

*The XXXI International Workshop on Deep Inelastic Scattering (DIS2024)*

# **Diffraction measurements at CMS and TOTEM**

*09 April 2023*

**Michael Pitt\***

*The University of Kansas*

*\* also with the Ben Gurion University of the Negev*

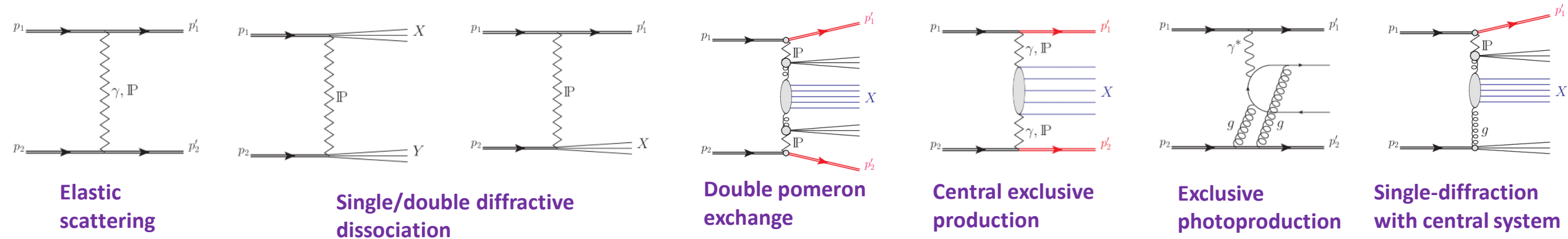


*On behalf of the CMS and TOTEM Collaborations*

# Introduction

## Diffractive interactions at the LHC

- t-channel exchange of color neutral particles (QED, QCD)



- Spans over large kinematic region (MeV – TeV), and large cross-section range
- Provide a rich scientific program for LHC experiments

See Ronan McNulty's talk

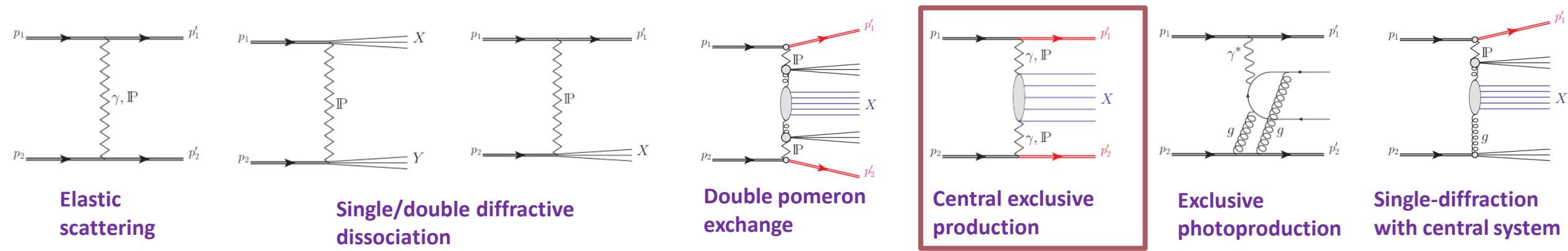
Soft diffraction: Purely nonperturbative processes

Hard diffraction: Substantial fraction of proton kinetic energy is transferred (~a few%)

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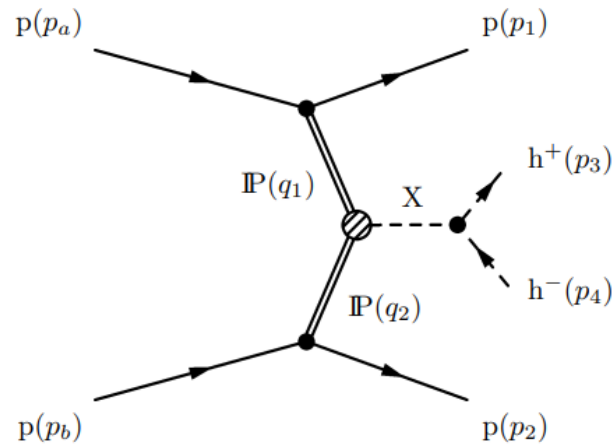
New results

Hard diffraction: Substantial fraction of proton kinetic energy is transferred (~a few%)

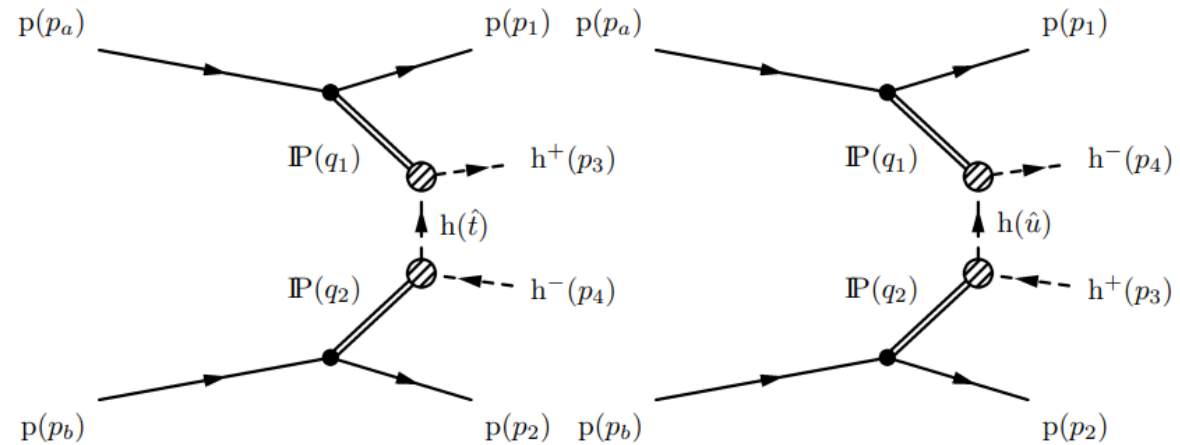
# Introduction

## Soft central exclusive production processes

- A clean laboratory for the study of various nonperturbative phenomena (glueballs, ...)
- Dominated by double-pomeron exchange (DPE) at high momentum transfer ( $t$ )



Resonant production

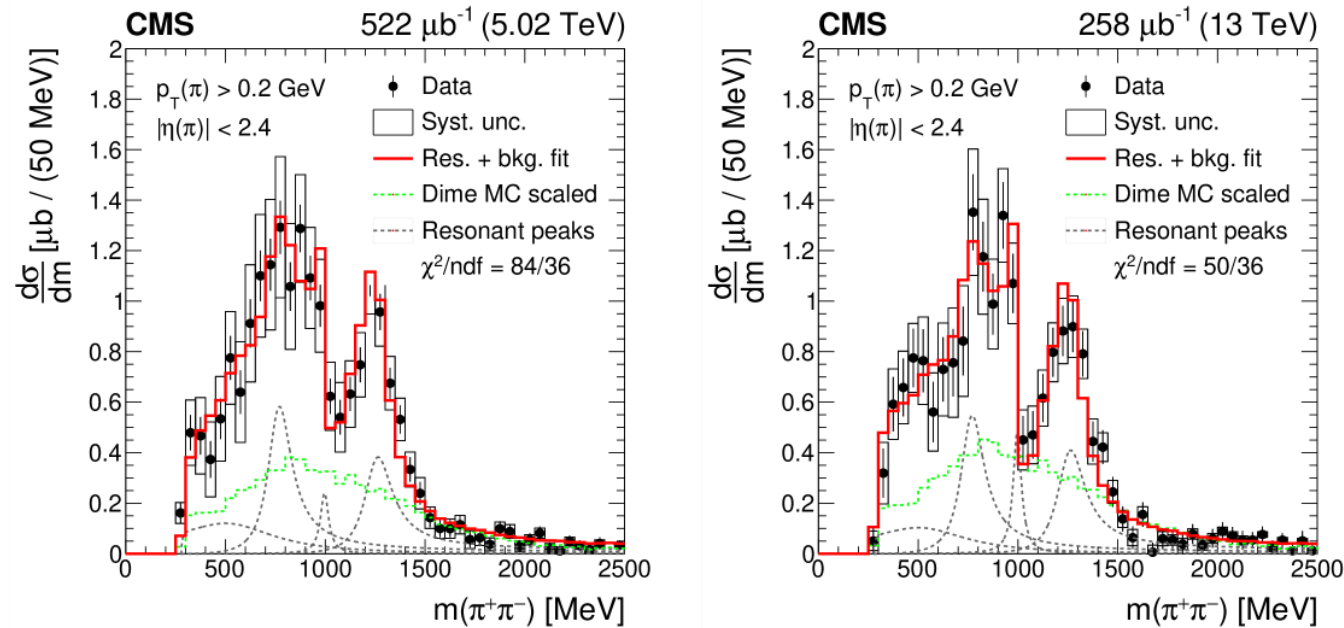


Nonresonant production (continuum)

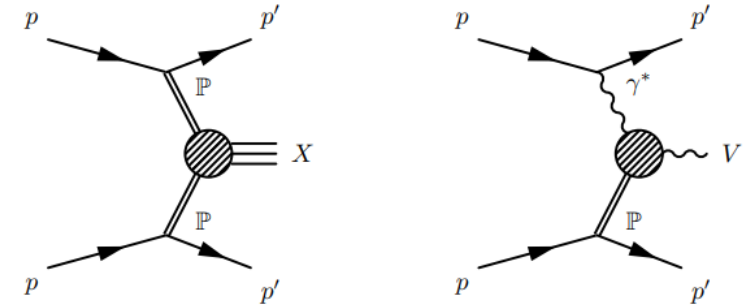
# Introduction

## First measurements of exclusive di-pions

- Imposed rapidity gap selection criteria (DPE + Photoproduction)
- Resonant production at 5.02 and 13 TeV data



Resonant production

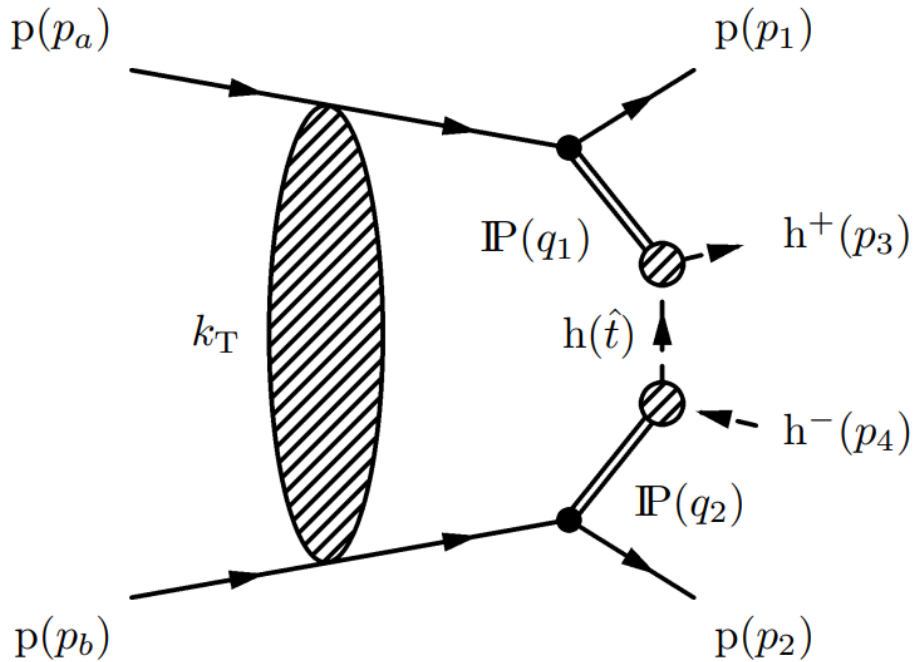


- Measured production cross-section in the  $p_T > 0.2$  GeV,  $|\eta| < 2.4$  fiducial region
- Modeled by Breit-Wigner functions \* Gaussian

Resonance	$\sigma_{pp \rightarrow p'p'X \rightarrow p'p'\pi^+\pi^-} [\mu\text{b}]$	
	$\sqrt{s} = 5.02$ TeV	$\sqrt{s} = 13$ TeV
$f_0(500)$	$1.4 \pm 0.7$ (stat) $\pm 0.4$ (syst) $\pm 0.03$ (lumi)	$1.2 \pm 0.5$ (stat) $\pm 0.4$ (syst) $\pm 0.03$ (lumi)
$\rho^0(770)$	$2.6 \pm 0.6$ (stat) $\pm 0.6$ (syst) $\pm 0.1$ (lumi)	$2.4 \pm 0.8$ (stat) $\pm 0.6$ (syst) $\pm 0.1$ (lumi)
$f_0(980)$	$0.4 \pm 0.1$ (stat) $\pm 0.1$ (syst) $\pm 0.01$ (lumi)	$0.7 \pm 0.2$ (stat) $\pm 0.2$ (syst) $\pm 0.02$ (lumi)
$f_2(1270)$	$2.2 \pm 0.4$ (stat) $\pm 0.3$ (syst) $\pm 0.1$ (lumi)	$2.3 \pm 0.5$ (stat) $\pm 0.3$ (syst) $\pm 0.1$ (lumi)

# Introduction

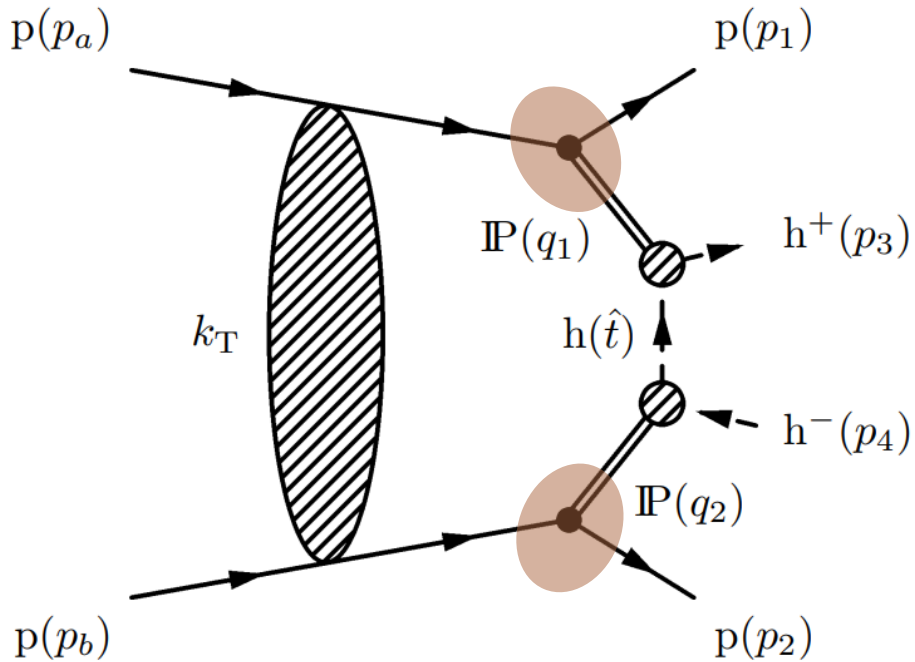
## Nonresonant central exclusive production



$$\mathcal{M} = \mathcal{M}_{13}(t_1, s_{13}) \frac{F_m^2(\hat{t})}{\hat{t} - m^2} \mathcal{M}_{24}(t_2, 24) + \hat{t} \leftrightarrow \hat{u} + \mathcal{M}_{res}$$

# Introduction

## Nonresonant central exclusive production



Proton – Pomeron interaction ( $\sim s^{\alpha_{IP}}$ ):

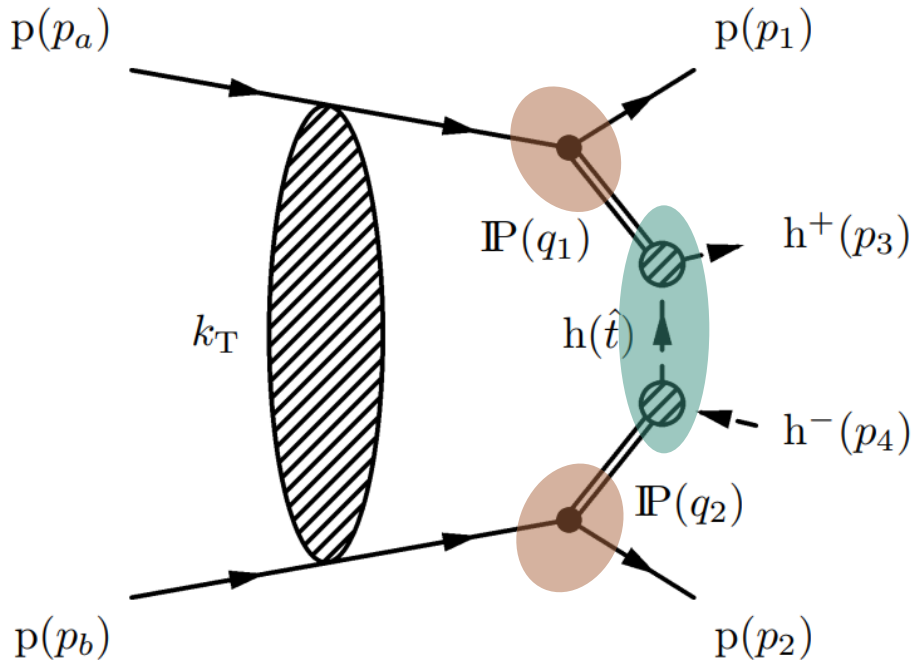
$$\mathcal{M}_{ik}(t, s) = i\sigma_0(s/s_0)^{\alpha_{IP}(t)} \mathcal{F}_p(t)$$

Where  $\mathcal{F}_p(t)$  is the proton-pomeron Form Factor

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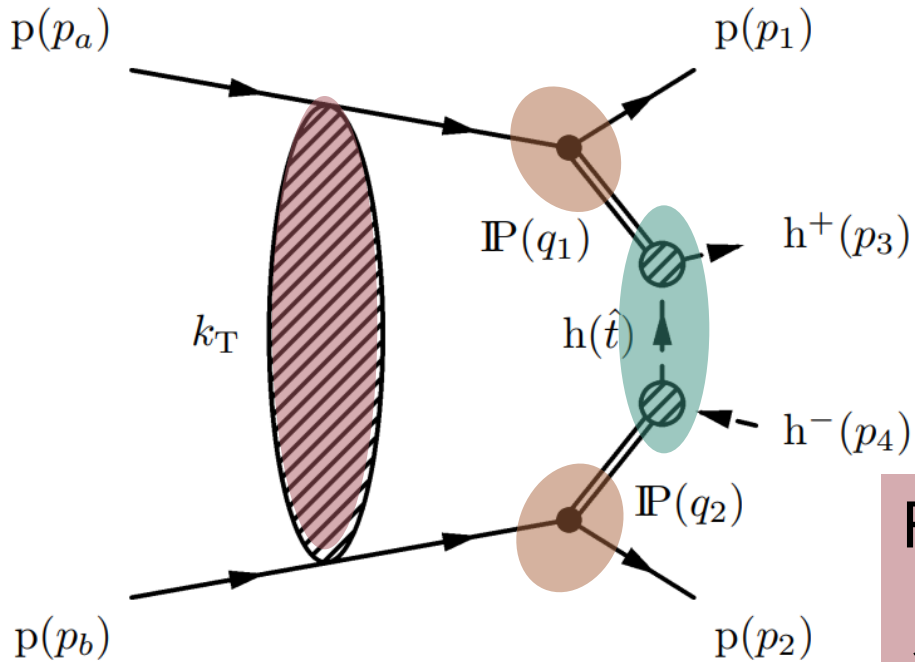
Where  $\mathcal{F}_m^2(\hat{t})$  is the off-shell meson Form Factor

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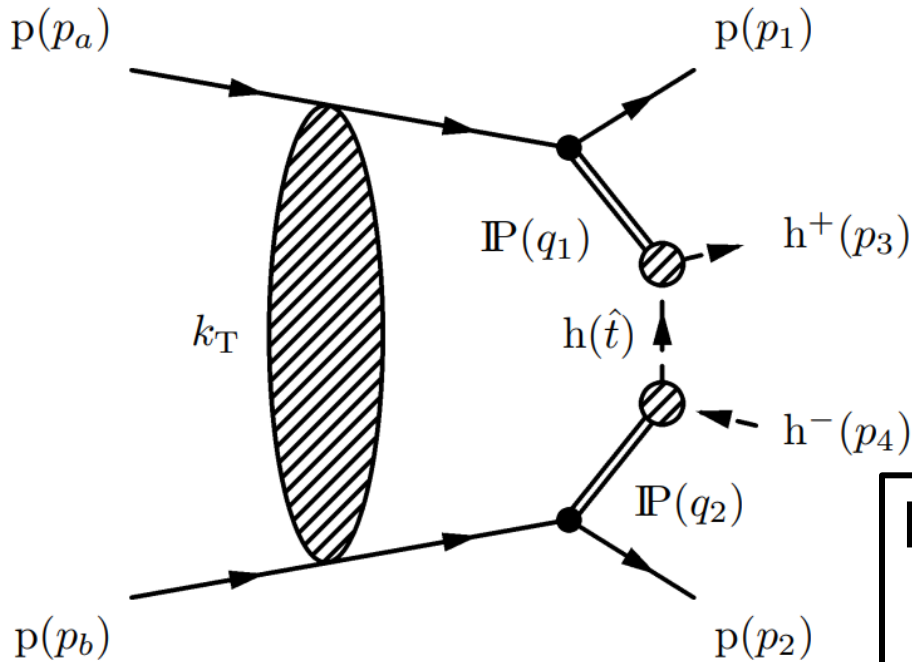
Rescattering amplitude

$$\mathcal{M}_{res} = \int d^2\vec{k}_T \mathcal{M}(p_1 - k_T, p_2 - k_T) \mathcal{F}_p(t'_1) \mathcal{F}_p(t'_2) S(k_T)$$

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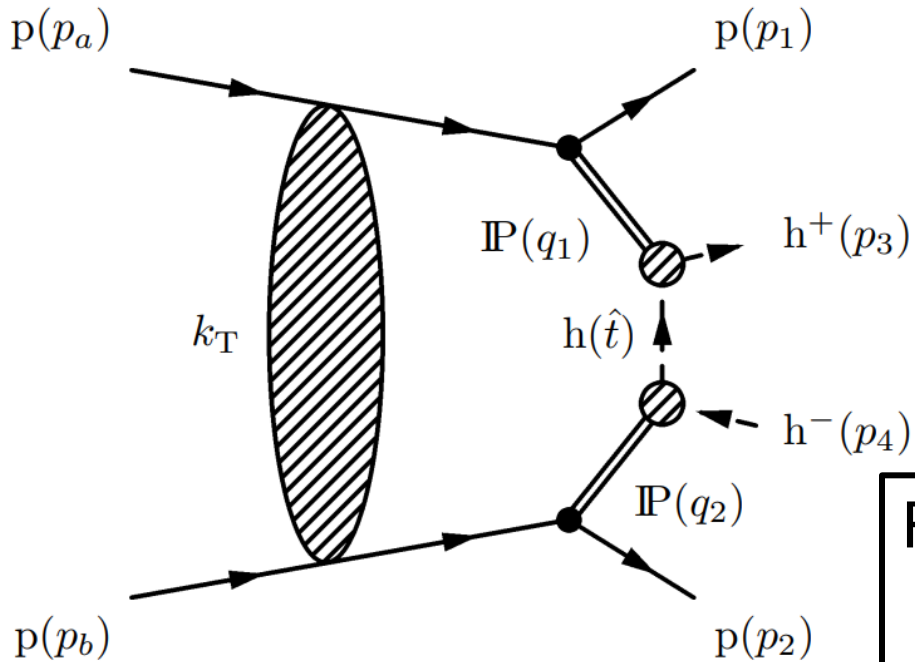
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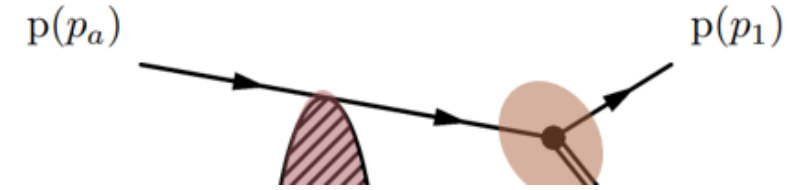
# Introduction

## Nonresonant central exclusive production

- Proton-pomeron Form Factor  $\mathcal{F}_p(t)$ :

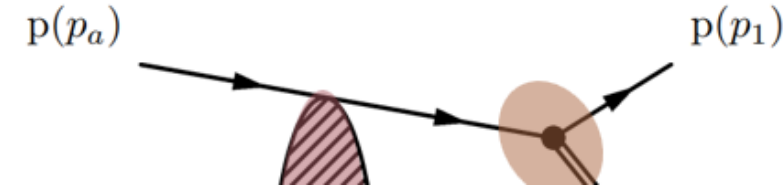
One channel model:

$$\mathcal{F}_p(t) = e^{B/2t}$$



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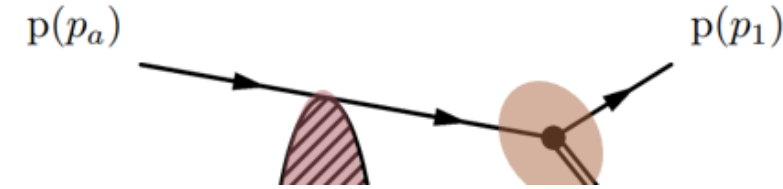
Two-channels model (two diffractive states)

$|p\rangle = a_i|\phi_i\rangle$ , with coupling  $\gamma_i$

$$\mathcal{F}_i(t) = e^{-[b_i(c_i-t)]^{d_i} + [b_i c_i]^{d_i}}$$

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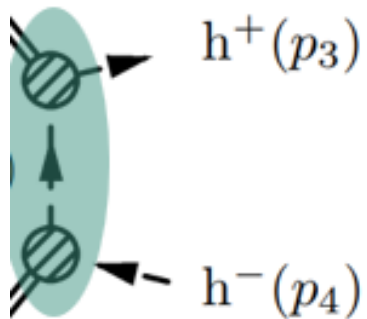
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- Pomeron-meson Form Factor  $\mathcal{F}_m(t)$ :



$$F_m(\hat{t}) = \begin{cases} \exp(b_{\text{exp}}(\hat{t} - m^2)), & \text{Exponential} \\ \exp(b_{\text{ore}}[a_{\text{ore}} - \sqrt{a_{\text{ore}}^2 - (\hat{t} - m^2)}]), & \text{Orear, PRL 12 (1964) 112} \\ 1/(1 - b_{\text{pow}}(\hat{t} - m^2)) & \text{Power-law} \end{cases}$$

# Introduction

## Nonresonant central exclusive production – MC model

- Implemented in DIME event generator <https://dimemc.hepforge.org/>

Parameter	DIME-1	DIME-2	DIME-3	DIME-4	Remark
$\sigma_P$ [mb]	23	33	60	50	pomeron strength
$\alpha_P$	1.13	1.115	1.093	1.11	pomeron intercept, = $1 + \Delta$
$\alpha'_P$ [ $\text{GeV}^{-2}$ ]	0.08	0.11	0.075	0.06	pomeron slope
$\gamma_i$	$1 \pm 0.55$	$1 \pm 0.4$	$1 \pm 0.42$	$1 \pm 0.47$	dimensionless coupling to eigenstate $i$
$2  a_i ^2$	$1 \pm 0.08$	$1 \pm 0.5$	$1 \pm 0.52$	$1 \pm 0.5$	$a_i$ is the amplitude of eigenstate $i$
$b_1$ [ $\text{GeV}^{-2}$ ]	8.5	8	5.3	7.2	} pomeron coupling to eigenstates
$b_2$ [ $\text{GeV}^{-2}$ ]	4.5	6	3.8	4.2	
$c_1$ [ $\text{GeV}^2$ ]	0.18	0.18	0.35	0.53	
$c_2$ [ $\text{GeV}^2$ ]	0.58	0.58	0.18	0.24	
$d_1$	0.45	0.63	0.55	0.6	
$d_2$	0.45	0.47	0.48	0.48	

Harland-Lang, Khoze, Ryskin, EPJC **74** (2014) 2848

# Introduction

## Nonresonant central exclusive production

- $\phi$  distribution is connected to the quantum mechanical amplitude of the process
- Di-pion production data can be fitted with a simple functional form

$$\frac{d^3\sigma}{dp_{1,T}dp_{2,T}d\phi} = [A(R - \cos\phi)]^2 + c^2$$

- Dip at  $\phi = \arccos(R)$  can be understood as an effect of additional pomeron exchanges, resulting from the bare and rescattered amplitudes

- Free parameters can be fitted using model-motivated functional forms

[PLB 464 \(1999\) 279](#), [PLB 477 \(2000\) 13](#)

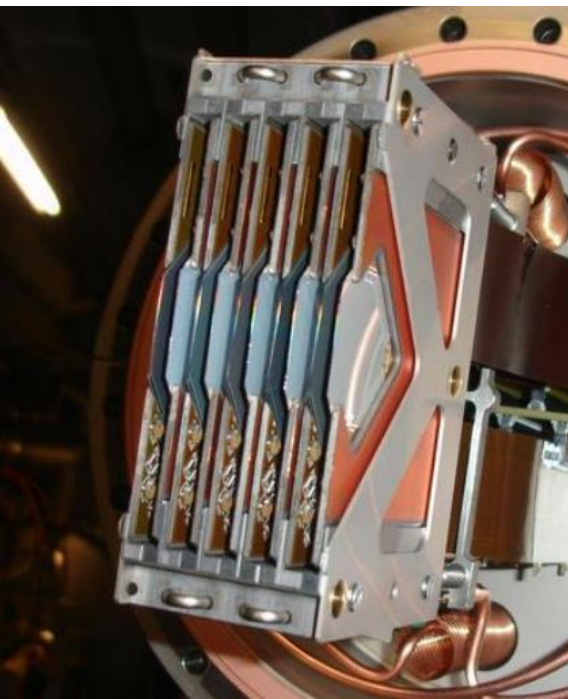
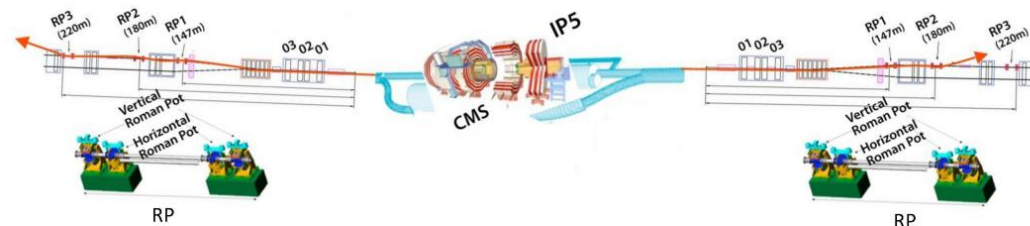
$$A(t_1, t_2) = 4\sqrt{t_1 t_2} A_0 e^{b(t_1 + t_2)},$$
$$R(t_1, t_2) \approx \frac{1.2(\sqrt{-t_1} + \sqrt{-t_2}) - 1.6\sqrt{t_1 t_2} - 0.8}{\sqrt{t_1 t_2} + 0.1},$$
$$c(t_1, t_2) = c_0 e^{d(t_1 + t_2)}.$$



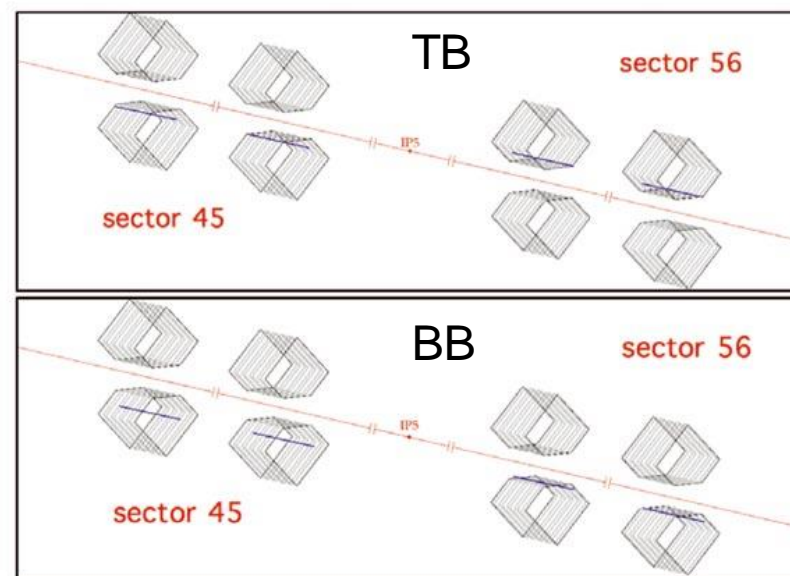
# Proton tagging

## Tagging scattered protons → tagging diffractive events

- TOTEM: **T**OTAL cross section **E**lastic scattering and diffraction dissociation **M**easurements at the LHC
- Protons scattered at small angles are deflected away from the beam and measured by forward detectors.



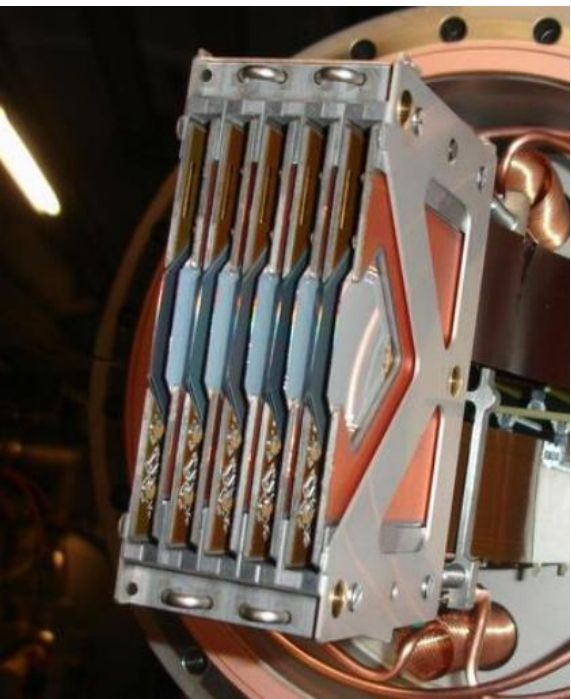
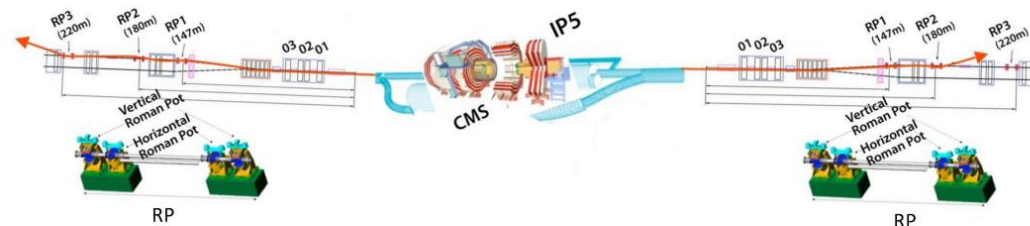
- Two arms (sector 45 and 56)
- Two stations (at ~213 and 220m)
- Top and bottom pots
- Each station has 5+5 panes ('v' and 'u')
- Each plane has 4x128 strips



# Proton tagging

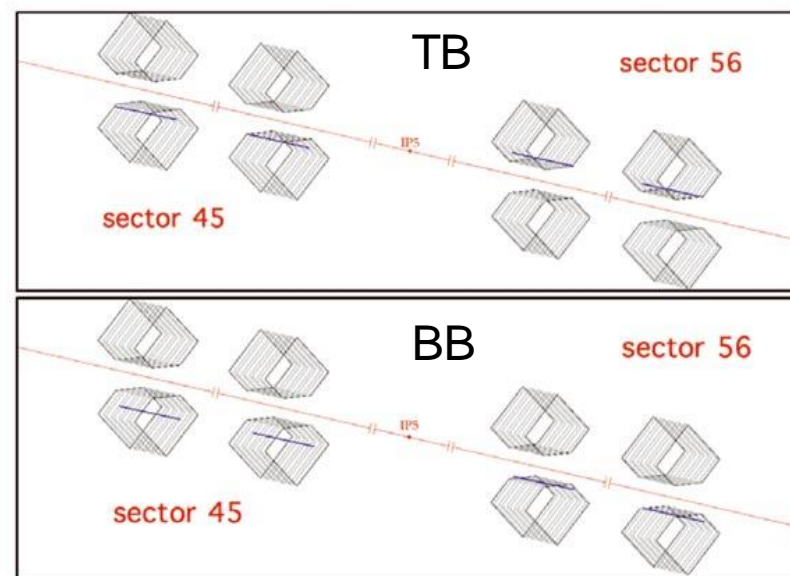
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*Detectors are operational only at low beam intensity*



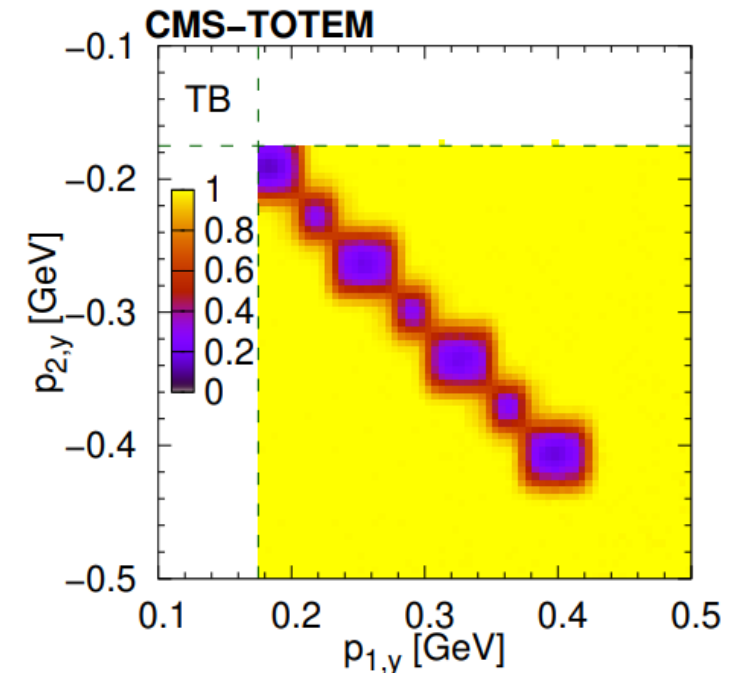
# Exclusive production of hadrons

[arXiv:2401.14494](https://arxiv.org/abs/2401.14494)

(Accepted for publication in PRD)

## Analysis overview

- Using data collected by CMS + TOTEM experiments in 2018 to utilize proton tagging
- Data recorded during LHC special high- $\beta^*$  runs
- Events are triggered by forward proton detectors in 4 configurations: TT TB BT BB
  - TB&BT: elastic veto was applied (trigger level)
  - Proton acceptance  $0.175 < p_y < 0.670$  GeV
  - Hadron track acceptance  $p_T > 0.1$  GeV
  - 2 tracks + 2 protons at the final state

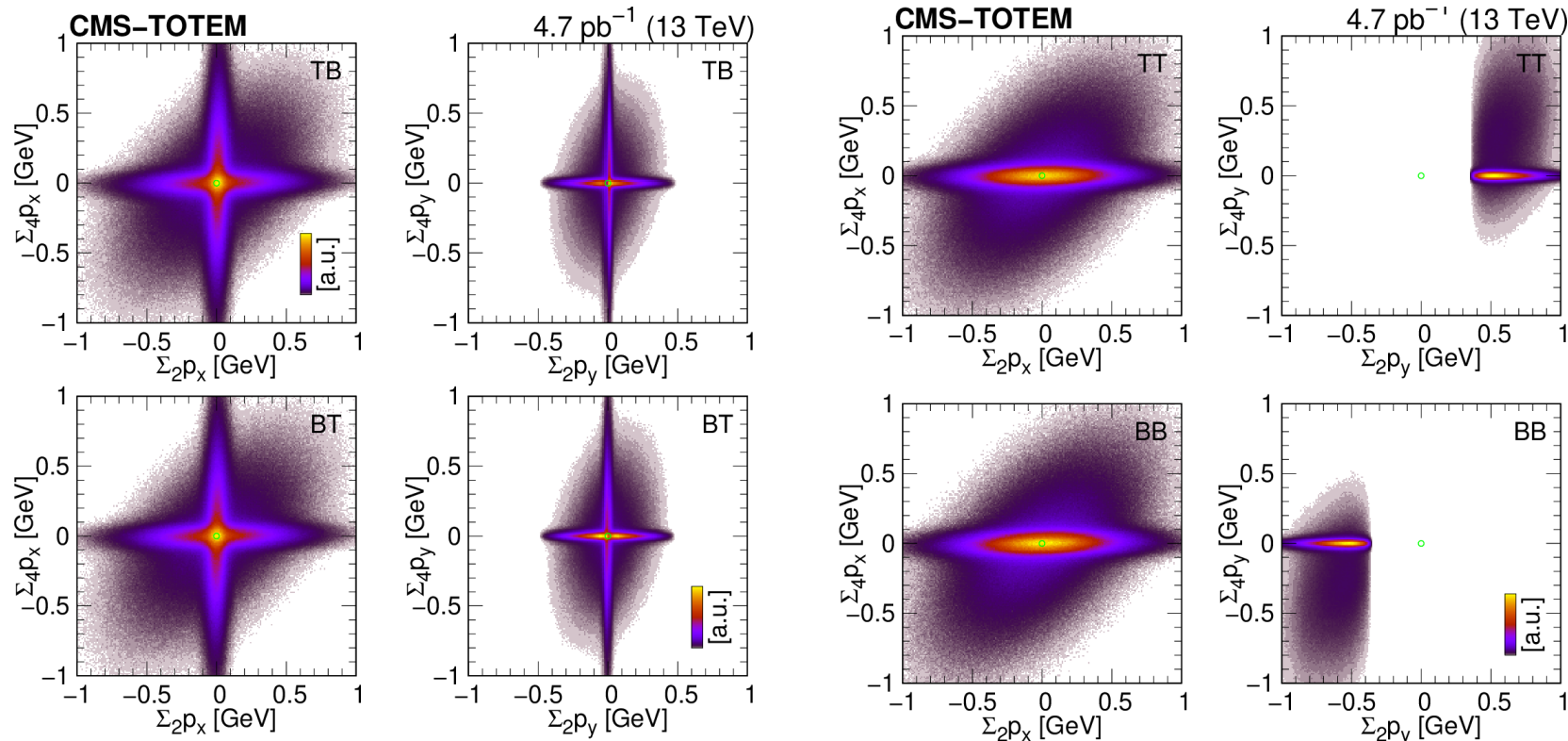




# Exclusive production of hadrons

## Proton – track matching

- Sum of the scattered proton and central hadron momenta and the sum of the scattered proton momenta



$\Sigma_4$  proton and central hadron  
 $\Sigma_2$  only scattered protons

TT/BB topology:  
kinematic cut at  $p_y > 175$  MeV is visible

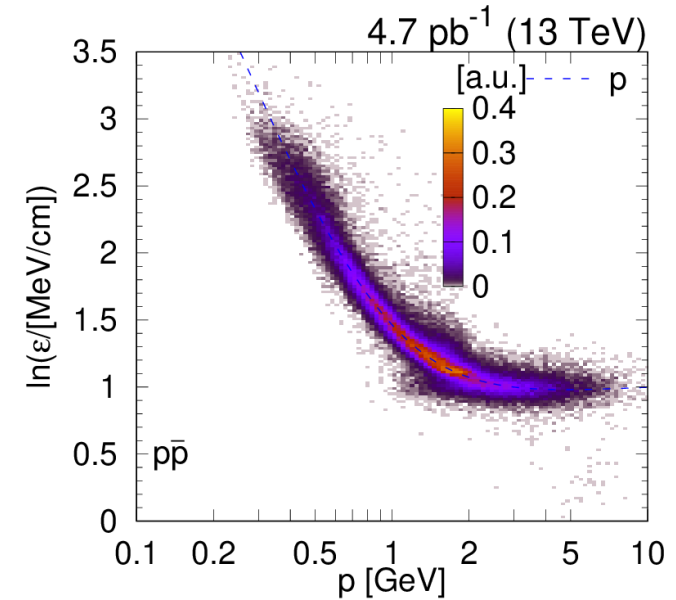
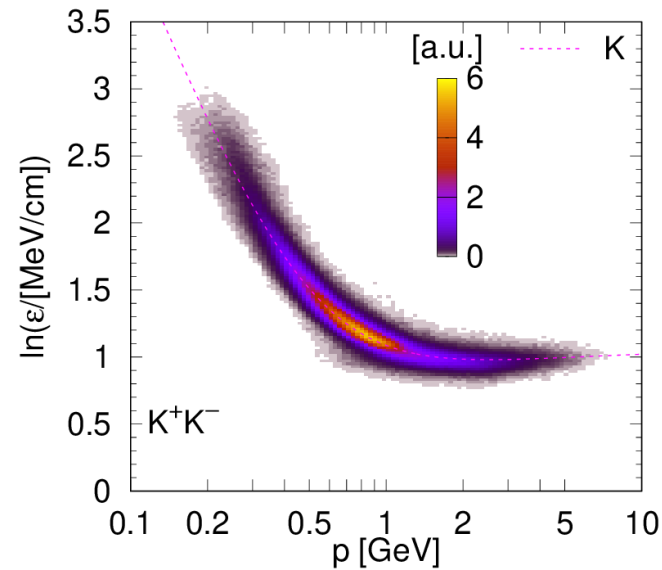
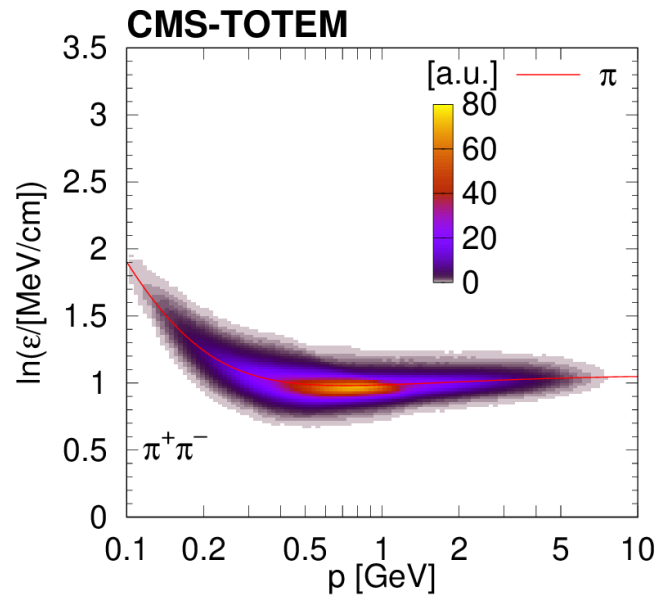
TB/BT topology:  
Elastic protons (pileup) are visible

In all topologies inelastic backgrounds  
is present (a slanted area)

# Reconstruction of charged particles in CMS

## Performance of the CMS tracker

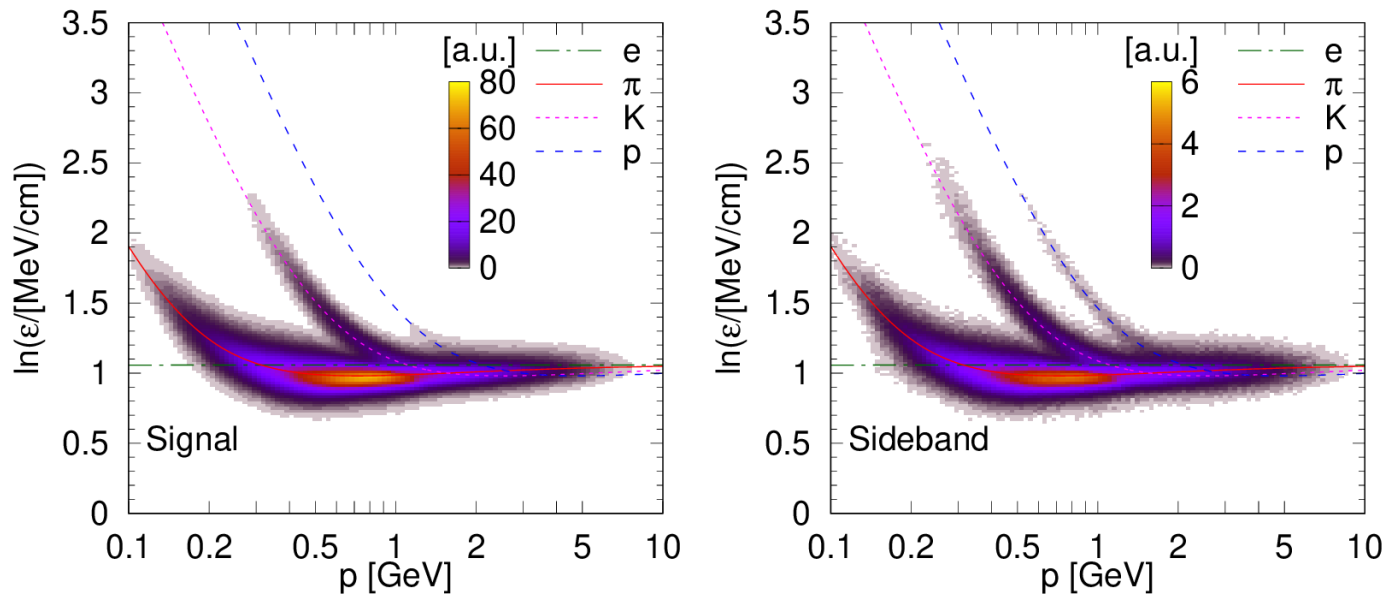
- Particle ID based on  $dE/dX$  measurement, and probability  $P_h(\varepsilon, p)$  is contracted
- Select 2 track events of the same type:  $P_{2,h} \cdot P_{2,h} > 10 \cdot P_{1,k} \cdot P_{1,k}$  for  $k \neq h$



# Reconstruction of charged particles in CMS

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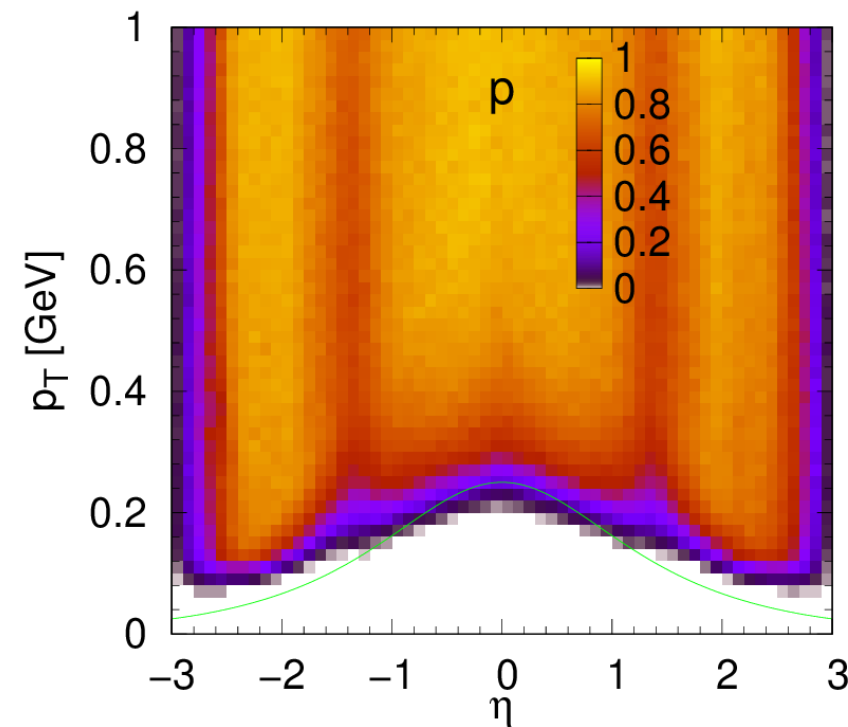
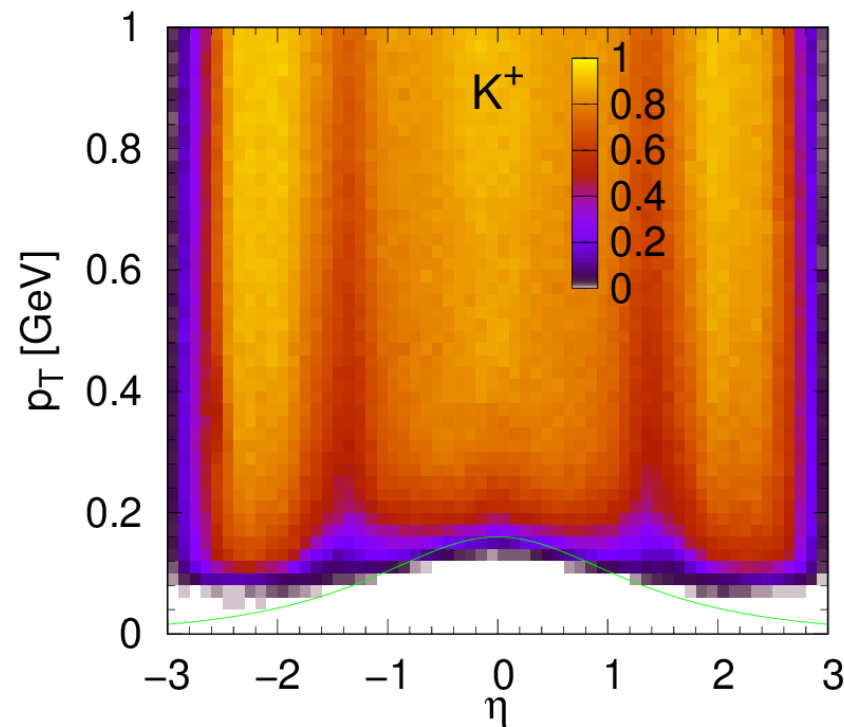
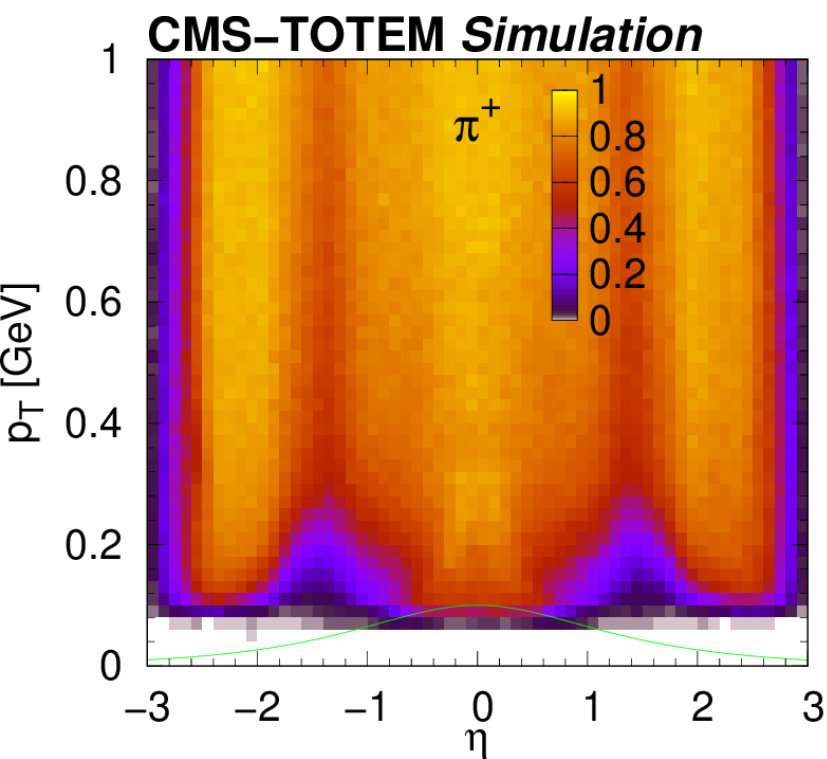
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- Impose track-RP matching – protons suppressed due to limited energy



# Reconstruction of charged particles in CMS

## Performance of the CMS tracker

- The combined reconstruction and High-Level Trigger efficiency

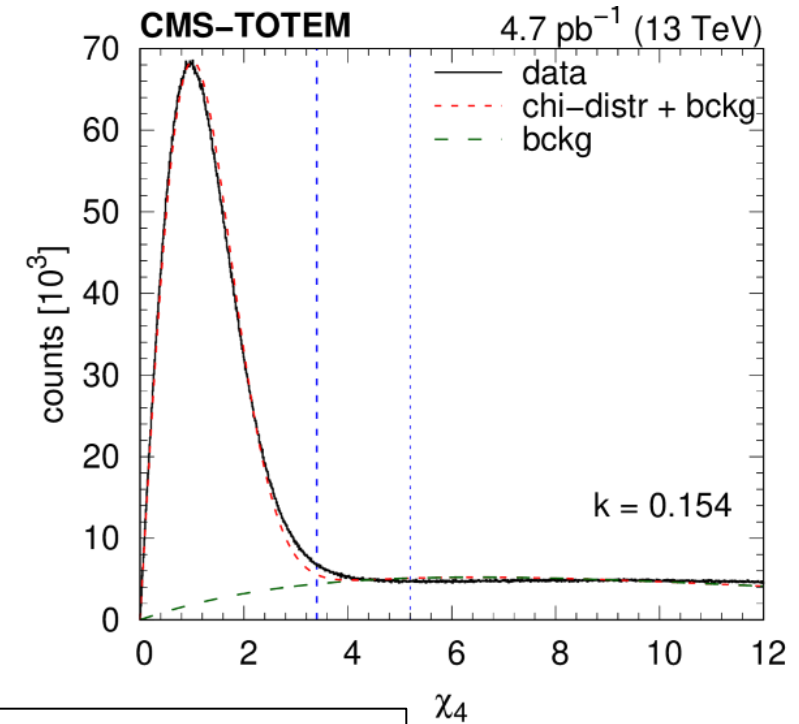
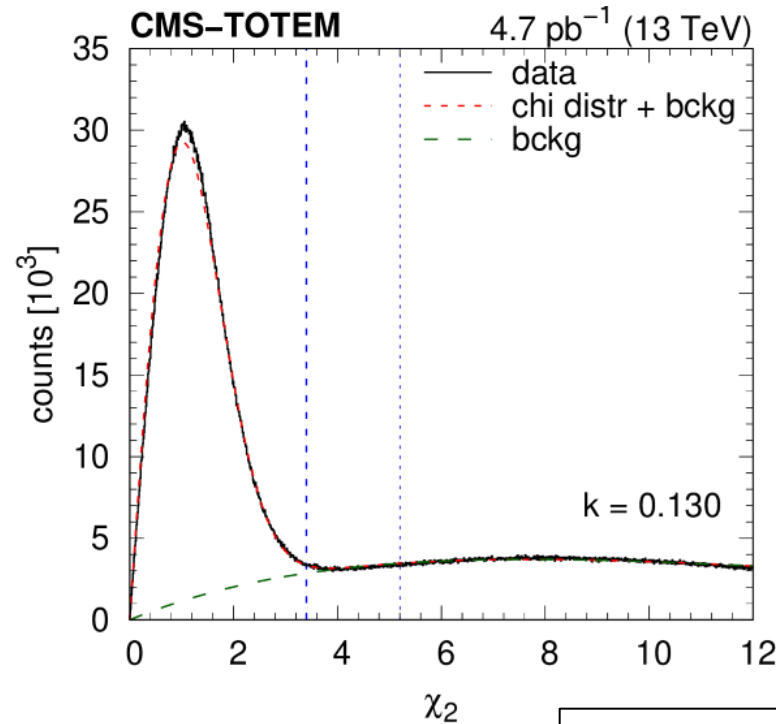
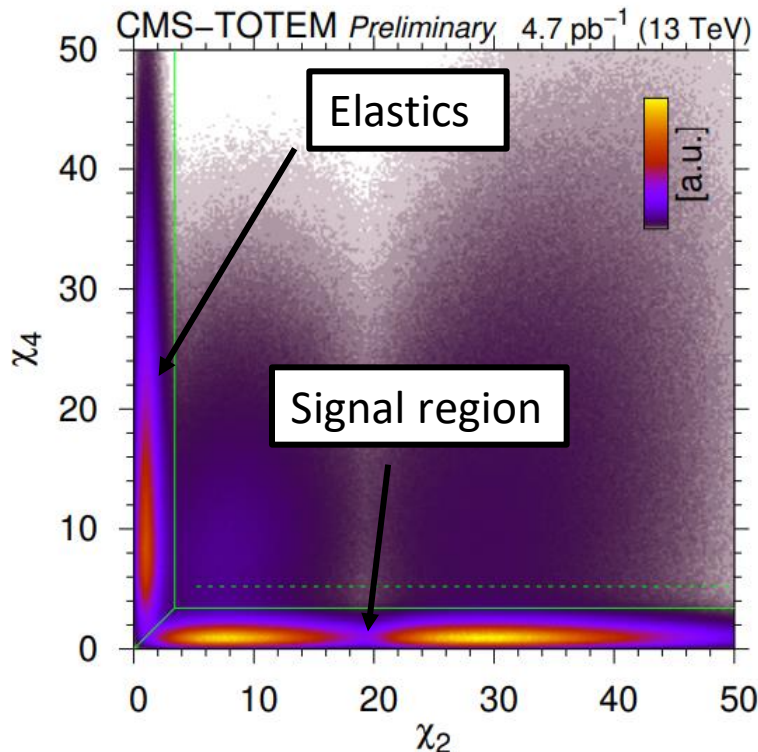




# Exclusive production of hadrons

## Event classification

- Based on the distributions  $\vec{\Sigma}_2 = (\Sigma_2 p_X, \Sigma_2 p_Y)$ , and  $\vec{\Sigma}_2 = (\Sigma_2 p_X, \Sigma_2 p_Y)$  a classification variables (Mahalanobis distance) are constructed  $\chi(\vec{\Sigma}) = \sqrt{\vec{\Sigma}^T V^{-1} \vec{\Sigma}}$ , where  $V(\Sigma)$  is the covariance matrix

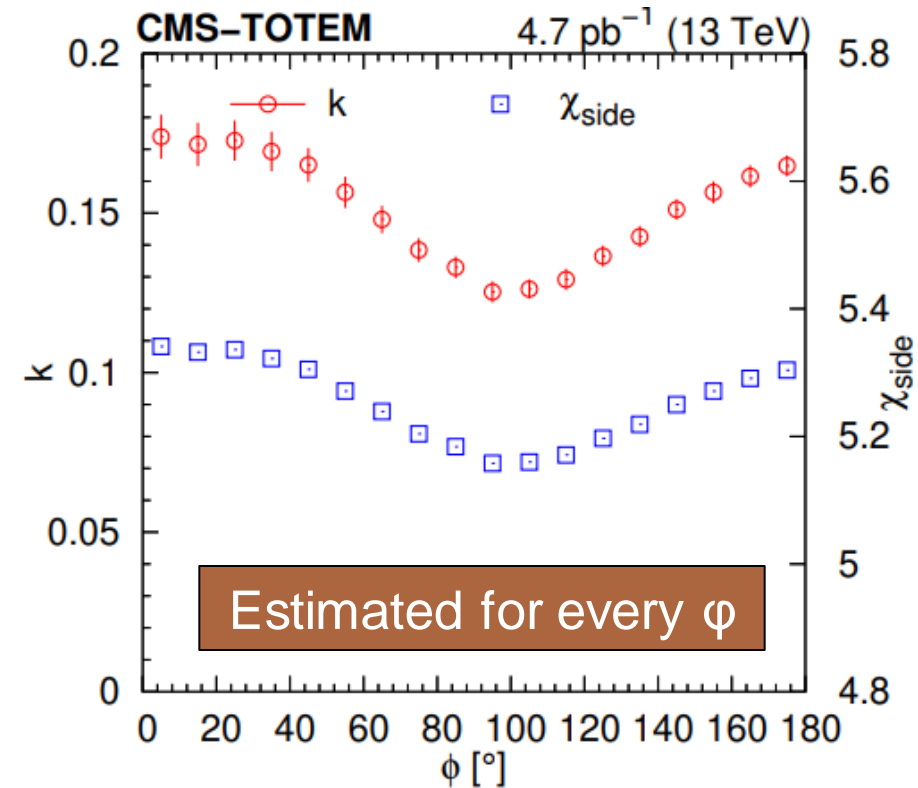
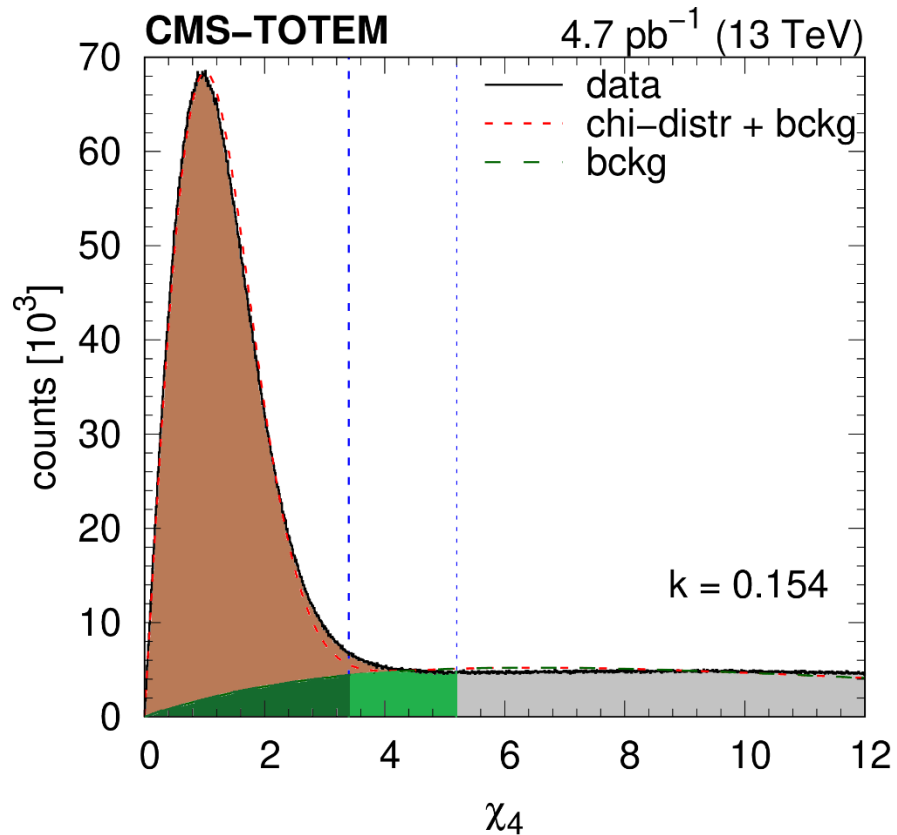


$$A\chi e^{-\chi^2/2} + B\chi e^{-k\chi}$$

# Exclusive production of hadrons

## Background subtraction

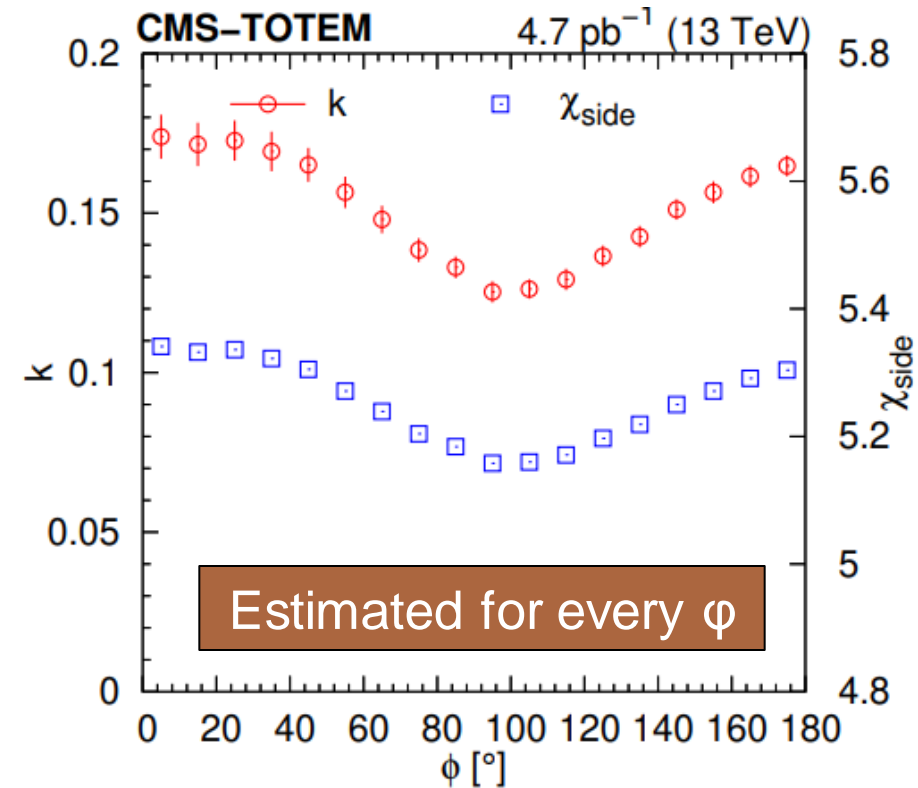
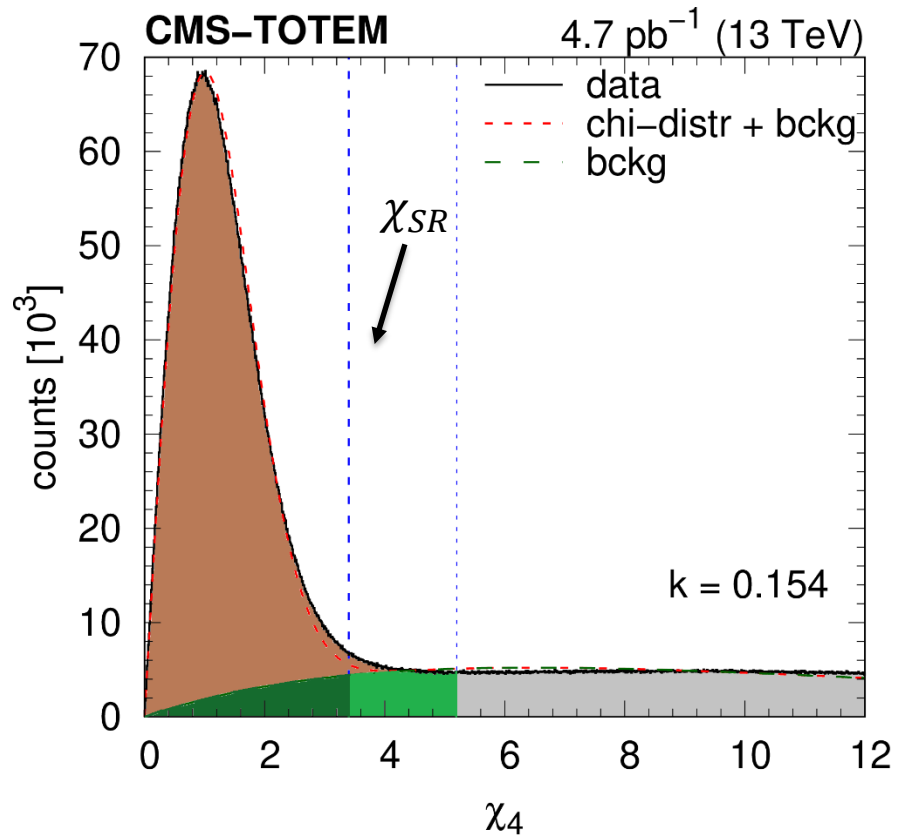
- Fitting the background component  $k$ , we can estimate background contamination in the signal region:  $\int_0^{\chi_{SR}} \chi e^{-k\chi} = \int_{\chi_{SR}}^{\chi_{SB}} \chi e^{-k\chi}$ , sensitive to fitted  $k$  parameter



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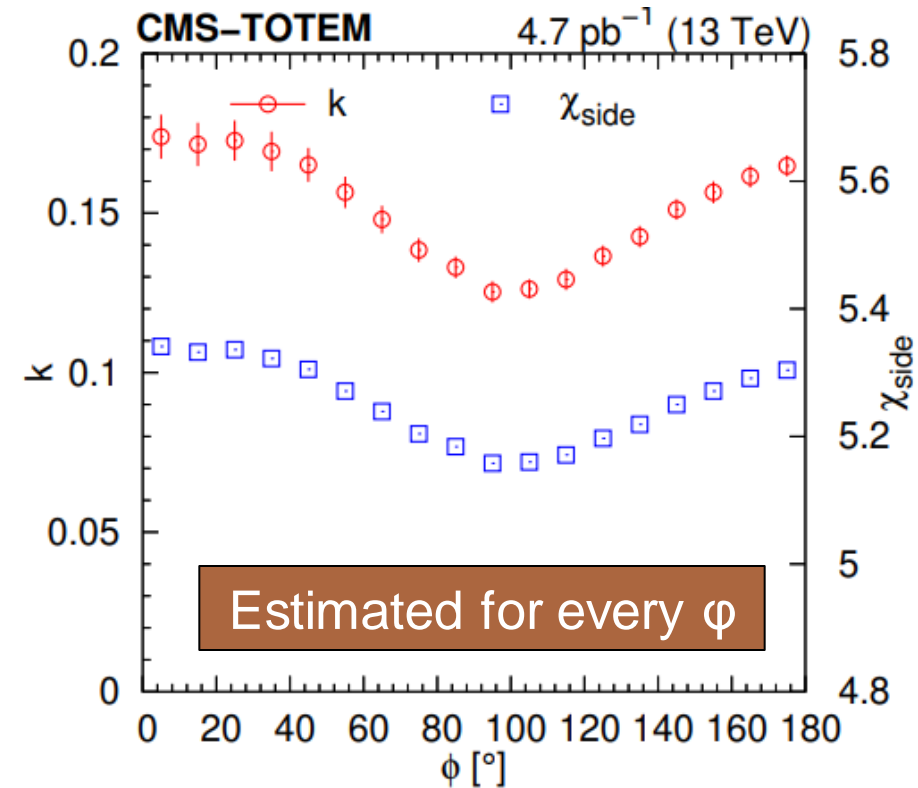
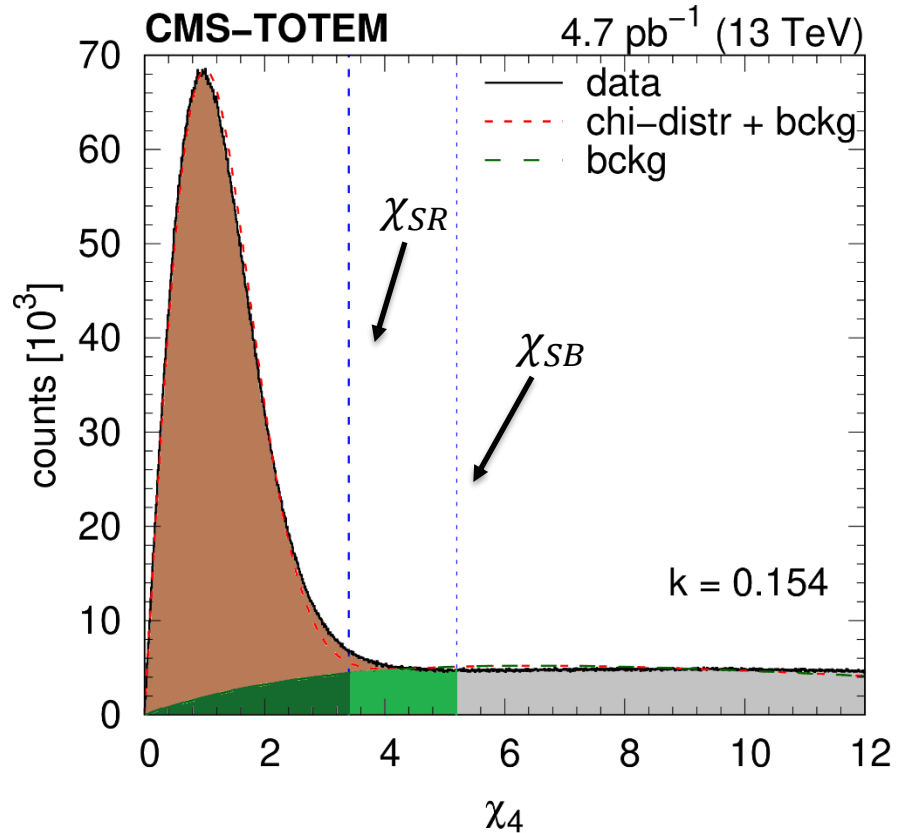
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# Exclusive production of hadrons

## Background subtraction

- Fitting the background component  $k$ , we can estimate background contamination in the signal region:  $\int_0^{\chi_{SR}} \chi e^{-k\chi} = \int_{\chi_{SR}}^{\chi_{SB}} \chi e^{-k\chi}$ , sensitive to fitted  $k$  parameter



# Systematics

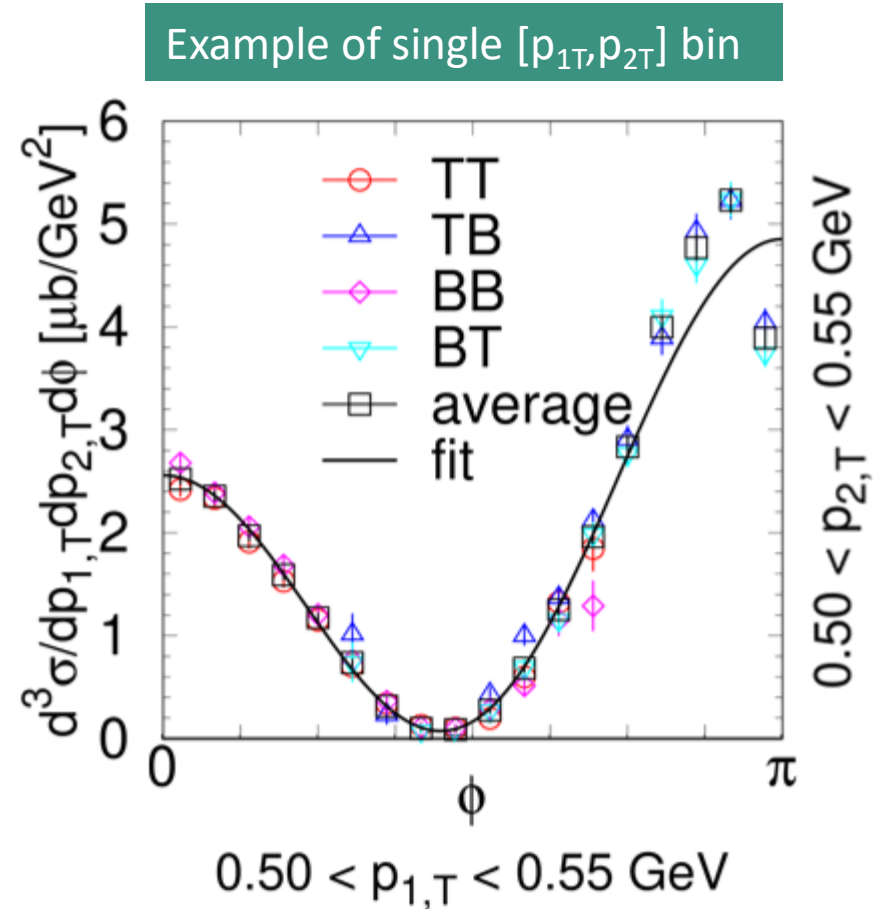
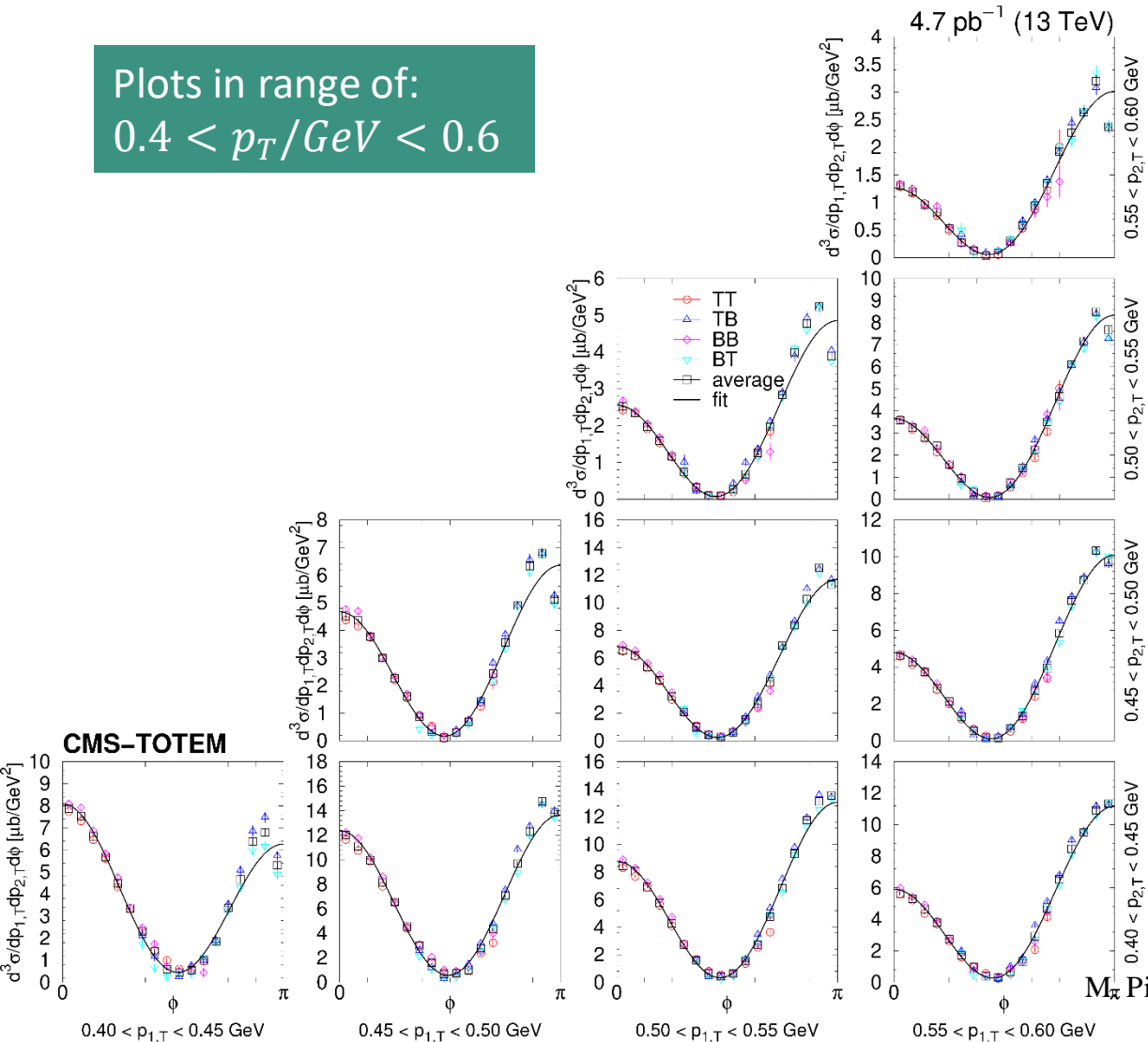
- Several sources, reasonable systematics (~5%)

Source	Value	Remark
Pileup correction	1.0%	through visible cross section ( $\sigma_{\text{vis}}$ )
Lumisections with reduced RP availability	0.5%	
Integrated luminosity ( $L_{\text{int}}$ )	2.5%	
HLT efficiency	small	neglected
Total normalisation-type	2.7%	
Roman pot efficiency	$\approx 3.0\%$	to be taken twice
Background removal	$< 0.5\%$	neglected
Lost events during background removal	$-0.16\%$	neglected
Lost events due to looper cut	$< 0.5\%$	neglected
Single particle tracking efficiency	1.4%	to be taken twice
Particle identification efficiency	$< 1\%$	neglected
Total efficiency-type	4.7%	
Total systematics	5.4%	

# Results

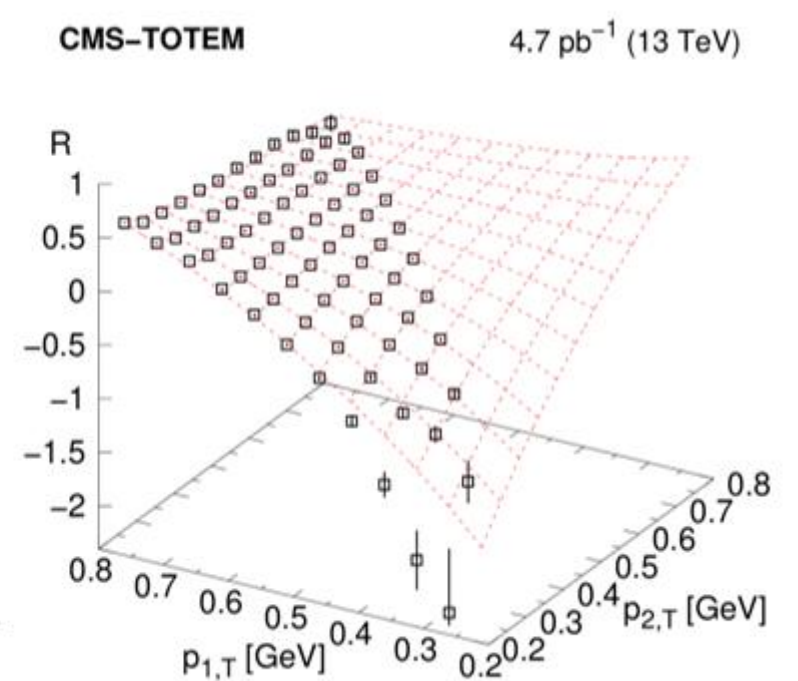
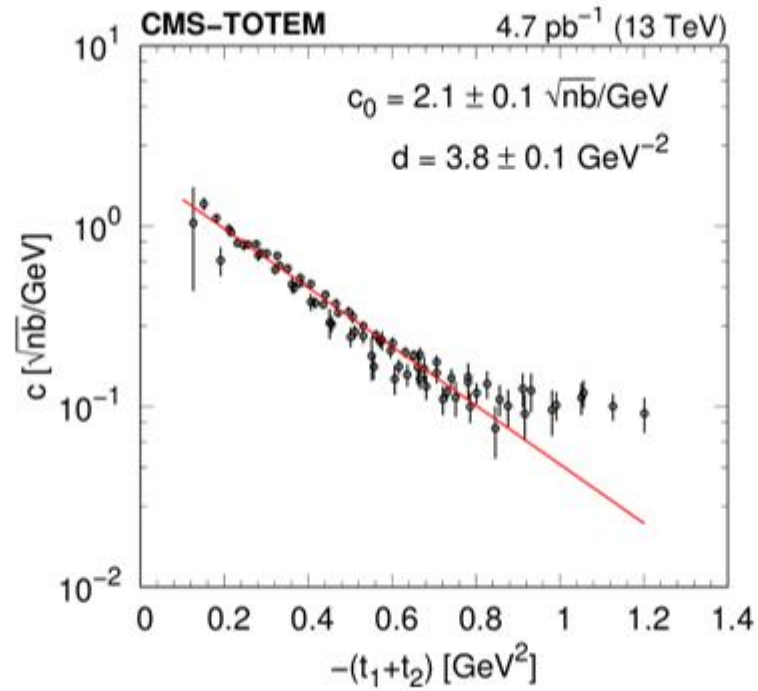
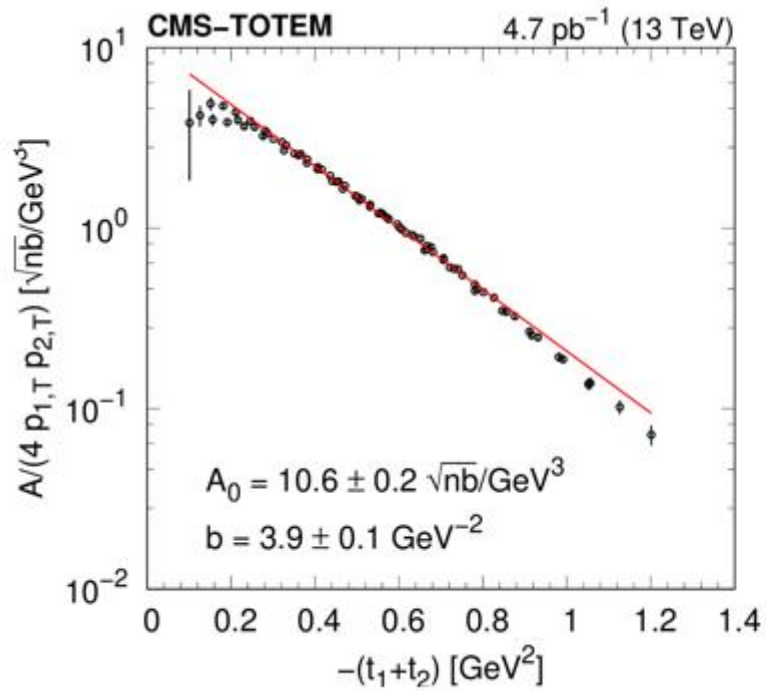
- Measure differential cross-section of di-pions as a function of  $\phi$  in  $p_T$  bins,  $\mu\text{b}/\text{GeV}^2$

Plots in range of:  
 $0.4 < p_T/\text{GeV} < 0.6$



# Results

- From  $\phi$  distributions parameters  $A$ ,  $R$ ,  $c$  as a function of  $p_T$  are fitted



# Results

- From  $\varphi$  distributions Form-Factor are fitted
- Tuning is done with PROFESSOR (v2.3.3)

Parameter	Exponential	Orear-type	Power-law	DIME 1 / 2
Empirical model				
$a_{\text{ore}}[\text{GeV}]$	—	$0.735 \pm 0.015$	—	
$b_{\text{exp/ore/pow}}[\text{GeV}^{-2} \text{ or }^{-1}]$	$1.084 \pm 0.004$	$1.782 \pm 0.014$	$1.356 \pm 0.001$	
$B_{\text{P}}[\text{GeV}^{-2}]$	$3.757 \pm 0.033$	$3.934 \pm 0.027$	$4.159 \pm 0.019$	
$\chi^2/\text{dof}$	9470/5796	10059/5795	11409/5796	
One-channel model				
$\sigma_0[\text{mb}]$	$34.99 \pm 0.79$	$27.98 \pm 0.40$	$26.87 \pm 0.30$	
$\alpha_p - 1$	$0.129 \pm 0.002$	$0.127 \pm 0.001$	$0.134 \pm 0.001$	
$\alpha'_p[\text{GeV}^{-2}]$	$0.084 \pm 0.005$	$0.034 \pm 0.002$	$0.037 \pm 0.002$	
$a_{\text{ore}}[\text{GeV}]$	—	$0.578 \pm 0.022$	—	
$b_{\text{exp/ore/pow}}[\text{GeV}^{-2} \text{ or }^{-1}]$	$0.820 \pm 0.011$	$1.385 \pm 0.015$	$1.222 \pm 0.004$	
$B_{\text{P}}[\text{GeV}^{-2}]$	$2.745 \pm 0.046$	$4.271 \pm 0.021$	$4.072 \pm 0.017$	
$\chi^2/\text{dof}$	7356/5793	7448/5792	8339/5793	
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$\alpha_p - 1$	$0.136 \pm 0.001$	$0.129 \pm 0.001$	$0.131 \pm 0.001$	0.13 / 0.115
$\alpha'_p[\text{GeV}^{-2}]$	$0.078 \pm 0.001$	$0.075 \pm 0.001$	$0.071 \pm 0.001$	0.08 / 0.11
$a_{\text{ore}}[\text{GeV}]$	—	$0.718 \pm 0.012$	—	
$b_{\text{exp/ore/pow}}[\text{GeV}^{-2} \text{ or }^{-1}]$	$0.917 \pm 0.007$	$1.517 \pm 0.008$	$0.931 \pm 0.002$	0.45
$\Delta a ^2$	$0.070 \pm 0.026$	$-0.058 \pm 0.009$	$0.042 \pm 0.011$	$-0.04 / -0.25$
$\Delta\gamma$	$0.052 \pm 0.042$	$0.131 \pm 0.018$	$0.273 \pm 0.023$	0.55 / 0.4
$b_1[\text{GeV}^2]$	$8.438 \pm 0.108$	$8.951 \pm 0.041$	$8.877 \pm 0.040$	8.5 / 8.0
$c_1[\text{GeV}^2]$	$0.298 \pm 0.012$	$0.278 \pm 0.004$	$0.266 \pm 0.006$	0.18 / 0.18
$d_1$	$0.472 \pm 0.007$	$0.465 \pm 0.002$	$0.465 \pm 0.003$	0.45 / 0.63
$b_2[\text{GeV}^2]$	$4.982 \pm 0.133$	$4.222 \pm 0.052$	$4.780 \pm 0.060$	4.5 / 6.0
$c_2[\text{GeV}^2]$	$0.542 \pm 0.015$	$0.522 \pm 0.006$	$0.615 \pm 0.006$	0.58 / 0.58
$d_2$	$0.453 \pm 0.009$	$0.452 \pm 0.003$	$0.431 \pm 0.004$	0.45 / 0.47
$\chi^2/\text{dof}$	5741/5786	6415/5785	7879/5786	

## • Diffractive Model

(Proton-pomeron FF + Rescattering)

- Empirical
- One-channel
- Two-channels

## • Pomeron-meson Form Factor

- Exponential
- Orear-type
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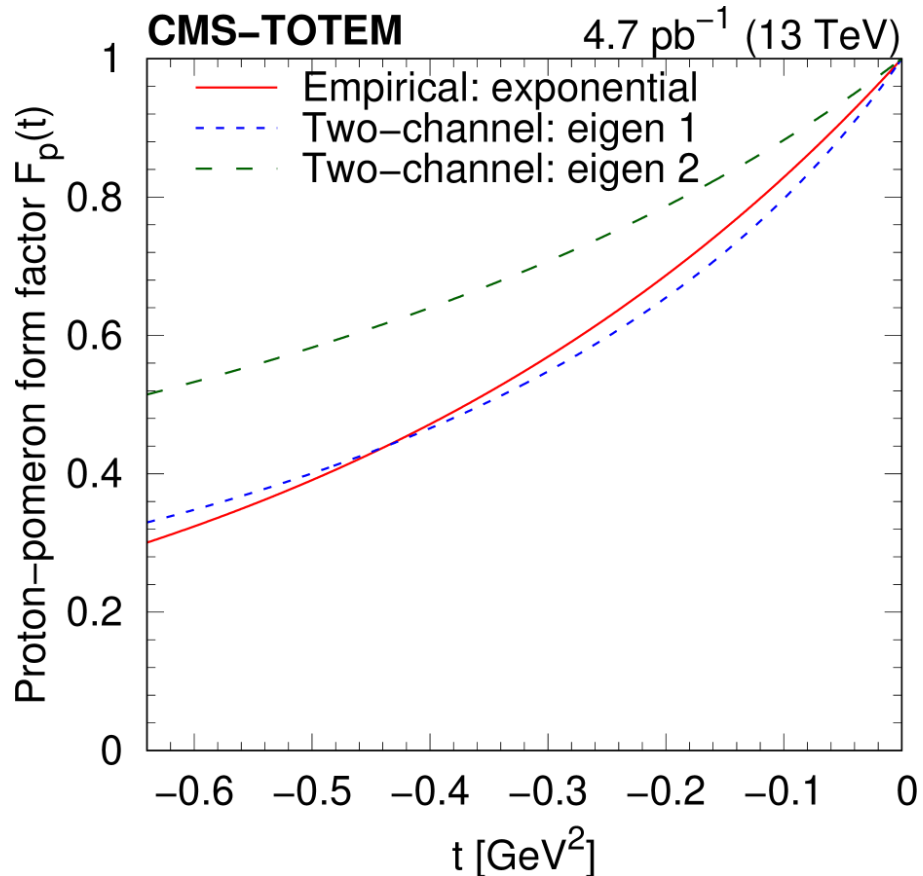
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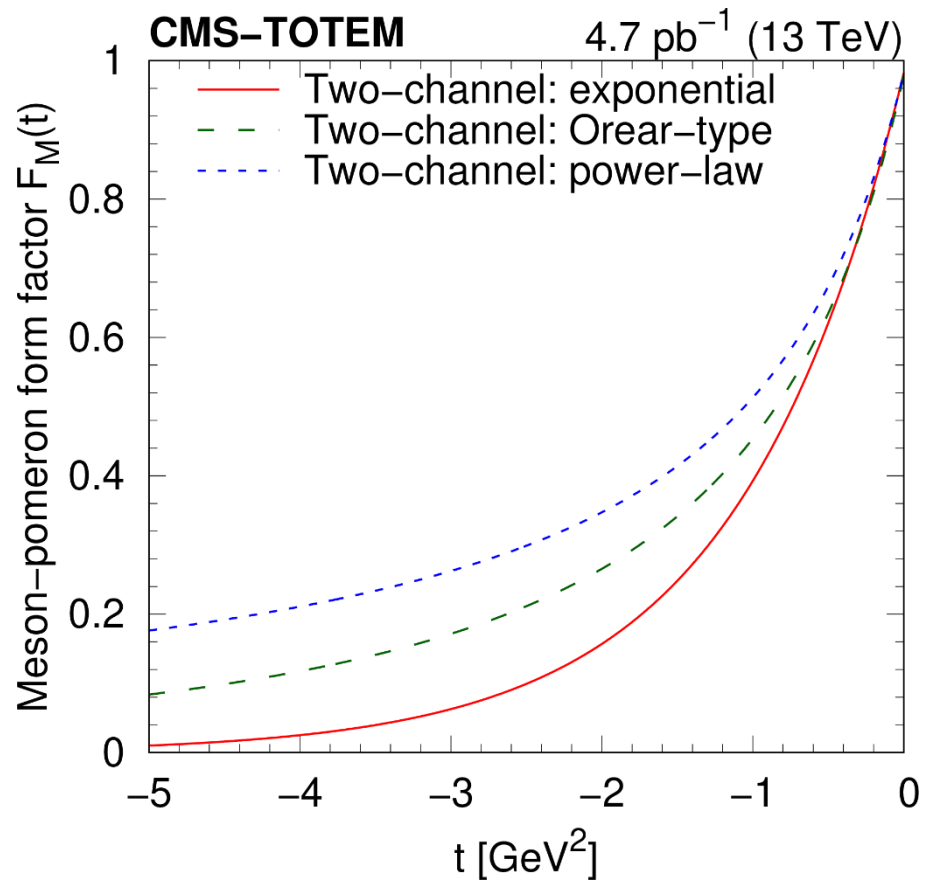
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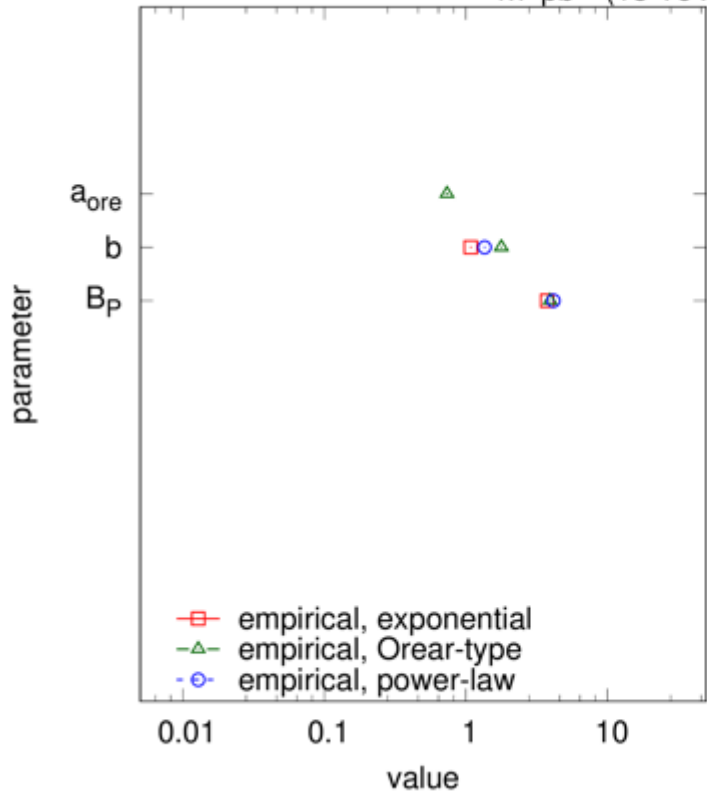
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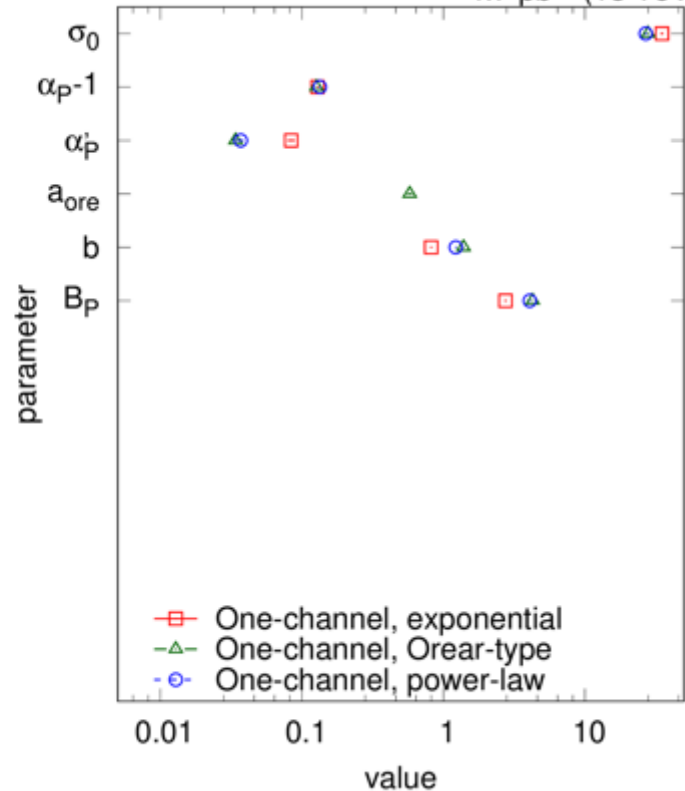
Empirical

CMS-TOTEM 4.7 pb<sup>-1</sup> (13 TeV)



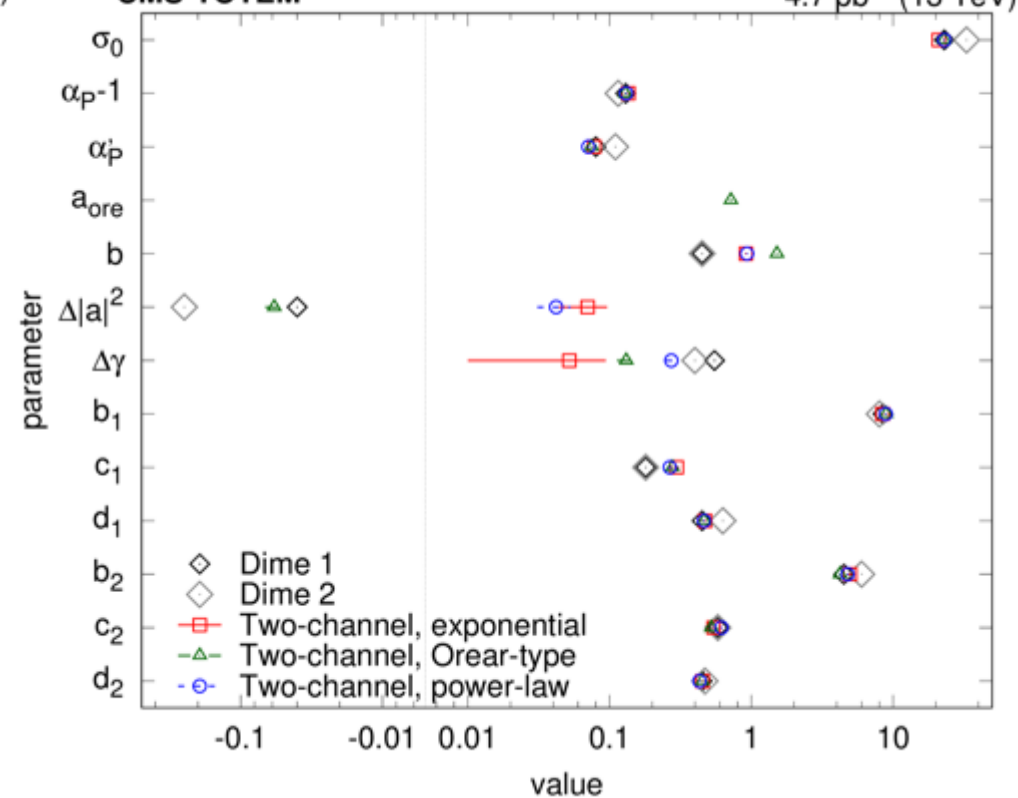
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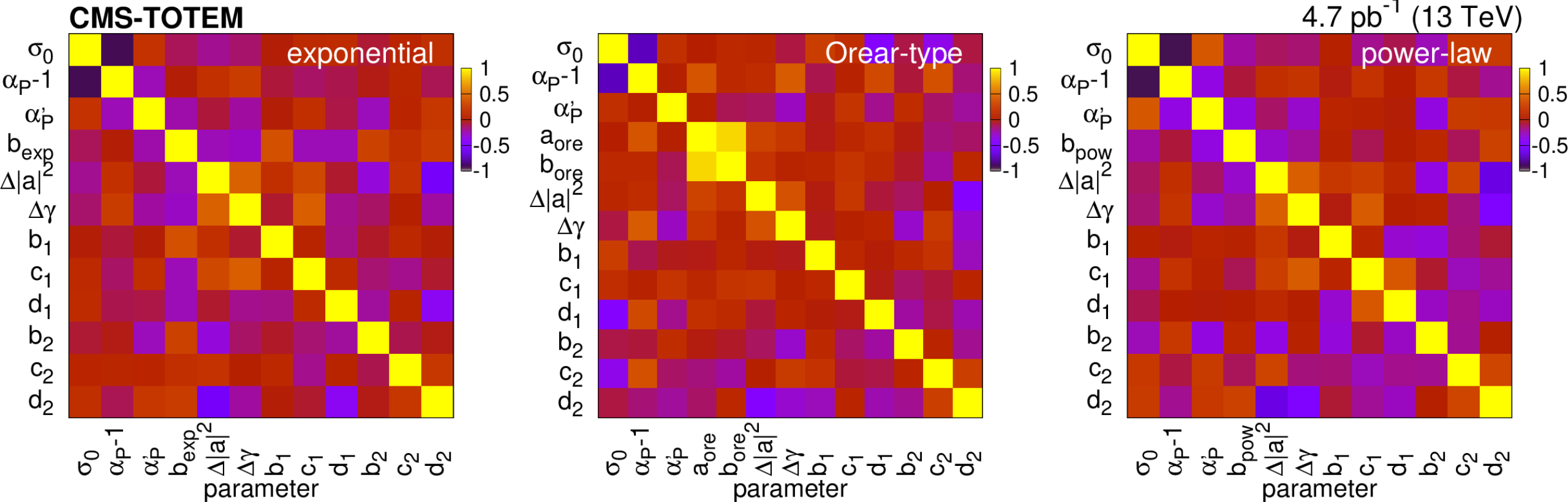
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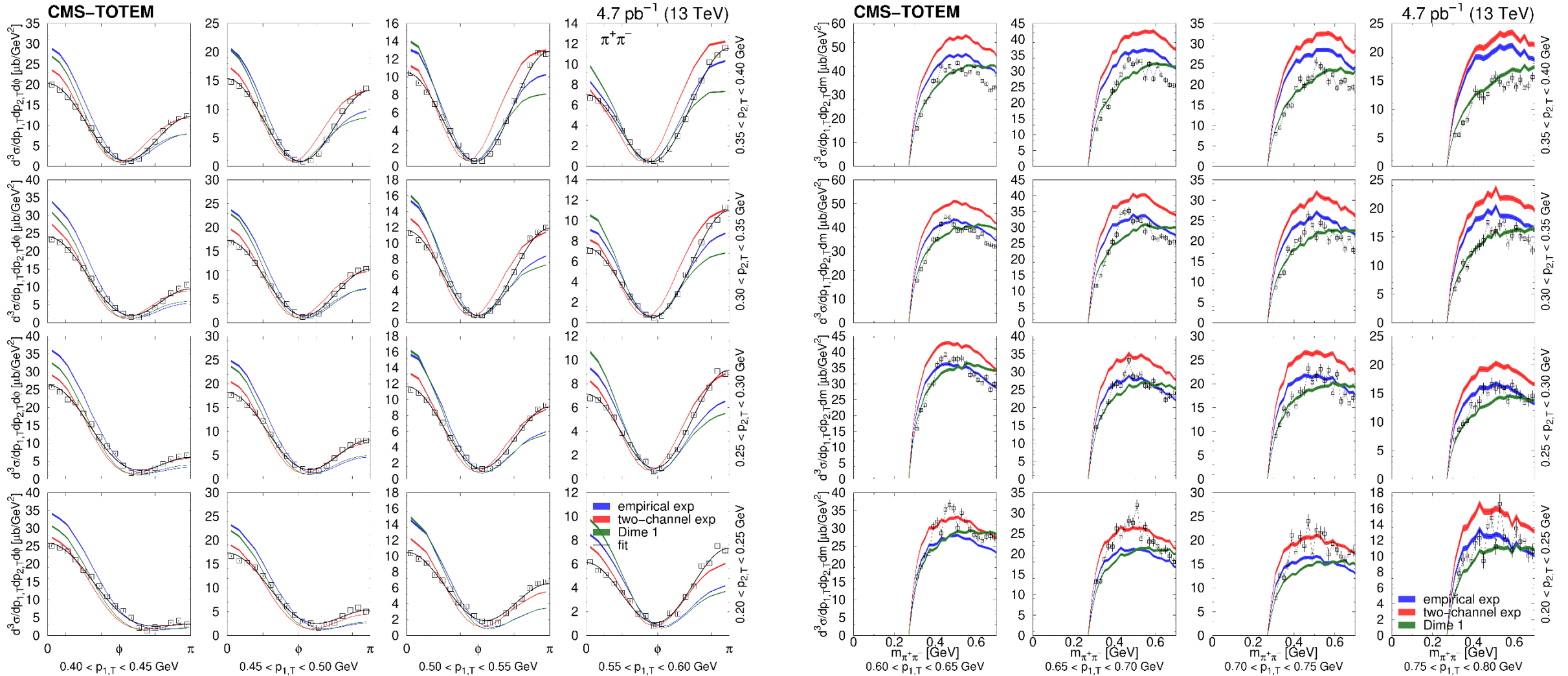
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- From  $\varphi$  distributions Form-Factor are fitted
- Tuning is done with PROFESSOR (v2.3.3)
- Two – channel fit for different pomeron – meson form factors



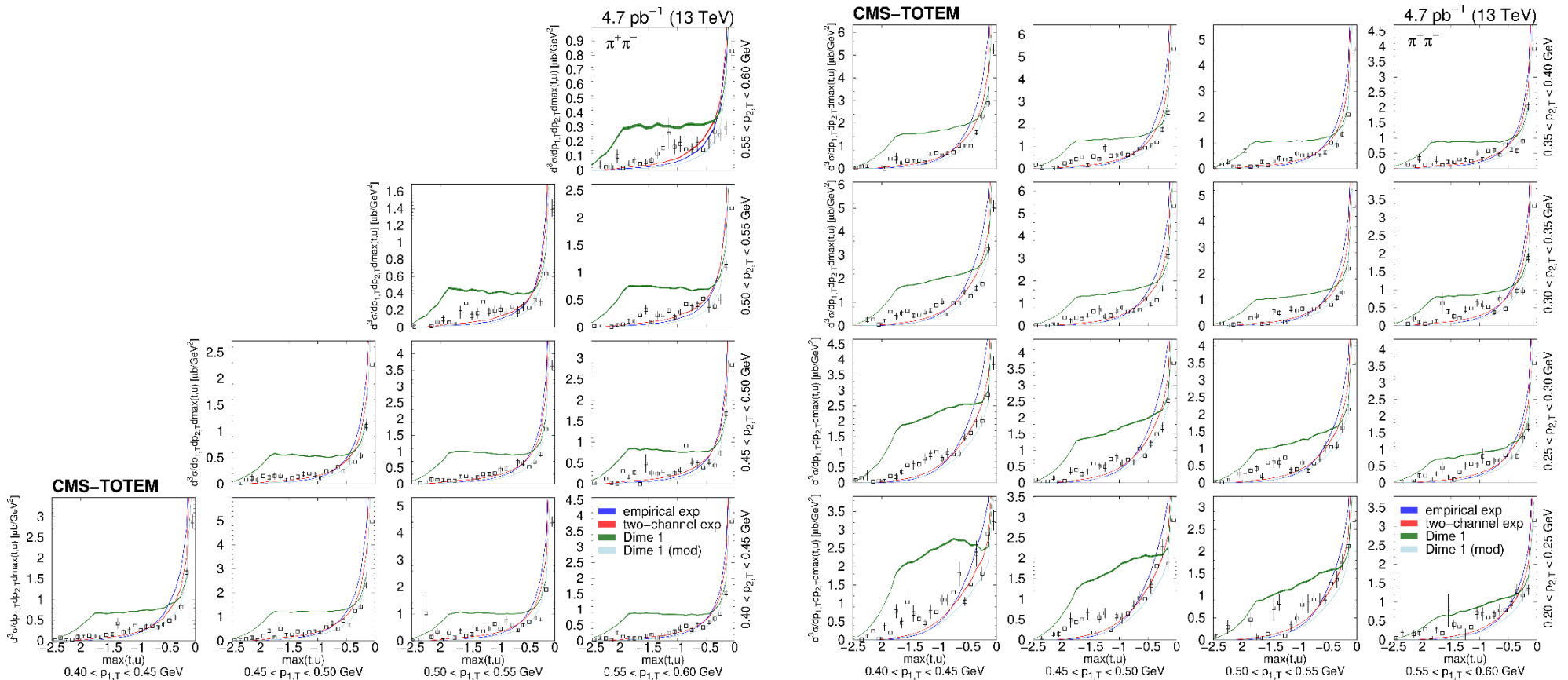
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- Virtual hadrons – important to fix the value of  $b_{exp}$  from 0.45 to 0.9 GeV<sup>-2</sup>



# Summary and discussion

## Analysis

- ✓ Central exclusive production of charged pions at 13 TeV in resonance-free region
- ✓ Differential cross-sections in bins of  $[p_{1T}, p_{2T}]$
- ✓ Azimuthal angle  $\varphi$  between the surviving protons

## Results

- ✓ First observation of parabolic minimum in  $\varphi$  distribution
  - Interference of the bare and the rescattered amplitudes
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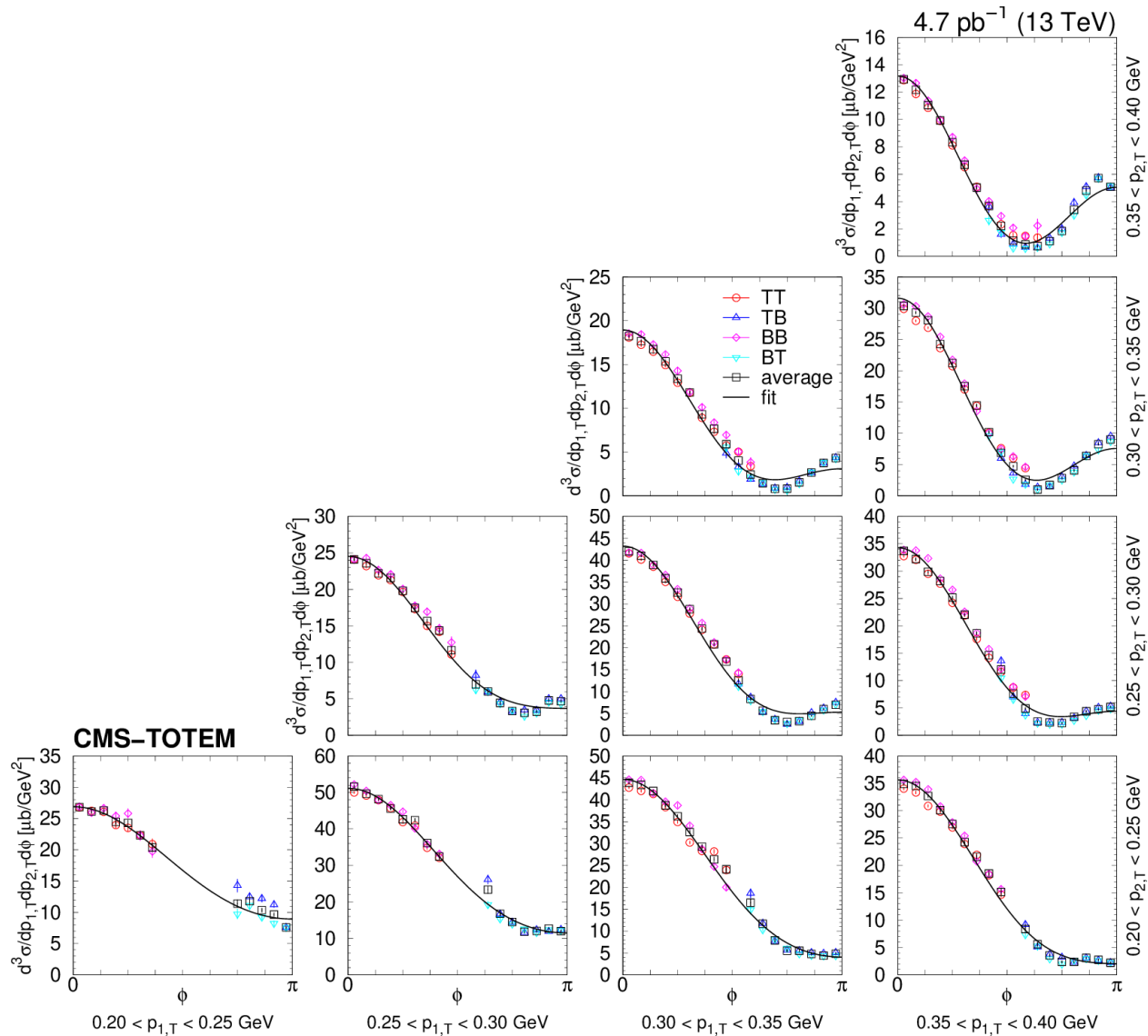
Thank you for your attention

# Backup



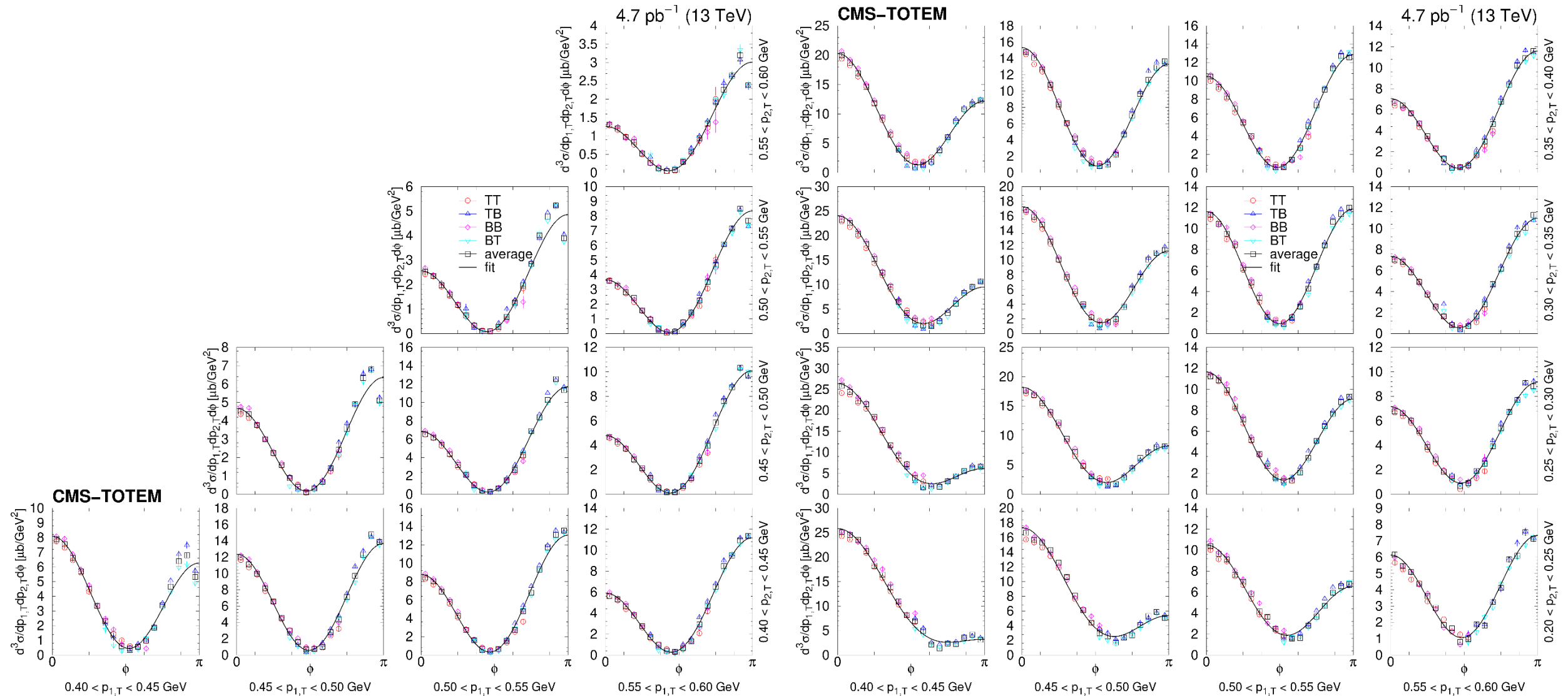
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