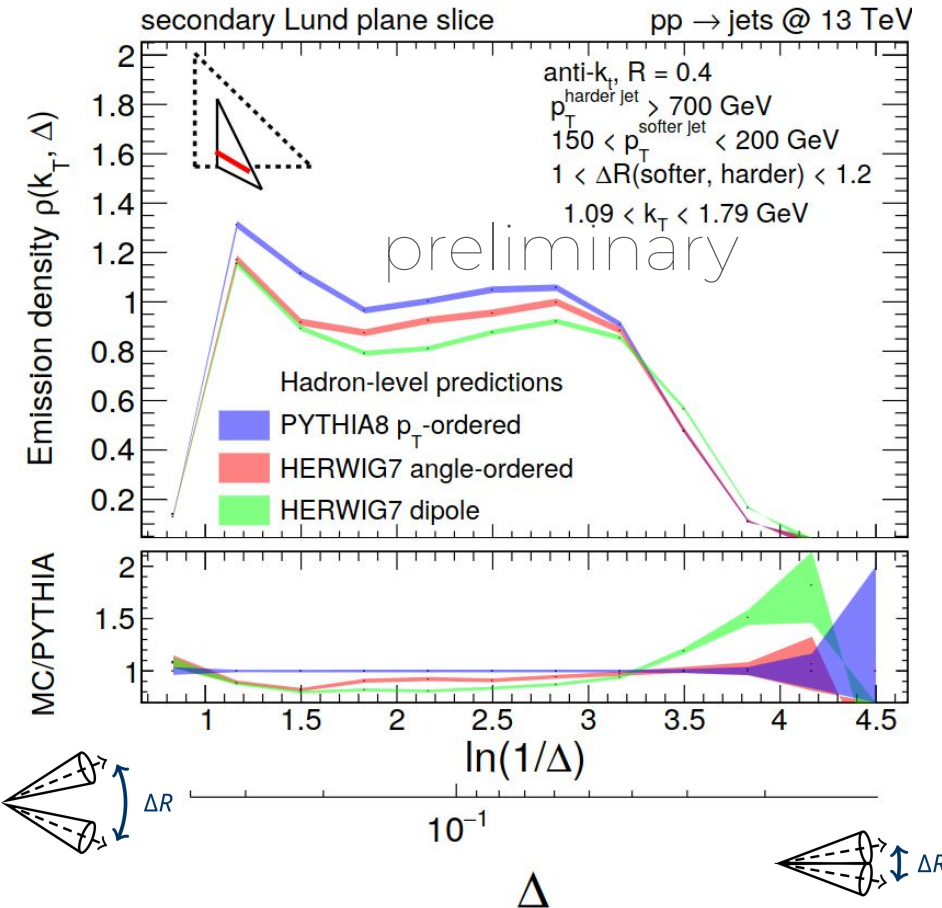
Process-independence, PDF-independence



Same results regardless of parton flavor of the hard scattering!

(Observed also for PYTHIA8, HERWIG7 dipole shower)

Model constraining power vs hypothetical gluon primary LJP

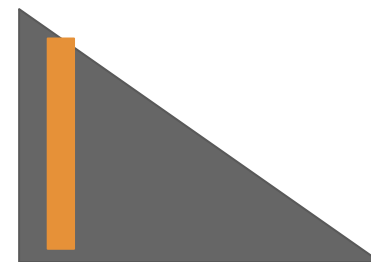
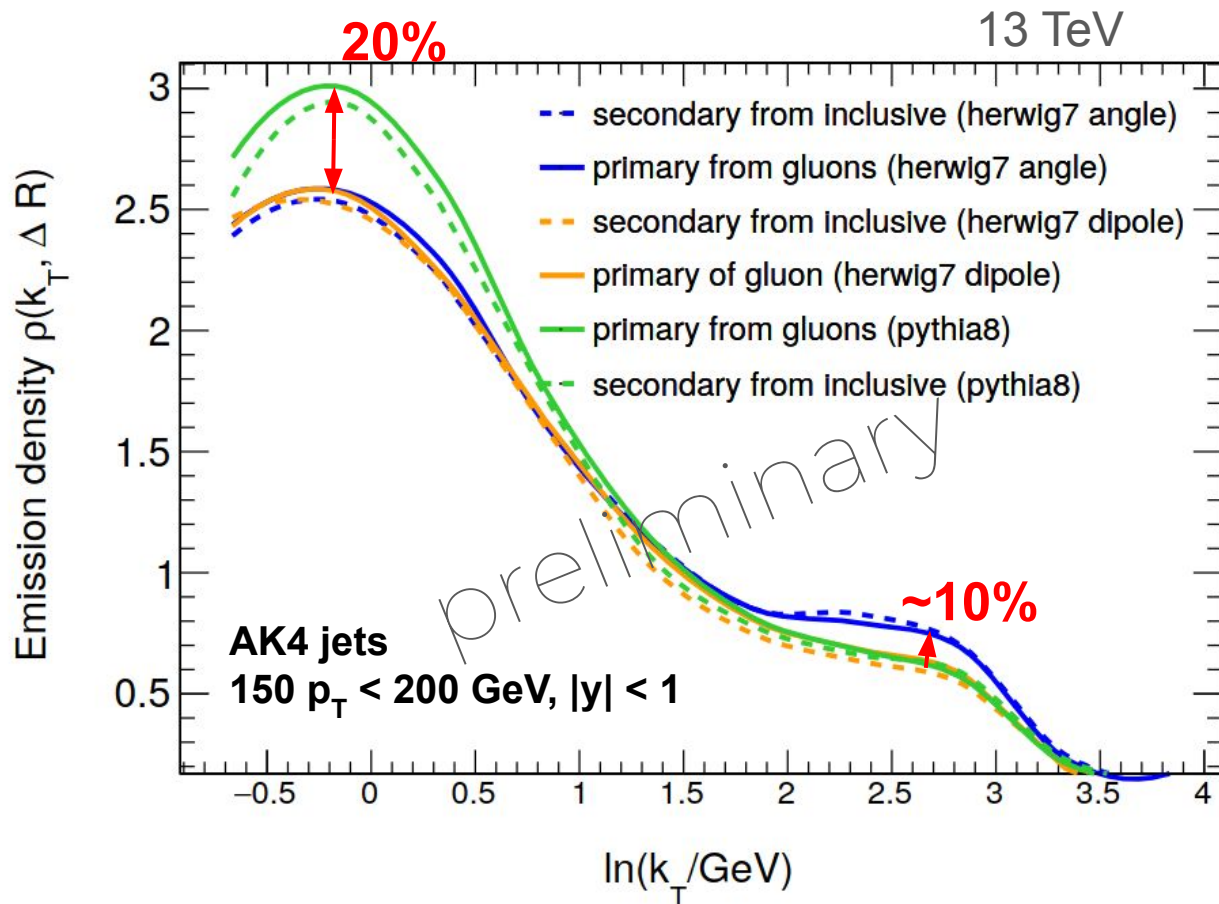


Summary

- Quark jet showers are strongly constrained at LEP;
gluon jet showers much less so!
- Secondary Lund jet planes for high-purity gluon radiation at the LHC
(resilient to quark/gluon jet composition)
- Process-based enrichment, based on QCD infrared & collinear divergences

backup

Similar model discrimination as with idealized gluon primary LJPs ($gg \rightarrow gg$)



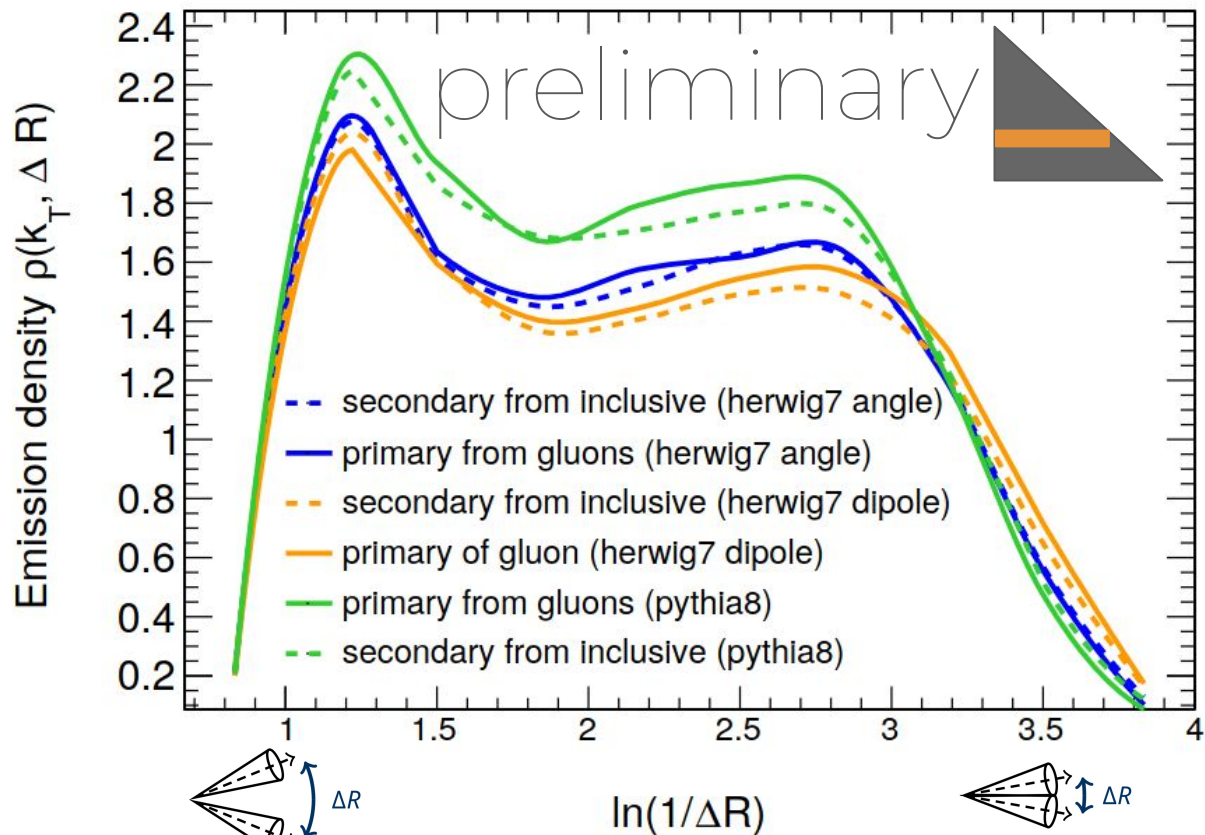
Similar model discrimination with gluon primary LJPs

AK4 jets

$150 p_T < 200 \text{ GeV}, |y| < 1$

(from $gg \rightarrow gg$)

13 TeV

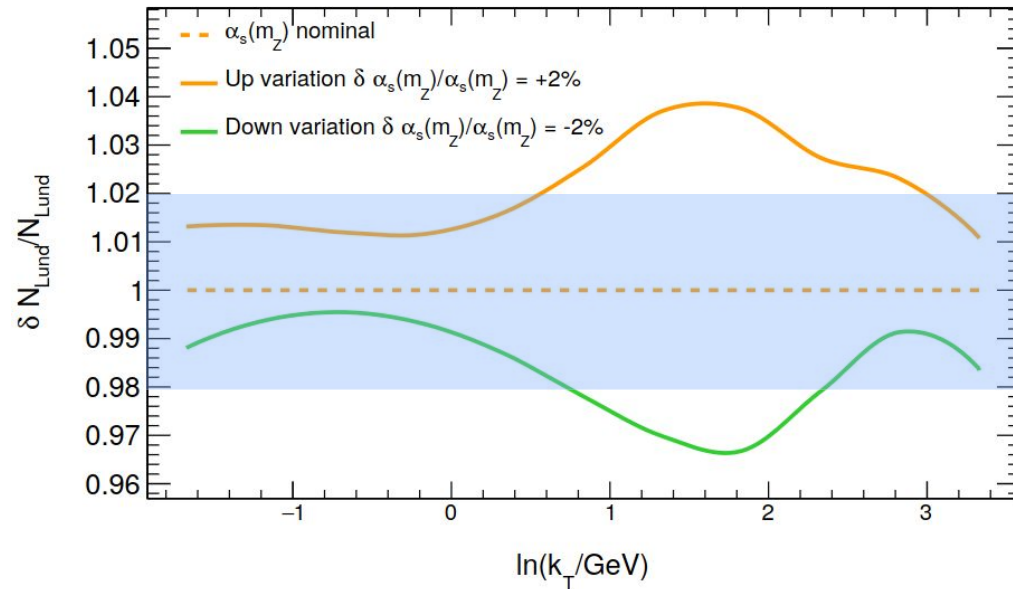


Precision physics

Other jet substructure observables can be considered (e.g., groomed jet mass, energy-energy correlators)

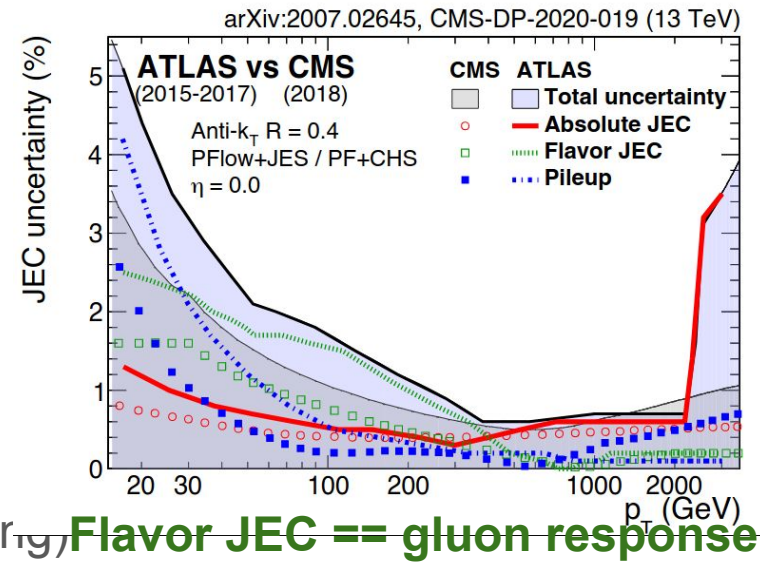
Strong resilience to PDF variations & quark/gluon fraction, potential path for α_S extraction using FSR at the LHC

Sensitivity to $\alpha_S^{\text{MC}}(m_Z)$ variations for intrajet multiplicity observable (more in backup)



Why gluon showers?

- Understanding of gluon radiation in detail.
- Gluon jet detector response uncertainties
- Quark vs gluon taggers (validation sample, tagging, η)
- “Vacuum” parton showers typically used as baseline for gluon-jet quenching predictions for PbPb collisions

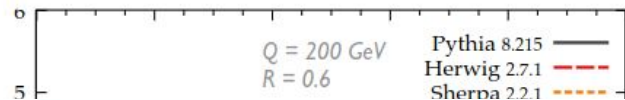
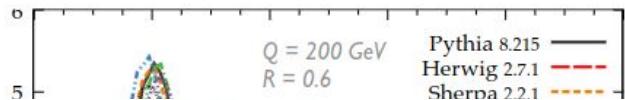


Les Houches 2015 substructure studies

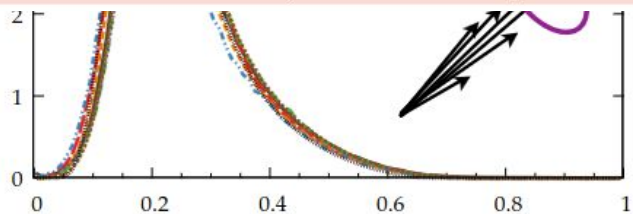
$e^+e^- \rightarrow$ quarks ($C_F = 4/3$)

VS.

$e^+e^- \rightarrow$ gluons ($C_A = 3$)

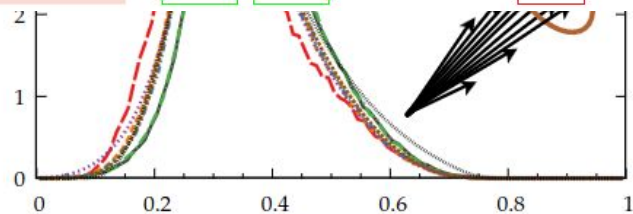


At the end of the day, most of the disagreement between generators is due to gluon radiation patterns. This is not so surprising, since most of these generators have been tuned to reproduce distributions from e^+e^- colliders, and quark (but less so gluon) radiation patterns are highly constrained by event shape measurements at LEP [444-447]. In Sec. 5.8, we suggest



$$1/p_{T,\text{jet}} \sum p_{T,i} \Delta R_{i,\text{jet}}$$

small spread



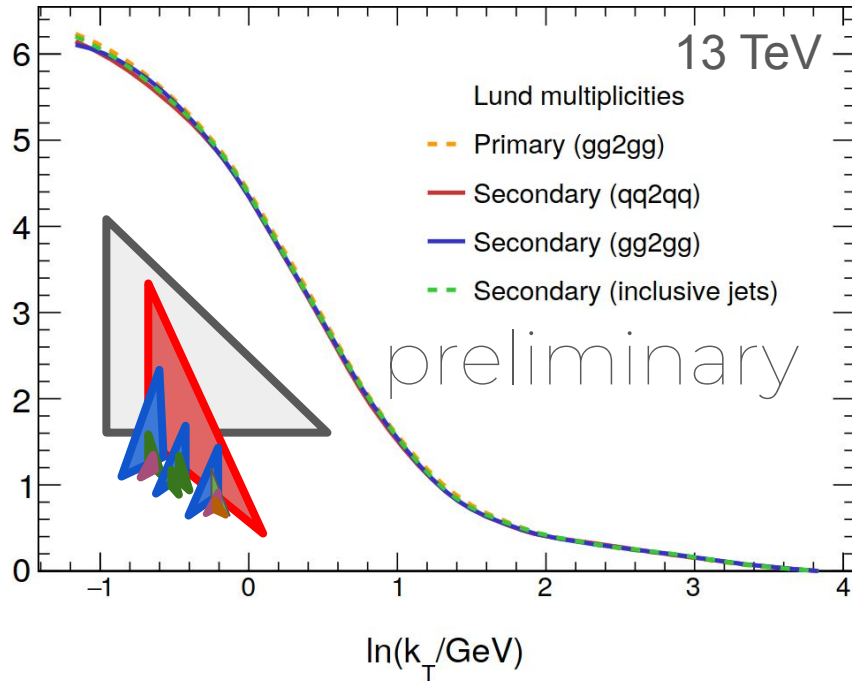
$$1/p_{T,\text{jet}} \sum p_{T,i} \Delta R_{i,\text{jet}}$$

large spread

average Lund multiplicity of gluons

process universality (Pythia8)

Average Lund multiplicity

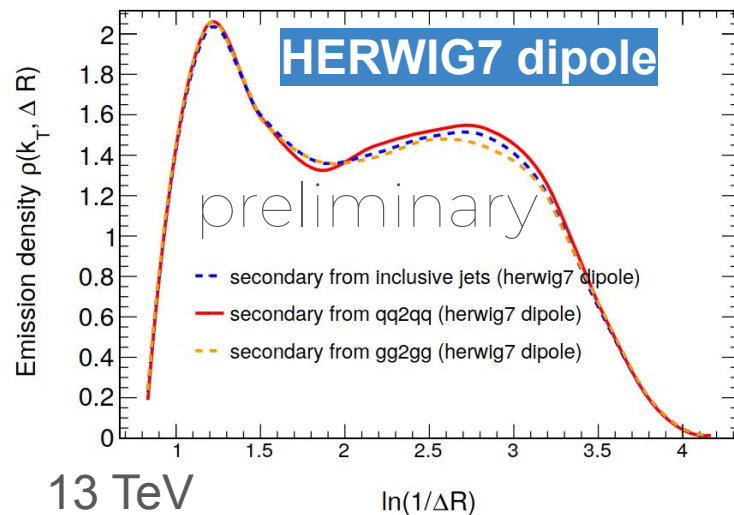
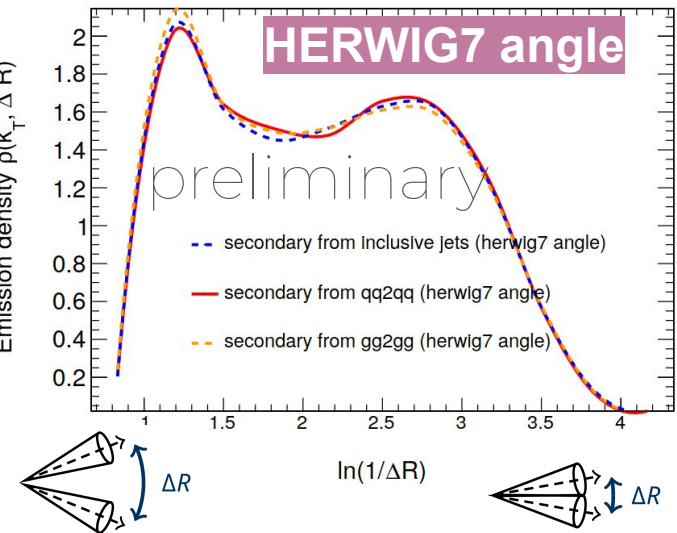


Robust to to quark/gluon fraction,
(independent of hard-process&PDFs)

compatible with Lund multiplicity
from **Born-level gluons!**

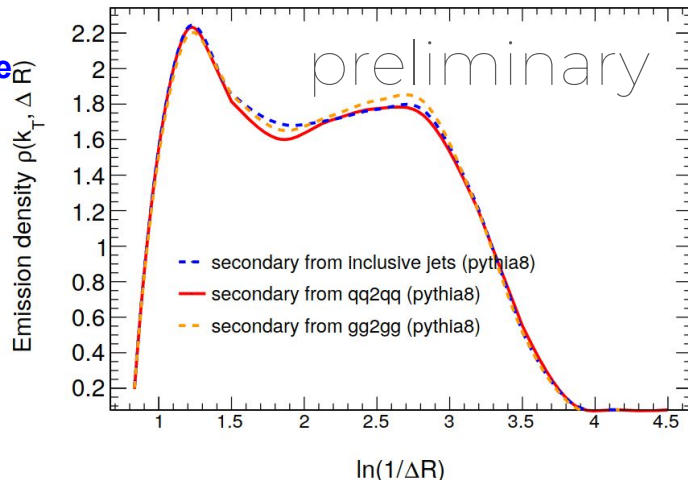
Process- & PDF-independent observable

13 TeV



PYTHIA8

13 TeV



Secondary LJP from quark-gluon mixture (inclusive jets)

Secondary LJP from quarks (qq→qq hard scattering)

Secondary LJP from gluons (gg→gg hard scattering)

Differences negligible within expected sensitivity

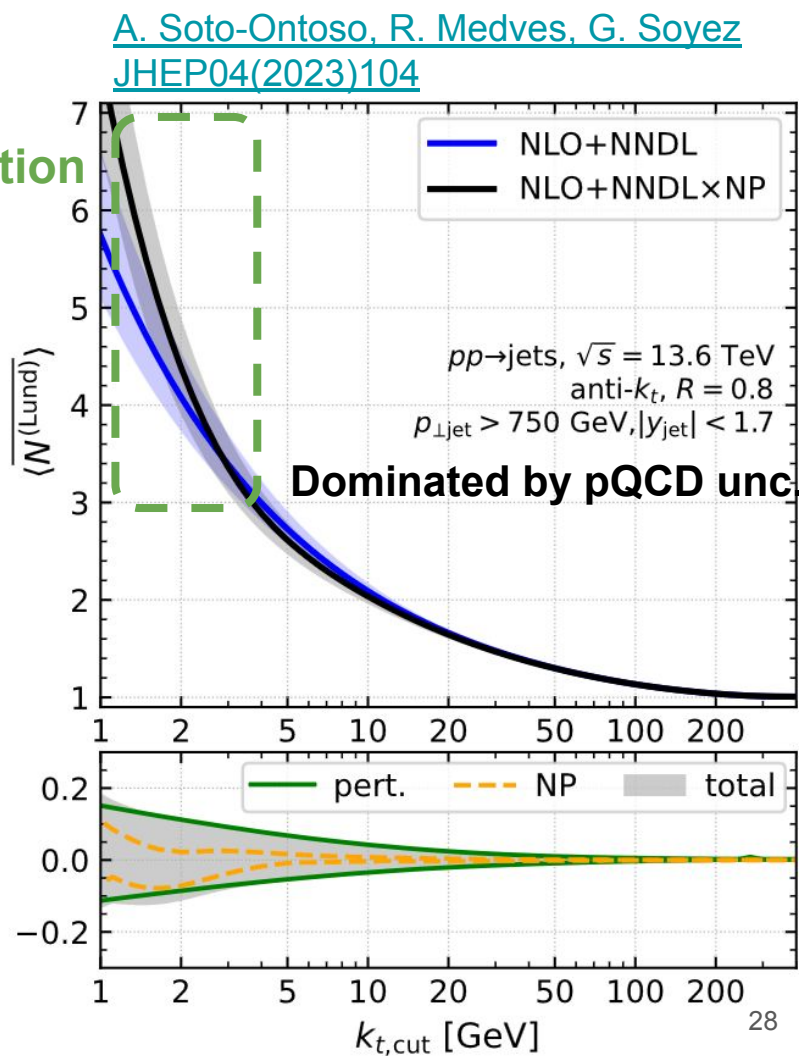
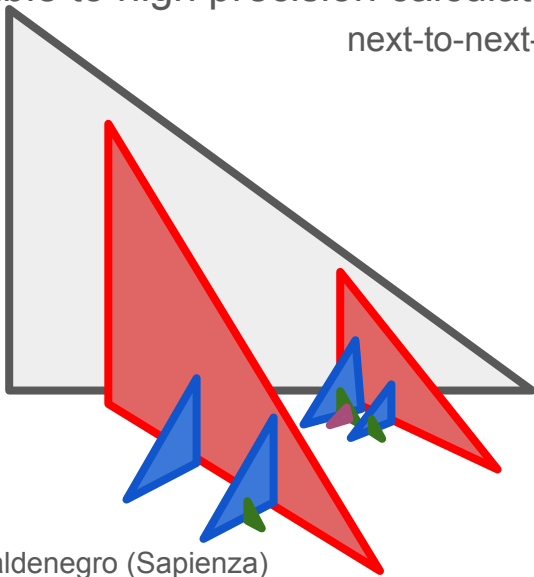
Shape and normalization resilient to underlying q/g fraction

Average Lund multiplicity

1. Undo the *full* Lund tree
2. Count # of emissions with $k_T > k_{T,cut}$
3. Average over *all* jets in the sample

5% NP correction

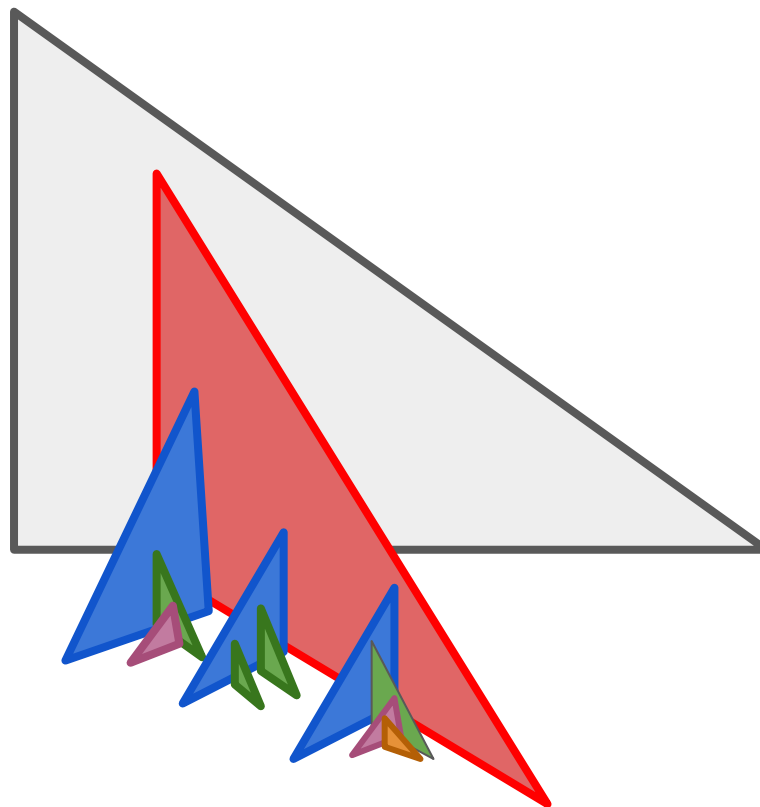
Amenable to high precision calculations (NLO+NNDL)
next-to-next-to-double logarithmic



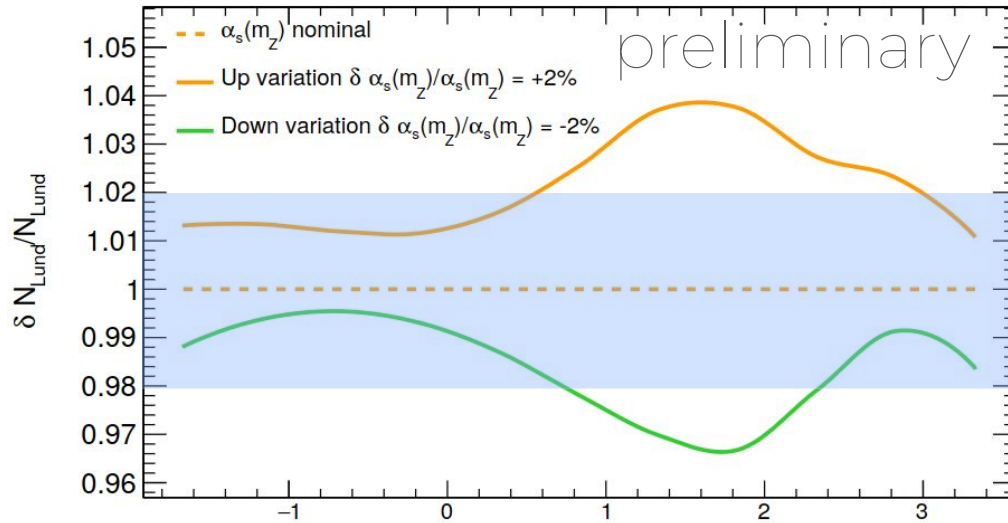
Average Lund multiplicity of the secondary Lund plane

Decompose the full Lund tree of the *primary emission*

Use as proxy for average Lund multiplicity of gluon-initiated jets



Sensitivity to $\alpha_s^{MC}(m_Z)$ variations (NB: used PYTHIA8 for proof of concept)



Nonperturbative
($k_T \ll 1 \text{ GeV}$)

$\ln(k_T/\text{GeV})$ **Perturbative**
($k_T \gg 1 \text{ GeV}$)

**Ballpark expected
experimental unc. of ~2%**

Discussing with theorists about
calculability (or other observables)

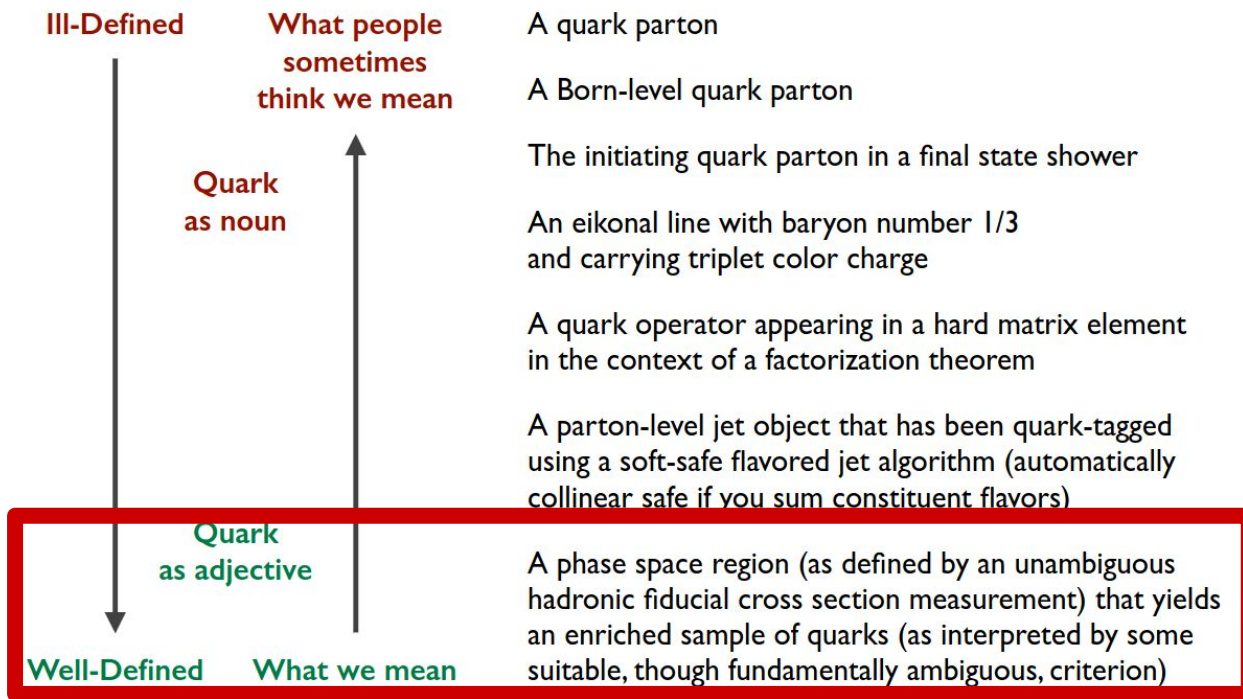
+/- 2% shifts on $\alpha_s(m_Z) \rightarrow \text{O}(3-4\%)$ changes on Lund multiplicity for gluons

[nonlinear scaling with $\alpha_s(m_Z)$ due to cumulative # of $g \rightarrow gg$ splittings]

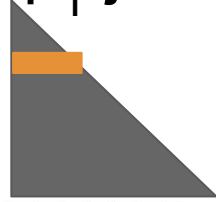
From Les Houches 2015

What is a Quark Jet? (Or gluon jet)

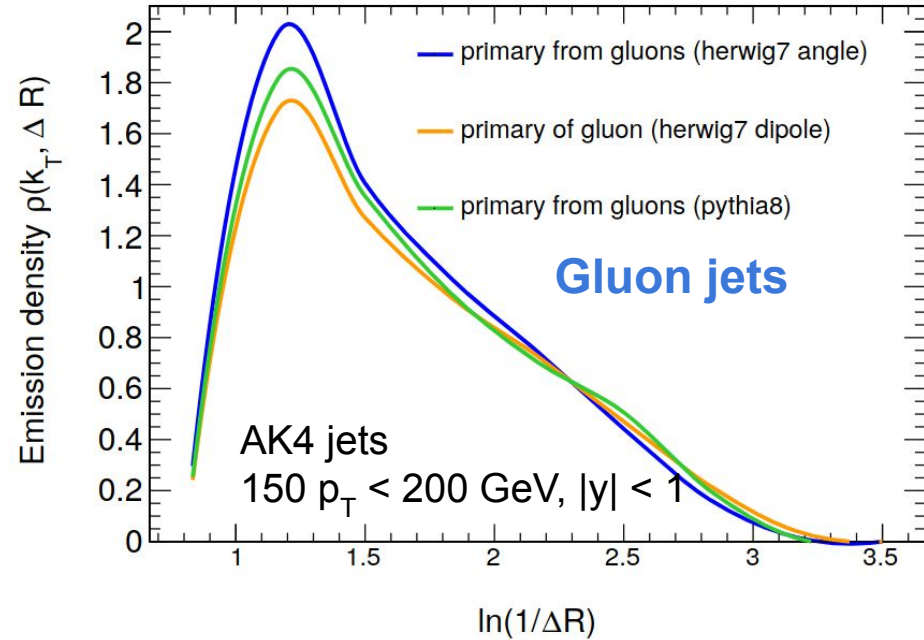
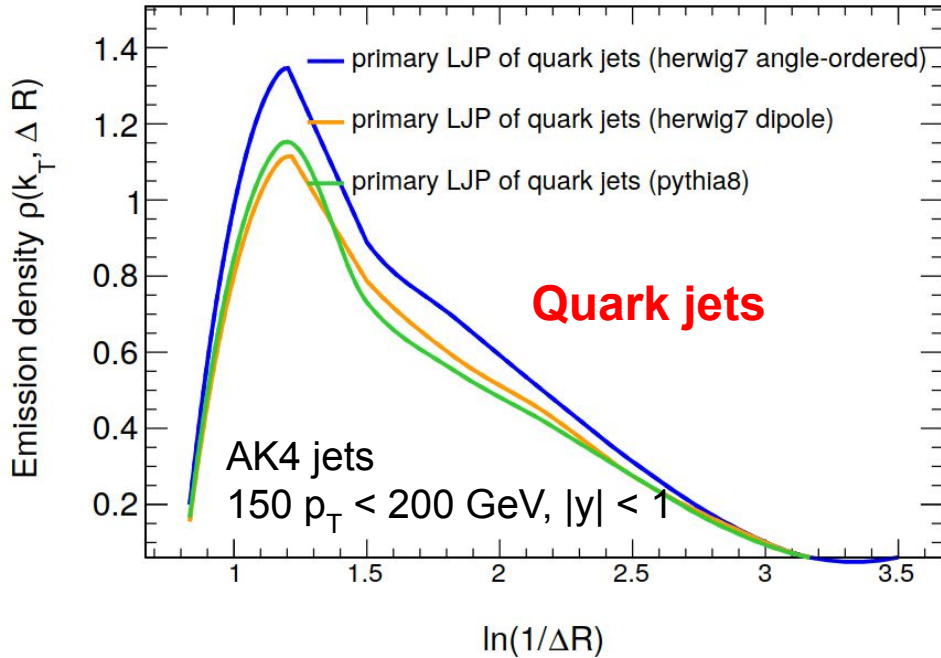
From lunch/dinner discussions



“Quark jets constrained by LEP” mostly accurate for low p_T jets cf reach of LEP



Differences in perturbative regime ($k_T > \sim 5$ GeV) for quark and gluon jet showers



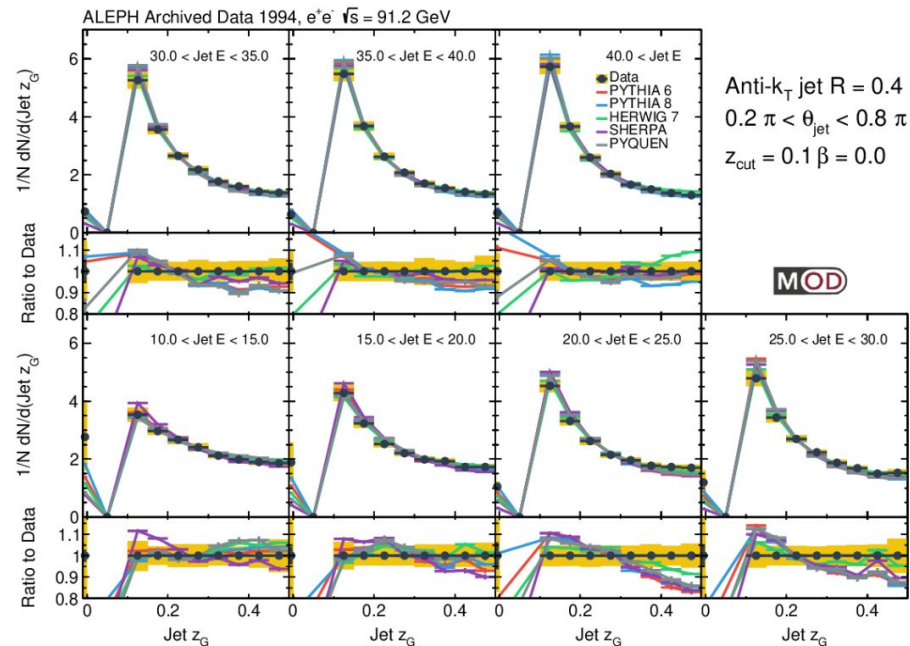
Herwig7 dipole usually closer to Pythia8 in the perturbative region

Herwig7 angle-ordered usually higher in perturbative region

“Quark jets constrained by LEP”

Data/MC differences with Lund-based observables. For example, soft-drop z_G with archived ALEPH data shows mismodeling for $z_G \sim 0.5$ (upper edge of Lund plane).

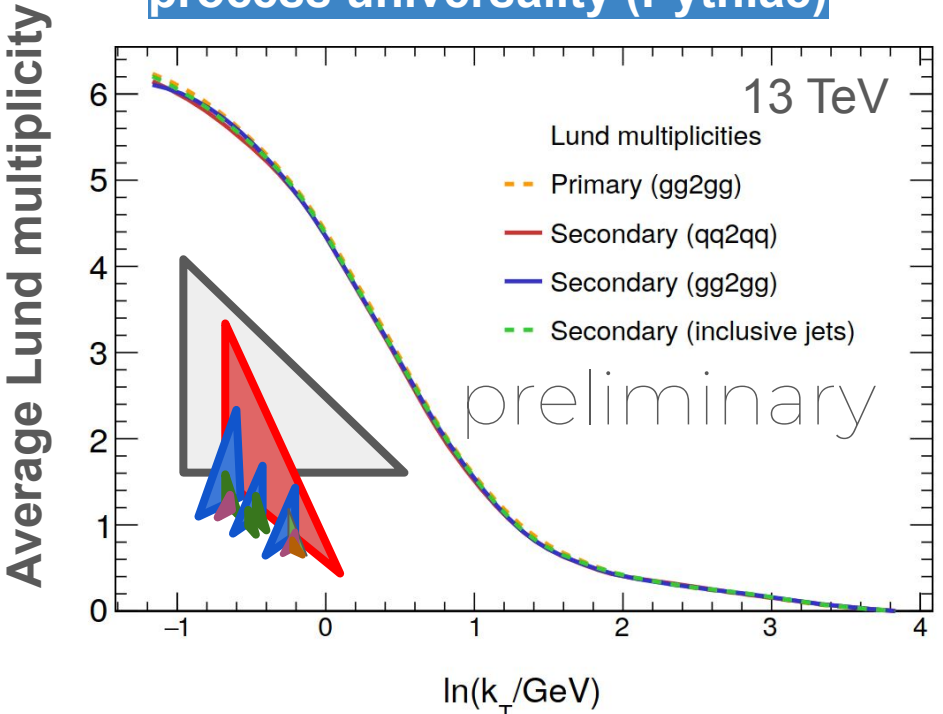
LHC data valuable to clarify mismodeling (dijet, Z+jet, UPC jets...) for high- p_T quark jets



[Yi Chen et al
arxiv.org/abs/2111.09914](https://arxiv.org/abs/2111.09914)

average Lund multiplicity of gluons

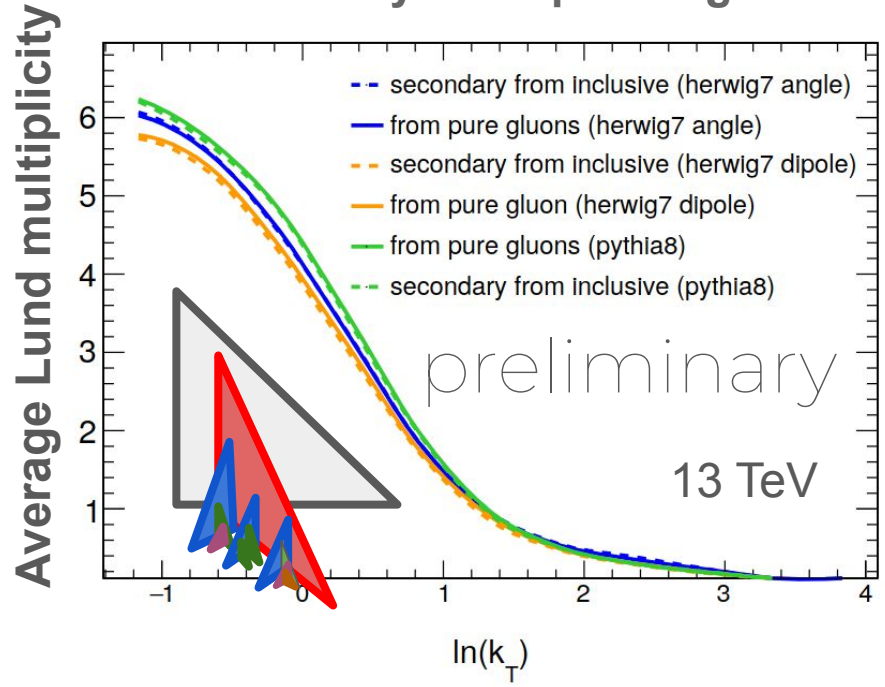
process universality (Pythia8)



Robust to to q/g fraction & PDFs uncertainties

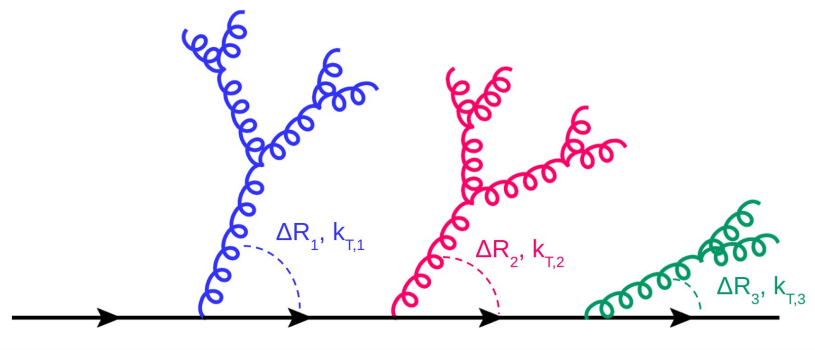
coincides with Lund multiplicity from **Born-level gluons!**

MC comparison of pure gluons vs “secondary Lund plane” gluons

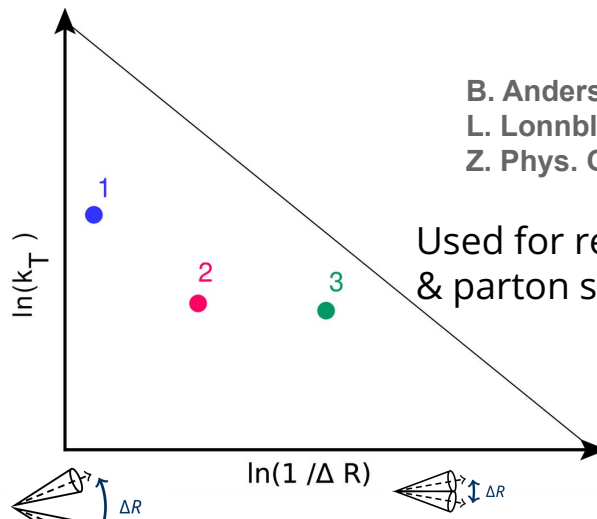


Solid lines: $gg \rightarrow gg$ primary
Dashed lines: incl. jets secondary

The Lund plane: 2D phase-space of QCD branchings



k_T : relative transverse momentum of emission
 ΔR : angular opening of emission and core



B. Andersson, G. Gustafson,
 L. Lonnblad, and U. Pettersson,
 Z. Phys. C43 (1989) 625

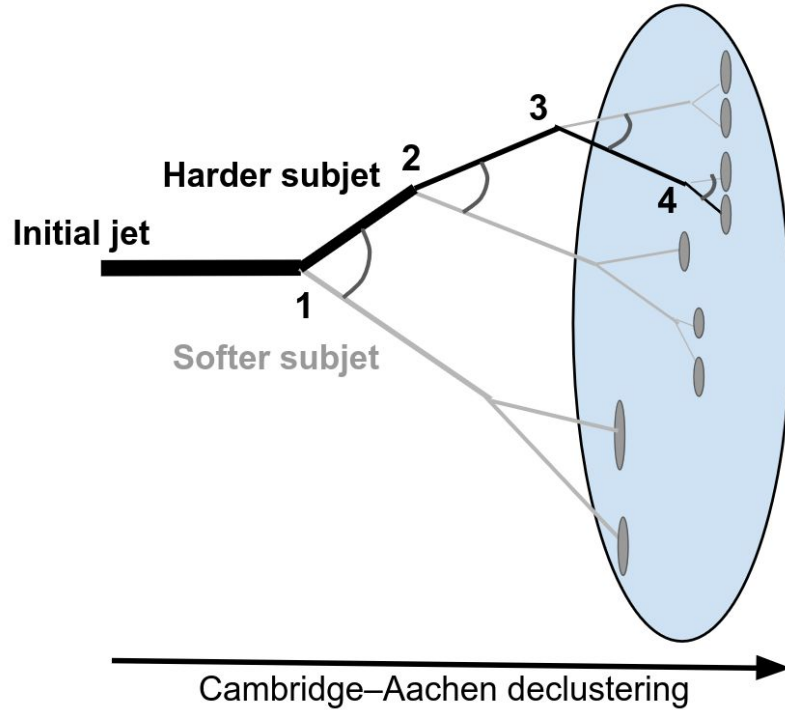
Used for resummation
 & parton showers

In soft & collinear limit of QCD, emissions fill the double-logarithmic plane of k_T and ΔR uniformly

$$\mathcal{P} \propto \alpha_s \frac{dk_T}{k_T} \frac{d\Delta R}{\Delta R} = \alpha_s d \ln(k_T) d \ln(\Delta R) \leftarrow \text{approximate self-similarity of QCD}$$

Promotion to a practical tool: the primary Lund jet plane

F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064



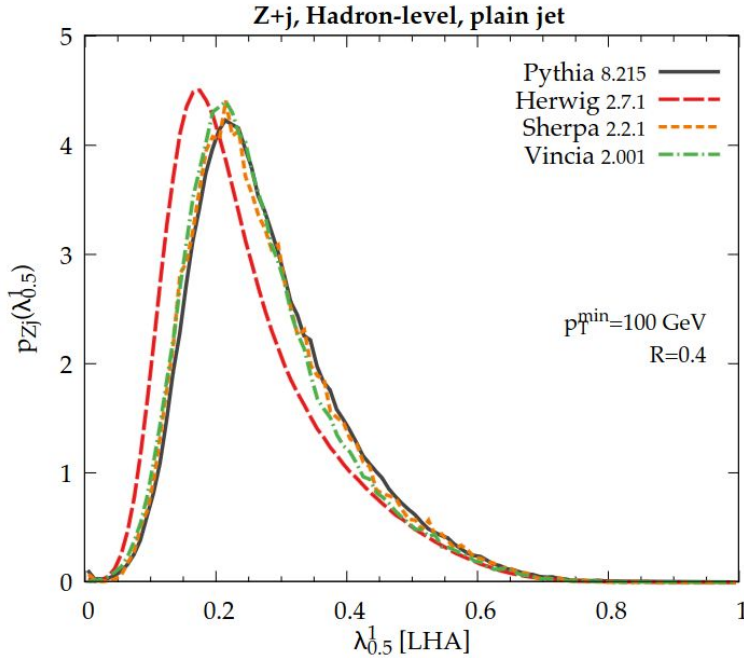
1. Recluster jet with Cambridge/Aachen algorithm (pairwise clustering by proximity in rapidity-azimuth)
2. Follow Cambridge/Aachen clustering history in reverse, along the **hardest branch** (hence “primary”)
3. k_T and ΔR coordinates registered at each step

$$\Delta R = \sqrt{(y^{\text{softer}} - y^{\text{harder}})^2 + (\phi^{\text{softer}} - \phi^{\text{harder}})^2}$$

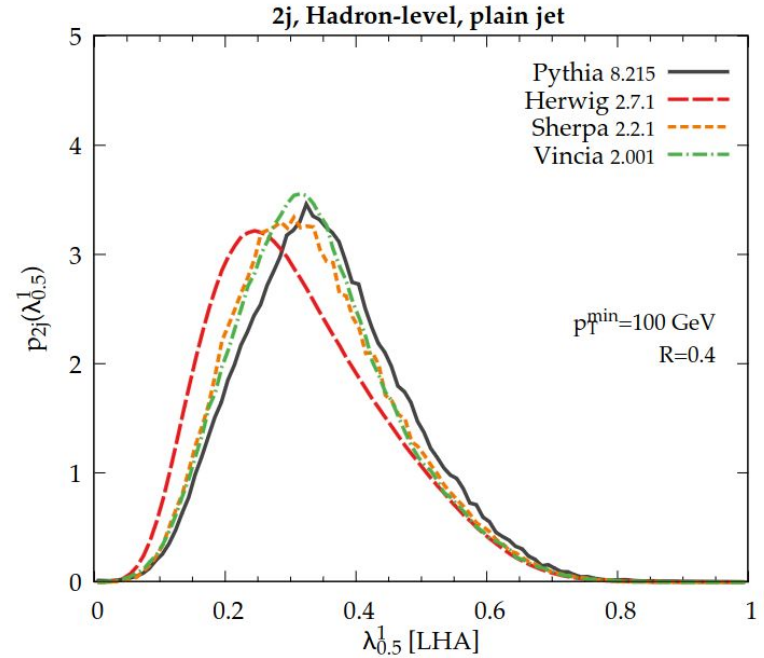
$$k_T = p_T^{\text{softer}} \Delta R$$

Differences carry over to the LHC (Z+jet vs dijet)

Quark-like (~70%)



Gluon-like (~70%)

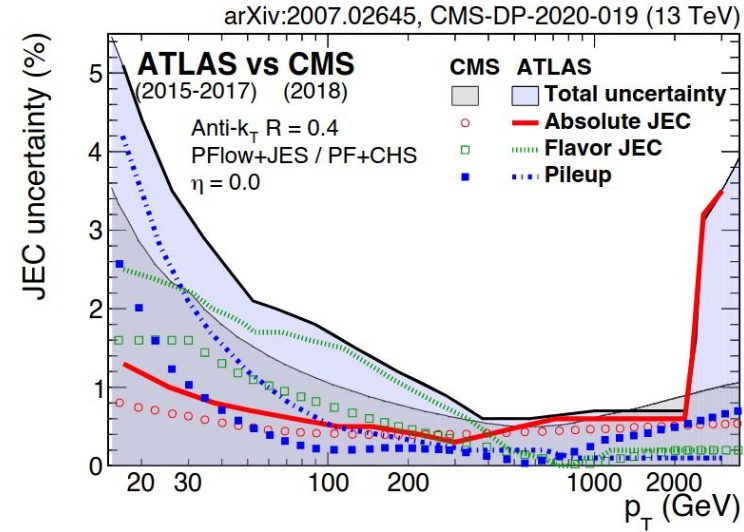


Motivated the SMP-20-011 measurement

Other applications within CMS

-Another handle to test quark vs gluon taggers

-Detector-level data/MC differences relevant for jet calibration (e.g., HCAL response, tracking, baryon fraction cf ATLAS findings)



Is it really that interesting?

In soft&collinear limit, only difference between quarks and gluons due to color factors

$$\mathcal{P} \propto C_i \alpha_s \frac{dz}{z} \frac{d\Delta}{\Delta} \quad C_i = C_A \text{ or } C_F$$

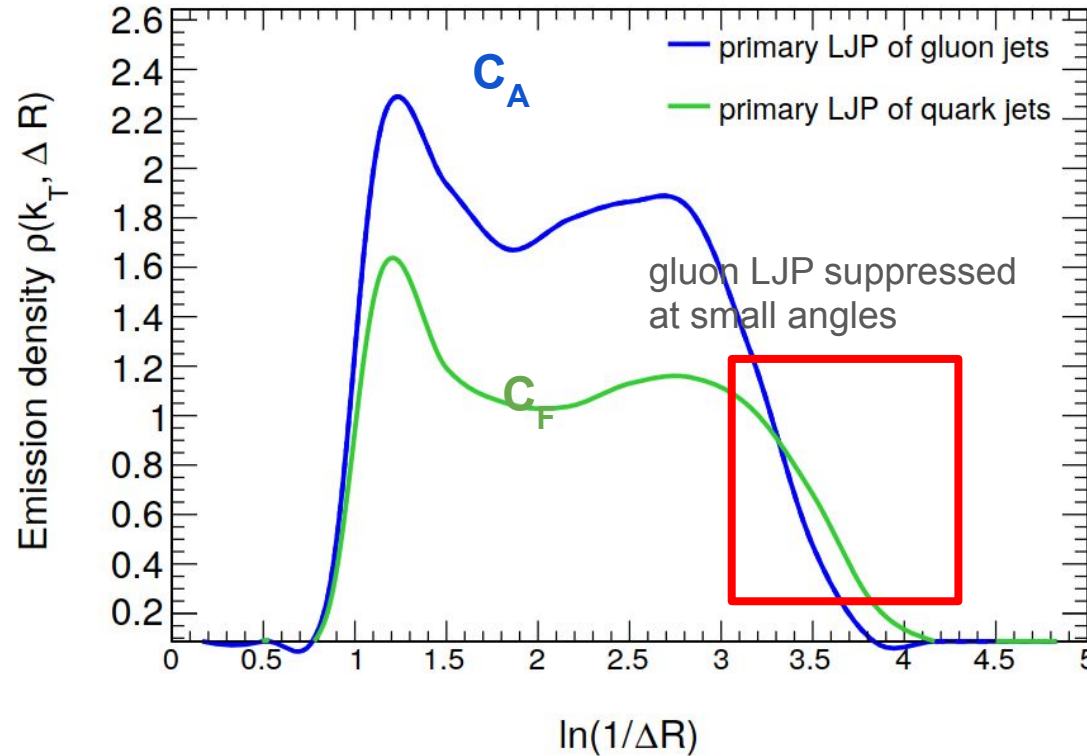
The *interesting* differences between quark and gluon fragmentation comes from corrections beyond naïve Casimir scaling (e.g., spin correlations, polarization effects, color reconnections, $g \rightarrow q\bar{q}$ & $g \rightarrow ggg$, ..., NLO corrections to splitting functions, ...)

Quarks vs gluon Lund planes



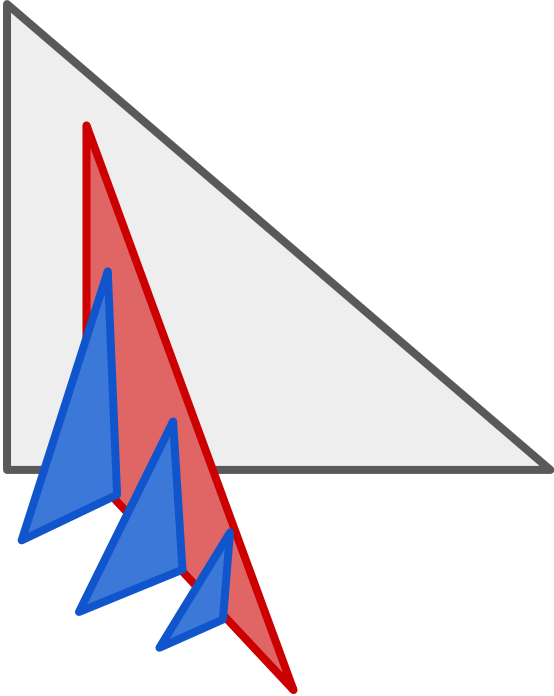
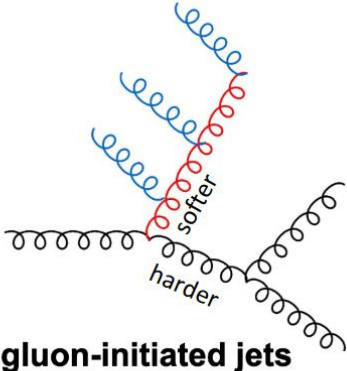
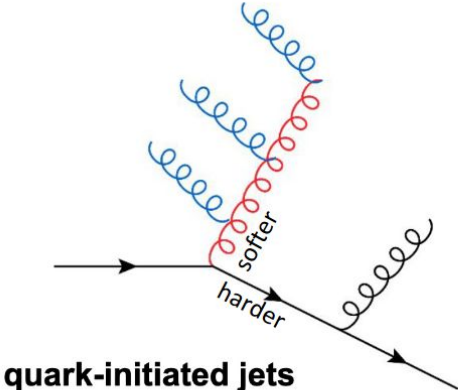
Not *just* C_A/C_F scaling! Leading parton momentum loss in the Lund tree histogram soft&collinear divergences, color reconnection effects, ...

Gluon LJP is suppressed at small angles wrt quark LJP



Choose **primary emission** is soft & collinear, i.e.,

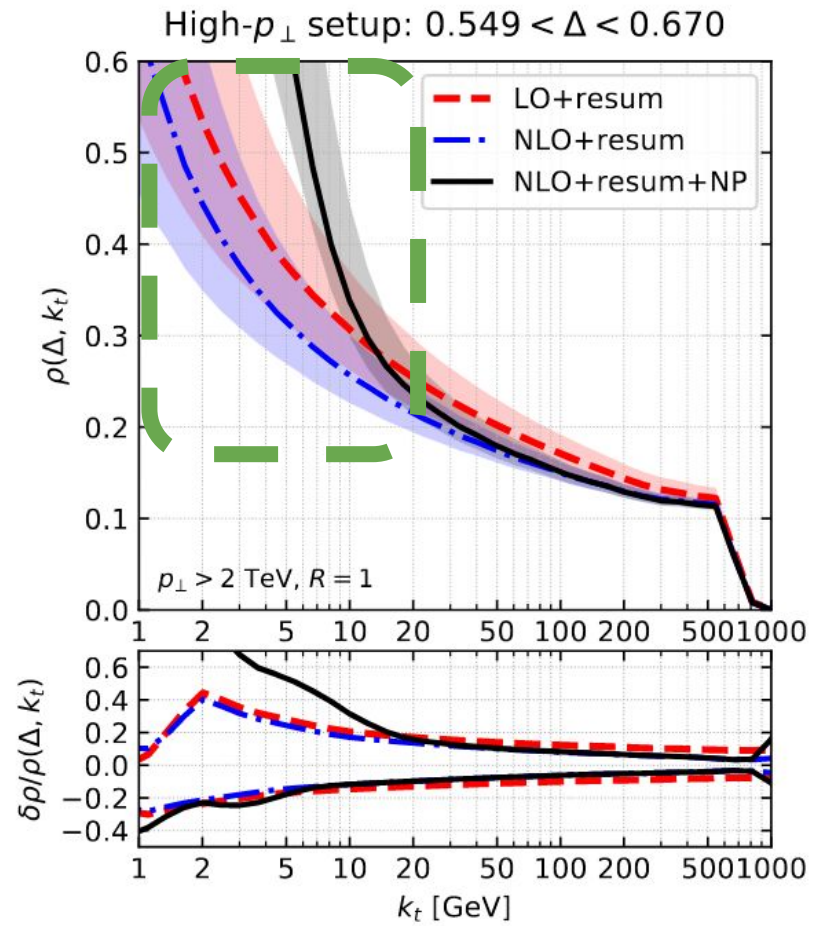
$$z = p_{T,\text{emission}} / (p_{T,\text{emission}} + p_{T,\text{emitter}}) \ll 1/2$$



exploit infrared & collinear divergences

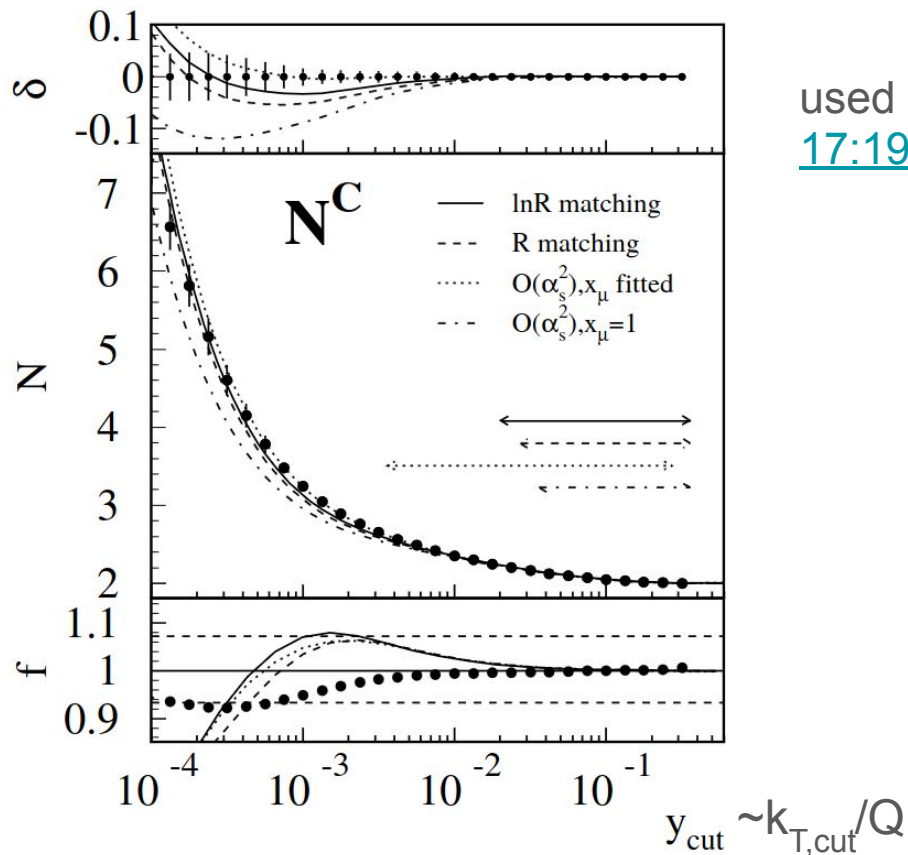
Not very sensitive to quark/gluon fraction with secondary Lund jet plane densities

However, still limited by size of pQCD uncertainties (about 20% at $k_T \sim 5$ GeV), and NP corrections are large at low k_T



(a) large angles: $0.549 < \Delta < 0.670$

Similar jet multiplicity observable measured at LEP

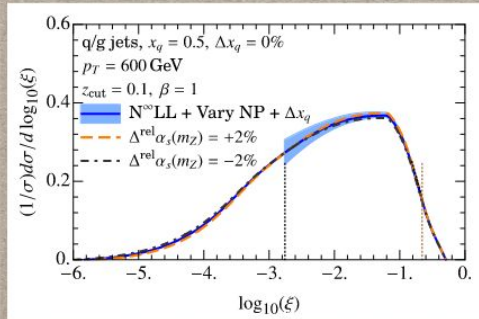


used for $\alpha_s(m_Z)$ extractions by [JADE&OPAL, EPJC 17:19-51, 2000](#)

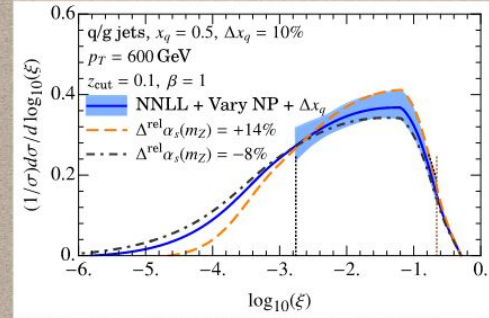
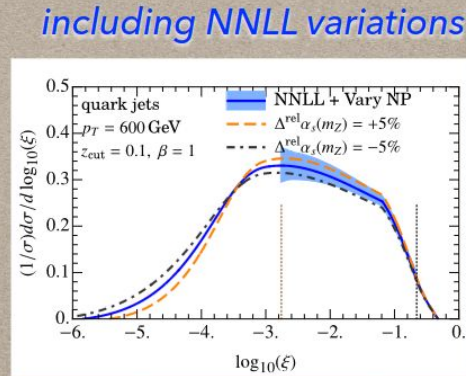
$$\alpha_s(M_{Z^0}) = 0.1187^{+0.0034}_{-0.0019}$$

HOW WELL CAN WE DO?

- work in progress to consider α_s sensitivity using state-of-the-art calculations



varying only the 6 non-pert parameters



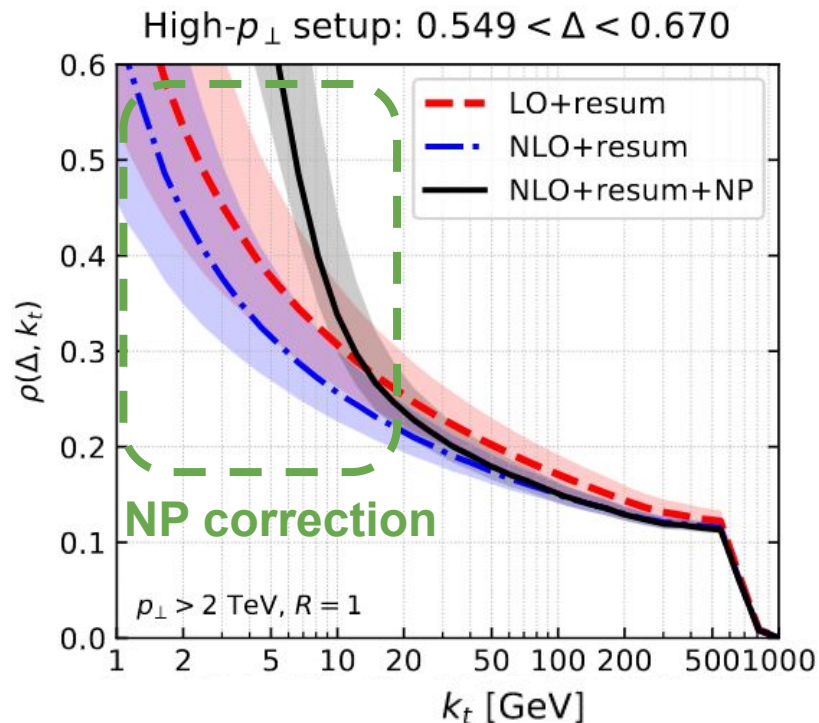
including 10% q/g fraction uncertainty

- without fitting for non-perturbative parameters, one gets 2% uncertainty
- perturbative and non perturbative uncertainties total to 5%
- this increases to 15% when considering quark/gluon mixtures

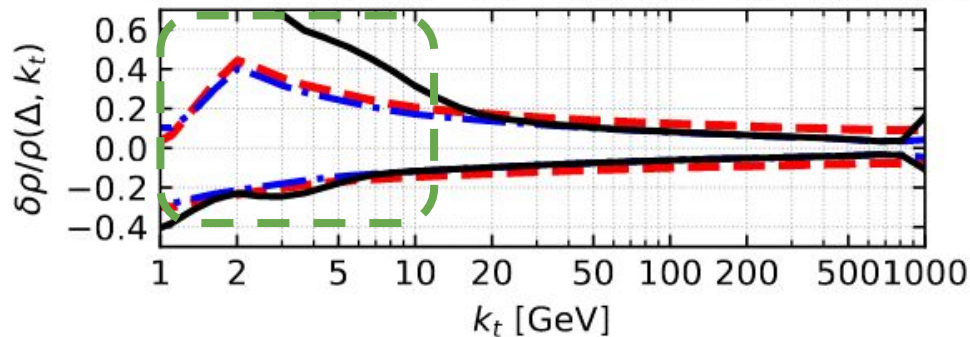
Hannesdottir, Pathak, Schwartz, Stewart (in preparation)

Analytical calculation (NLO+NLL+NP)

Lifson, Salam, Soyez JHEP 10 (2020) 170



NP correction



Uncertainties dominated by NP corrections
at low $k_T \sim 1$ GeV (20–40%)

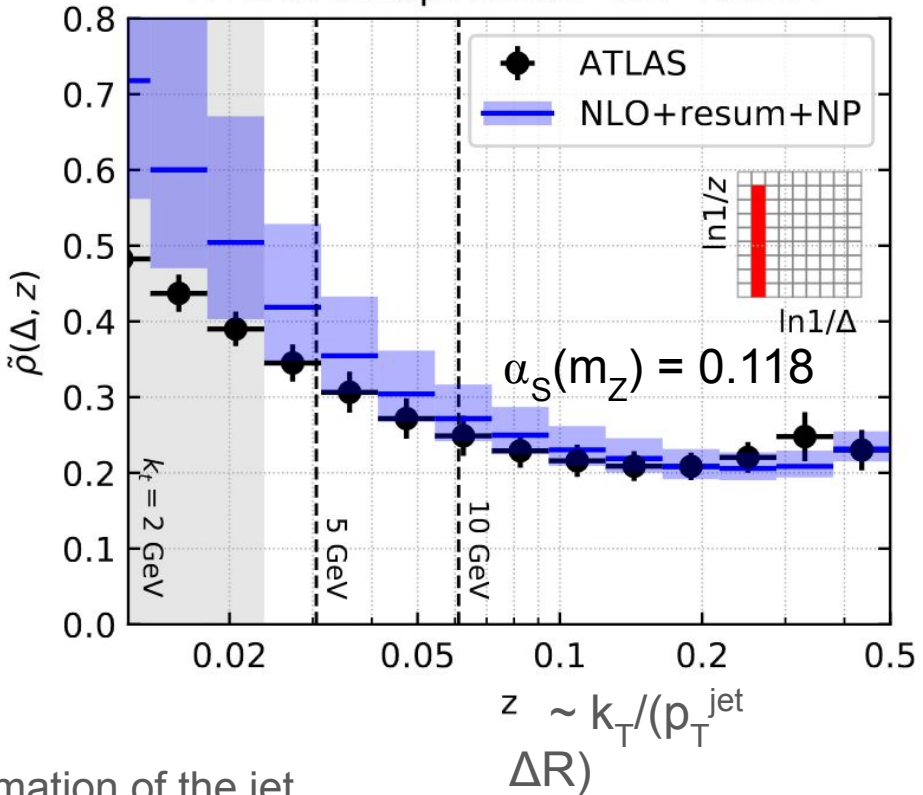
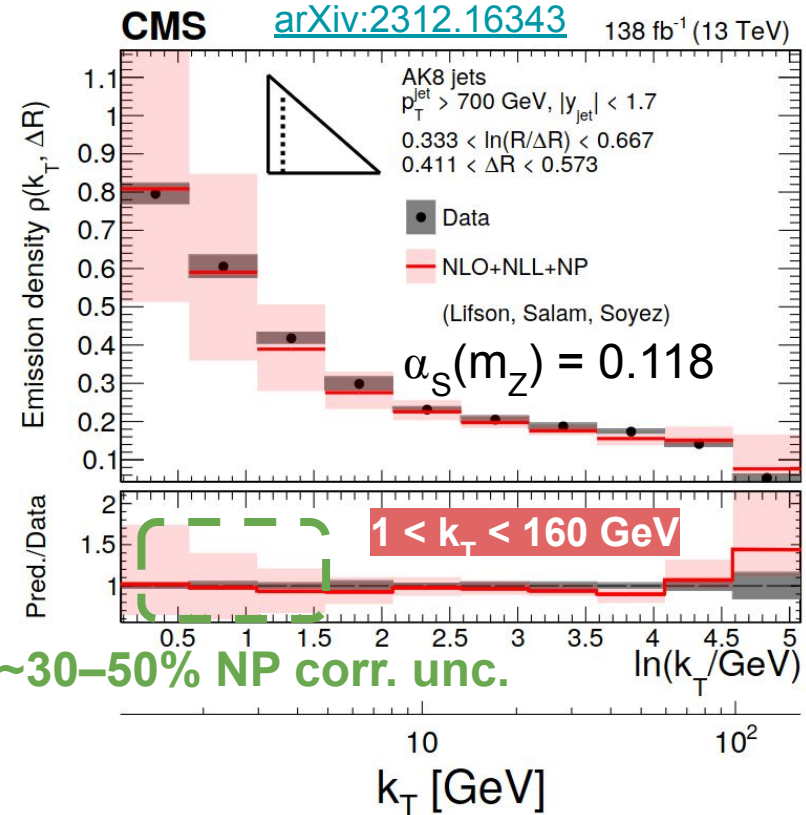
Dominated by pQCD uncertainties for high
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**Resummation of full set of
single-logarithms at NLL, two-loop beta function**

Theory (NLO+NLL+NP) versus LHC data

Lifson, Salam, Soyez JHEP 10 (2020) 170

ATLAS setup: $0.205 < \Delta < 0.287$



First-principles understanding of the formation of the jet.

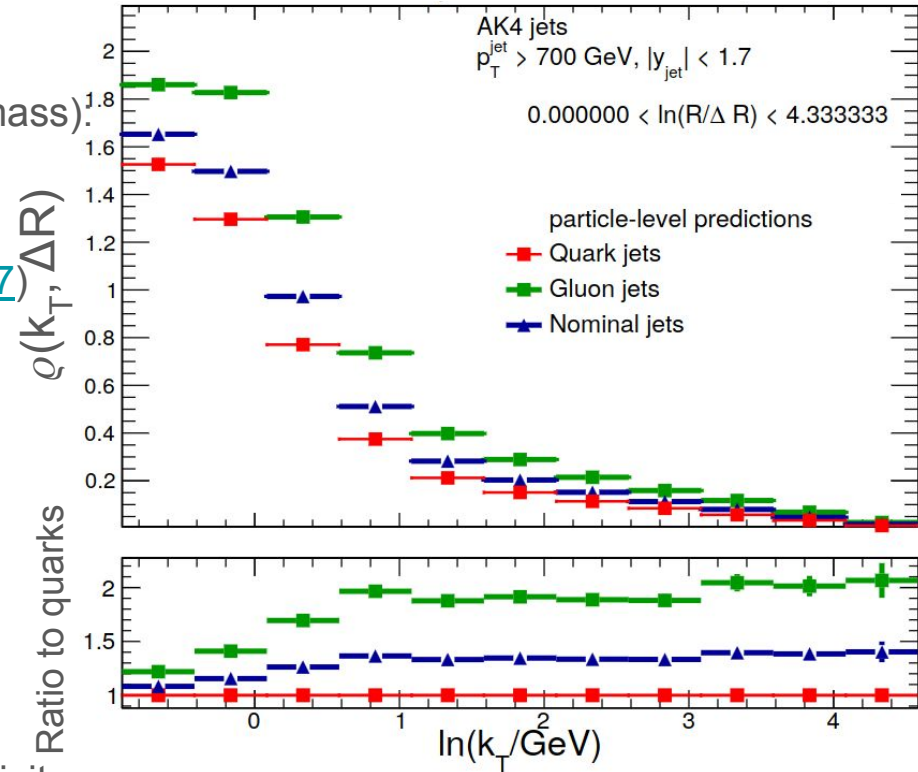
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“ α_S always paired with a color factor, $C_f \alpha_S$ ”

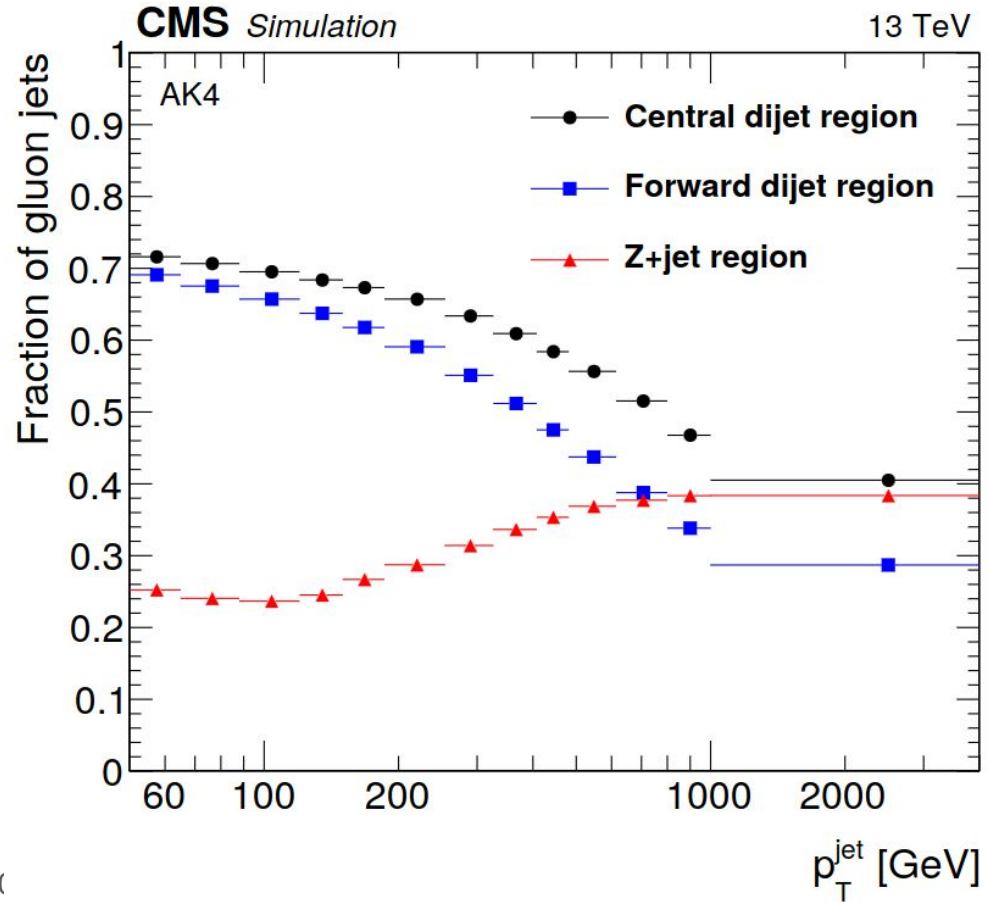
Different strategies have been adopted (w/ soft-drop mass).

- PDF uncertainties
(H. S. Hannesdottir, A. Pathak, M. D. Schwartz, I. W. Stewart, [arXiv:2210.04901](https://arxiv.org/abs/2210.04901), [Les Houches 2017](#))
- Fit $\alpha_S(m_Z)$ and quark/gluon fraction
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(cf [Meng Xiao](#)’s talk on energy correlators, $\delta\alpha_S/\alpha_S \sim 4\%$)

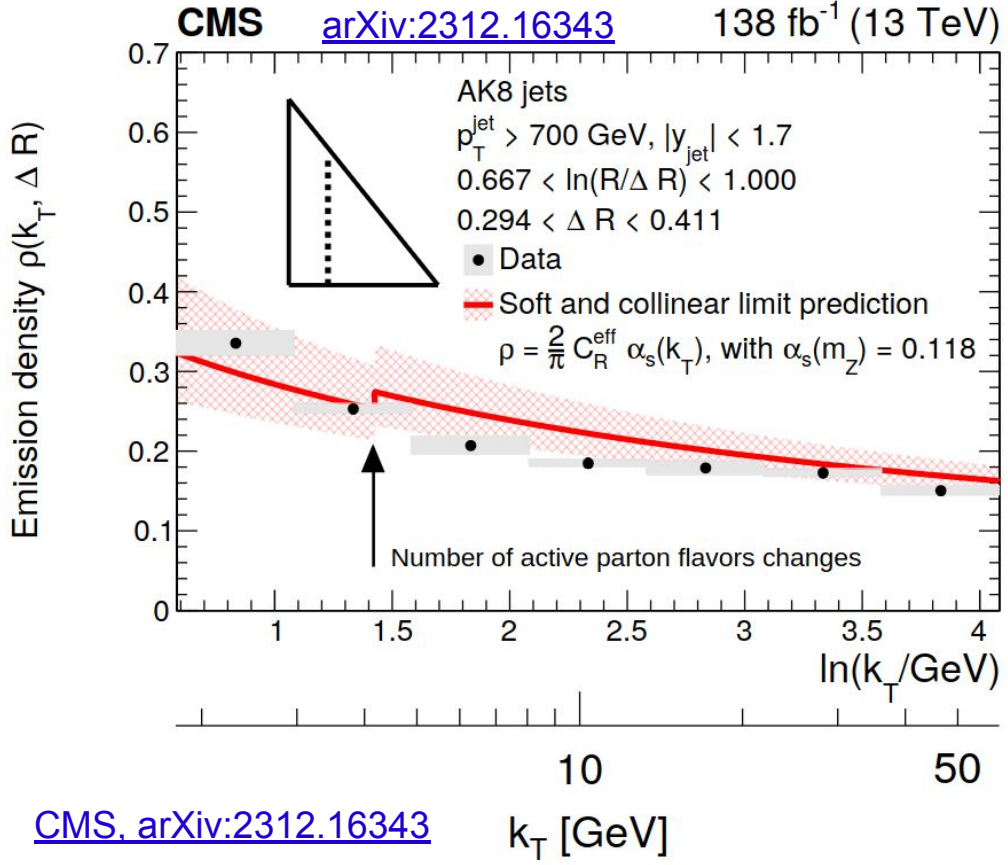


Quark/gluon composition in Z+jet and dijet at the LHC

Up to ~70% gluons in dijet
Up to ~75% quarks in Z+jet



Comparison to pocket-formula predictions



Recall LO pocket formula for Lund density:

$$\rho(k_T, \Delta R)_{\text{LO}} \approx \frac{2}{\pi} C_R^{\text{eff}} \alpha_s(k_T)$$

Running $\alpha_s(k_T)$ from few GeV to ~60 GeV qualitatively describes the data

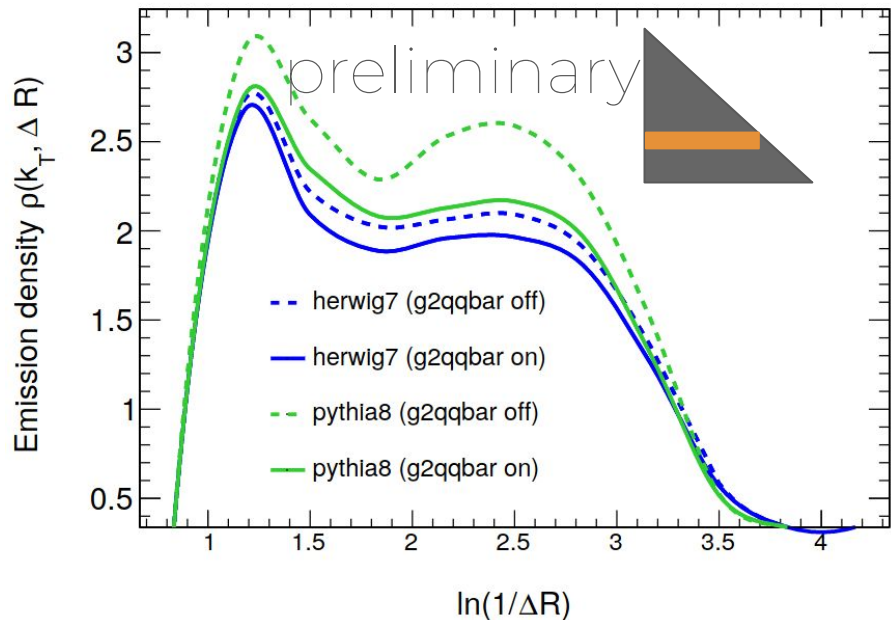
Quark/gluon fractions from PYTHIA8:

$$C_R^{\text{eff}} = f_q C_F + f_g C_A \sim 2$$

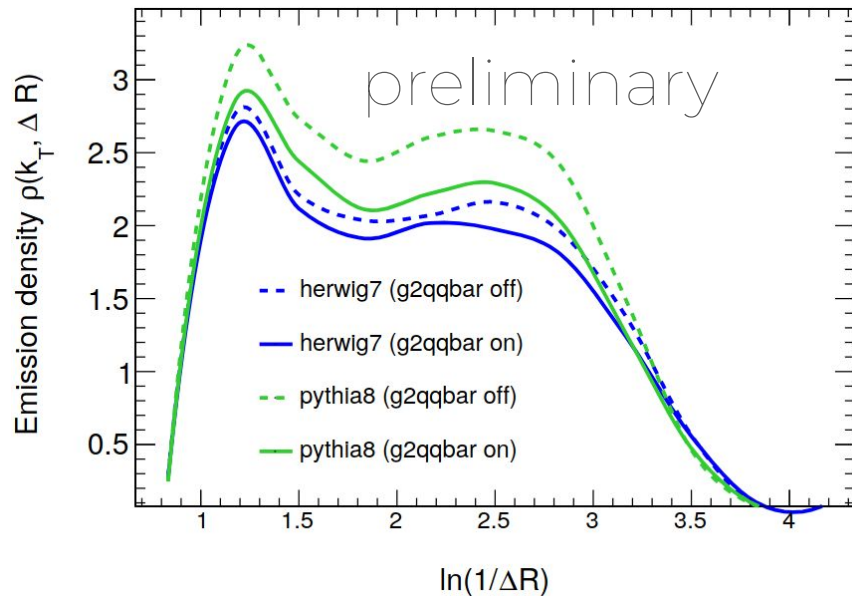
$$f_q = 0.59, f_g = 0.41$$

$g \rightarrow qq$ off / $g \rightarrow qq$ on check

Effect on secondary LJP



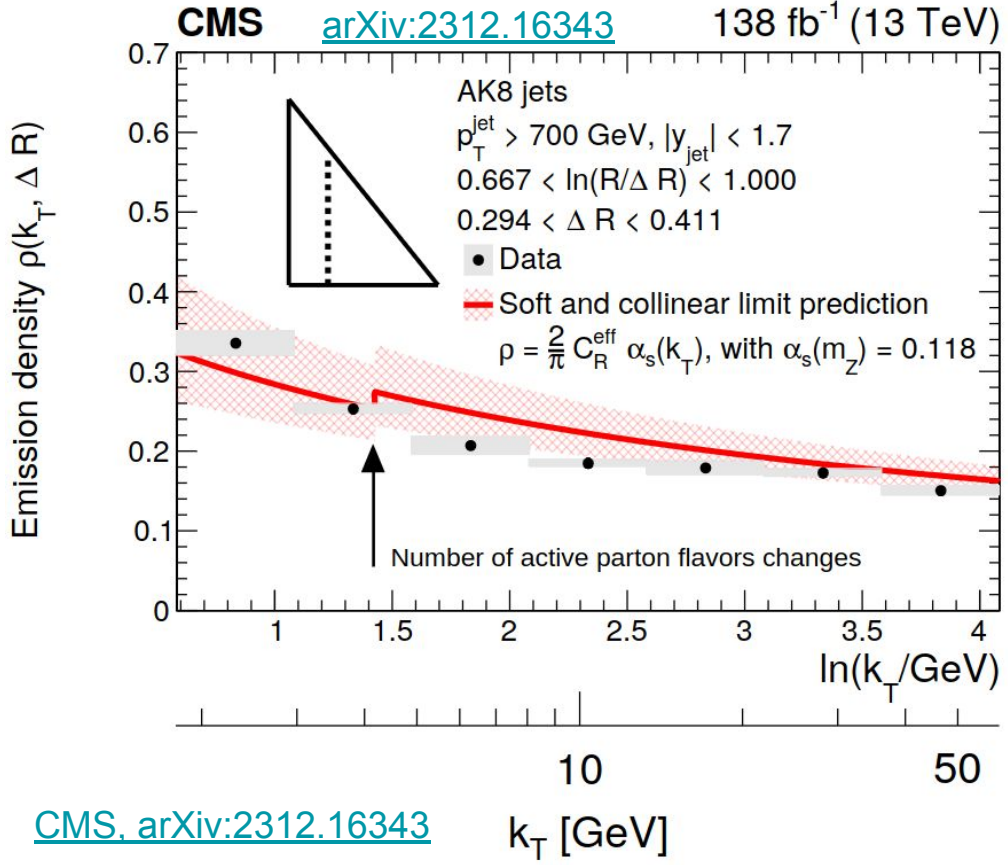
Effect on the gluon primary LJP



Turning off $g \rightarrow qq$ increases the density of emissions by a similar magnitude for **both** secondary LJP and *gluon* primary LJPs

More dramatic effect for pythia8 (~25%) than herwig7 (~5%)

Comparison to pocket-formula predictions



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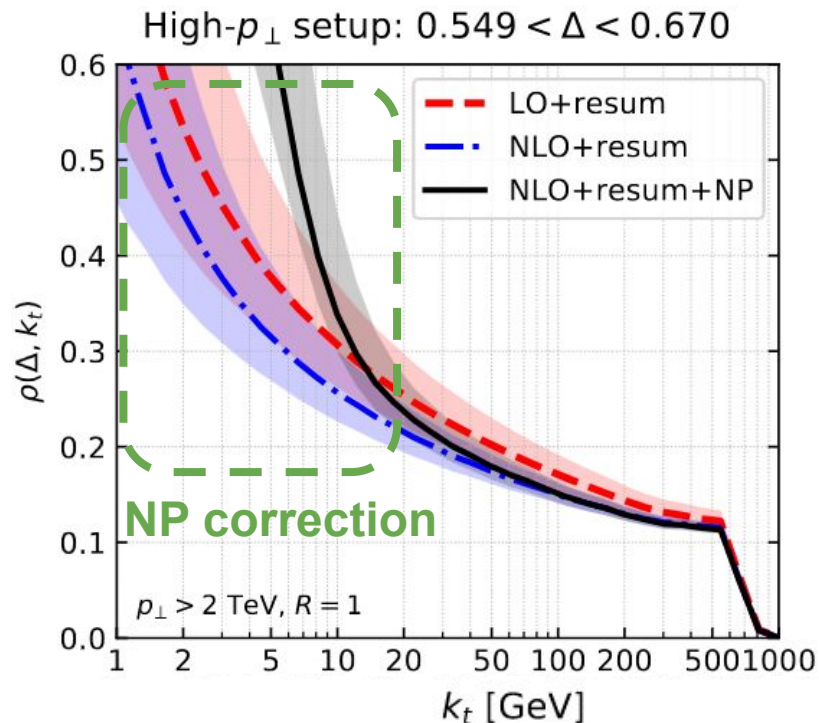
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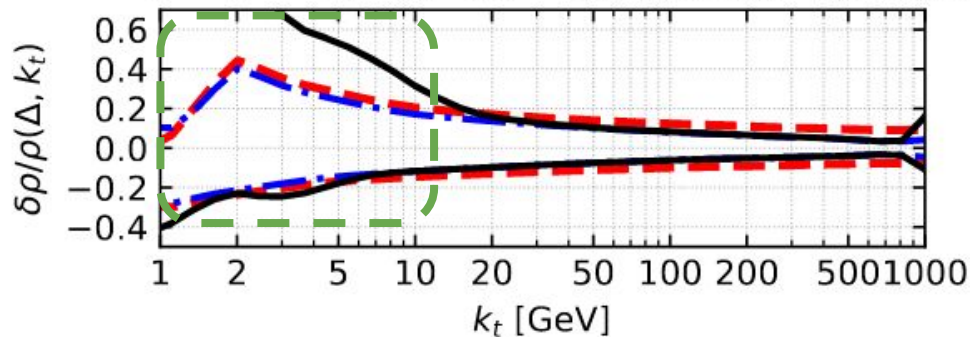
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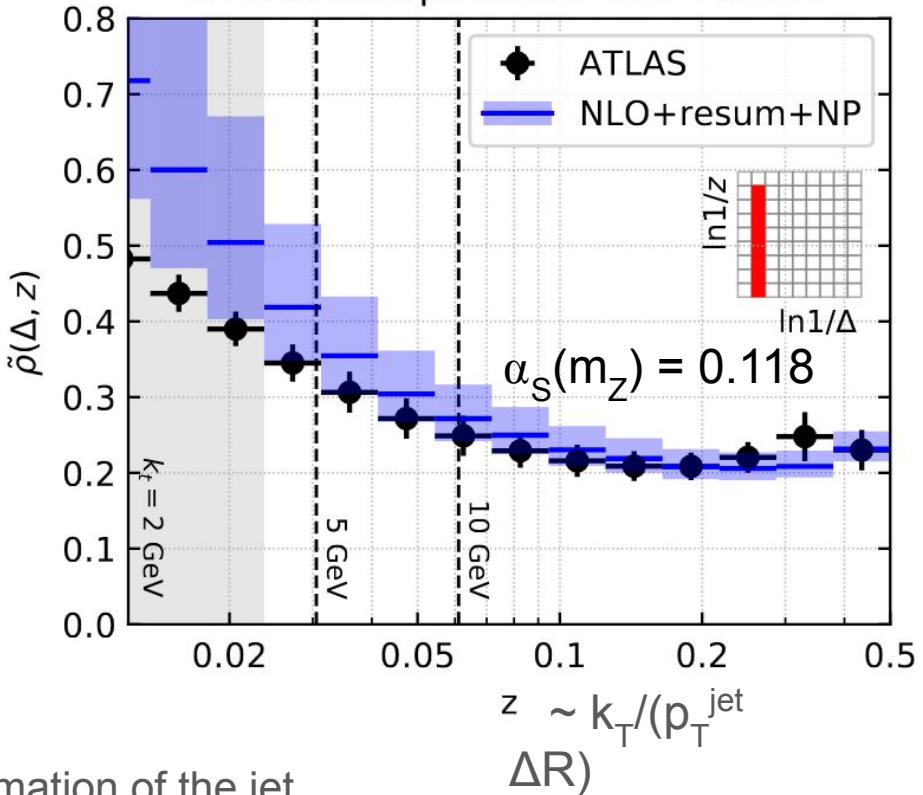
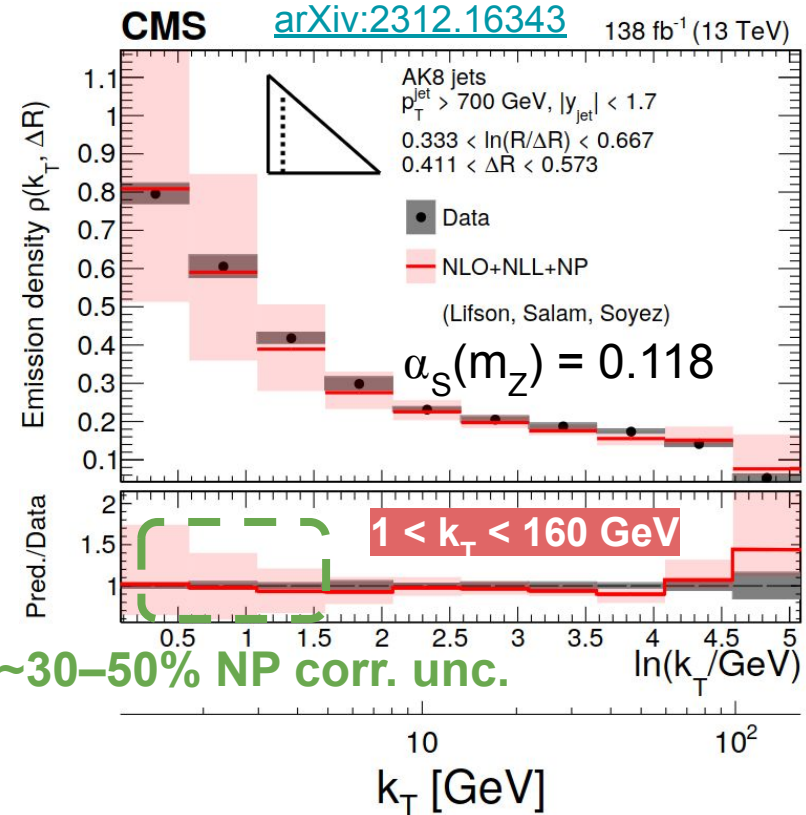
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