

# DIS 2024

## Recent results on open heavy flavor production ( $p$ -Gas, $pp$ , $p$ Pb) from LHCb

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on behalf of the LHCb collaboration



# Outline

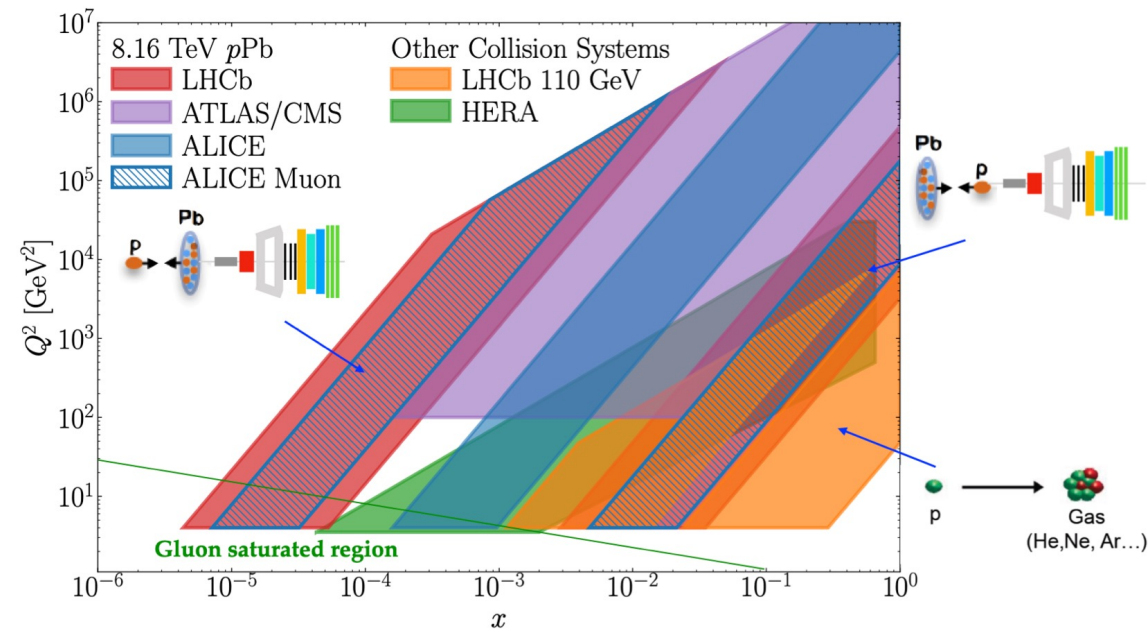
- Motivation
- LHCb detector
- $D^0$  production in  $p$ Ne and PbNe collisions [Eur. Phys. J. C83 \(2023\) 541](#) [Eur. Phys. J. C83 \(2023\) 658](#)
- $\Lambda_b^0/B^0$  ratio in high multiplicity  $pp$  collisions [Phys. Rev. Lett. 132 \(2024\) 081901](#)
- Prompt  $D_s^+$  and  $D^+$  production in  $p$ Pb collisions [JHEP 01 \(2024\) 070](#) [arXiv:2311.08490](#)
- Prompt  $\Xi_c^+$  production in  $p$ Pb collisions [arXiv:2305.06711](#)
- Summary

# Motivation

- Study nuclear structure in different collisions.
  - Access different Bjorken  $x$  and  $Q^2$  region.
  - Constrain nuclear PDFs.

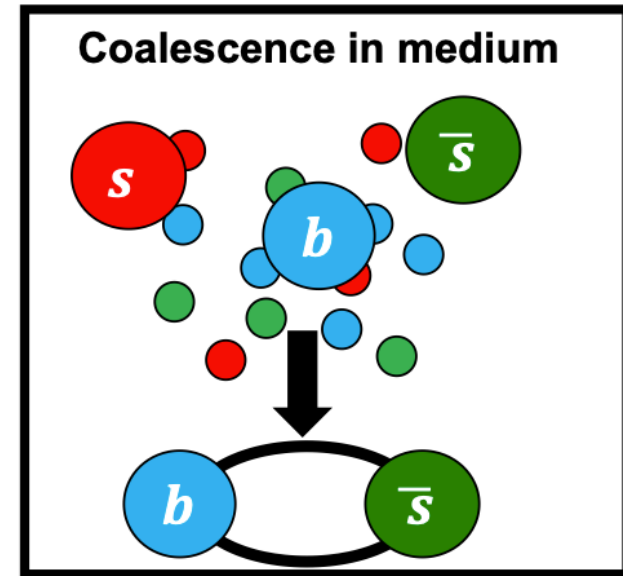
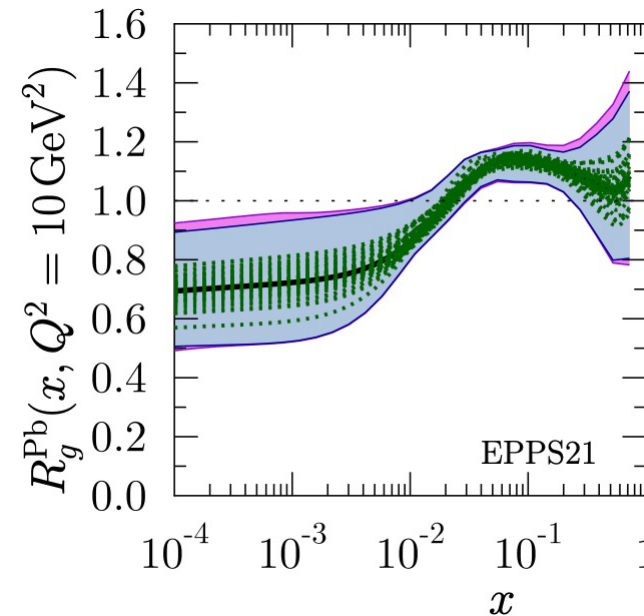
$$R_i^A(x, Q^2) = \frac{Z f_i^{p/A}(x, Q^2) + N f_i^{n/A}(x, Q^2)}{Z f_i^p(x, Q^2) + N f_i^n(x, Q^2)},$$

- Study hadronization process in different collisions.
  - $ee$  collisions: fragmentation mechanism dominates.
  - $pp/pPb$  collisions: it was thought that the fragmentation mechanism was dominant.
  - PbPb/AuAu collisions: fragmentation and coalescence mechanisms mixed.



arXiv:2106.13661

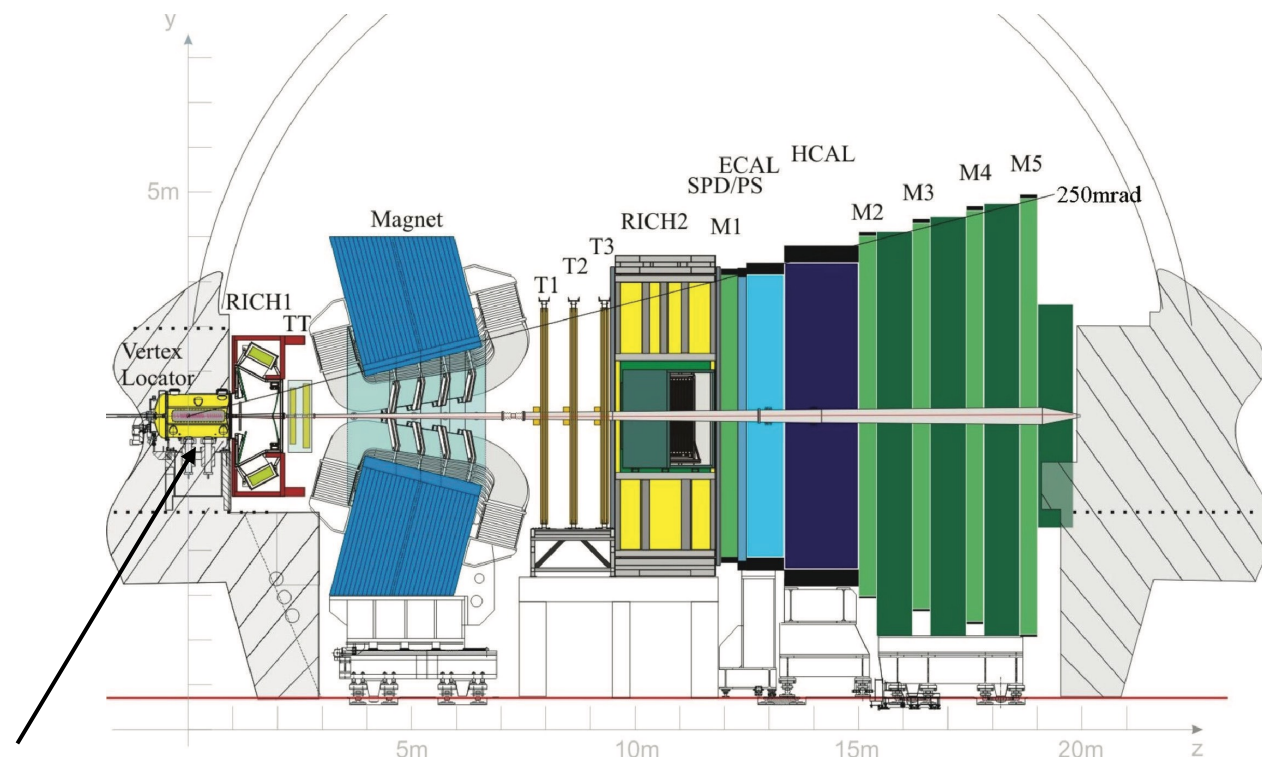
$\sqrt{s}$  (incident  $p$  or  $A$  beams)



# LHCb detector

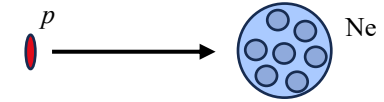
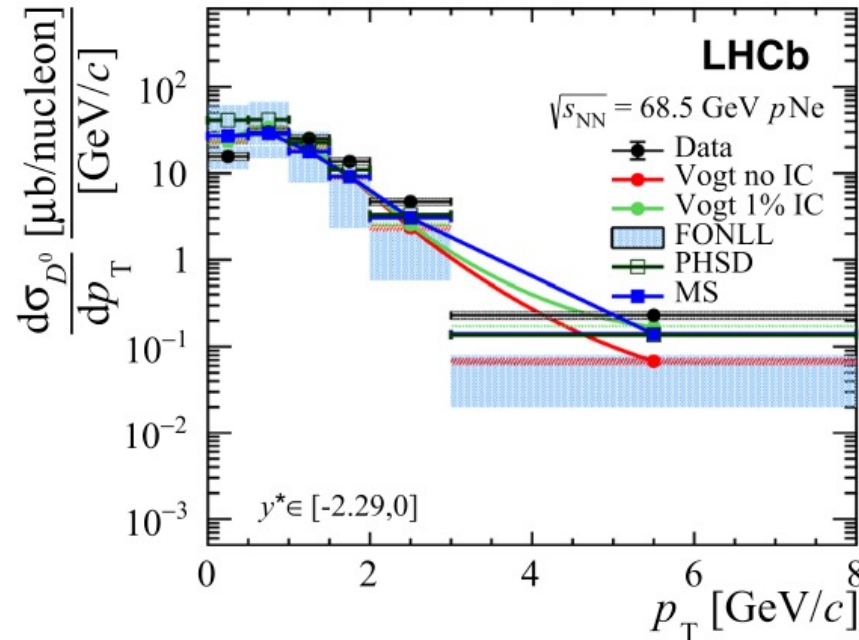
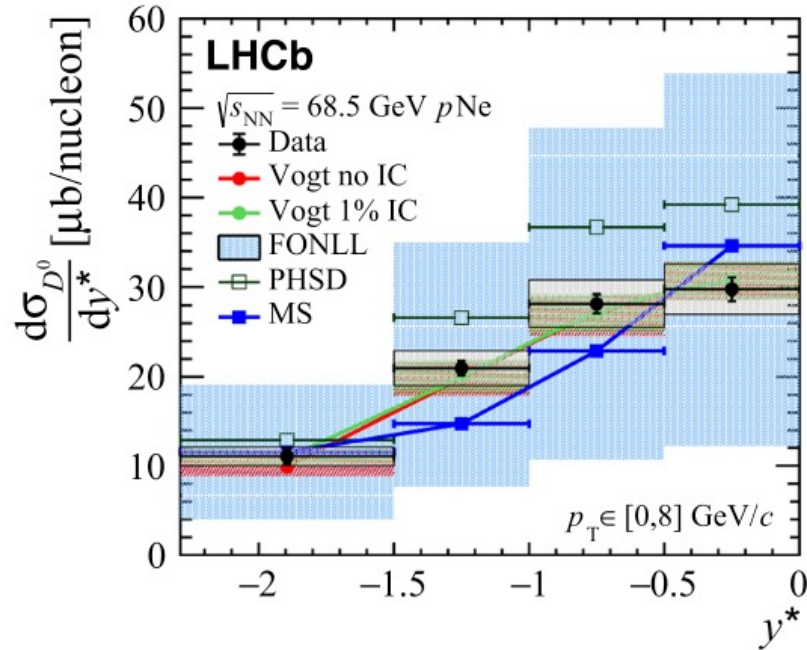
- A single-arm spectrometer in the forward direction, charm & beauty factory
  - Vertex Locator ( $\sim 20 \mu\text{m}$  IP resolution)
  - Tracking system ( $\Delta p/p = 0.5 - 1.0\%$ )
  - PID optimal for  $\mu$ ,  $p$ ,  $K$ ,  $\pi$ 
    - ❖  $\varepsilon(K \rightarrow K) \sim 95\%$
    - ❖  $\varepsilon(\mu \rightarrow \mu) \sim 97\%$
  - Flexible software trigger
- Fixed-target mode in Run 2: proton or lead beams collide with noble gas injected into Vertex Locator
  - Noble gases: Ar, He, Ne
  - Gas pressure:  $\sim 10^{-7}$  mbar

JINST 3 (2008) S08005  
IJMPA 30 (2015) 1530022



Vertex LOcator

# $D^0$ differential cross sections in $p$ Ne collisions at $\sqrt{s_{NN}} = 68.5$ GeV



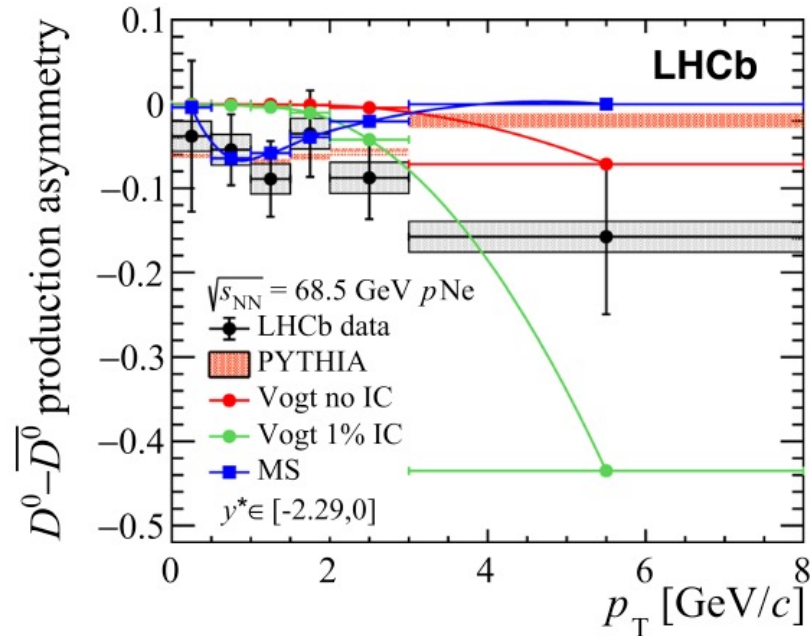
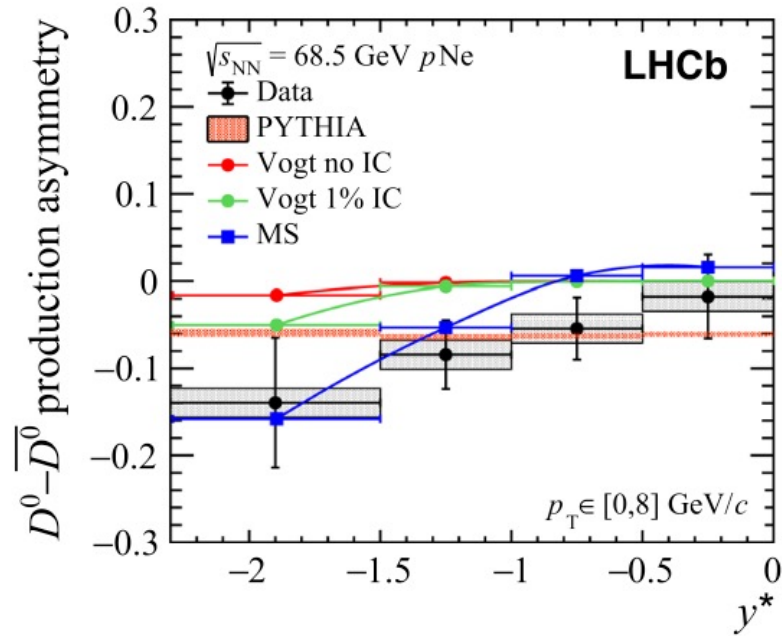
$y^*$ : center mass system rapidity  
 $y$ : lab system rapidity

LHCb  $p$ Ne: EPJC 83 (2023) 541

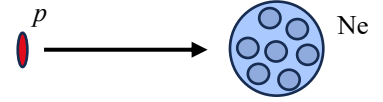
- Both FONLL and PHSD calculations fail to reproduce the  $p_T$  distribution, while the rapidity distributions are in better agreement with the data.
- The data are well described by calculations with (Vogt 1% IC) or without (Vogt no IC) intrinsic charm contributions and calculations (MS) includes 1% intrinsic charm and 10% recombination contributions.

Vogt: PRC 103 (2021) 035204  
 MS: PLB 835 (2022) 137530  
 PHSD: PRC 96 (2017) 014905  
 FONLL: PRL 95 (2005) 122001,  
 JHEP 05 (1998) 007

# $D^0$ production asymmetry in $p$ Ne collisions at $\sqrt{s_{NN}} = 68.5$ GeV



**LHCb  $p$ Ne: EPJC 83 (2023) 541**



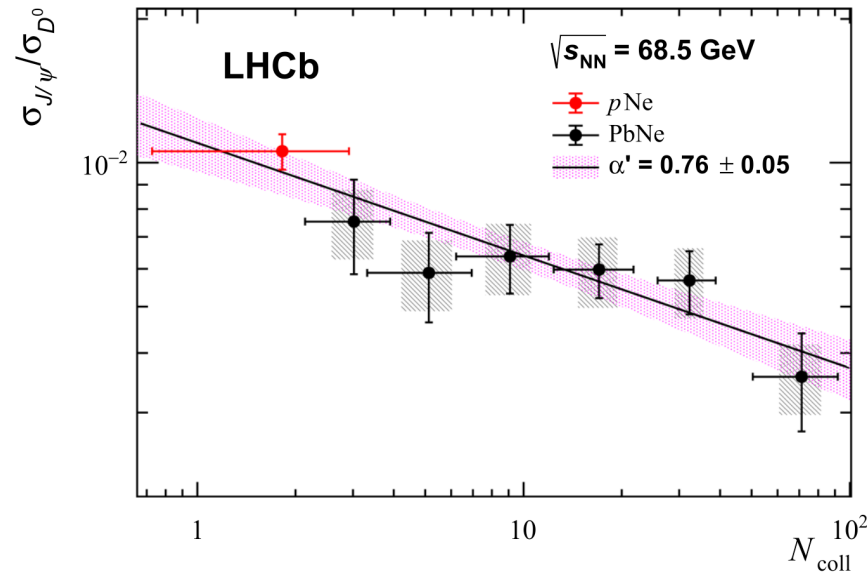
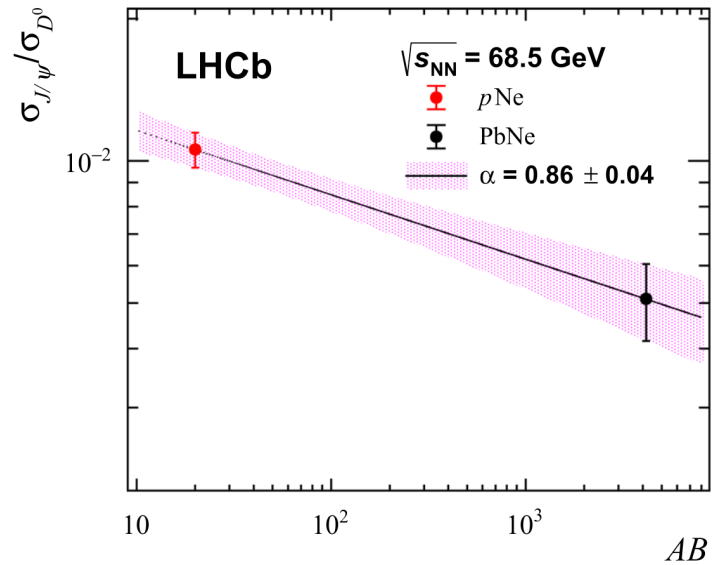
$$A_{\text{prod}} = \frac{Y_{\text{corr}}(D^0) - Y_{\text{corr}}(\bar{D}^0)}{Y_{\text{corr}}(D^0) + Y_{\text{corr}}(\bar{D}^0)}$$

The production asymmetry probes charm hadronization with a high- $x$  valence quark.

- The largest asymmetry (-15%) is obtained at  $y^* = -2.29$ .
- PYTHIA 8 predicts an asymmetry of approximately -6%, which shows little dependence on  $p_T$  and rapidity.
- Vogt calculations represent an upper limit on the asymmetry.

**Pythia8:** JHEP 08 (2015) 003  
**Vogt:** PRC 103 (2021) 035204  
**MS:** PLB 835 (2022) 137530

# Relative nuclear effects ( $J/\psi/D^0$ ) vs collisions size



$AB$  is the product of the beam ( $A$ ) and target ( $B$ ) atomic mass numbers.

$$\frac{\sigma_{J/\psi}^{AB}}{\sigma_{D^0}^{AB}} = \frac{\sigma_{J/\psi}^{pp}}{\sigma_{D^0}^{pp}} \times (AB)^{\alpha-1} = C \times (AB)^{\alpha-1}$$

$N_{coll}$  is the number of binary nucleon-nucleon collisions and evaluated by Glauber model.

$$\frac{\sigma_{J/\psi}^{AB}}{\sigma_{D^0}^{AB}} \propto (N_{coll})^{\alpha'-1}$$

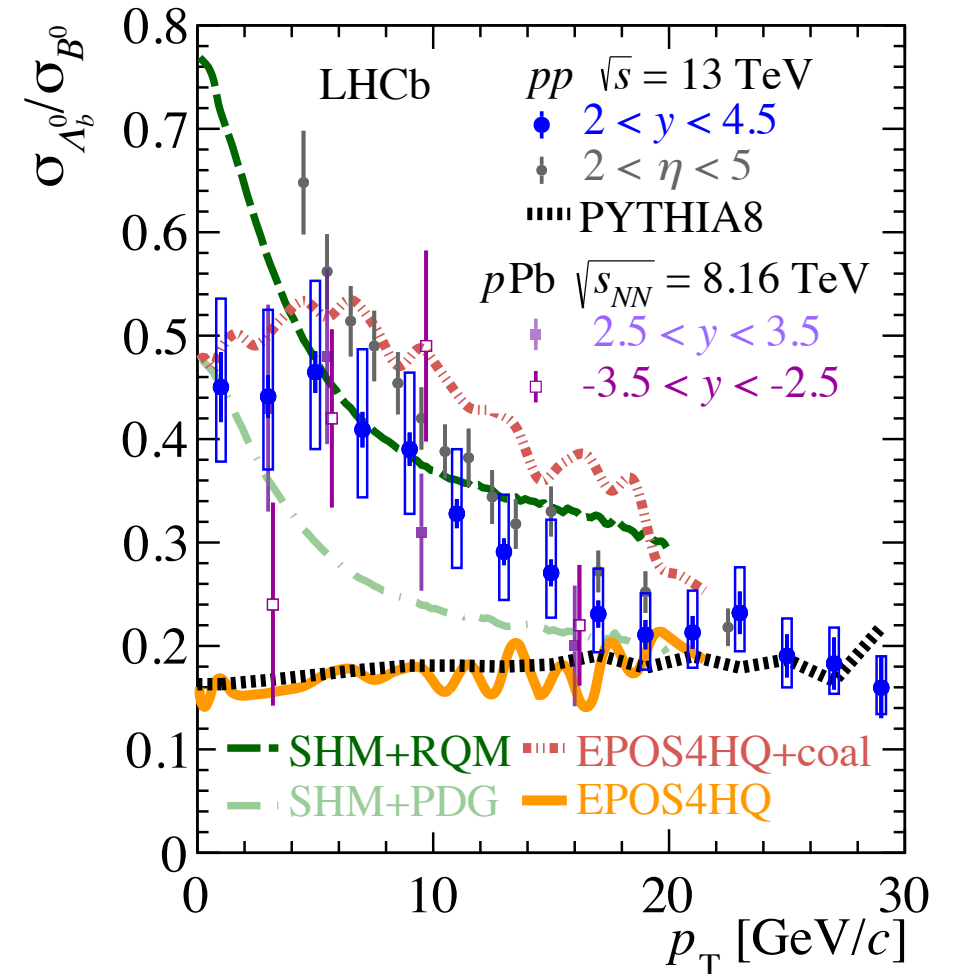
**PbNe:** EPJC 83 (2023) 658    **pNe:** EPJC 83 (2023) 625

- Study  $\sigma_{J/\psi}/\sigma_{D^0}$  as a function of the collisions size (represented by  $AB$  or  $N_{coll}$ ).
- $\alpha < 1$  indicates that  $J/\psi$  mesons experience additional nuclear effects than  $D^0$  mesons
- At the current precision, there is no significant difference in the  $J/\psi$  suppression trend when comparing  $p\text{Ne}$  and peripheral  $\text{PbNe}$  collisions with central  $\text{PbNe}$  collisions.

# $\Lambda_b^0/B^0$ cross-section ratio in $pp$ collisions at $\sqrt{s} = 13$ TeV $\Lambda_b^0 = udb$ $B^0 = d\bar{b}$

- $\Lambda_b^0/B^0$  ratio (blue points) is consistent with previous LHCb  $pp$ ,  $pPb$  results within uncertainties.
- The green solid curve uses the measured spectrum of baryons collected by Particle Data Group (PDG).
- The black dashed curve uses the expanded set of excited states that are expected by the Relativistic Quark Model (RQM).
- The enhancement of RQM relative to the PDG is attributed to the feed down from thus far unobserved excited  $b$  baryons.
- By incorporating a coalescence mechanism, the EPOS4HQ model provides a more accurate description of the data.

LHCb  $pp$ : Phys. Rev. Lett. 132 (2024) 081901



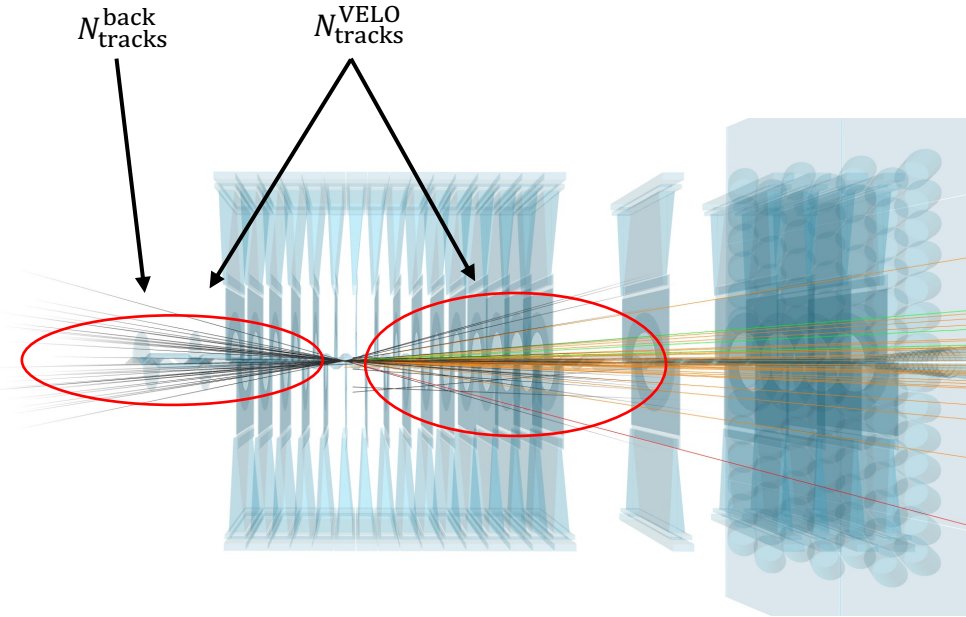
LHCb  $pp$ : Phys. Rev. D 100, 031102(R)  
 LHCb  $pPb$ : Phys.Rev.D 99 (2019) 5, 052011  
 SHM: Phys. Rev. Lett. 131, 012301  
 EPOS4HQ: Phys.Rev.D 109 (2024) 5, 054011  
 PYTHIA8: Comput.Phys.Commun. 178 (2008) 852-867

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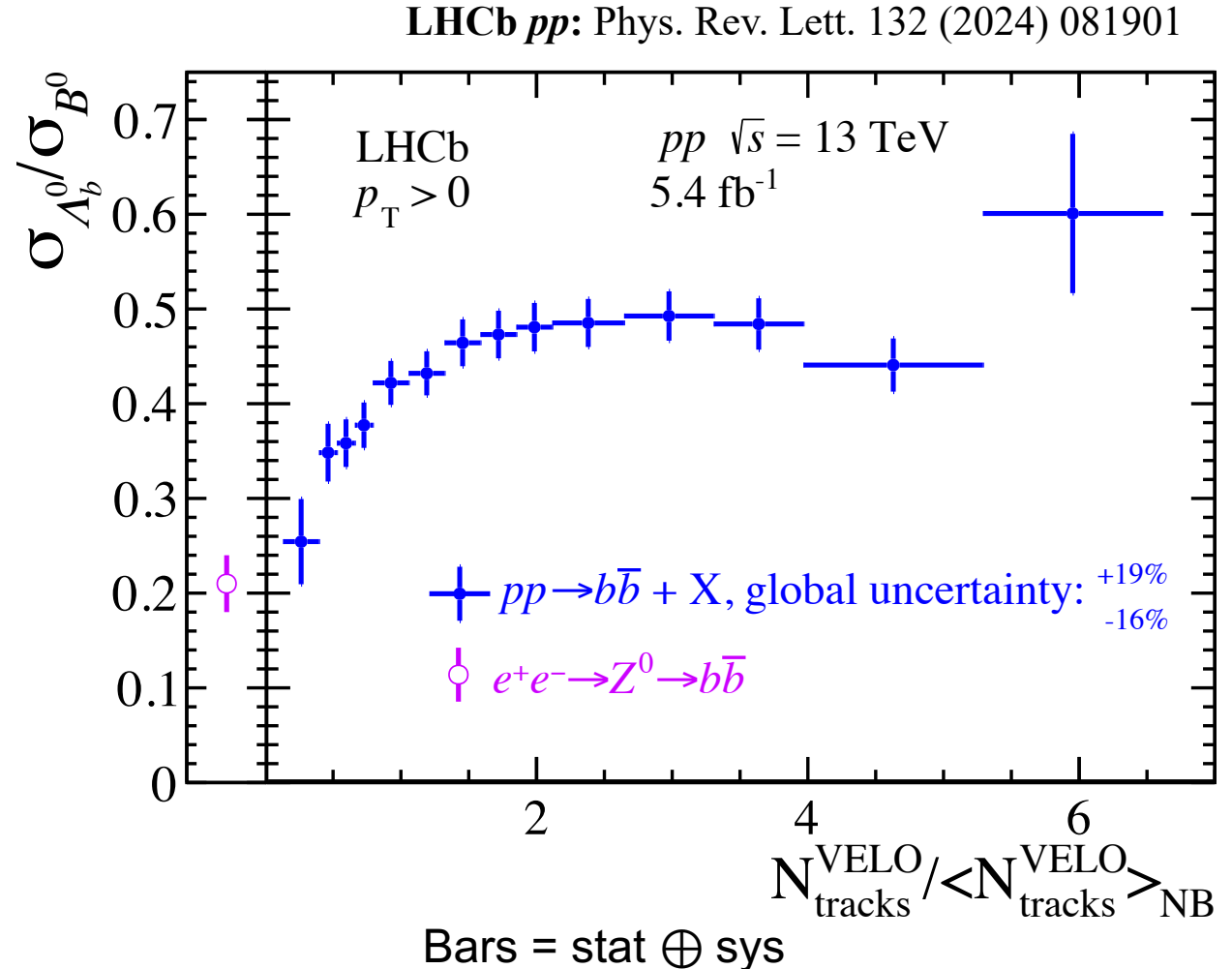
Bars = stat  $\oplus$  sys  
 Boxes = BR uncertainty



# $\Lambda_b^0/B^0$ ratio vs multiplicity in $pp$ collisions at $\sqrt{s} = 13$ TeV



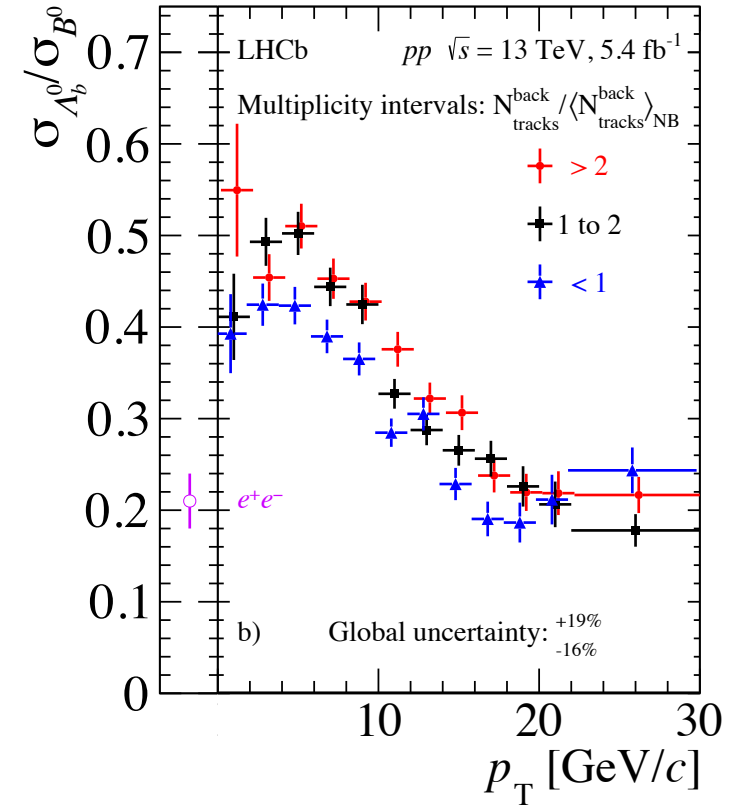
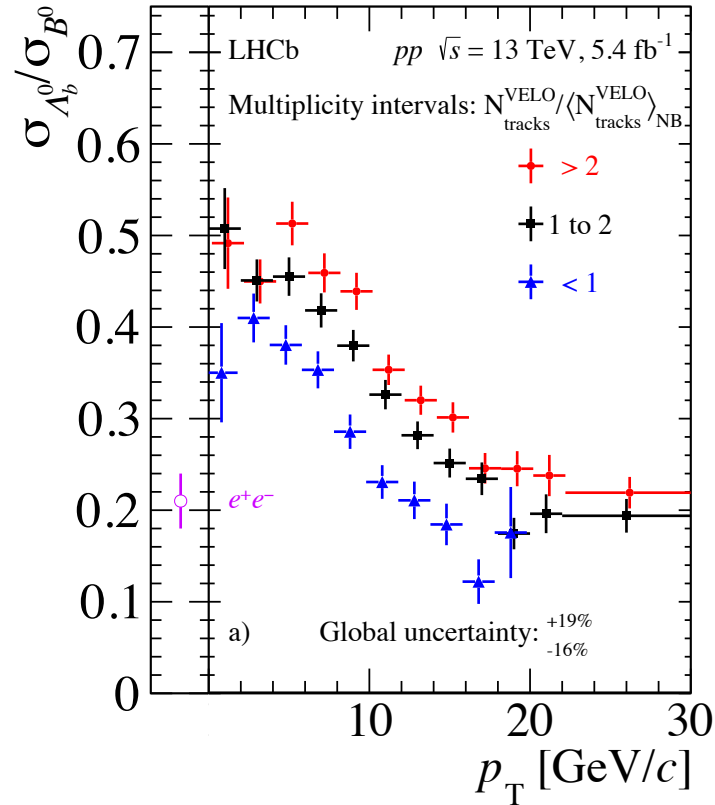
- $\Lambda_b^0/B^0$  ratio increases with multiplicity.
- In the lowest multiplicity bin,  $\Lambda_b^0/B^0$  ratio can reach the value in  $e^+e^-$  collisions.
- This indicates that coalescence emerges as an additional hadronization mechanism for baryons at high multiplicity events.



# $\Lambda_b^0/B^0$ ratio vs $p_T$ in $pp$ collisions at $\sqrt{s} = 13$ TeV

LHCb  $pp$ : Phys. Rev. Lett. 132 (2024) 081901

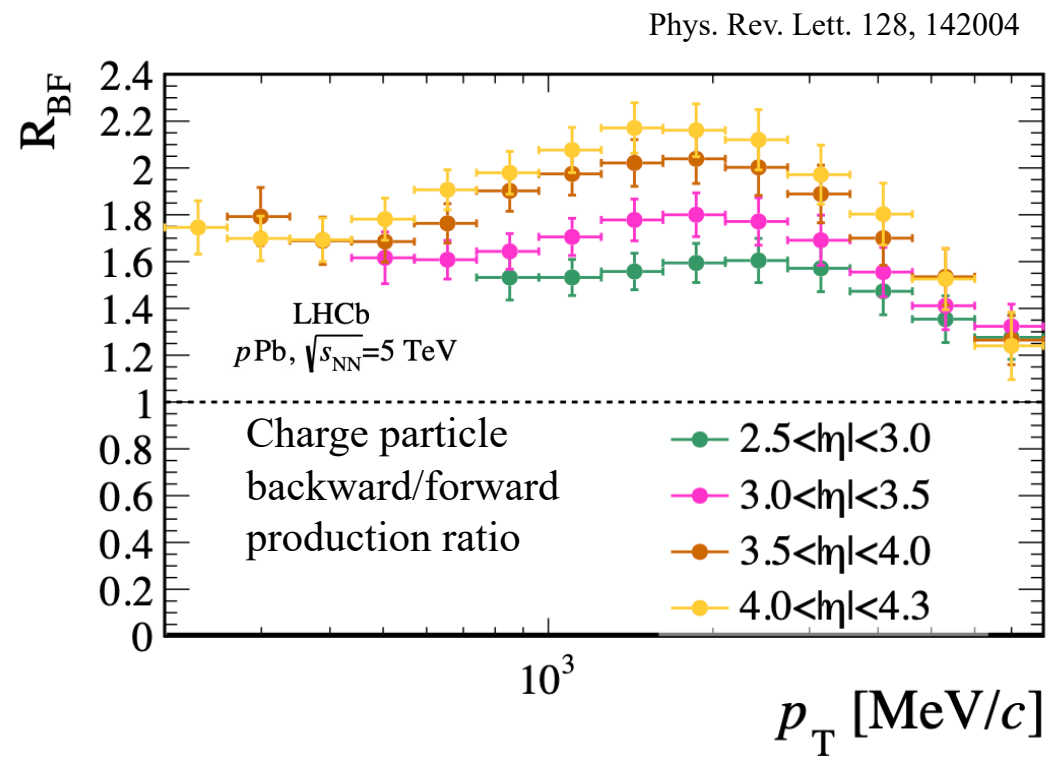
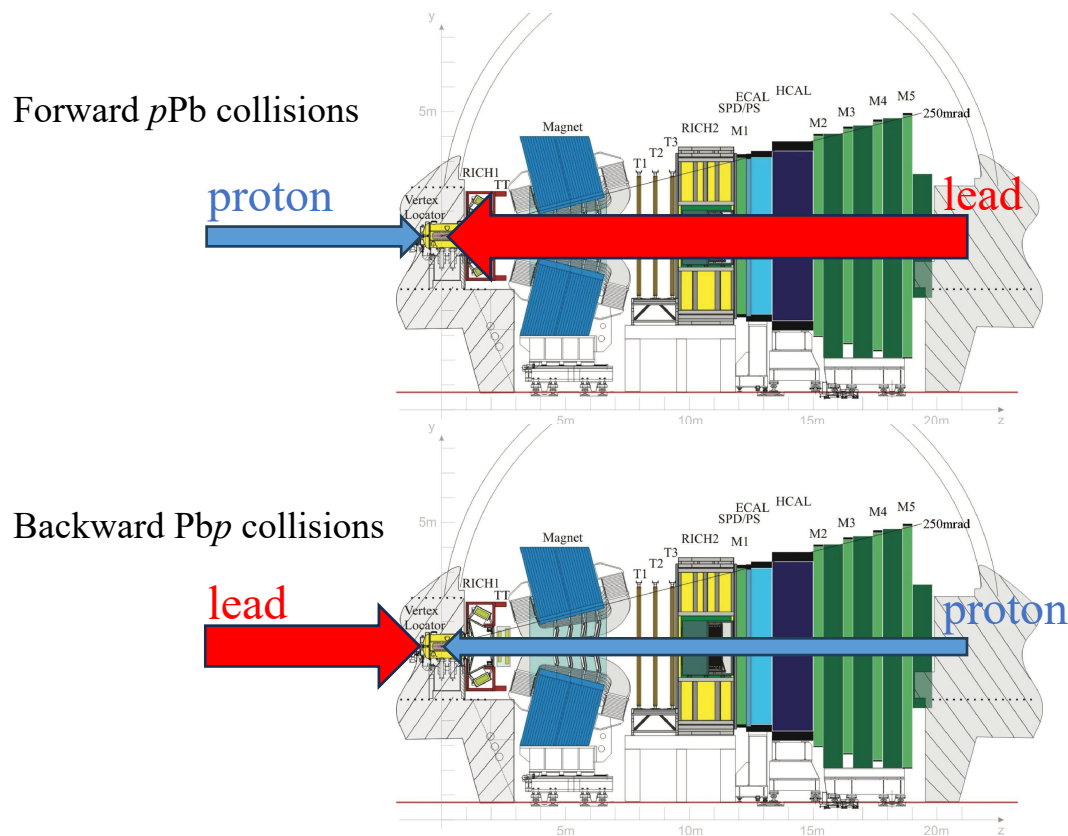
- $\Lambda_b^0/B^0$  ratio significantly higher than  $e^+e^-$  result at low  $p_T$ , and shows strong multiplicity dependence.
- $\Lambda_b^0/B^0$  ratio consistent with  $e^+e^-$  result at high  $p_T$ , shows weaker multiplicity dependence.
- $\Lambda_b^0/B^0$  ratio shows weaker multiplicity dependence on backward VELO tracks.



Bars = stat  $\oplus$  sys

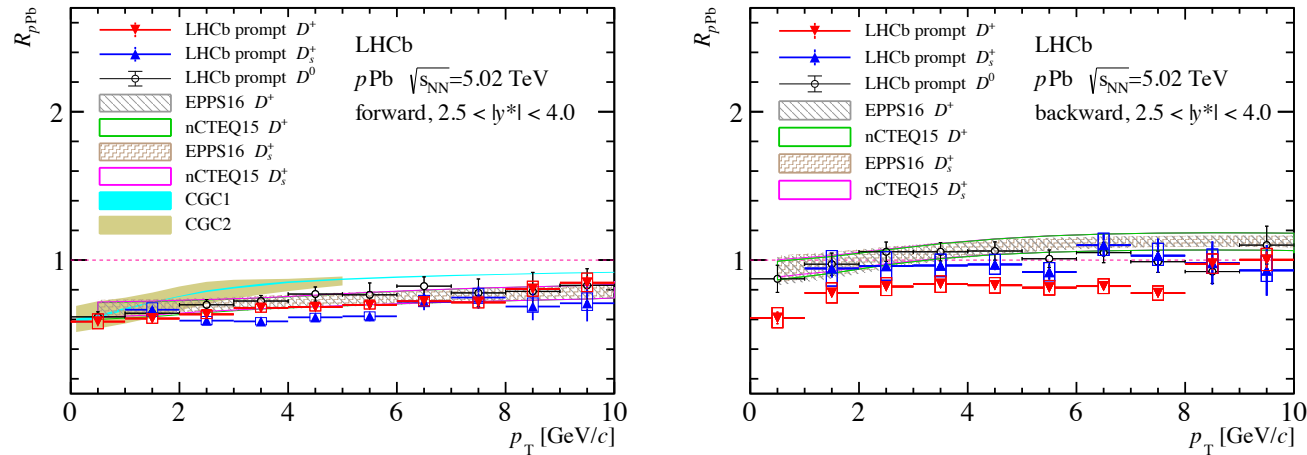
# Proton-lead collisions at LHCb

- The  $pPb$  collisions at  $\sqrt{s_{NN}} = 5.02$  (8.16) TeV LHCb data was taken in 2013 (2016) with asymmetric collision configuration.
  - Forward:  $1.5 < y^* < 4$  (center mass system rapidity)
  - Backward:  $-5 < y^* < -2.5$
- Backward collisions have higher multiplicity on average than forward collisions ( $\sim 1.6x$ ).

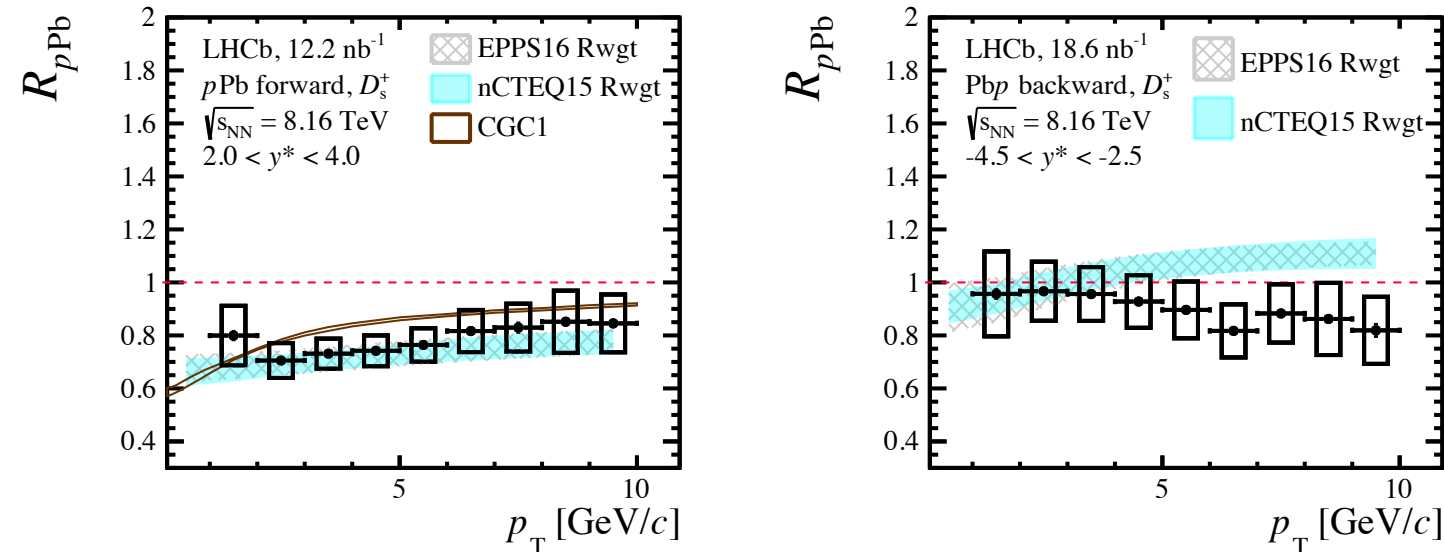


# $D_s^+$ and $D^+$ production in $p$ Pb collisions

LHCb 5.02 TeV  $p$ Pb: JHEP 01 (2024) 070



LHCb 8.16 TeV  $p$ Pb: arXiv:2311.08490



The nuclear modification factor is usually performed to study cold nuclear matter effects.

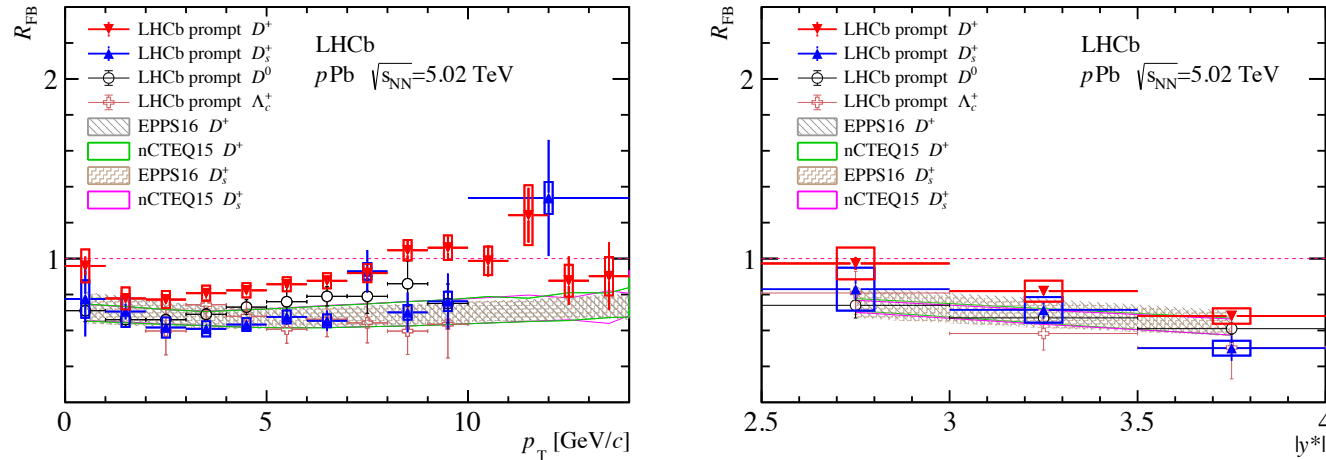
$$R_{pPb}(p_T, y^*) \equiv \frac{1}{A} \frac{d^2\sigma_{pPb}(p_T, y^*)/dp_T dy^*}{d^2\sigma_{pp}(p_T, y^*)/dp_T dy^*}$$

- $R_{pPb} < 1$  means that the production of  $D$  mesons are suppressed by cold nuclear matter effects.
- $R_{pPb}$  consistent with nPDFs calculations in the forward, lower than nPDFs calculations in the backward high  $p_T$  region.

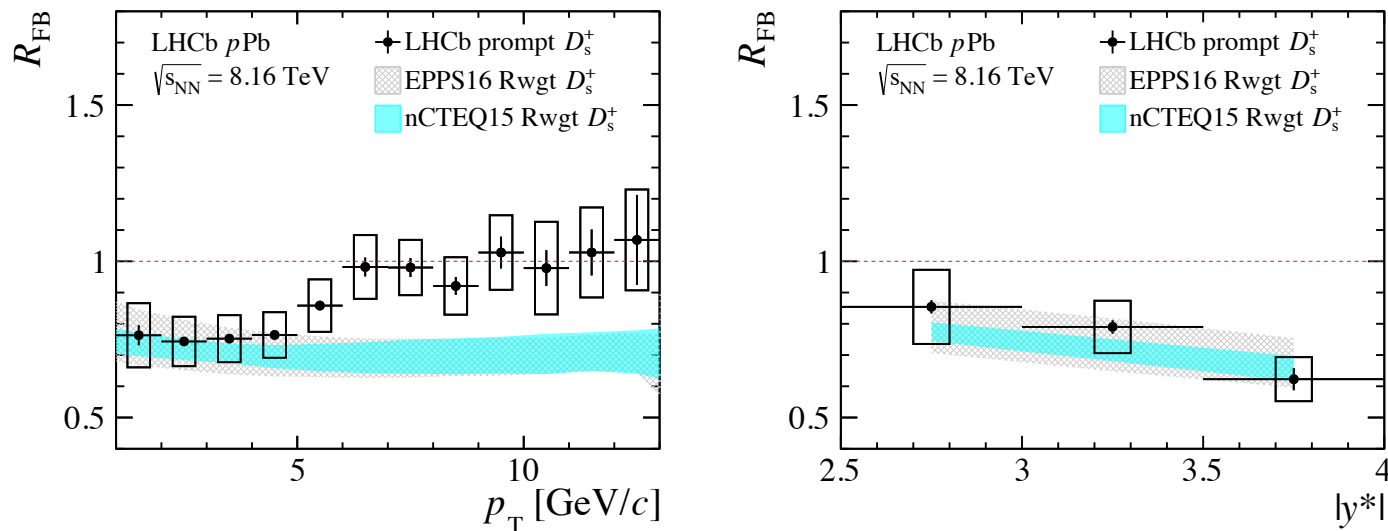
EPPS16: Eur.Phys.J.C 77 (2017) 3, 163  
nCTEQ: Phys.Rev.D 93 (2016) 8, 085037  
CGC: Phys. Rev. D91 (2015) 114005

# $D_s^+$ and $D^+$ forward-backward production ratio

LHCb 5.02 TeV  $p$ Pb: JHEP 01 (2024) 070



LHCb 8.16 TeV  $p$ Pb: arXiv:2311.08490



$$R_{FB}(p_T, y^*) = \frac{d^2\sigma_{pPb}(p_T, +|y^*|)/dp_T dy^*}{d^2\sigma_{pPb}(p_T, -|y^*|)/dp_T dy^*},$$

- $R_{FB}$  shows a rising trend with  $p_T$ . Consistent with nPDFs at low  $p_T$ , larger than theoretical calculations at high  $p_T$ .
- $R_{FB}$  shows a slight dependence on  $y^*$ , consistent with nPDFs calculations.
- Potential explanations for backward production suppression:
  - Weaker antishadowing effect in initial state.
  - Higher energy loss for backward in final state (high  $p_T D \rightarrow$  low  $p_T D$ ).

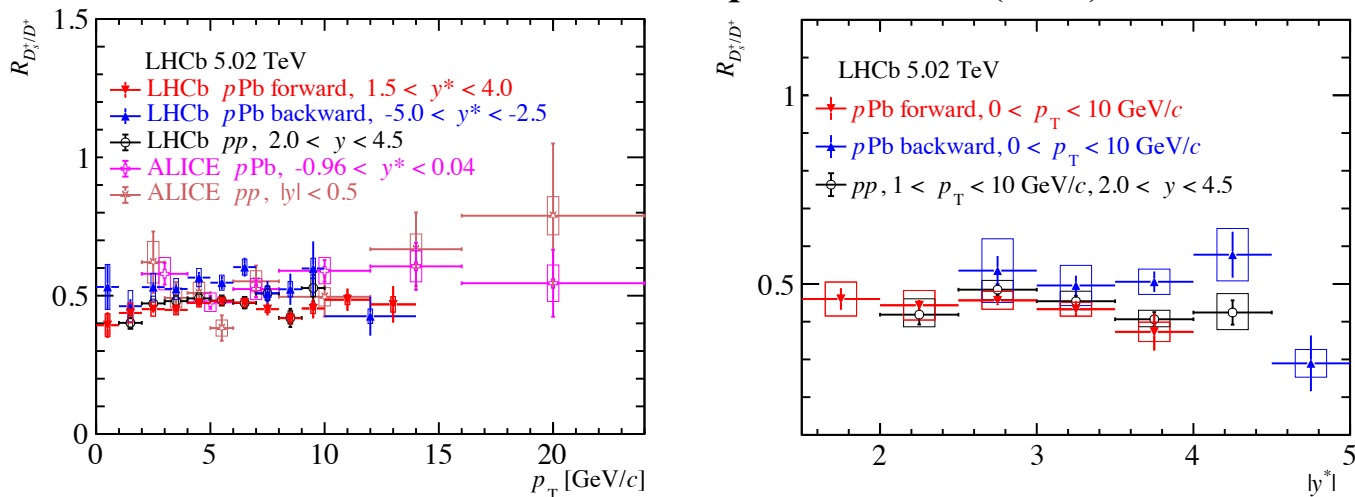
LHCb  $p$ Pb: arXiv:2311.08490  
 EPPS16: Eur.Phys.J.C 77 (2017) 3, 163  
 nCTEQ: Phys.Rev.D 93 (2016) 8, 085037

# $D_S^+ / D^+$ ratio vs $p_T$ and $y^*$ in $pPb$ collisions

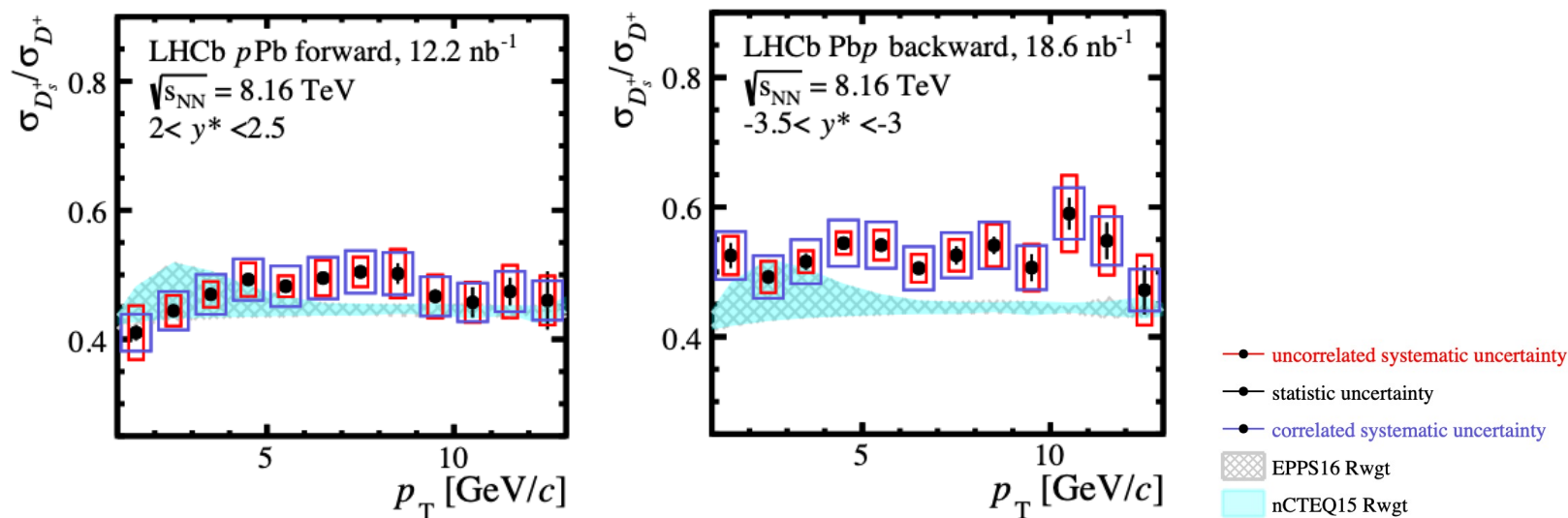
$$D_S^+ = c\bar{s}$$

$$D^+ = c\bar{d}$$

LHCb 5.02 TeV  $pPb$ : JHEP 01 (2024) 070



LHCb 8.16 TeV  $pPb$ : arXiv:2311.08490

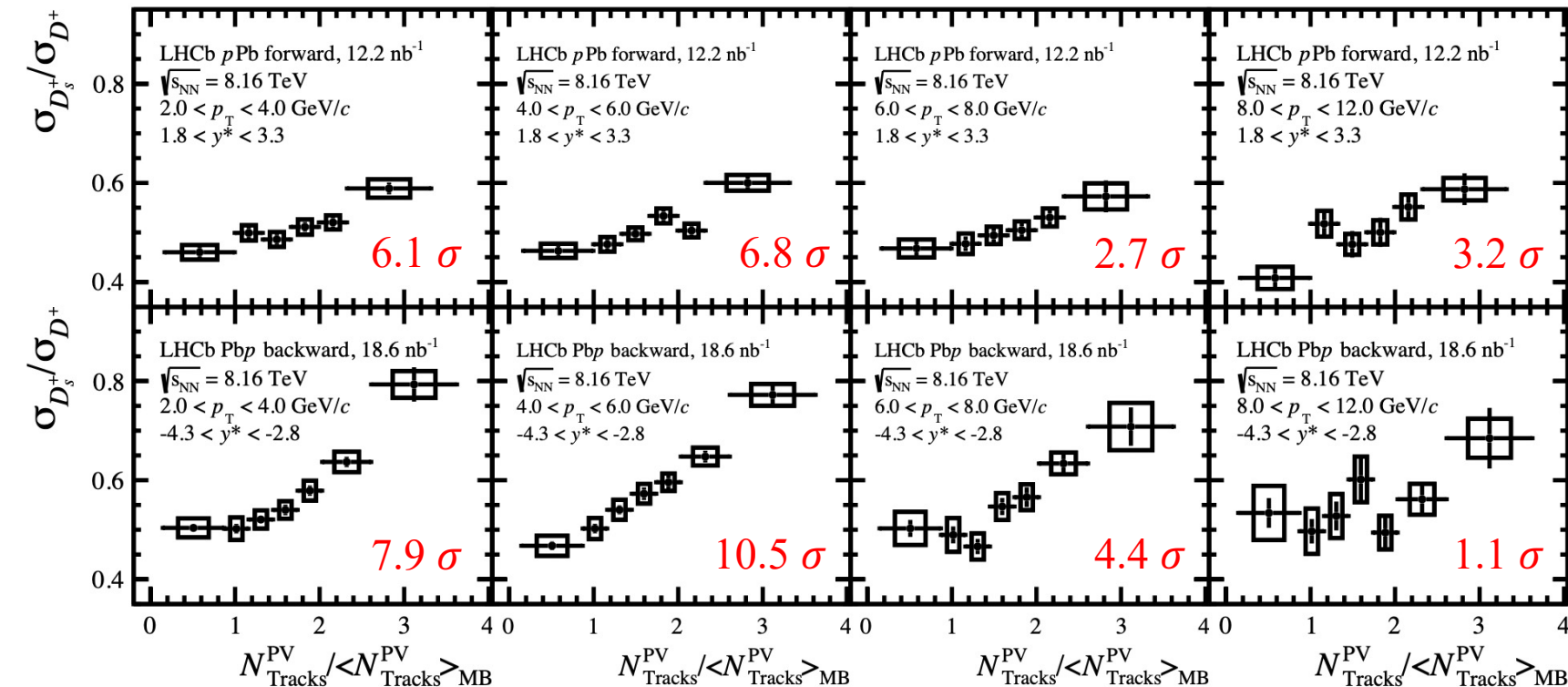


- $D_S^+ / D^+$  ratio shows little dependence on  $p_T$ .
- $D_S^+ / D^+$  ratio is consistent with the result of LHCb in  $pp$  collisions within uncertainties.
- $D_S^+ / D^+$  ratio is consistent with ALICE measurements with higher precision.
- Higher  $D_S^+ / D^+$  ratio for backward compared to forward may be due to hadronization.
- $D_S^+ / D^+$  ratio also shows little dependence on  $p_T$ .
- $D_S^+ / D^+$  ratio is consistent with theoretical calculation (EPPS16, nCTEQ15) in forward.
- The backward  $D_S^+ / D^+$  ratio is also slightly higher than the forward ratio.

# $D_s^+ / D^+$ ratio vs multiplicity in $p$ Pb collisions at $\sqrt{s_{NN}} = 8.16$ TeV

- $D_s^+ / D^+$  ratio increases with multiplicity across all  $p_T$  intervals.
- $D_s^+ / D^+$  ratio enhancement is more pronounced in backward rapidity.
- On average,  $D_s^+ / D^+$  ratio is consistent with ALICE measurements.
- This implies a modification of charm quark hadronization in high multiplicity  $p$ Pb collisions.

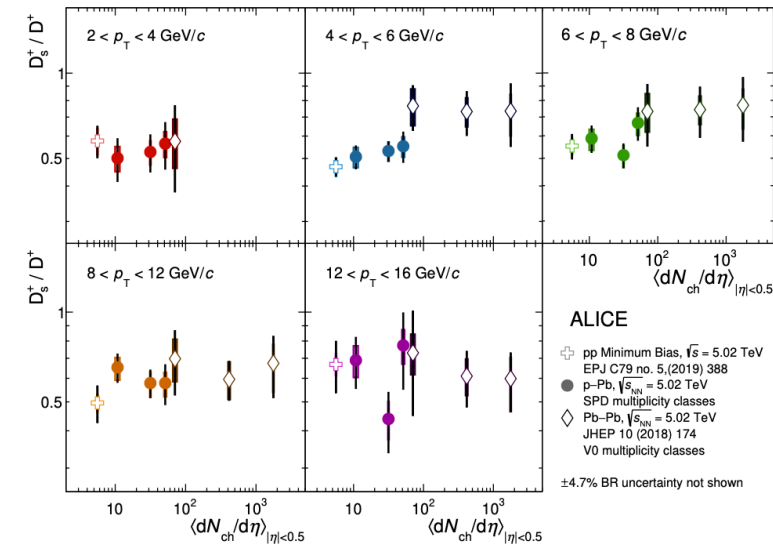
LHCb  $p$ Pb: arXiv:2311.08490



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$N_{Tracks}^{PV}$ : Number of tracks used to reconstruct primary vertex

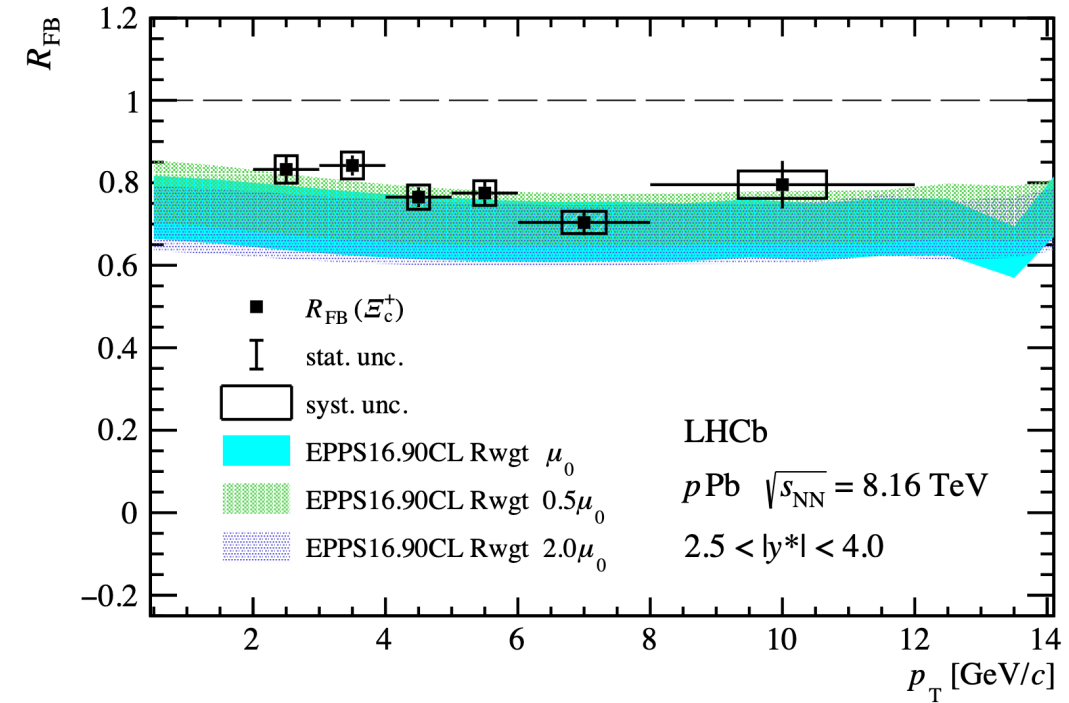
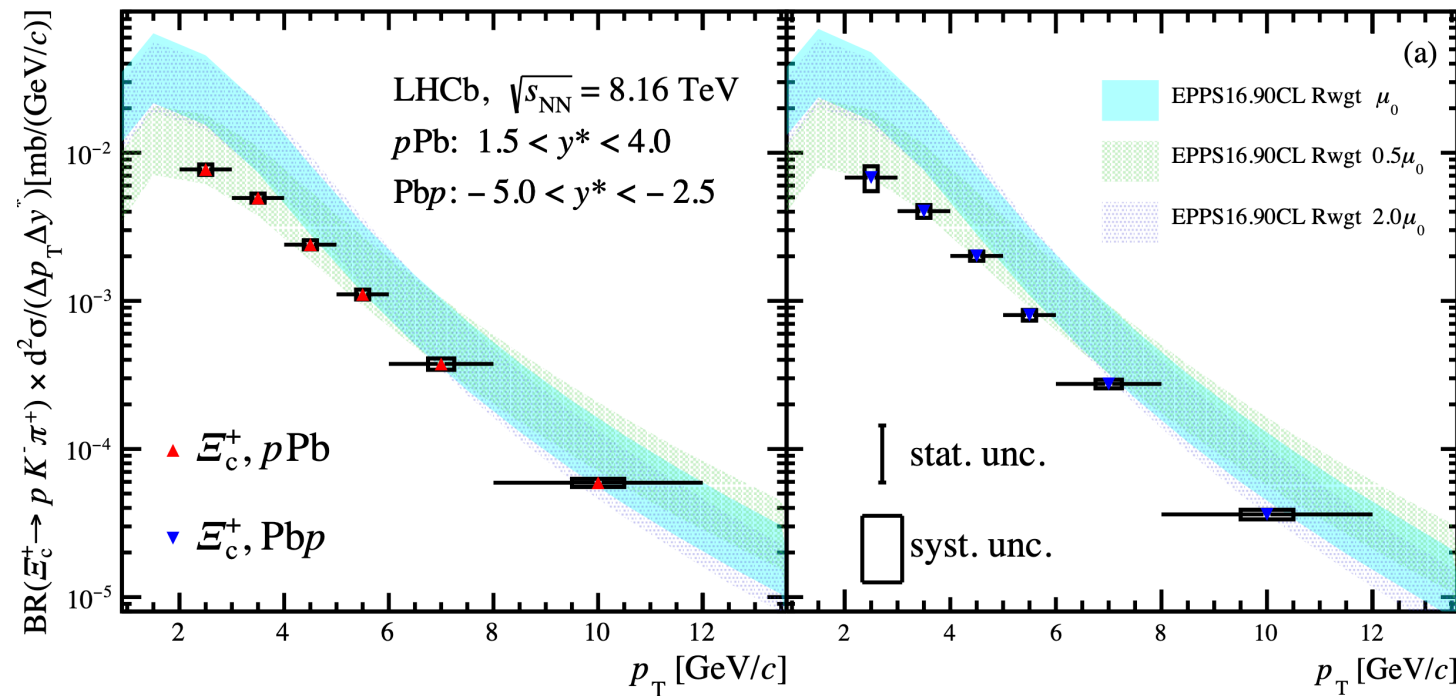
ALICE  $p$ Pb: JHEP 12 (2019) 092



# $\Xi_c^+$ production in $p\text{Pb}$ collisions at $\sqrt{s_{\text{NN}}} = 8.16$ TeV

- The double-differential cross-section of the prompt  $\Xi_c^+$  is measured and compared with EPPS16 calculations.
- The forward-backward ratio is independent of  $p_{\text{T}}$  and agrees with the EPPS16 calculations within uncertainty.

LHCb  $p\text{Pb}$ : arXiv:2305.06711



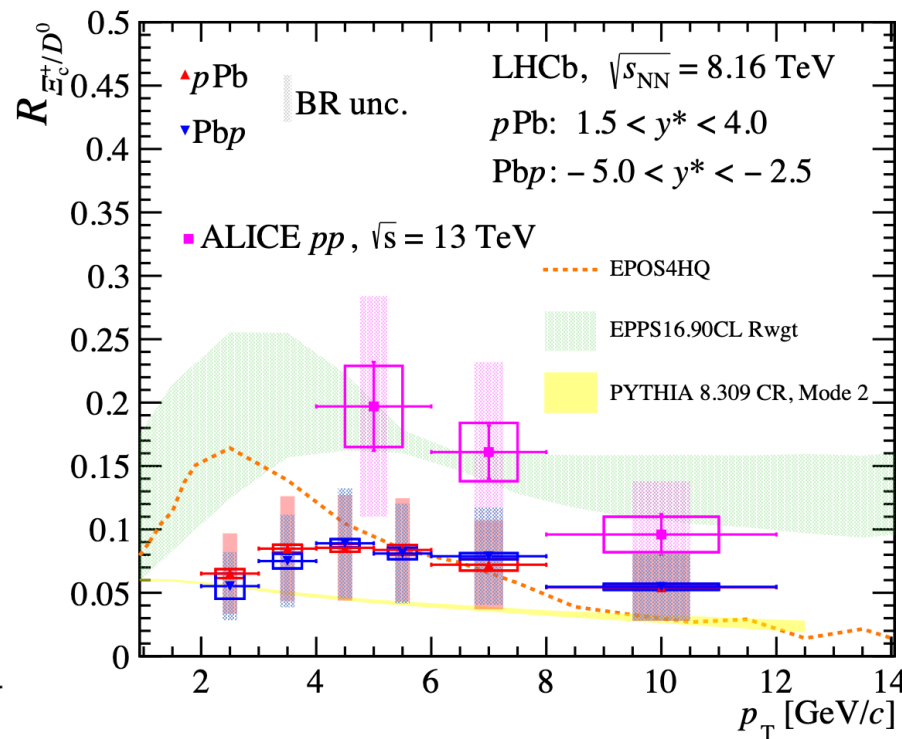
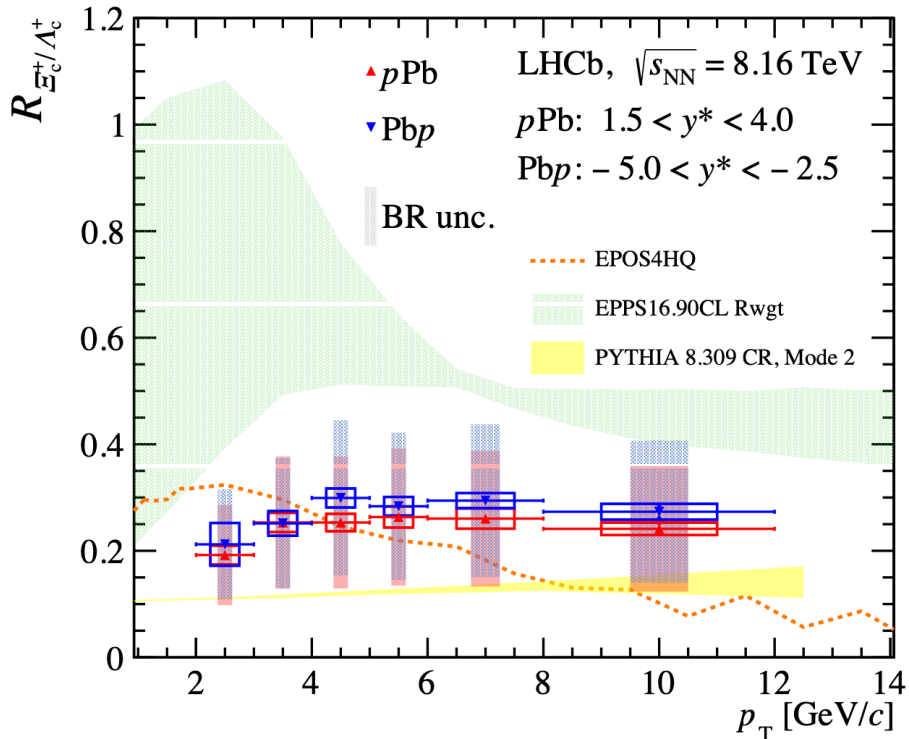
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16



# $\Xi_c^+ / \Lambda_c^+$ and $\Xi_c^+ / D^0$ ratios in $p$ Pb collisions at $\sqrt{s_{\text{NN}}} = 8.16$ TeV

- $\Xi_c^+ / \Lambda_c^+$  and  $\Xi_c^+ / D^0$  ratios show no significant  $p_T$  dependence and similarly for the forward and backward data samples. This indicates that same processes govern heavy quarks hadronization in forward and backward collisions.
- The EPPS16 shows a similar trend, but significantly overestimates LHCb data.
- The  $\Xi_c^+ / D^0$  ratios measured in  $pp$  collisions from ALICE are significantly larger than LHCb data.



LHCb  $p$ Pb: arXiv:2305.06711

$D^0 = c\bar{u}$   
 $\Lambda_c^+ = udc$   
 $\Xi_c^+ = usc$   
 Both  $\Xi_c^+$  and  $\Lambda_c^+$  are reconstructed by  $pK^-\pi^+$

EPPS16: Phys.Rev.D 104 (2021) 1, 014010

EPOS4HQ: arXiv:2401.17096

Pythia8: JHEP 08 (2015) 003

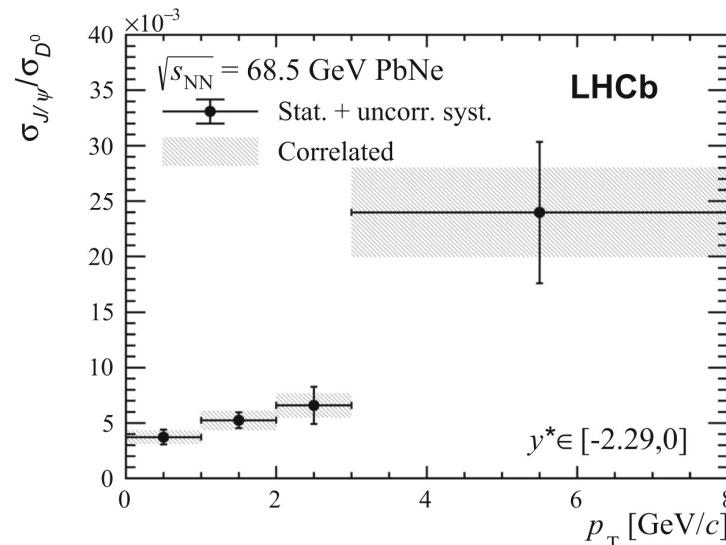
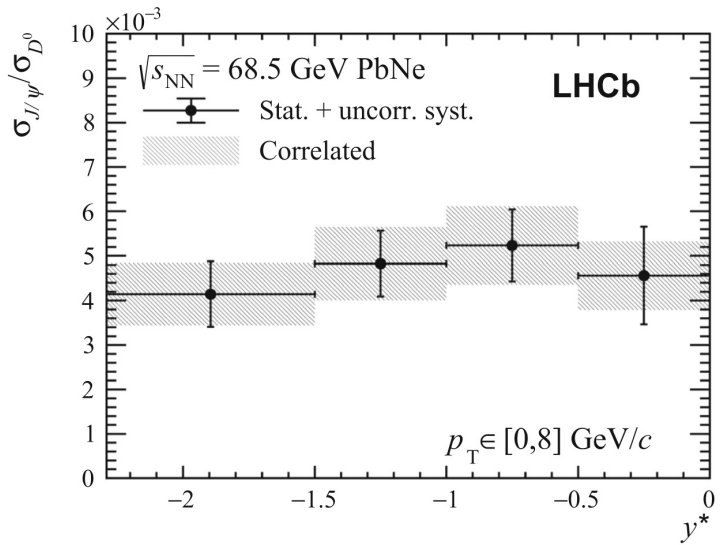
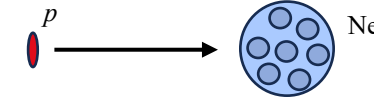
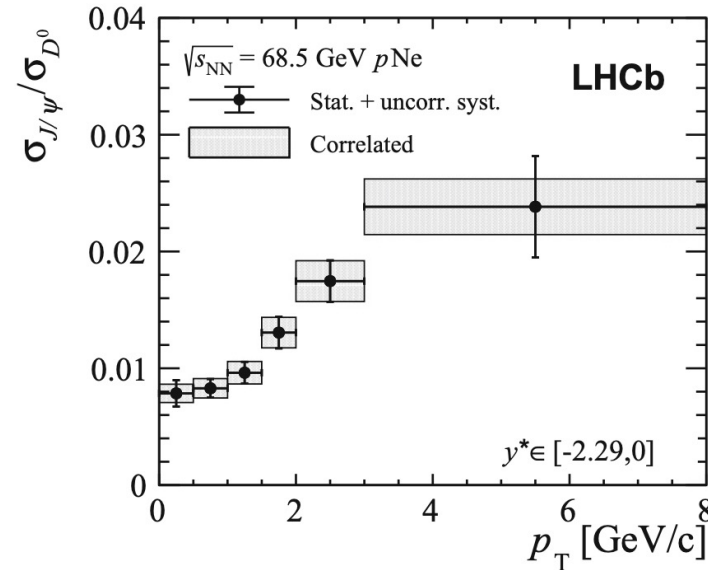
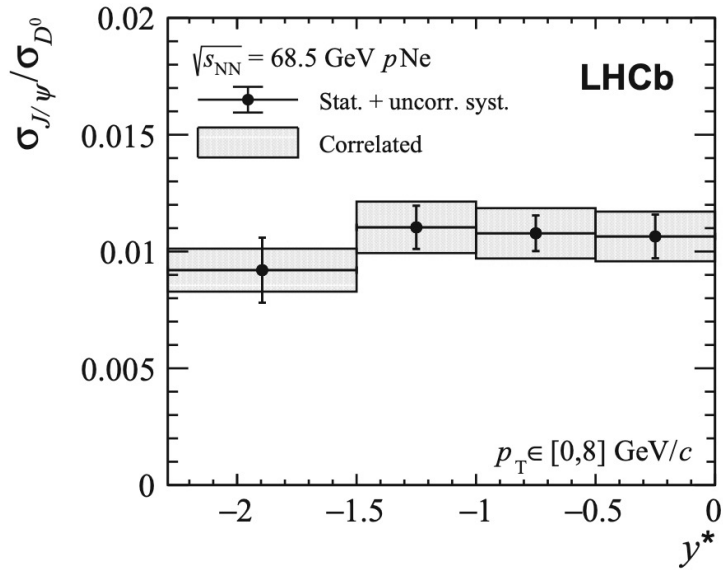
# Summary

- LHCb has conducted measurements on  $D^0$  and charmonium production in  $p\text{Ne}$  and  $\text{PbNe}$  collisions at  $\sqrt{s_{\text{NN}}} = 68.5$  GeV. By comparing the  $J/\psi/D^0$  in  $p\text{Ne}$  and  $\text{PbNe}$  collisions, there are no conclusive evidence for anomalous suppression or the formation of a hot nuclear medium.
- The productions of  $D_s^+$ ,  $D^+$  and  $\Xi_c^+$  are measured in  $p\text{Pb}$  collisions and compared with theoretical calculations.
- LHCb has observed an enhancement of  $D_s^+/D^+$ ,  $\Lambda_b^0/B^0$  as a function of multiplicity. These indicate the modification of heavy quarks hadronization in  $pp$  and  $p\text{Pb}$  collisions.
- The production ratios  $\Xi_c^+/\Lambda_c^+$  and  $\Xi_c^+/D^0$  study in  $p\text{Pb}$  collisions. By comparing forward and backward results, it is suggested that they are governed by similar hadronization processes.

# DIS 2024

Thanks for listening!

# $\sigma_{J/\psi}/\sigma_{D^0}$ cross-section ratios in $p$ Ne and PbNe collisions



- Measure  $\sigma_{J/\psi}/\sigma_{D^0}$  ratios in different types collisions but with same  $\sqrt{s_{NN}}$
- $\sigma_{J/\psi}/\sigma_{D^0}$  ratio show little dependence on  $y^*$  and a strong dependence on  $p_T$

