



**Measurement of jet production in deep inelastic scattering  
and NNLO determination of the strong coupling at ZEUS<sup>†</sup>**  
International Workshop on Deep Inelastic Scattering 2024

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<sup>†</sup>EPJC 83, 1082 (2023). arXiv:2309.02889

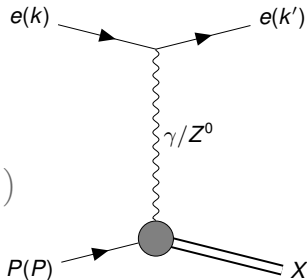
### Deep inelastic scattering

- ▶ Inclusive deep inelastic scattering (DIS) measurements in lepton-hadron collisions ( $ep \rightarrow eX$ ) are essential to determine the parton distribution functions (PDFs) of the proton ( $xf$ ). At leading order:

$$\frac{d^2\sigma_{\text{NC DIS}}^{\pm}}{dx_{\text{Bj}}dQ^2} = \frac{2\pi\alpha^2}{x_{\text{Bj}}Q^4} \left( \underbrace{Y_+ F_2(x_{\text{Bj}}, Q^2)}_{\sim xq+x\bar{q}} \mp \underbrace{Y_- x_{\text{Bj}} F_3(x_{\text{Bj}}, Q^2)}_{\sim xq-x\bar{q}} - \underbrace{y^2 F_L(x_{\text{Bj}}, Q^2)}_{\sim xg \times \alpha_s} \right)$$

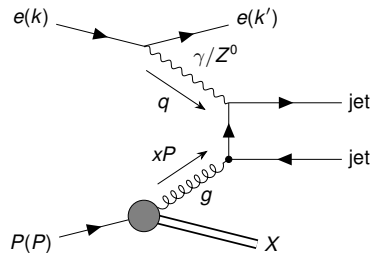
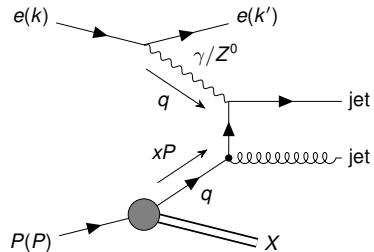
- ⇒ By measuring  $F_2$  and  $F_3$ , the quark- and antiquark-distributions,  $xq$  and  $x\bar{q}$ , can be probed
- ▶ By measuring  $F_L$  or using scaling violations in DGLAP equations the product of the gluon distribution  $xg$  and the strong coupling constant  $\alpha_s$  can be determined
  - ▶ Using higher-order terms, the two can be disentangled to some extent, but a strong correlation remains (when using only HERA data)

**See talk from K.Wichmann on Wednesday 17:45**



### Jet measurements

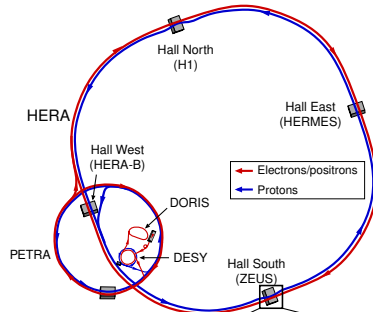
- ▶ Already at leading order,<sup>†</sup> jet production in DIS is sensitive to the strong coupling independently of the gluon distribution (upper graph)
- ▶ Additionally, jet production can also be used to further constrain the gluon distribution (lower graph)
- ▶ Inclusive jet measurements are especially well suited for precision determinations of the strong coupling constant due to their small uncertainties on both the experimental and theoretical side



<sup>†</sup> Leading order in the Breit frame; see slide A1

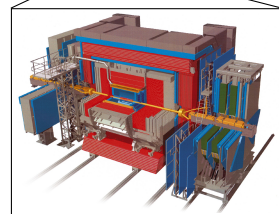
### HERA accelerator

- ▶ World's only lepton-hadron collider so far
- ▶ Located at DESY in Hamburg, Germany
- ▶ Two run periods:
  - ▶ HERA I: 1992 – 2000
  - ▶ HERA II: 2003 – 2007
- ▶ Circular collider of length 6336 m
- ▶ Collide electrons/positrons at 27.5 GeV with protons at 920 GeV  $\rightarrow \sqrt{s} = 318$  GeV



### ZEUS detector

- ▶ General purpose particle detector
- ▶ Integrated luminosity during HERA II:  $347 \text{ pb}^{-1}$
- ▶ High-resolution uranium-scintillator calorimeter allows precise measurement of jet energies



### Jet production in DIS at ZEUS

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2024-04-09

Motivation

Experiment

Measurement

Simulation

Systematics

Cross sections

QED radiation

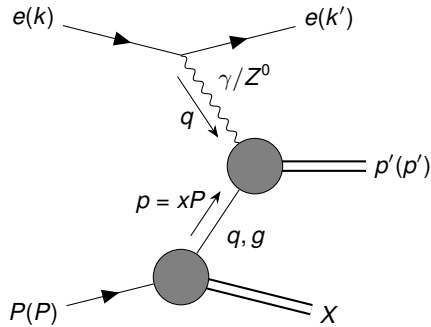
QCD analysis

Summary

- ▶ Inclusive jets, clustered using  $k_{\perp}$  algorithm and  $p_{\perp}$ -weighted scheme in Breit frame
- ▶ Use entire HERA II dataset ( $347 \text{ pb}^{-1}$ )
- ▶ Analysis phase space

$$150 \text{ GeV}^2 < Q^2 < 15\,000 \text{ GeV}^2$$

$$0.2 < y < 0.7$$



$$Q^2 = -q^2 = -(k' - k)^2 \quad \text{Boson virtuality/ Momentum transfer}$$

$$x_{\text{Bj}} = \frac{Q^2}{2P \cdot q} \quad \text{Bjorken scaling parameter}$$

$$y = \frac{P \cdot q}{P \cdot k} \quad \text{Inelasticity}$$



# Measurement

## Cross section definition



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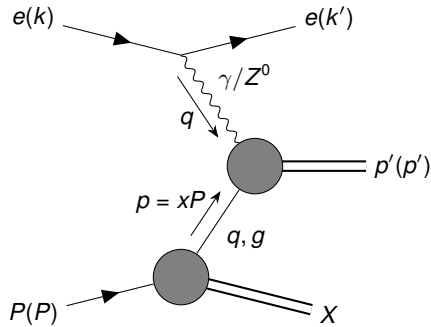
$$150 \text{ GeV}^2 < Q^2 < 15\,000 \text{ GeV}^2$$

$$0.2 < y < 0.7$$

$$7 \text{ GeV} < p_{\perp, \text{Breit}} < 50 \text{ GeV}$$

$$-1 < \eta_{\text{lab}} < 2.5$$

- ▶ Hadron-level jets
- ▶ Weak-boson exchange included
- ▶ QED Born-level (higher-order radiative effects removed)



$$Q^2 = -q^2 = -(k' - k)^2 \quad \text{Boson virtuality/ Momentum transfer}$$

$$x_{\text{Bj}} = \frac{Q^2}{2P \cdot q} \quad \text{Bjorken scaling parameter}$$

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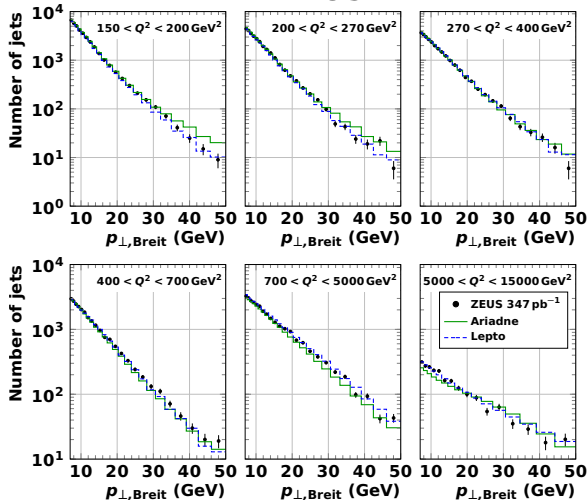


## Jet production in DIS at ZEUS

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Summary

## ZEUS



- ▶ Reconstructed jets corrected to hadron level via two-dimensional matrix unfolding procedure using response matrices obtained from Monte Carlo samples
  - ▶ ARIADNE: colour-dipole model
  - ▶ LEPTO: leading-log parton cascade
- ▶ After reweighting, the models give a good description of the data across the entire phase space
- ▶ Performed cross-check using bin-by-bin correction; results are very consistent



# Measurement

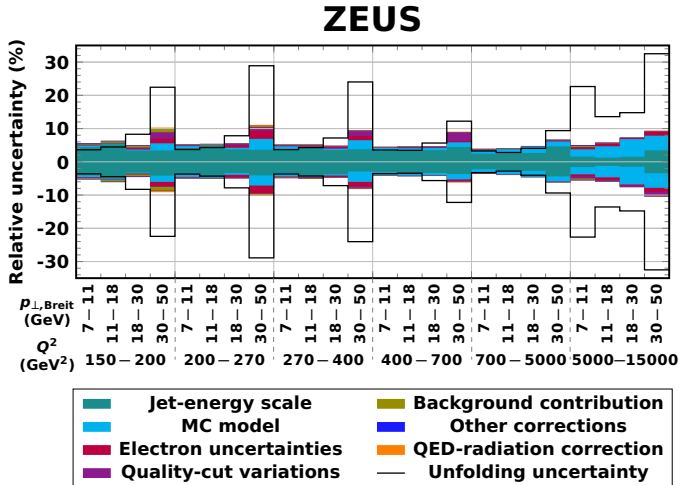
## Systematic uncertainties



### Jet production in DIS at ZEUS

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- ▶ Systematic uncertainty mostly dominated by jet-energy scale (uncertainty of MC detector simulation)
- ▶ In high- $p_{\perp, \text{Breit}}$  or high- $Q^2$  region, other uncertainties become relevant/dominant
- ▶ Unfolding uncertainty appears large in low-statistics region
- ▶ Bins with large unfolding uncertainty usually strongly anti-correlated





# Measurement

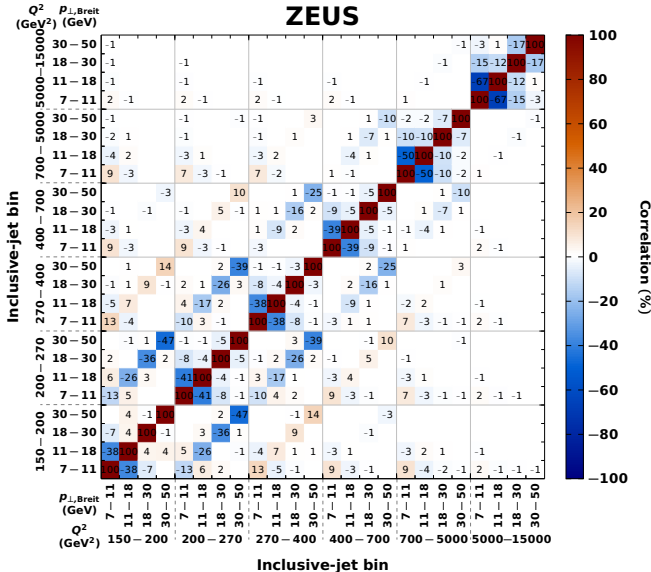
## Systematic uncertainties



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# Measurement

## Measured inclusive jet cross sections

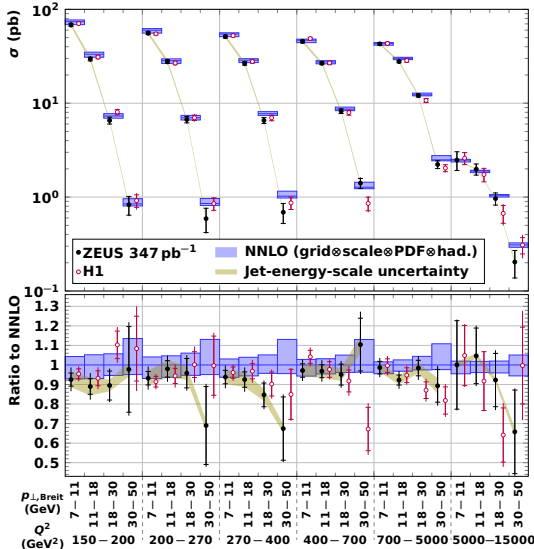


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### ZEUS



- ▶ Measured cross sections are compatible with previous measurement from H1 collaboration<sup>†</sup> and uncertainties are comparable<sup>‡</sup>
- ▶ Measurements are compatible with NNLO QCD predictions<sup>§</sup>
- ▶ Inner error bars: unfolding uncertainty; outer error bars: total uncertainty

<sup>†</sup> EPJC 75, 65 (2015). arXiv:1406.4709

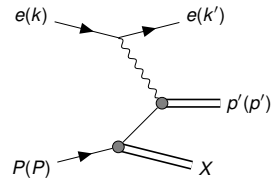
<sup>‡</sup> For both measurements, uncertainties appear larger due to negative correlations

<sup>§</sup> Matrix elements from NNLOJET (JHEP 2017, 18 (2017). arXiv:1703.05977), PDFs: HERAPDF2.0Jets NNLO (EPJC 82, 243 (2022). arXiv:2112.01120)

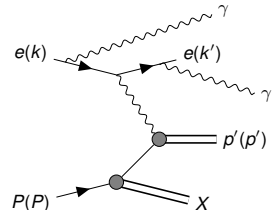
### Treatment of QED radiation

- ▶ Predictions for jet production available at QED Born-level (running coupling included, but no radiative corrections)
  - ▶ In the data, have initial- and final-state QED radiation, especially on the electron line
  - ▶ Standard procedure: apply ‘correction’ to the data, to convert it to QED Born-level
  - ▶ Usually, this cannot be undone, such that data can only ever be compared to QED Born-level predictions
  - ▶ This analysis: apply correction in a reversible way and provide additional, alternative correction that facilitates more comprehensive comparisons
- Data can be compared to NNLO QCD+NLO EW predictions, when they become available in the future<sup>†</sup>

### QED Born-level



### QED radiation



<sup>†</sup> DIS at NLO EW already available: CPC 94, 2 p.128 (1996). arXiv:hep-ph/9511434



- ▶ Simultaneous fit of PDF parameters and  $\alpha_s(M_Z^2)$  at NNLO

- ▶ Datasets used

- ▶ H1+ZEUS combined inclusive DIS<sup>†</sup>
- ▶ ZEUS HERA I inclusive jets at high  $Q^2$ <sup>‡</sup>
- ▶ ZEUS HERA I+II dijets at high  $Q^2$ <sup>§</sup>
- ▶ **ZEUS HERA II inclusive jets at high  $Q^2$**

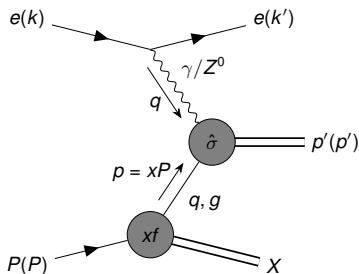
- ▶ Inclusion of additional jet data is expected to reduce uncertainty of  $\alpha_s(M_Z^2)$

- ▶ Statistical correlations between ZEUS HERA II jet datasets taken into account via correlation matrix

- ▶ Use HERAPDF parameterisation of PDFs ( $f = g, u_v, d_v, \bar{U}, \bar{D}$ )

$$xf(x) = A_f x^{B_f} (1-x)^{C_f} (1 + D_f x + E_f x^2)$$

- ▶ Use settings similar to HERAPDF2.0Jets NNLO (central scales, cuts, model parameters, treatment of hadronisation and theory grid uncertainty)



<sup>†</sup>EPJC 75, 580 (2015)  
arXiv::1506.06042

<sup>‡</sup>PLB 547, 164 (2002)  
arXiv::hep-ex/0208037

<sup>§</sup>EPJC 70, 965 (2010)  
arXiv::1010.6167



# QCD analysis

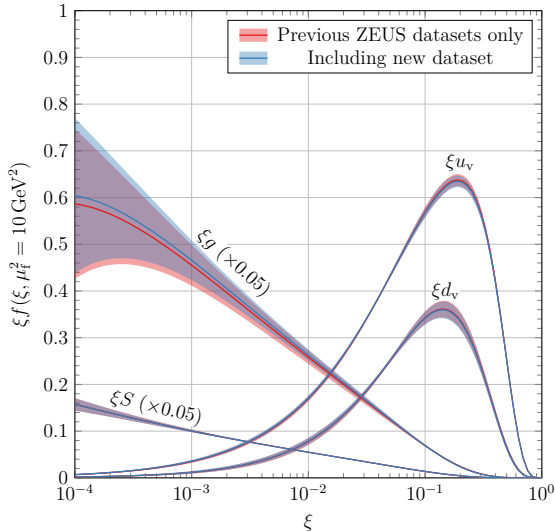
## Parton distribution functions



### Jet production in DIS at ZEUS

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- QCD analysis
- Strategy
- PDFs
- Strong coupling
- Running coupling
- Summary



- ▶ Perform two fits and compare PDFs:
  - 1 HERA inclusive DIS dataset + previous ZEUS jet datasets
  - 2 Also include newly measured ZEUS HERA II inclusive jet datasets
- ▶ Shown is exp./fit uncertainty
- ▶ Gluon distribution is slightly constrained<sup>†</sup>
- ▶ As expected, quark distributions are not significantly affected/constrained

<sup>†</sup>Uncertainties, especially of gluon distribution, appear larger than in HERAPDF, because  $\alpha_s(M_Z^2)$  is left free in the fit, compare e.g. fig. 4 in arXiv:2112.01120



For reference, HERAPDF2.0Jets NNLO found

$$\alpha_s(M_Z^2) = \mathbf{0.1156} \pm 0.0011 \text{ (exp./fit)} \begin{matrix} +0.0001 \\ -0.0002 \end{matrix} \text{ (model/param.)} \pm 0.0029 \text{ (scale)}$$

This analysis

$$\alpha_s(M_Z^2) = \mathbf{0.1143} \pm 0.0017 \text{ (exp./fit)} \begin{matrix} +0.0006 \\ -0.0007 \end{matrix} \text{ (model/param.)} \begin{matrix} +0.0012 \\ -0.0005 \end{matrix} \text{ (scale)}$$

- ▶ Central value is compatible with HERAPDF and with PDG world average
- ▶ Increased experimental uncertainty, due to fewer jet datasets used
- ▶ Significantly decreased scale uncertainty, due to absence of low- $Q^2$  jet data
  - ▶ Cross-section scale-dependence assumed as fully correlated between all jet measurements
  - ▶ When fitting points far away from each other in phase space, the cross-section scale-dependence can be much less correlated or even anti-correlated



# QCD analysis

## Strong coupling



### Jet production in DIS at ZEUS

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# QCD analysis

## Alternative treatment of scale uncertainty



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PDFs  
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Running coupling  
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- ▶ Alternative treatment: assume scale dependence is half correlated between all measurements
- ▶ Despite absence of low- $Q^2$  jet data in the fit, additional reduction is significant

$$\alpha_s(M_Z^2) = 0.1143 \pm \dots \begin{matrix} +0.0012 \\ -0.0005 \end{matrix} \text{ (scale)}$$

↓

$$\alpha_s(M_Z^2) = 0.1142 \pm \dots \begin{matrix} +0.0006 \\ -0.0004 \end{matrix} \text{ (scale)}$$





# QCD analysis

## Alternative treatment of scale uncertainty



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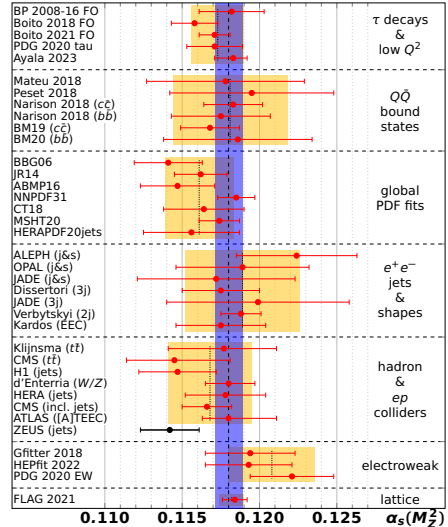
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$$\alpha_s(M_Z^2) = 0.1142 \pm \dots \begin{matrix} +0.0006 \\ -0.0004 \end{matrix} \text{ (scale)}$$

- ▶ Reduced scale uncertainty leads to one of the most precise collider measurements of  $\alpha_s(M_Z^2)^\dagger$

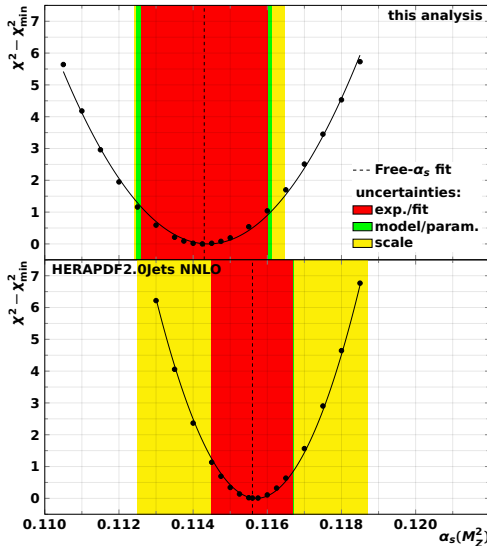


### Jet production in DIS at ZEUS

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### ZEUS



- ▶ Upper panel:  $\chi^2(\alpha_s(M_Z^2))$ -scan, alongside result from  $\alpha_s(M_Z^2)$ -free fit → excellent agreement
- ▶ Lower panel: analogous figure from HERAPDF2.0Jet NNLO
- ▶ Need better treatment of scale uncertainty, so that we can combine small scale uncertainty from ZEUS with small experimental uncertainty from HERAPDF



# QCD analysis

## Running of the strong coupling



### Jet production in DIS at ZEUS

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Motivation  
Experiment  
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Summary

- ▶ Strong coupling depends on the scale at which it is evaluated. At leading order

$$\alpha_s(\mu^2) = \frac{\alpha_s(\mu_0^2)}{1 + \alpha_s(\mu_0^2) b_0 \log\left(\frac{\mu^2}{\mu_0^2}\right)}$$

- ▶ 'Measure' this curve to test if QCD is the correct theory to describe strong interaction
  - ▶ Assign each jet point a scale
  - ▶ Form subsets of jet points with similar scales
  - ▶ For each subset, perform a single-parameter  $\alpha_s$  fit using fixed PDFs



# QCD analysis

## Running of the strong coupling



### Jet production in DIS at ZEUS

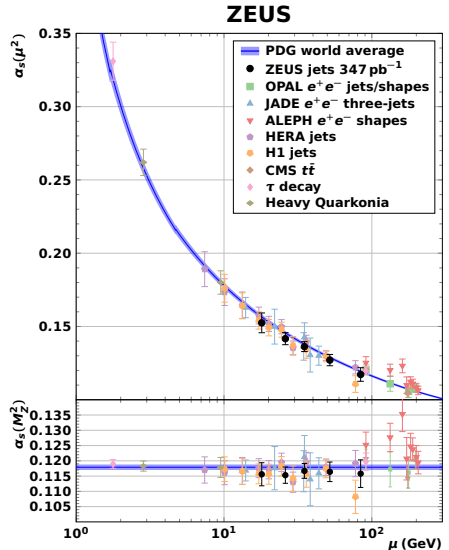
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  - ▶ Assign each jet point a scale
  - ▶ Form subsets of jet points with similar scales
  - ▶ For each subset, perform a single-parameter  $\alpha_s$  fit using fixed PDFs
- ▶ Observe no deviation from QCD prediction





# Summary

## Cross section measurement



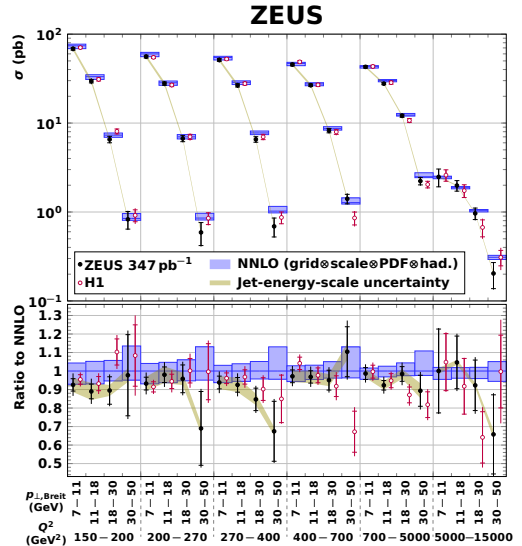
### Jet production in DIS at ZEUS

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Summary

### Cross section measurement

- ▶ Performed precision measurement of inclusive jet cross sections in deep inelastic scattering at ZEUS
- ▶ Used more than 70% of the entire available luminosity at ZEUS
- ▶ Cross sections are compatible with the corresponding H1 measurement and NNLO QCD theory
- ▶ New dataset is an ideal ingredient for precision determinations of  $\alpha_s(M_Z^2)$  in QCD fits



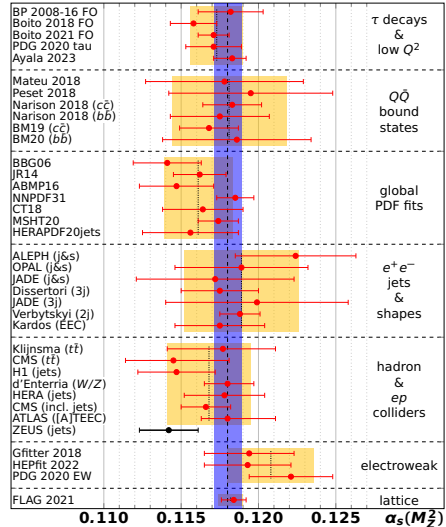
### QCD analysis

- ▶ Dataset used in  $\alpha_s(M_Z^2)$  determination at NNLO
- ▶ Achieved very precise measurement of  $\alpha_s(M_Z^2)$

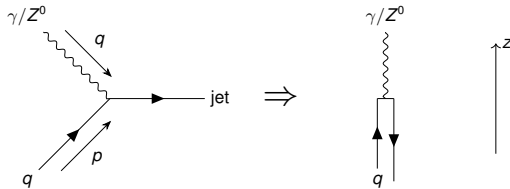
$$\alpha_s(M_Z^2) = 0.1142 \pm 0.0019$$

due to

- ▶ Newly measured inclusive jet dataset
- ▶ Restriction to high- $Q^2$  jet data in the fit
- ▶ Improved treatment of theoretical uncertainty
- ▶ Investigated scale-dependence of strong coupling and found results consistent with NNLO QCD prediction



- ▶ Single jets may arise purely from QED, which is less interesting for the study of QCD
- ▶ To suppress these events: require minimum transverse momentum in Breit frame

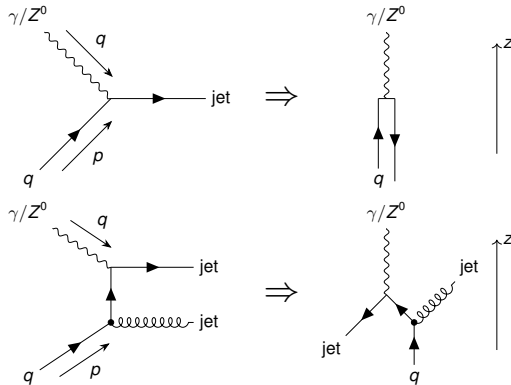


In the **Breit frame**, the parton and boson collide head-on

$$q^\mu = \begin{pmatrix} 0 \\ 0 \\ 0 \\ -Q \end{pmatrix}$$

$$p^\mu = \begin{pmatrix} Q/2 \\ 0 \\ 0 \\ Q/2 \end{pmatrix}$$

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In the **Breit frame**, the parton and boson collide head-on

$$q^\mu = \begin{pmatrix} 0 \\ 0 \\ 0 \\ -Q \end{pmatrix}$$

$$p^\mu = \begin{pmatrix} Q/2 \\ 0 \\ 0 \\ Q/2 \end{pmatrix}$$

- ▶ Lowest order process: produce two jets of equal transverse momentum (“dijet”)
- ▶ Inclusive jets: count each jet individually; events can contribute multiple times





### Theoretical predictions

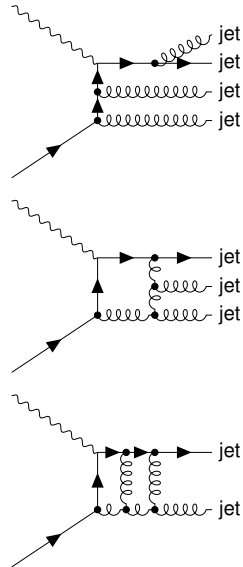
- ▶ Cross section predictions are calculated at NNLO
- ▶ Matrix elements calculated using NNLOJET<sup>†</sup>
- ▶ PDFs taken from HERAPDF2.0Jets NNLO<sup>‡</sup>
- ▶  $\alpha_s(M_Z^2) = 0.1155$ ,  $\mu_r^2 = \mu_f^2 = Q^2 + p_\perp^2$
- ▶ Predictions corrected for hadronisation and  $Z^0$ -exchange

### Theoretical uncertainties

- ▶ Six point scale variation by factor 2
- ▶ PDF uncertainty (fit, model, parameterisation)
- ▶ Statistical uncertainty of matrix element generation
- ▶ Hadronisation correction uncertainty

<sup>†</sup>JHEP 2017, 18 (2017). arXiv:1703.05977

<sup>‡</sup>EPJC 82, 243 (2022). arXiv:2112.01120





# QCD analysis

## Fit settings



Jet production  
in DIS at ZEUS

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Measurement  
QCD analysis  
Fit settings  
Goodness of fit

### Fit settings

	NLO	NNLO
Model parameters		
$f_s$	$0.4 \pm 0.1$	
$m_c$ [GeV]	$1.46^{+0.04}_{-symmetrise}$	$1.41^{+0.04}_{-symmetrise}$
$m_b$ [GeV]	$4.3 \pm 0.10$	$4.2 \pm 0.10$
$Q_{min}^2$ [GeV <sup>2</sup> ]	$3.5^{+1.5}_{-1.0}$	

### Parameterisation

$\mu_{f0}^2$ [GeV <sup>2</sup> ]	$1.9^{-0.3}_{+symmetrise}$	
Additional parameters	all missing $D$ and $E$ parameters ( $D_g, E_g, D_{u_v}, D_{d_v}, E_{d_v}, E_{\bar{U}}, D_{\bar{D}}, E_{\bar{D}}$ )	

### Scales

$\mu_f^2$	$Q^2$	$Q^2 + p_{\perp}^2$
$\mu_r^2$	$(Q^2 + p_{\perp}^2)/2$	

### Parameterisation

$$xg(x) = A_g x^{B_g} (1-x)^{C_g} - A'_g x^{B'_g} (1-x)^{C'_g}$$

$$xu_v(x) = A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1 + E_{u_v} x^2)$$

$$xd_v(x) = A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}}$$

$$x\bar{U}(x) = A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} (1 + D_{\bar{U}} x)$$

$$x\bar{D}(x) = A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}$$

### Constraints

- $A_g$  determined by sum rules
- $A_{u_v}$  determined by sum rules
- $A_{d_v}$  determined by sum rules
- $C'_g = 25$
- $B_{\bar{U}} = B_{\bar{D}}$
- $A_{\bar{U}} = A_{\bar{D}}(1 - f_s)$



# QCD analysis

## Goodness of fit



Jet production  
in DIS at ZEUS

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Measurement  
QCD analysis  
Fit settings  
Goodness of fit

Dataset	Partial $\chi^2$ / Number of points
HERA NC $e^+p$ DIS, $E_p = 920$ GeV	447.65 / 377
HERA NC $e^+p$ DIS, $E_p = 820$ GeV	64.99 / 70
HERA NC $e^+p$ DIS, $E_p = 575$ GeV	219.16 / 254
HERA NC $e^+p$ DIS, $E_p = 460$ GeV	216.58 / 204
HERA NC $e^-p$ DIS, $E_p = 920$ GeV	219.88 / 159
HERA CC $e^+p$ DIS, $E_p = 920$ GeV	47.52 / 39
HERA CC $e^-p$ DIS, $E_p = 920$ GeV	51.73 / 42
HERA I inclusive jets	26.38 / 30
HERA I/II dijets	14.65 / 16
HERA II inclusive jets	14.98 / 24
Shifts of correlated systematics	96.24
Global $\chi^2$ per degree of freedom	1418.93 / 1200 = 1.182
HERAPDF2.0 NNLO	1363 / 1131 = 1.205
HERAPDF2.0Jets NNLO	1614 / 1348 = 1.197