# I CAN SEE CLEARLY NOW THE DUST IS GONE: MAPPING GALACTIC DARK MATTER IN 3D WITH MODERN MACHINE LEARNING AND THE GAIA SPACE TELESCOPE

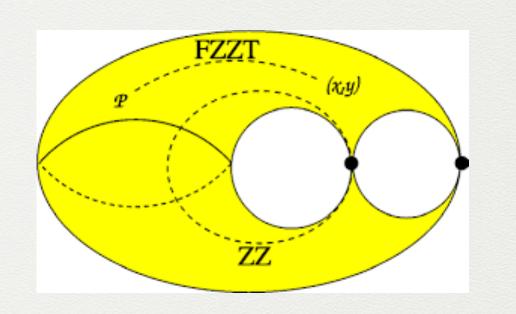
#### **LSPC Grenoble Seminar**

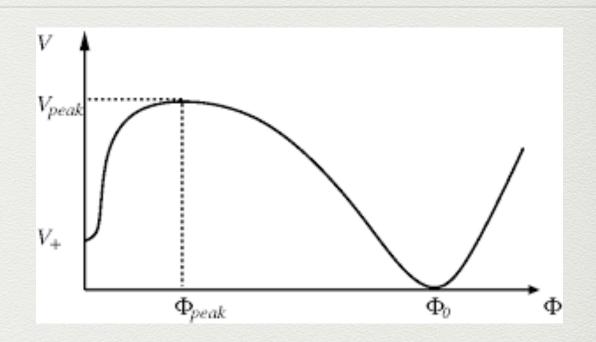
David Shih September 22, 2025



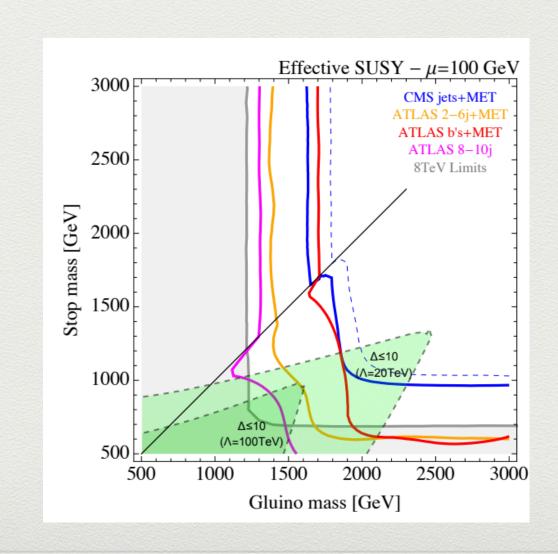
### A bit about myself

- Faculty at Rutgers since 2010
- Previous work:
  - formal theory (matrix models and 2d quantum gravity, black holes, CFTs)
  - phenomenology (SUSY breaking, gauge mediation, collider pheno, naturalness, recasting)
- Since 2018: increasingly focused on applying machine learning to fundamental physics

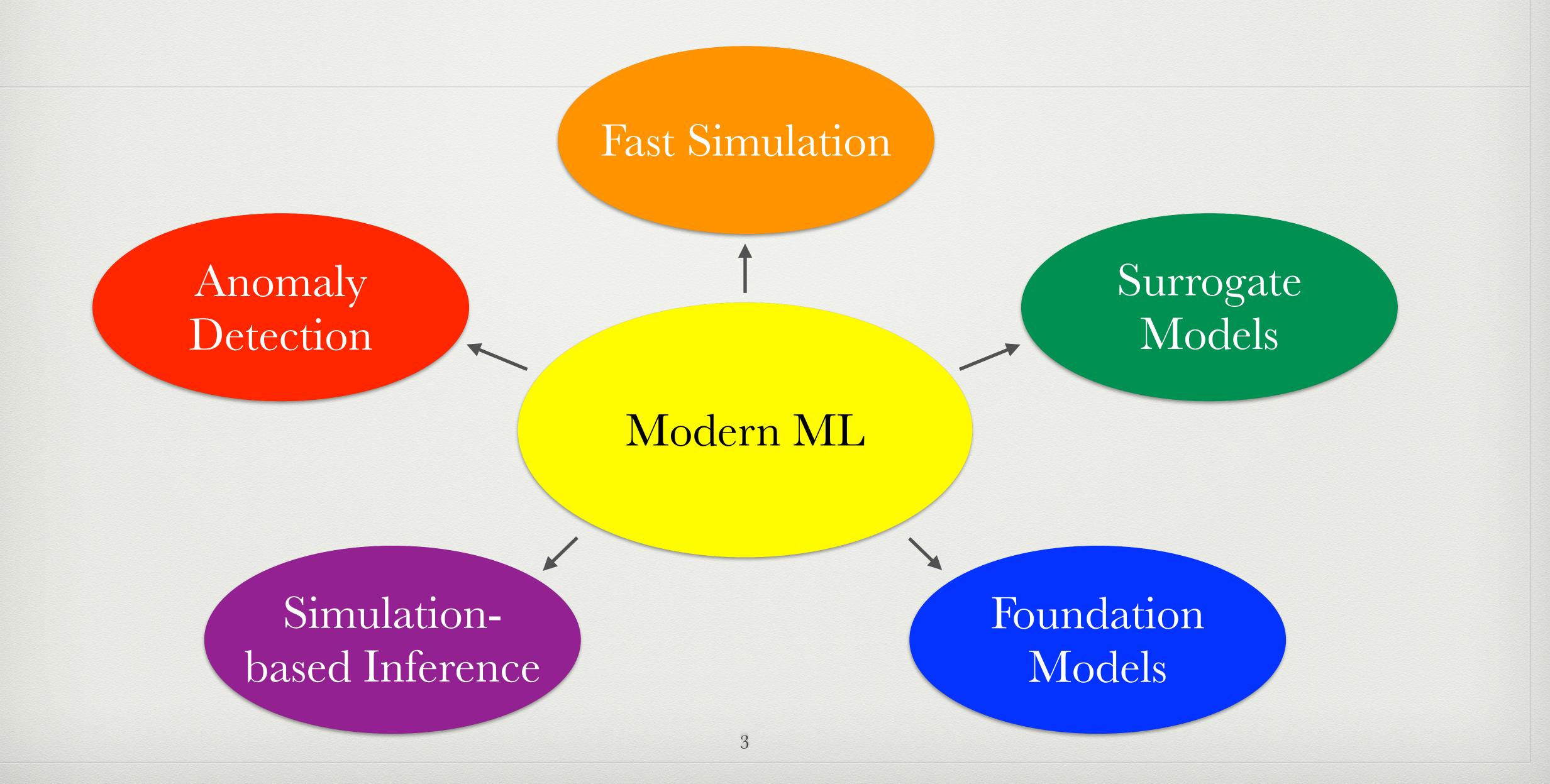






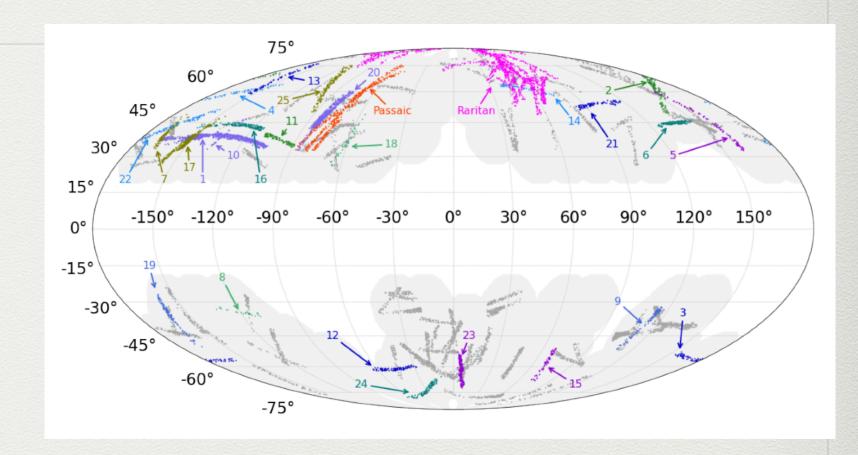


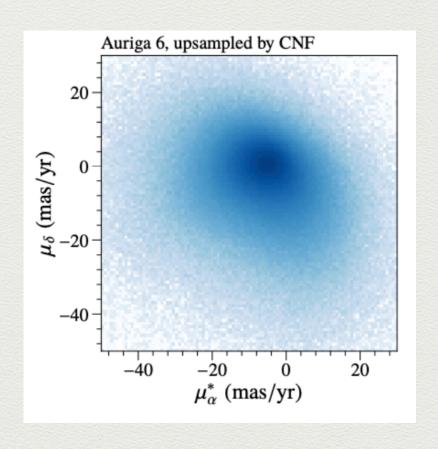
### My research on ML for LHC physics



### ML for astro/cosmo

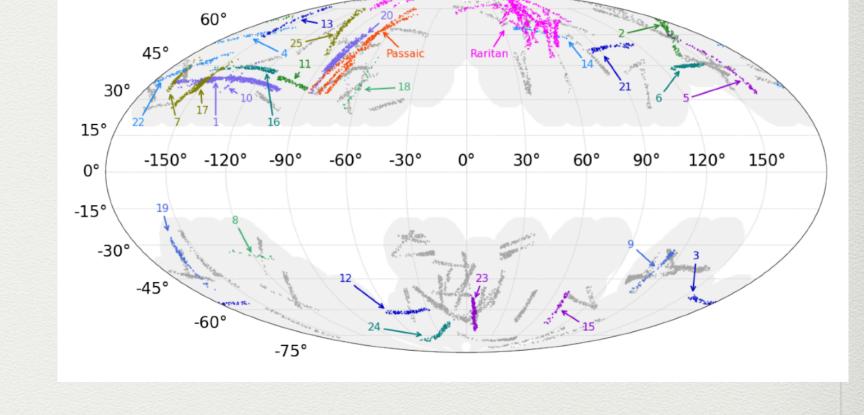
- I am also increasingly branching out into ML for astro/cosmo, including a number of projects with Gaia data:
  - Via Machinae [2104.12789, 2303.01529, 2509.08064]: model-agnostic search for stellar streams, using resonant anomaly detection methods originally developed for LHC, discovered ~100 stream candidates, awaiting follow-up confirmation
  - GalaxyFlow [2211.11765]: using generative models to "upsample" cosmological simulations (star particles) to produce smooth and faithful Gaia mock catalogs (stars)
  - ClearPotential [2205.01129, 2305.13358, 2412.14236, 2510.xxxxx]: new technique using modern ML (normalizing flows) to measure local Galactic potential, acceleration field, and mass density field; first application to Gaia data

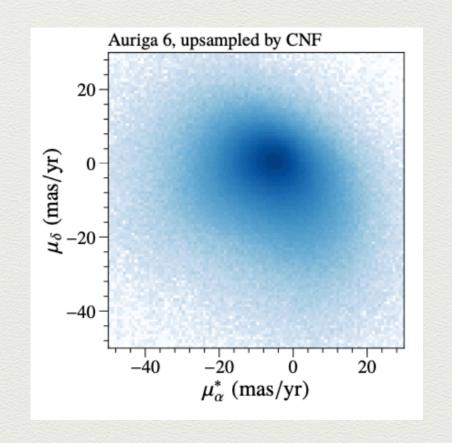




### ML for astro/cosmo

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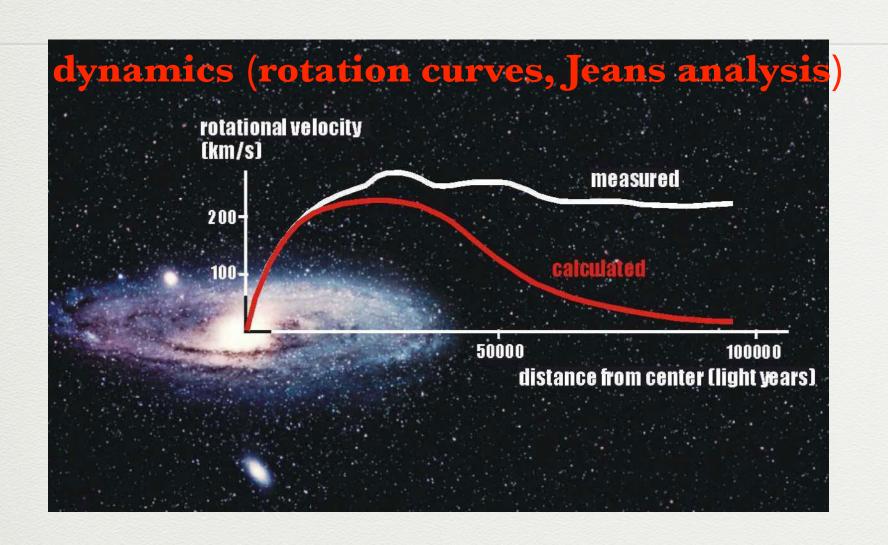
### THIS TALK

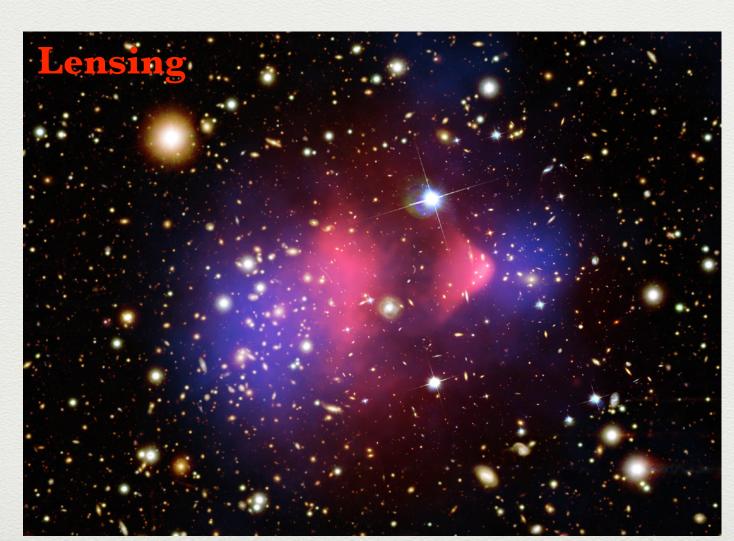
### Motivation: Dark Matter

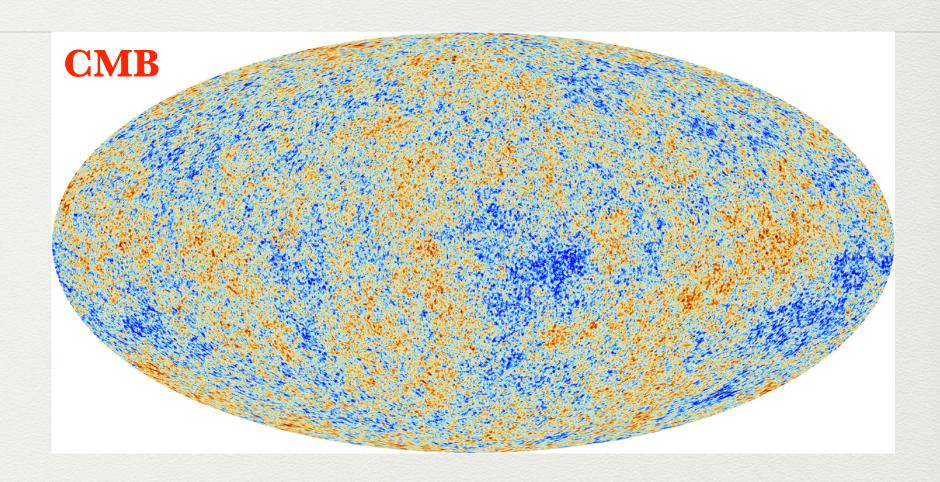


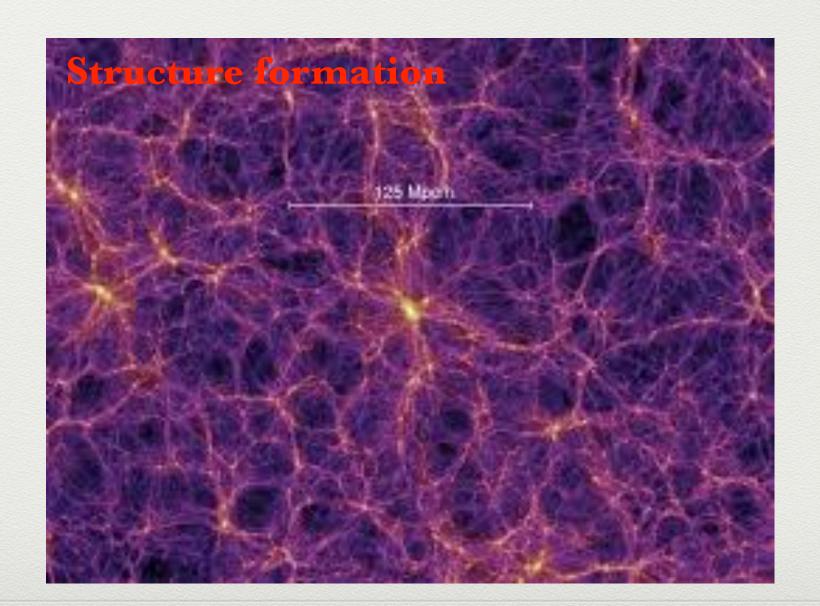
Dark matter is one of the greatest mysteries of our time

### Dark Matter: Overwhelming evidence

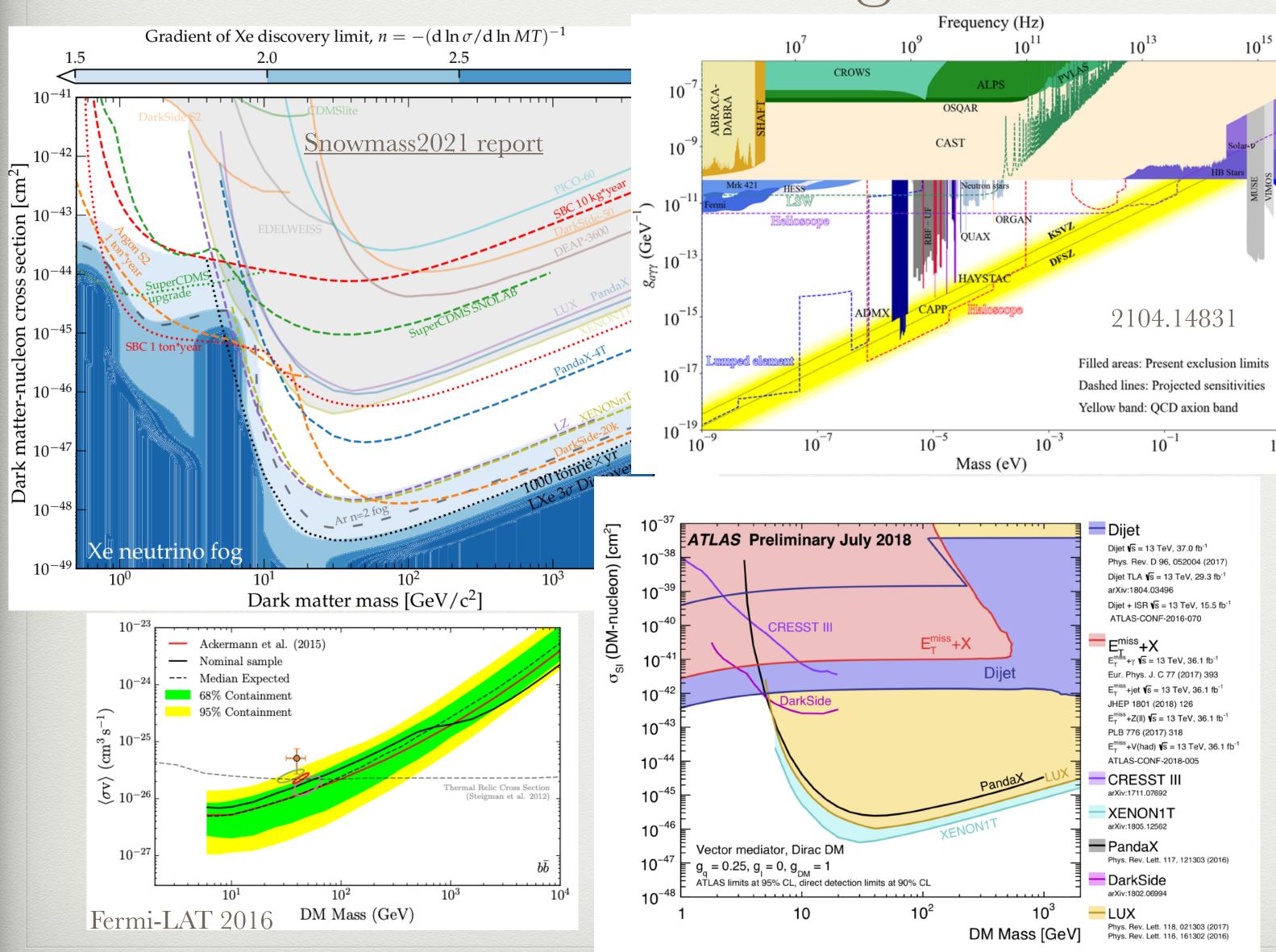


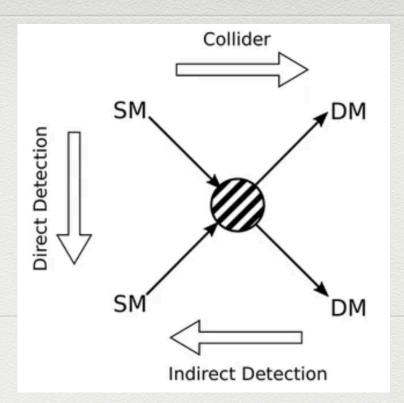






### Searching for Dark Matter



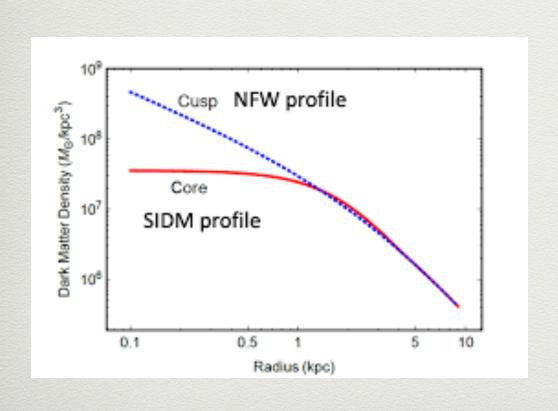


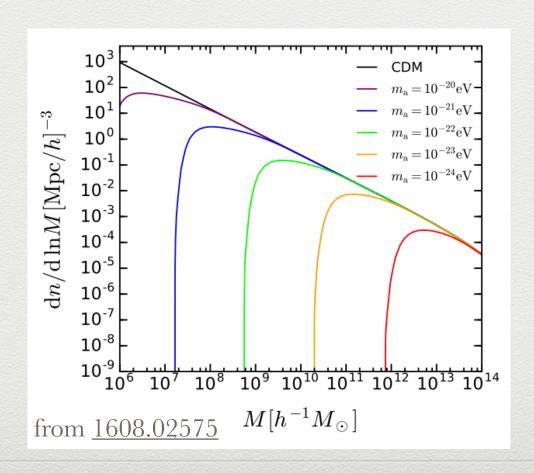
Yet the particle nature of dark matter remains elusive.

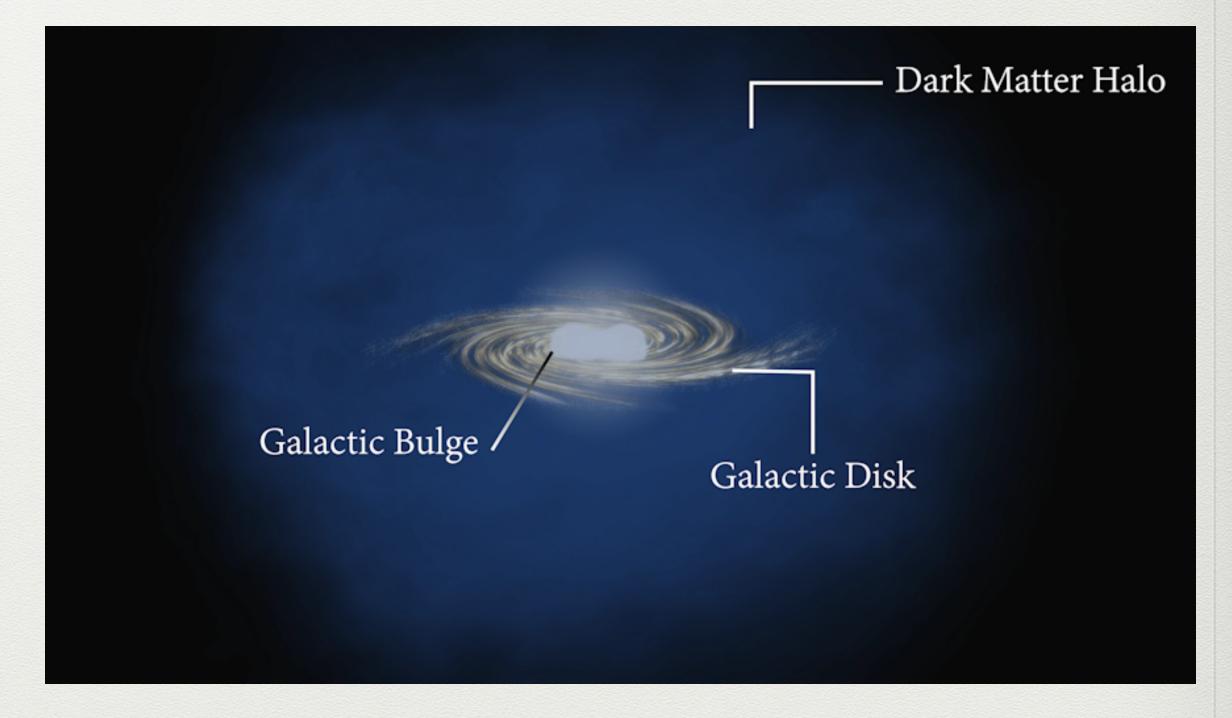
Many direct and indirect searches, but so far no additional evidence of dark matter interactions beyond gravity.

Local Galactic dynamics remain one of the best astrophysical probes of dark matter

- Local dark matter density important input to direct detection
- Density profile and substructure sensitive to DM properties





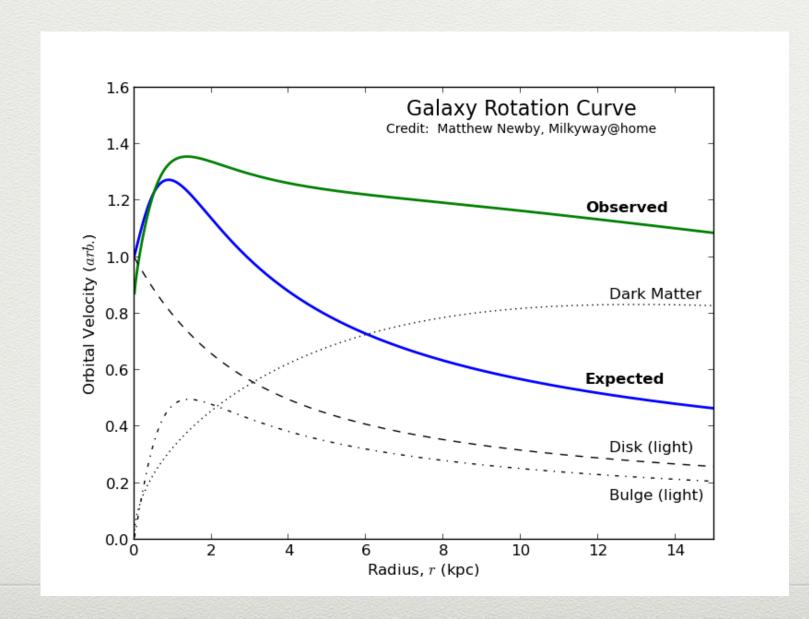


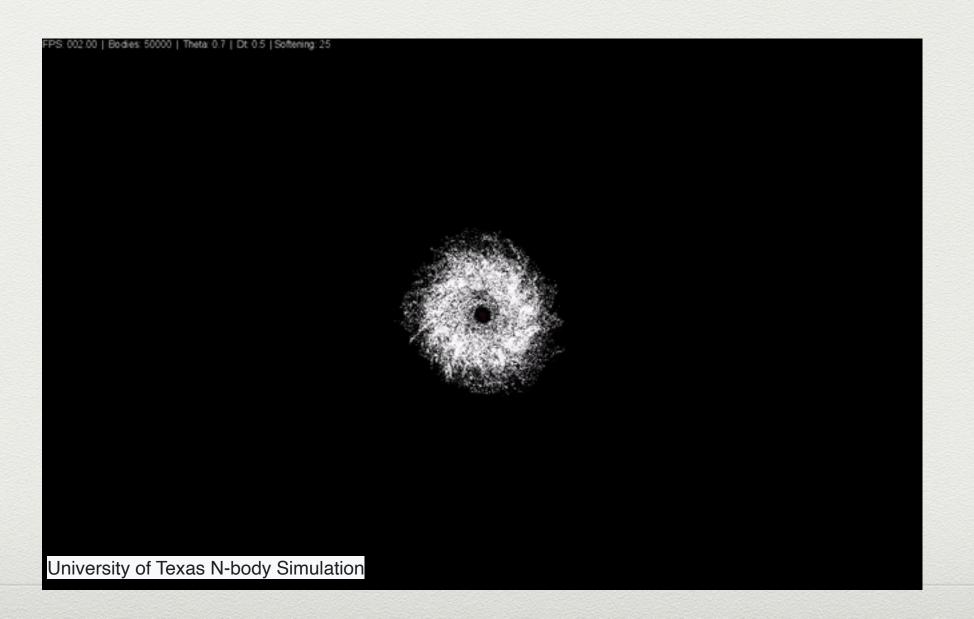
The Milky Way is a dark matter laboratory!

General idea: use kinematics of tracer stars to infer Galactic potential

### Two main approaches

• Rotation curves: fit parametric potentials to circular orbits of disk stars

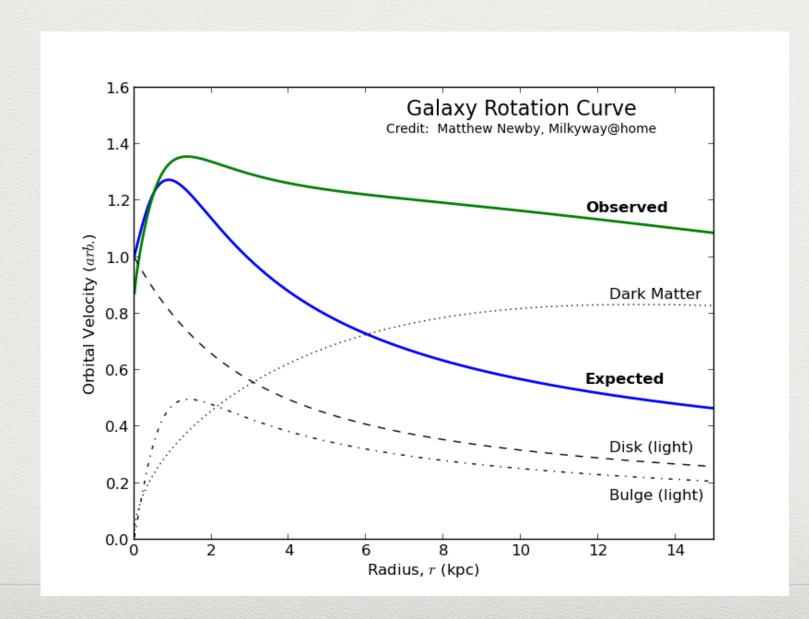


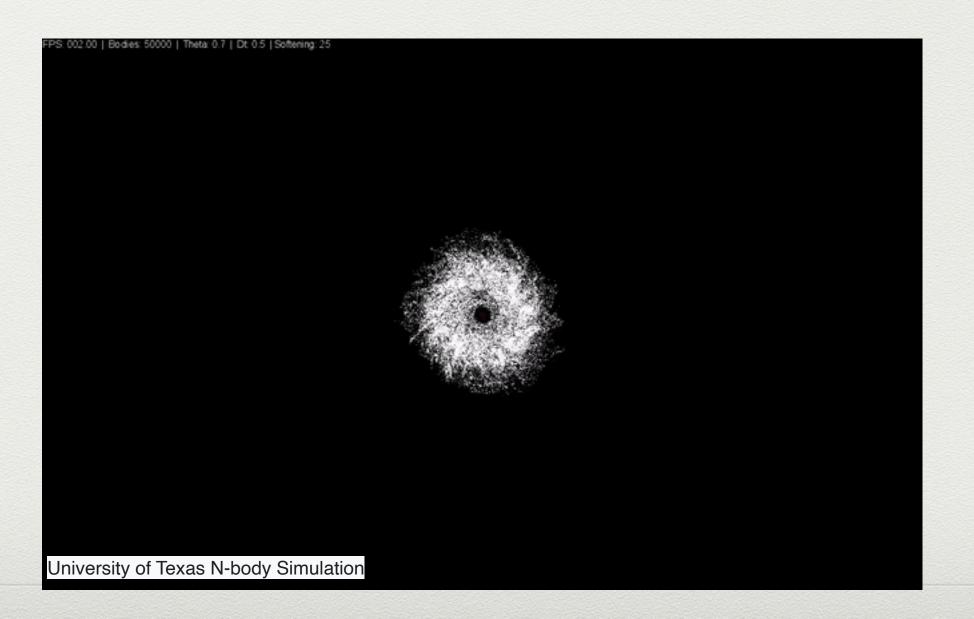


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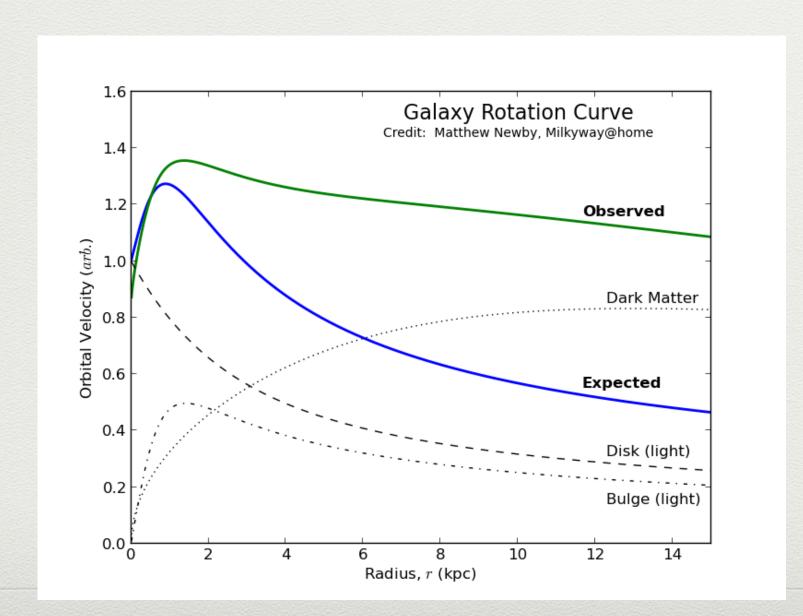


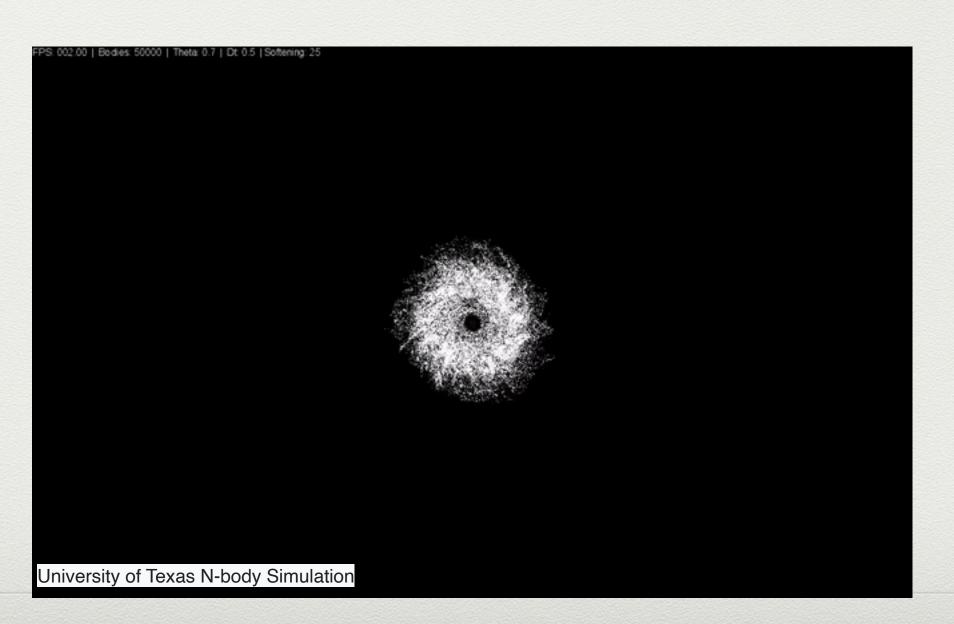


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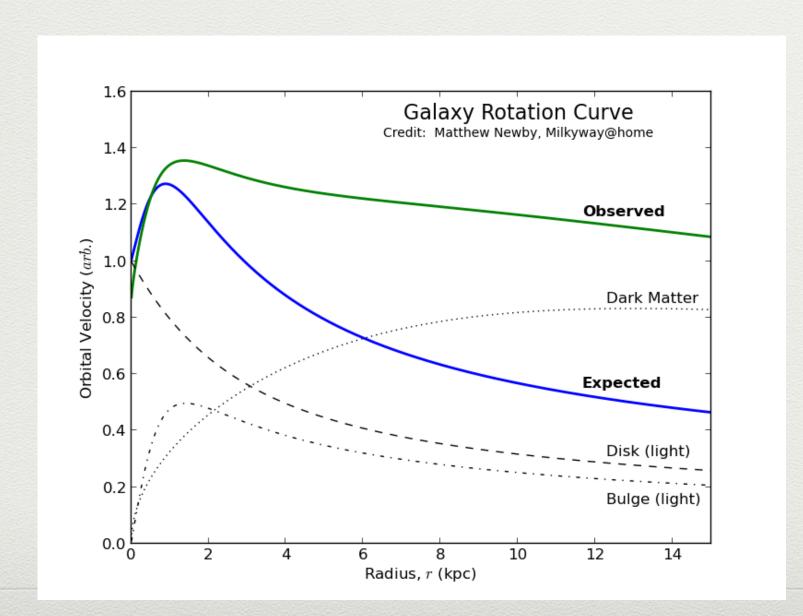




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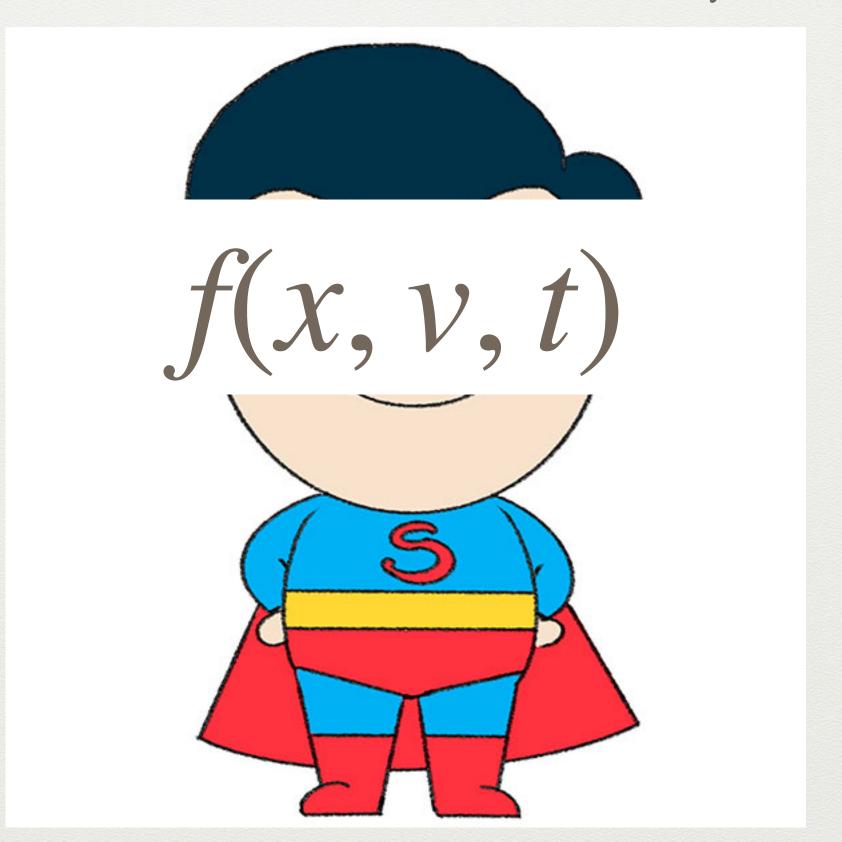
• Rotation curves: fit parametric potentials to circular orbits of disk stars





### Dark matter from stellar phase space

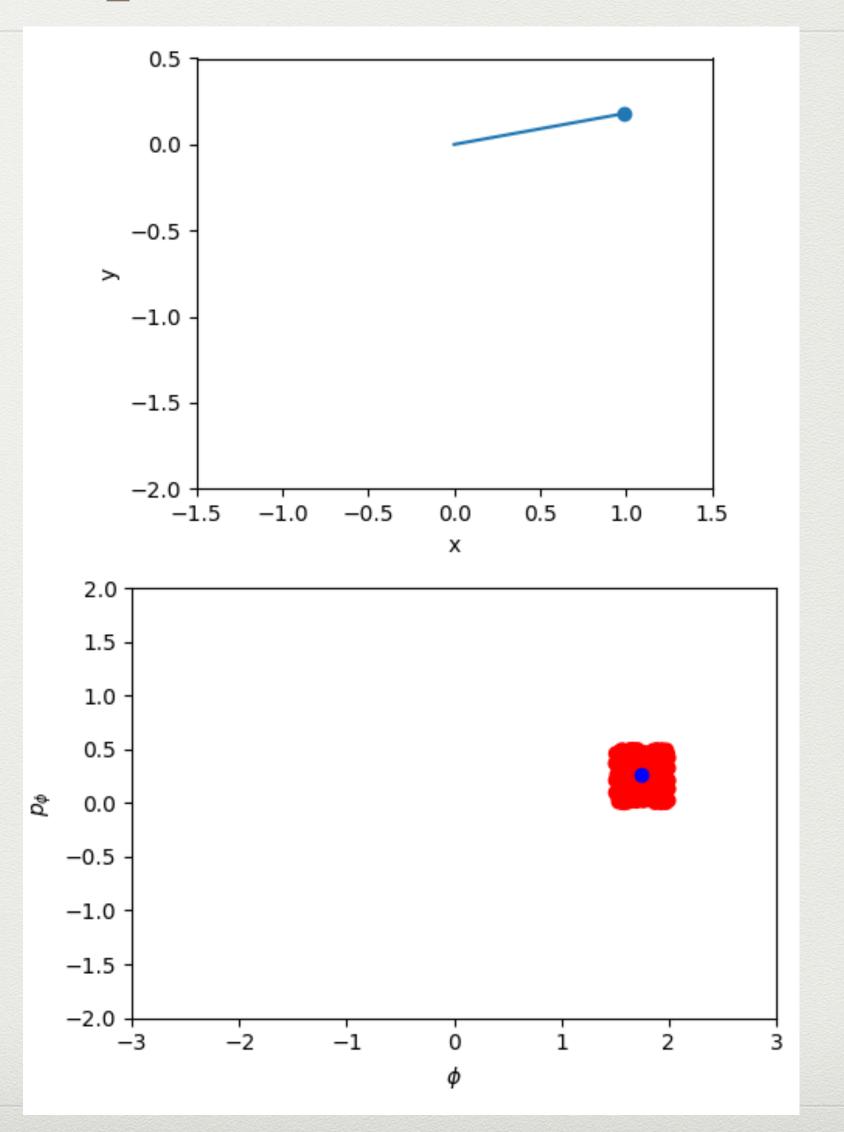
The hero of the story:



Stellar phase space density (PSD)

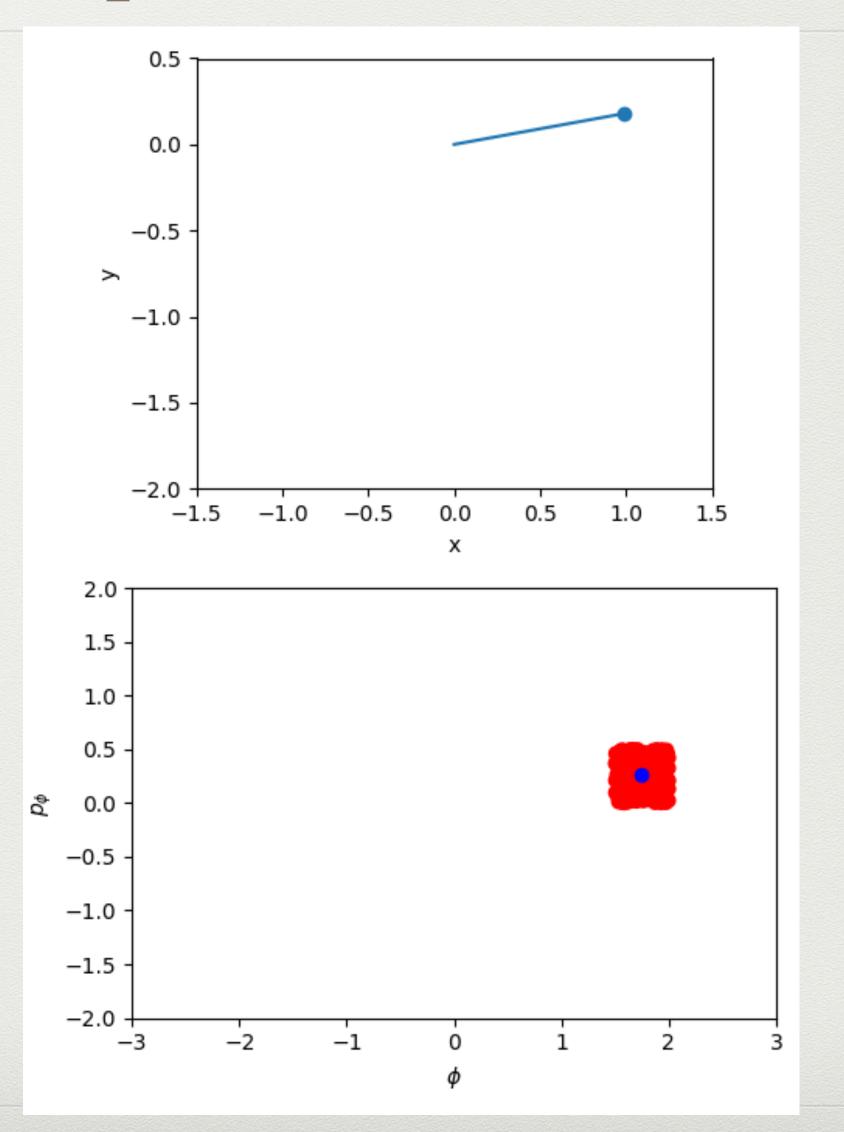
For a particle moving under a conservative (frictionless) force, phase space volumes are constant in time

$$\frac{dV_{phase\ space}}{dt} = 0$$



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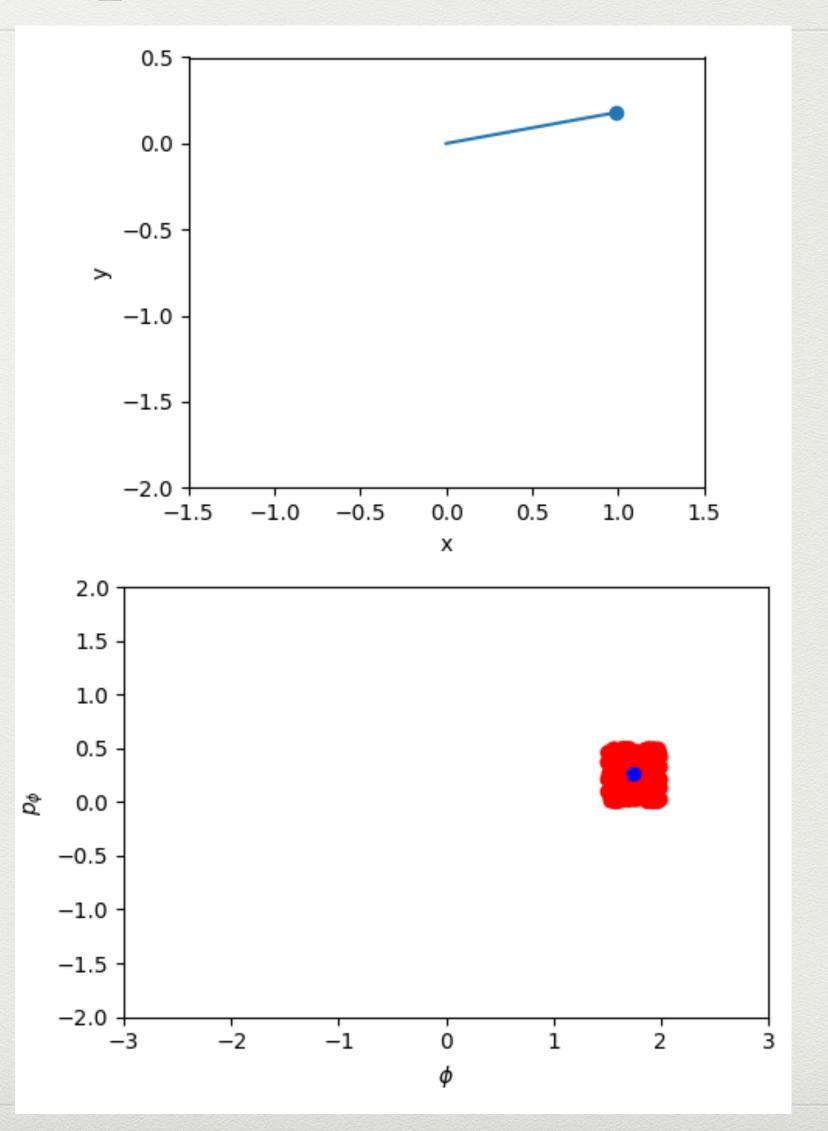
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 $dV_{phase\ space} = f(x, v, t) dx dv$ 



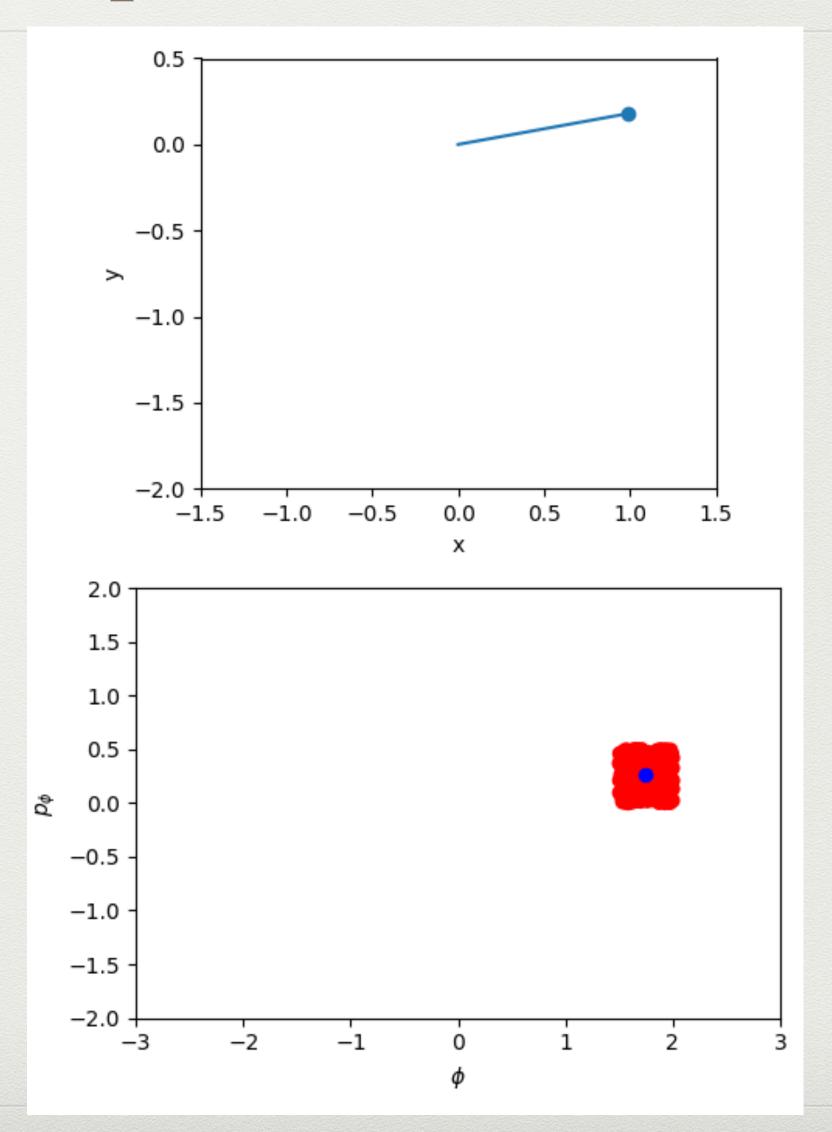
For a particle moving under a conservative (frictionless) force, phase space volumes are constant in time

$$\frac{dV_{phase\ space}}{dt} = 0$$

$$dV_{phase\ space} = f(x, v, t) dx dv$$

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \vec{v} \cdot \frac{\partial f}{\partial \vec{x}} + \vec{a}(x) \cdot \frac{\partial f}{\partial \vec{v}} = 0$$

Collisionless Boltzmann Equation



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- · Old stars should be mostly in dynamical equilibrium

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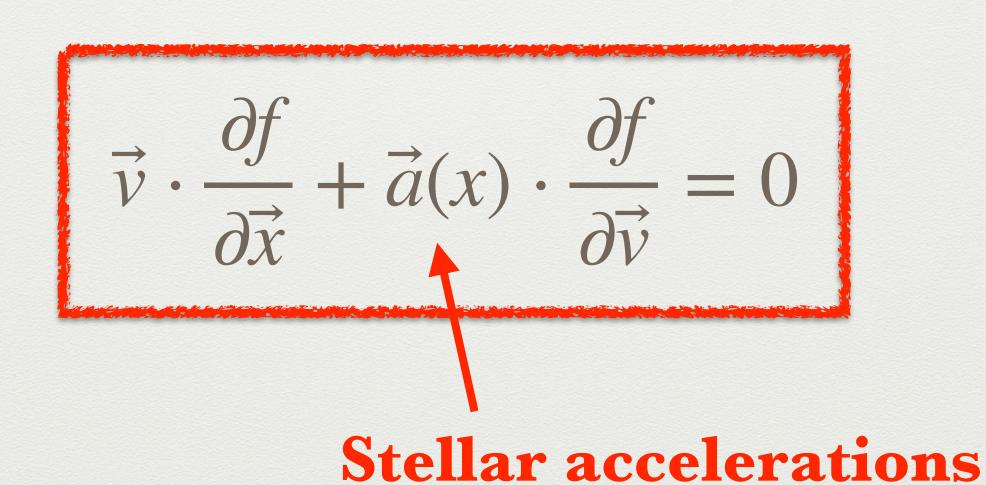
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- So if we know stellar phase space density, can infer their accelerations!
- From accelerations we can obtain gravitational potential and mass density

$$\vec{a}(x) = -\nabla \Phi(x)$$
  $\nabla^2 \Phi = -\nabla \cdot \vec{a} = 4\pi G\rho$ 

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number density 
$$n(x) \equiv \int d^3v f(x, v)$$

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number density 
$$n(x) \equiv \int d^3v f(x, v)$$
 
$$= \frac{\partial}{\partial x_i} \left( n(x) \langle v_i v_j \rangle(x) \right) - a_j(x) n(x)$$

 $0 = \int d^3 v \, v_j \left( v_i \frac{\partial f}{\partial x_i} + a_i(x) \frac{\partial f}{\partial v_i} \right)$ 

second velocity 
$$\langle v_i v_j \rangle(x) \equiv \frac{1}{n(x)} \int d^3 v f(x, v) v_i v_j$$

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 moments

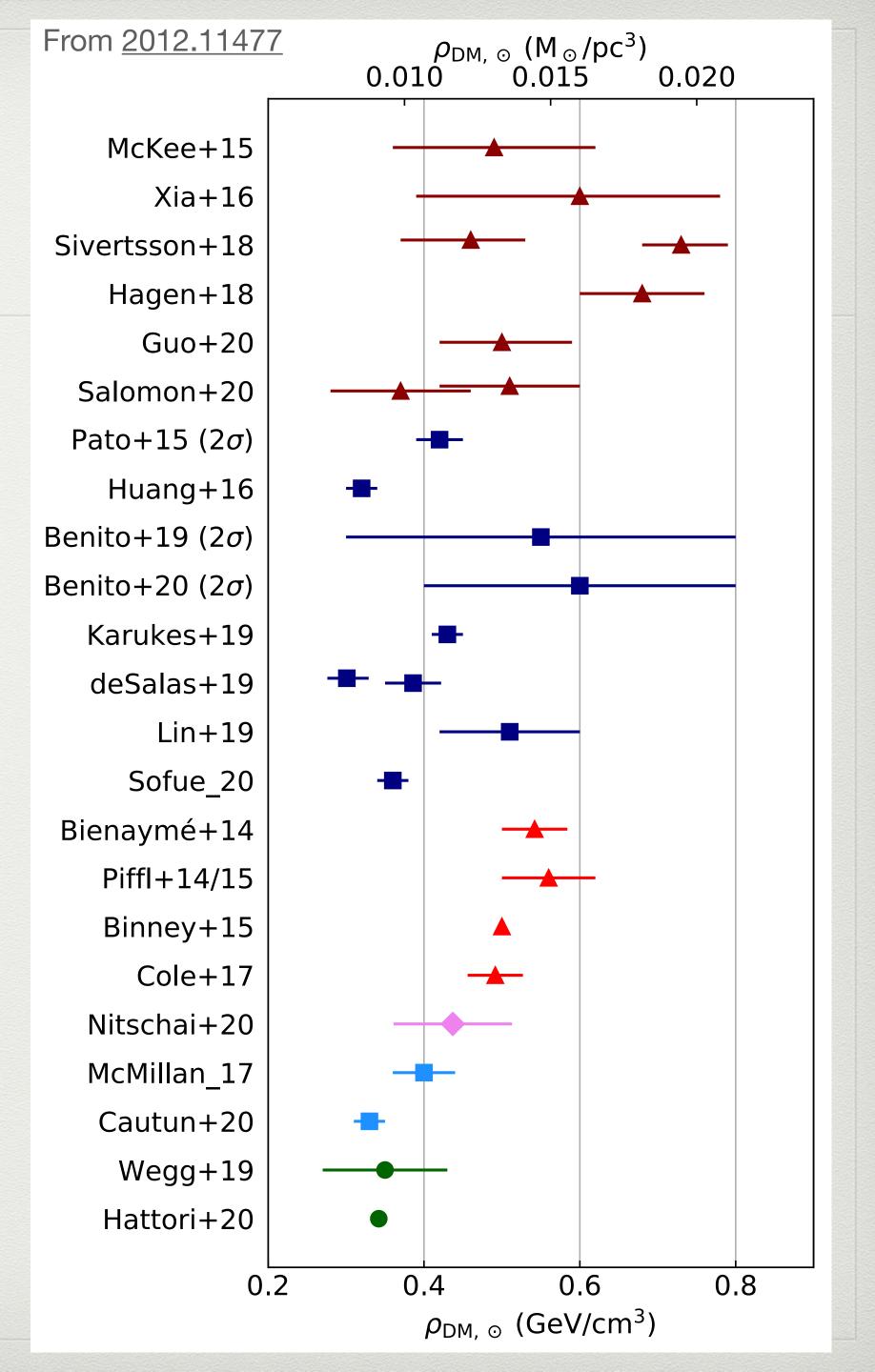
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- Number density and velocity moments can be estimated from 3d position bins
- Derivatives estimated from finite differences
- Often for enough statistics need to invoke additional assumptions of symmetries, eg azimuthal or north/south
- Finally, fit is often performed to a parametrized gravitational potential, eg

$$\Phi = \Phi_{NFW} + \Phi_{disk} + \Phi_{bulge} + \dots$$

• or just settle for determining  $\rho_{DM}$  at the solar location



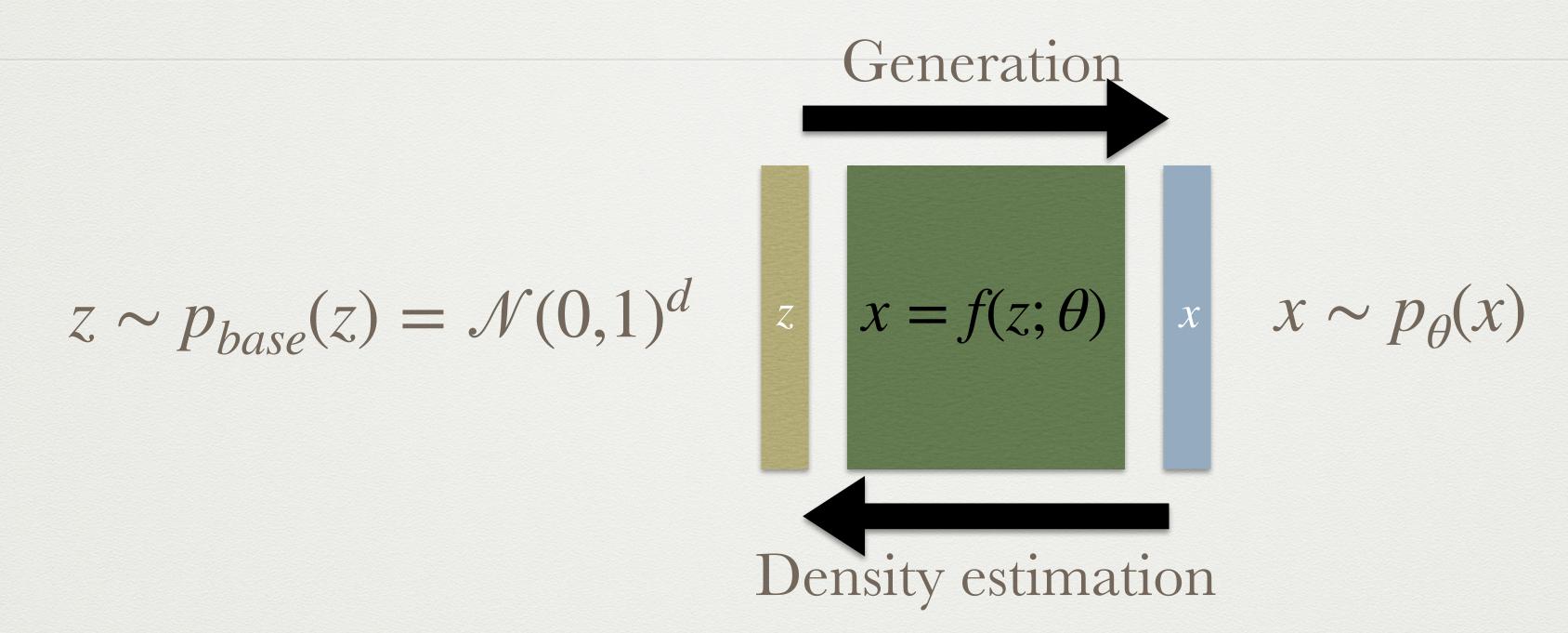
### A New Approach Powered by Modern ML

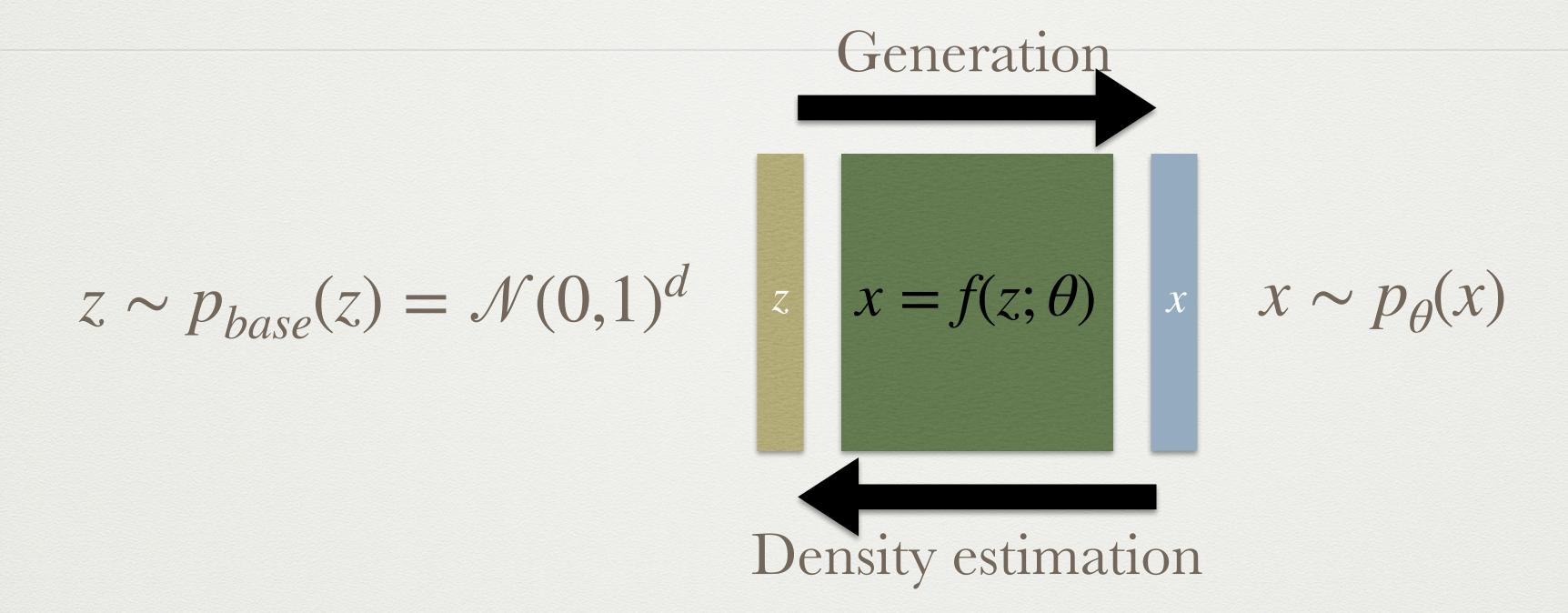
Green et al 2011.04673, 2205.02244; Naik et al 2112.07657; An et al 2106.05981; Kalda et al 2310.00040 2507.03742 Buckley, Lim, Putney & DS 2205.01129, 2305.13358, 2412.14236

- With **modern ML** and the unprecedented coverage of **Gaia data**, can do much better than Jeans analysis
- Density estimation eg with normalizing flows can easily handle 6d phase space and its derivatives
- Neural networks can parametrize potential, acceleration and/or mass density

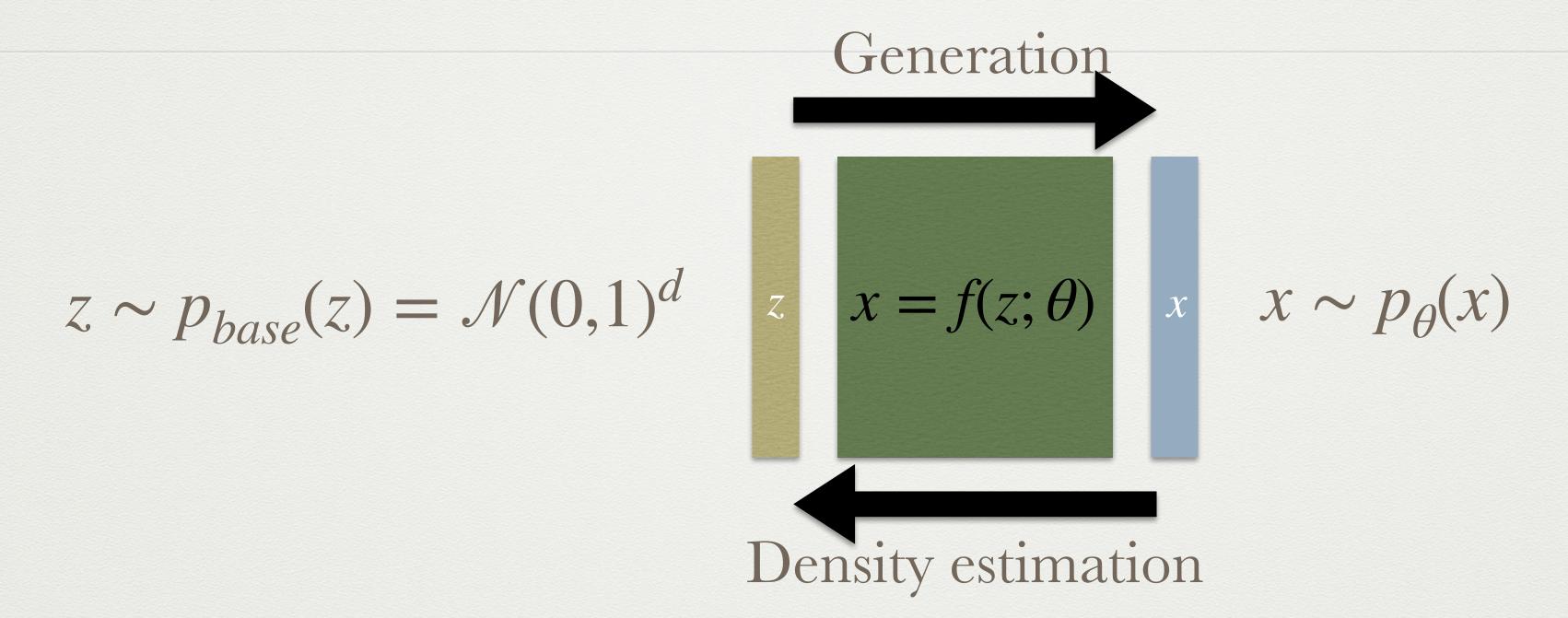
Fully data-driven, model-free, symmetry-free, unbinned measurement of Galactic potential!

Step 1: fit normalizing flows to Gaia data



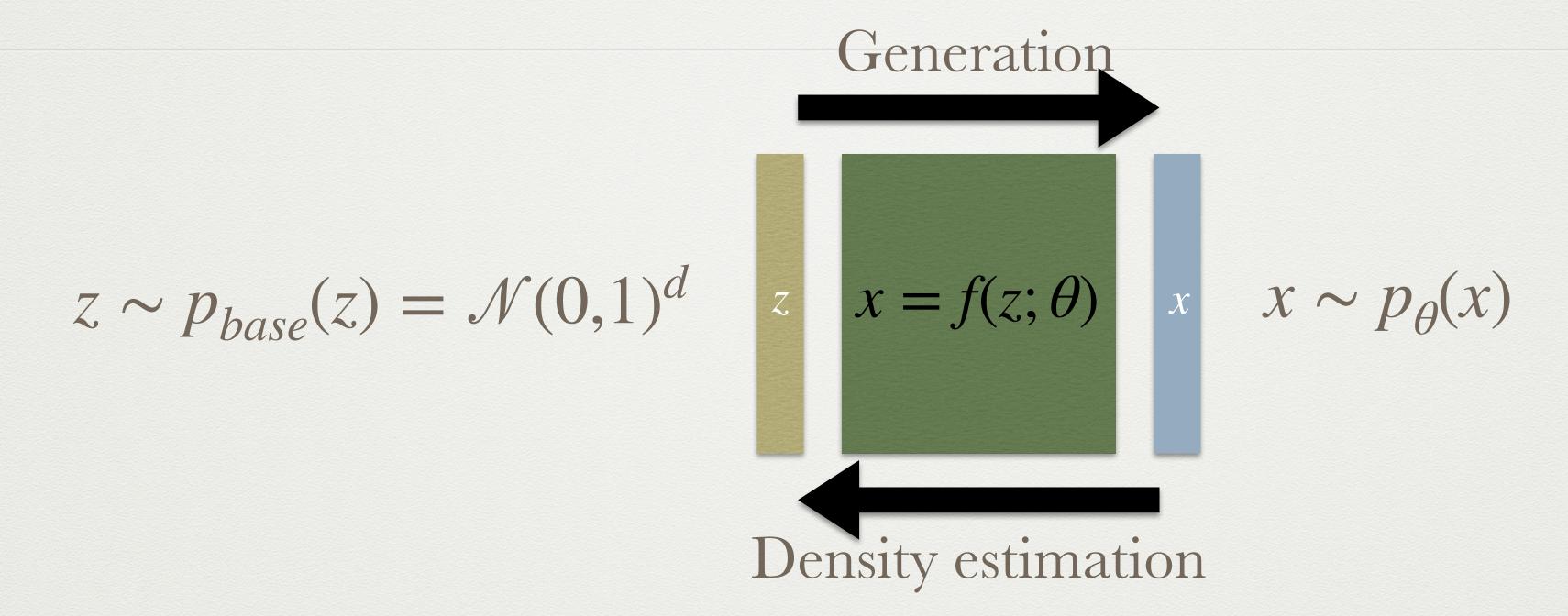


Powerful class of density estimators that are also generative models



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• Family of invertible maps parametrized by neural networks



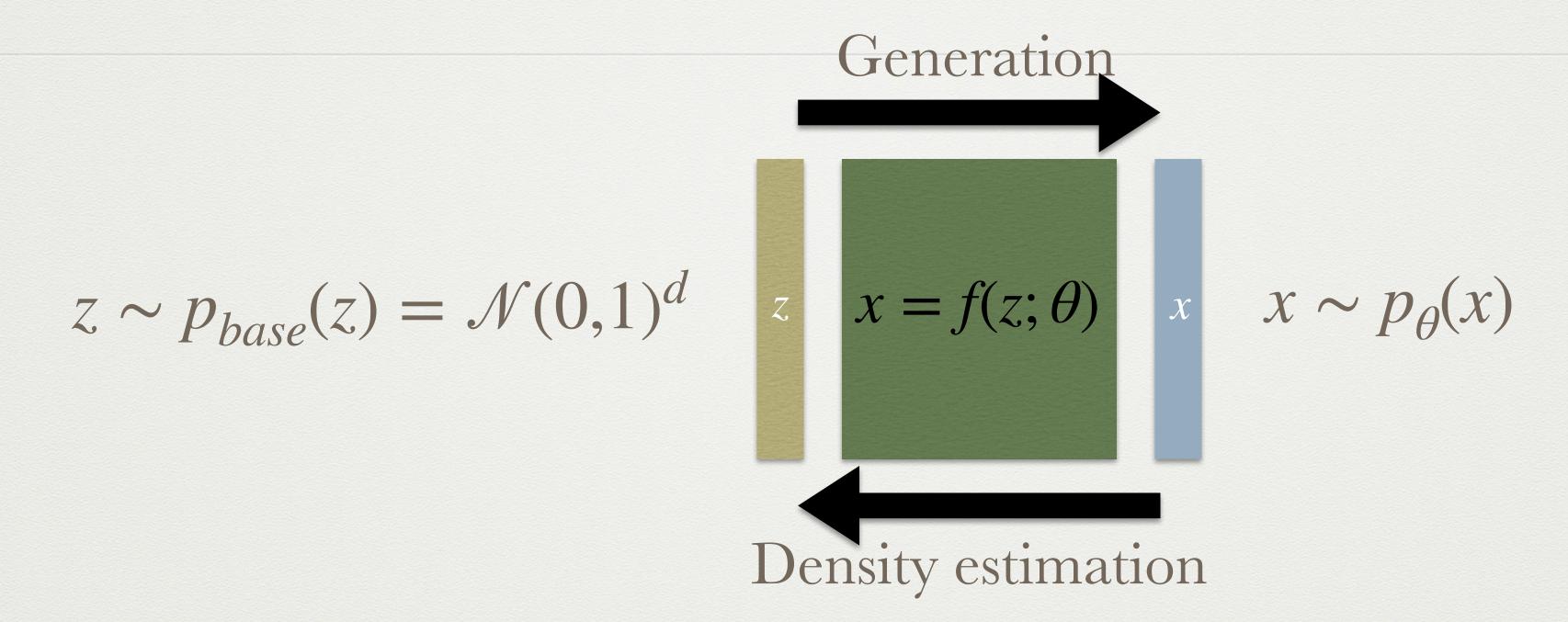
Powerful class of density estimators that are also generative models

• Family of invertible maps parametrized by neural networks  $p_{\theta}(x) = p_{base}(z = f_{\theta}(x)) \left| \frac{\partial z}{\partial x} \right|$ 

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(need tractable Jacobian!)

## Normalizing Flows



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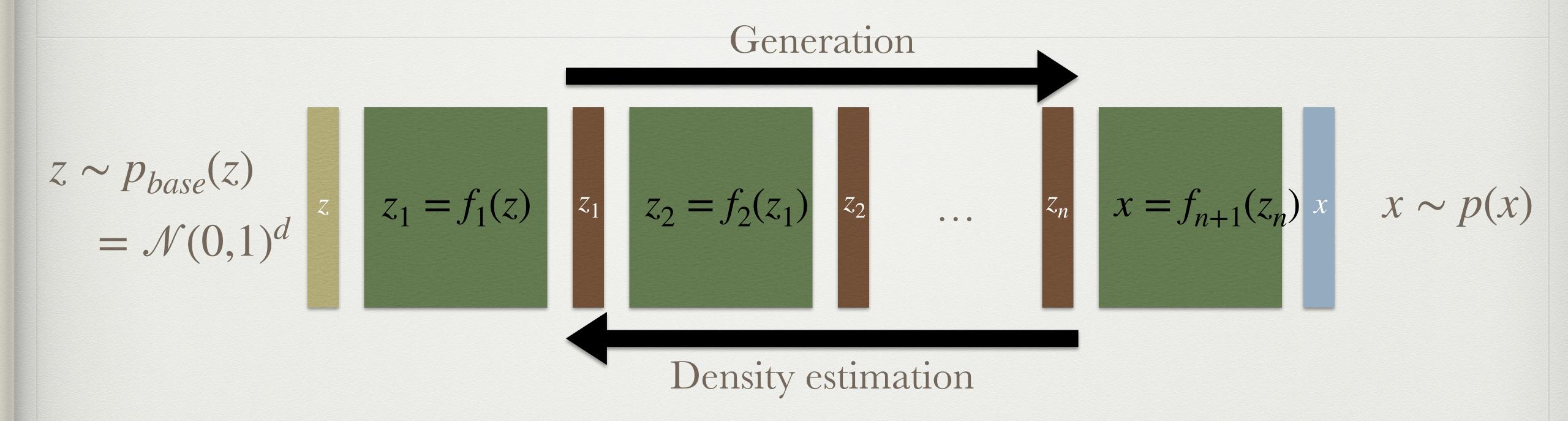
$$p_{\theta}(x) = p_{base}(z = f_{\theta}(x)) \left| \frac{\partial z}{\partial x} \right|$$

• Train with maximum likelihood objective

$$L = -\sum_{x_i \in data} \log p_{\theta}(x_i)$$

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## Normalizing Flows



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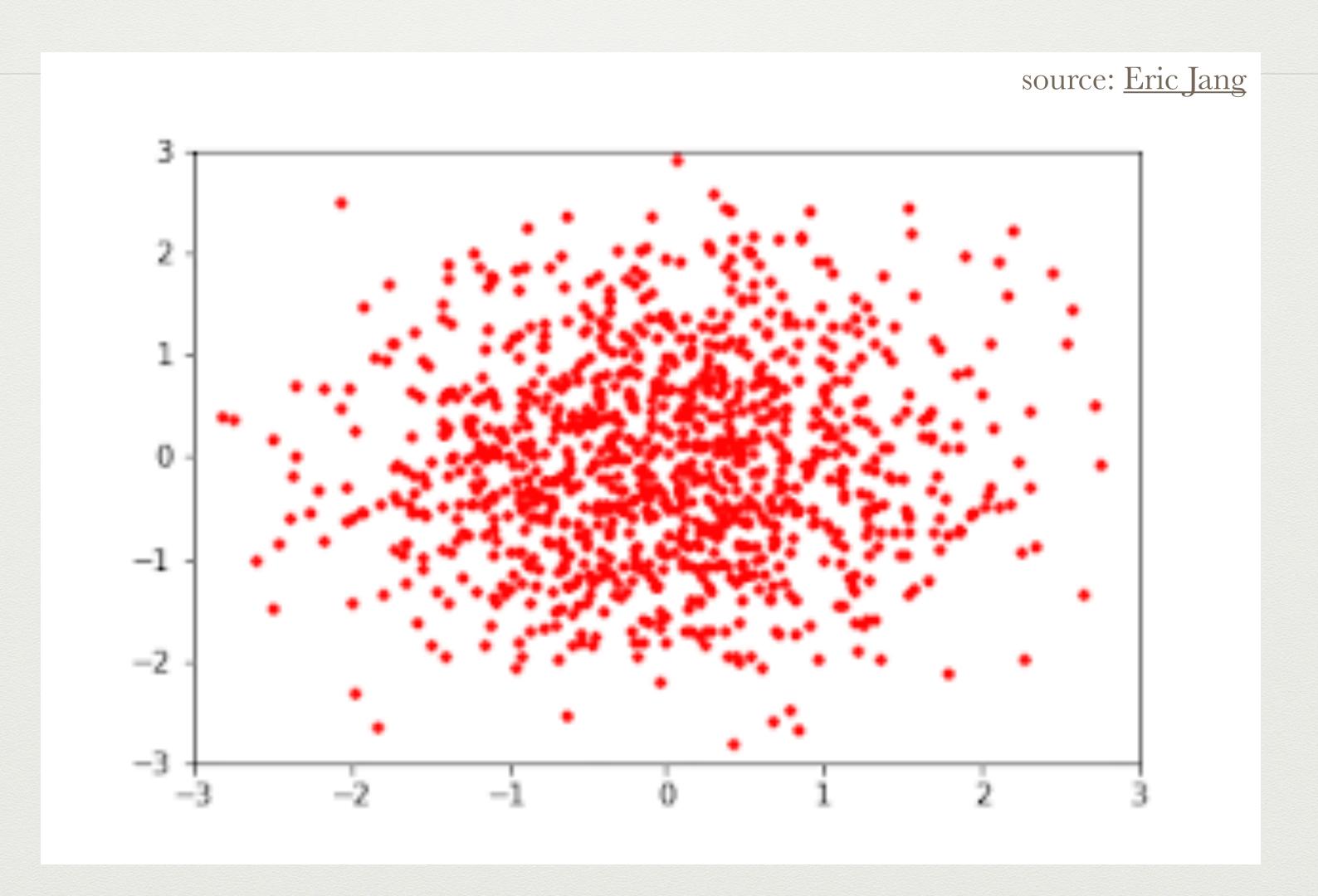
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- Train with maximum likelihood objective  $L = -\sum_{x_i \in data} \log p_{\theta}(x_i)$
- Compose multiple maps for greater expressivity

(need tractable Jacobian!)

## Example: Normalizing Flows



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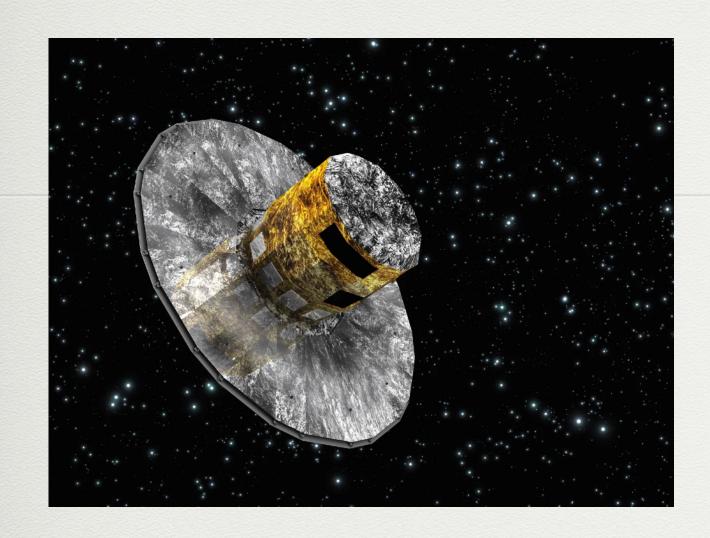


## Our normalizing flows

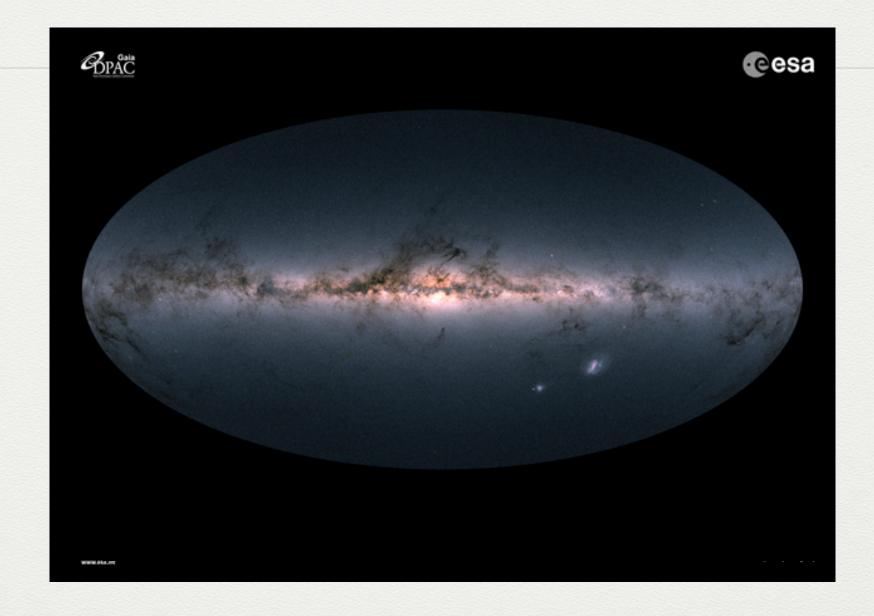
• We used Masked Autoregressive Flows with affine transformations

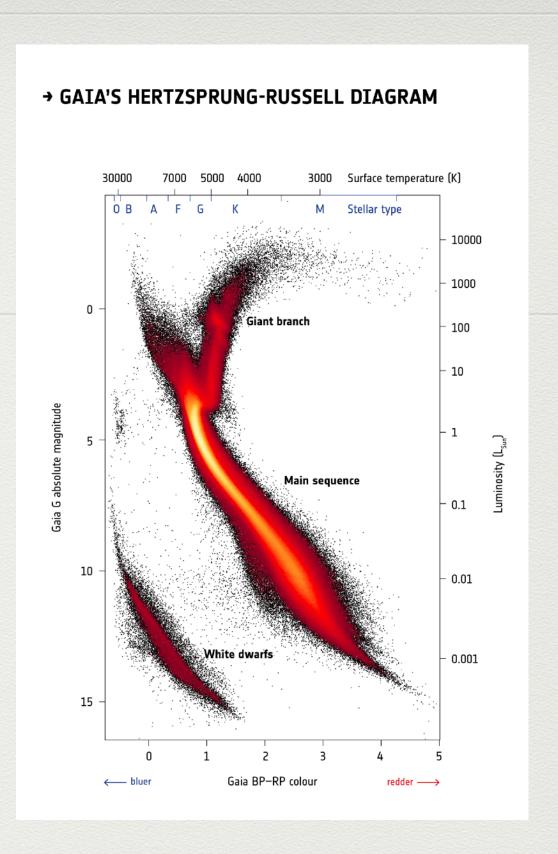
$$z_n = a_n(x_1, ..., x_{n-1})x_n + b_n(x_1, ..., x_{n-1})$$
MLPs

- Separate MAFs for n(x) and p(v|x) [f(x,v) = p(v|x)n(x)]
- Used smooth activations (GELU, GINT) instead of RELU for smooth derivatives
- Trained and ensemble-averaged 100 MAFs for more accurate PSDs



### Gaia data

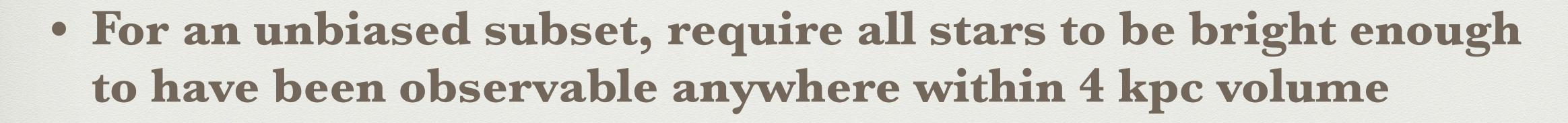


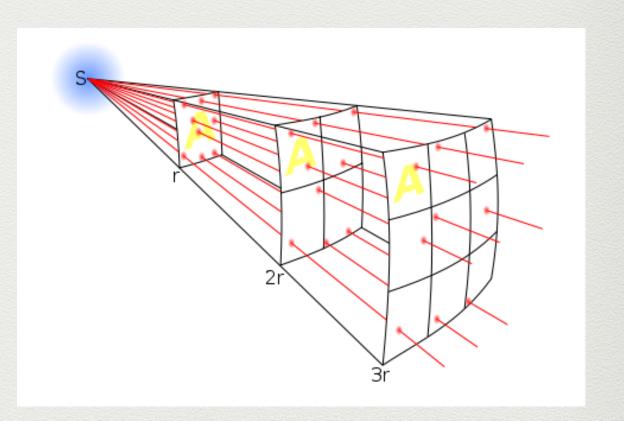


- Space telescope launched in 2013, currently on Data Release 3 (DR3)
- Angular positions, proper motions, color & magnitude of over 1 billion stars in our Galaxy
- Distances and radial velocities for a smaller subset of nearby stars

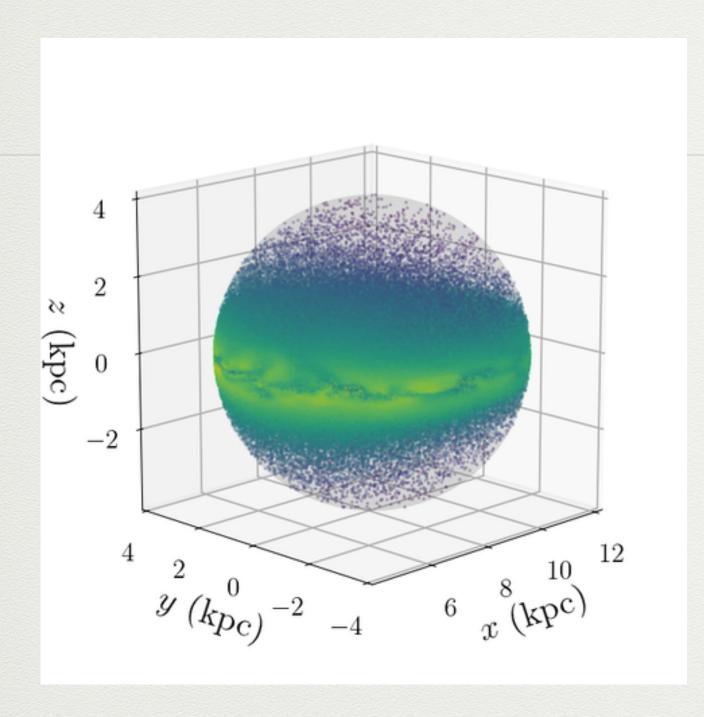
#### Gaia data

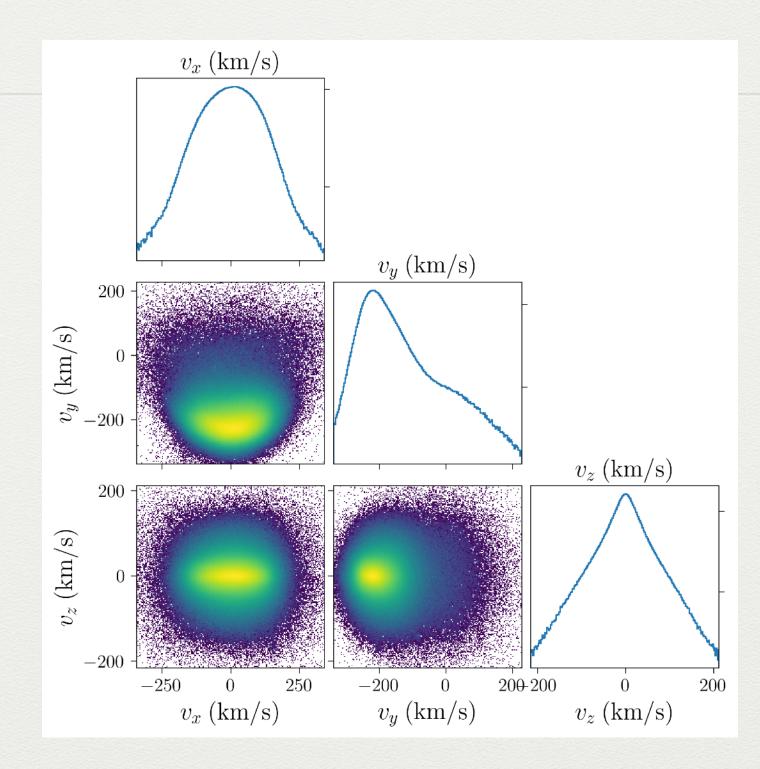
- ~35M stars in DR3 with 6d kinematics, located within ~4 kpc
- Want a complete and unbiased subset
- Gaia is complete up to observed magnitude  $G_{obs} < 14$
- However, more distant stars are dimmer

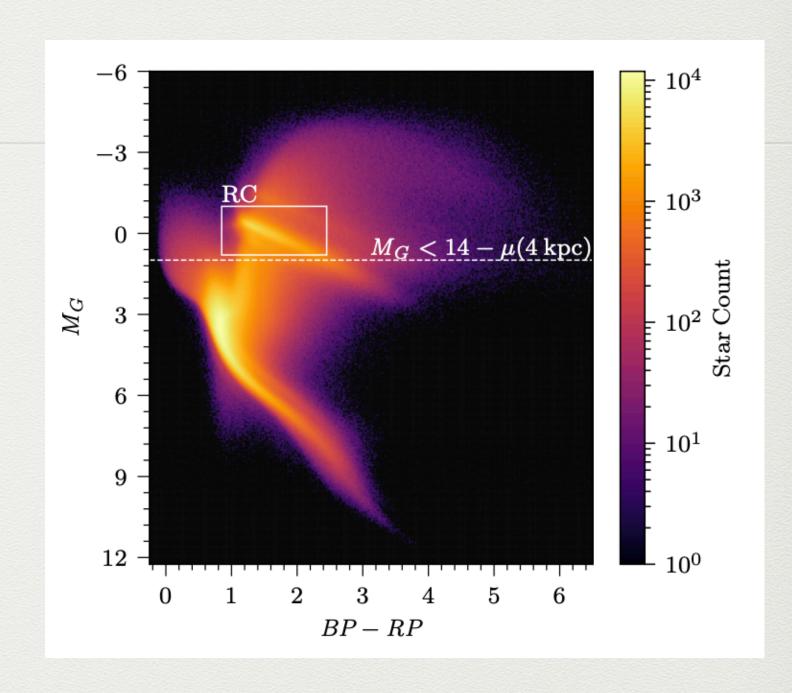




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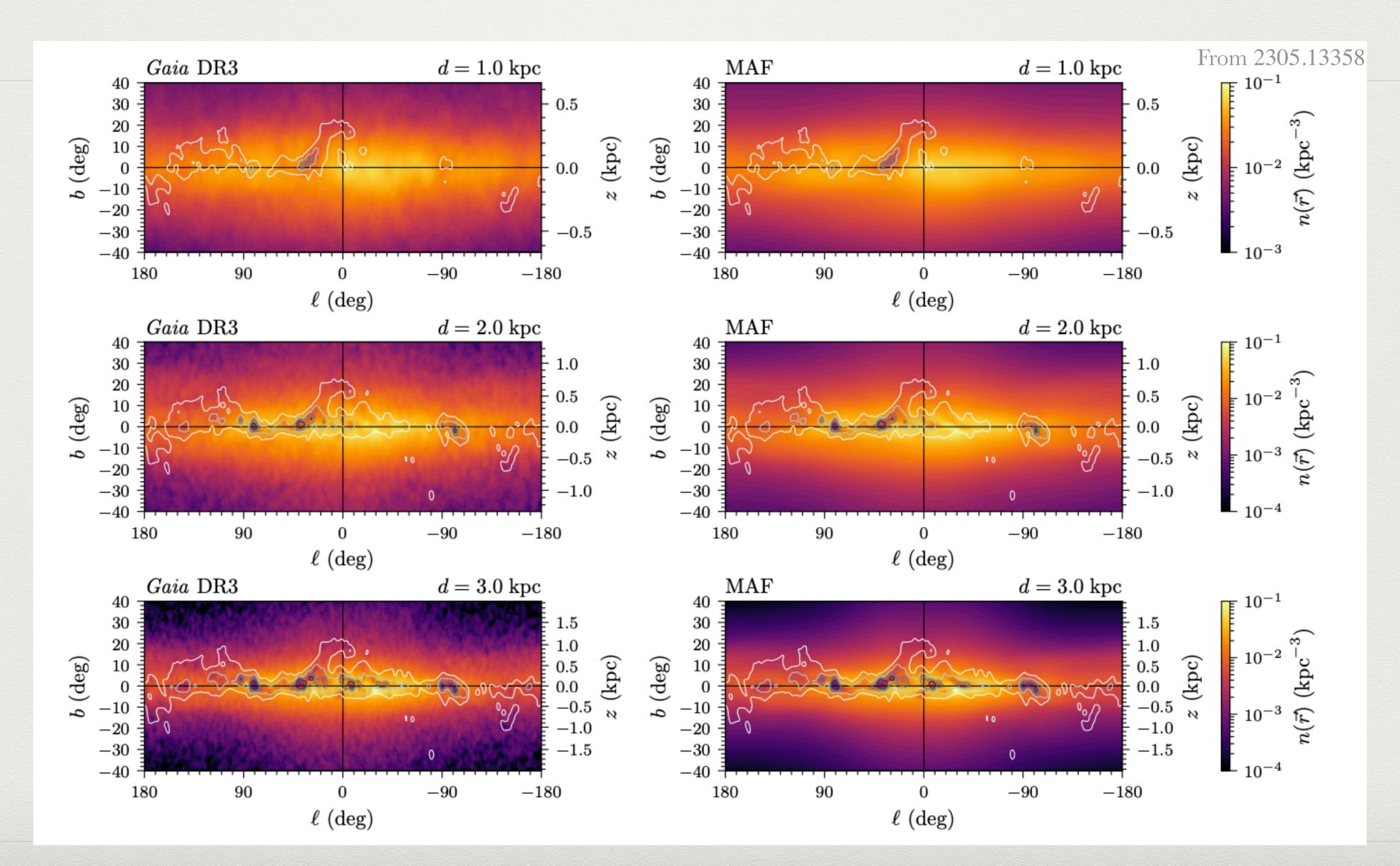




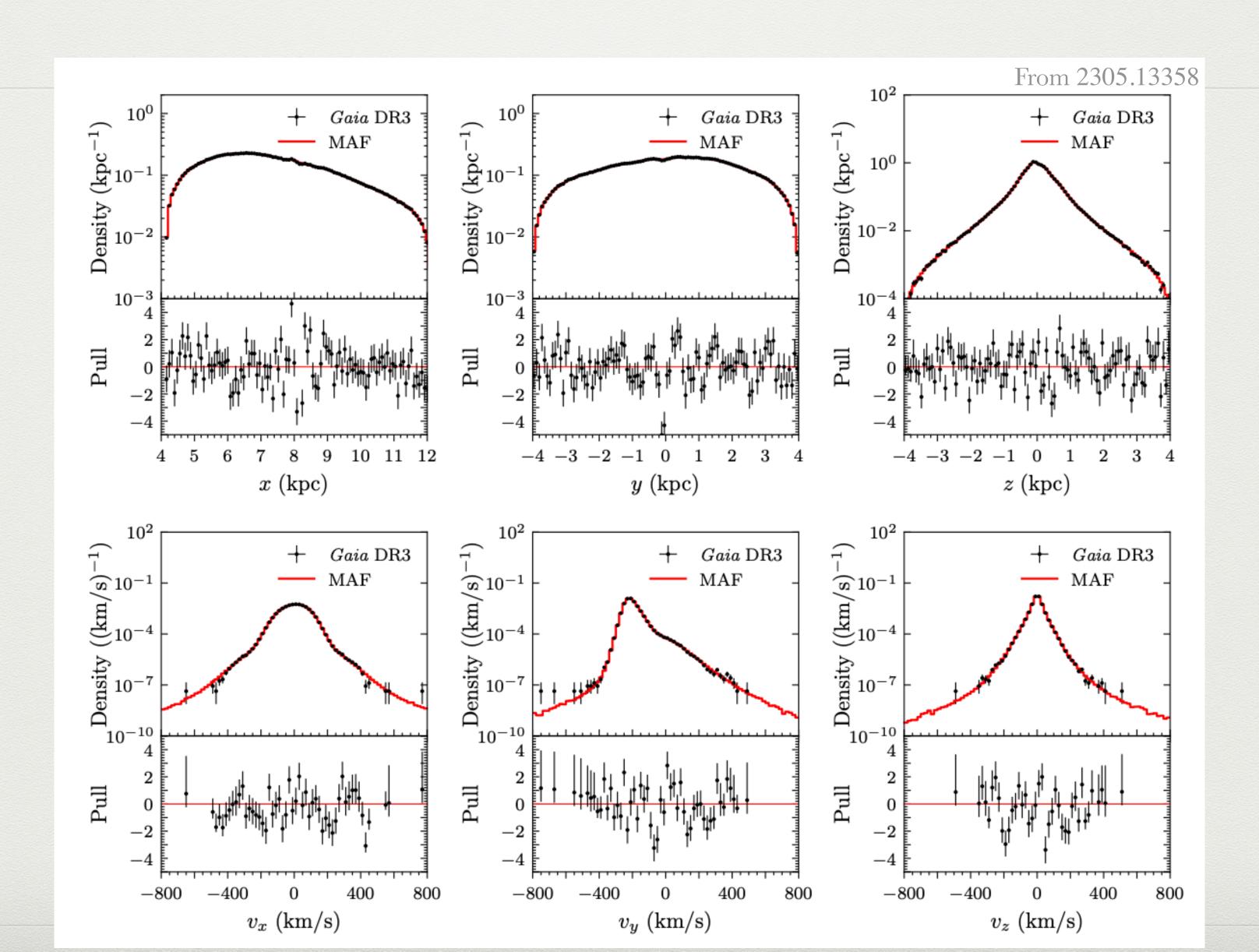
#### • This results in 6M stars

• Includes Red Clump stars (65%) — old, bright red giants believed to be in equilibrium, widely used already in Jeans analysis

#### 'Results: stellar PSD



#### Results: stellar PSD



Step 2: from PSD to Galactic mass density

Back to the CBE 
$$\vec{v} \cdot \frac{\partial f}{\partial \vec{x}} + \vec{a}(x) \cdot \frac{\partial f}{\partial \vec{v}} = 0$$

- Just one scalar equation for three acceleration components. Underdetermined system?
- But acceleration only depends on position! So actually many equations (one for each velocity) at the same position.

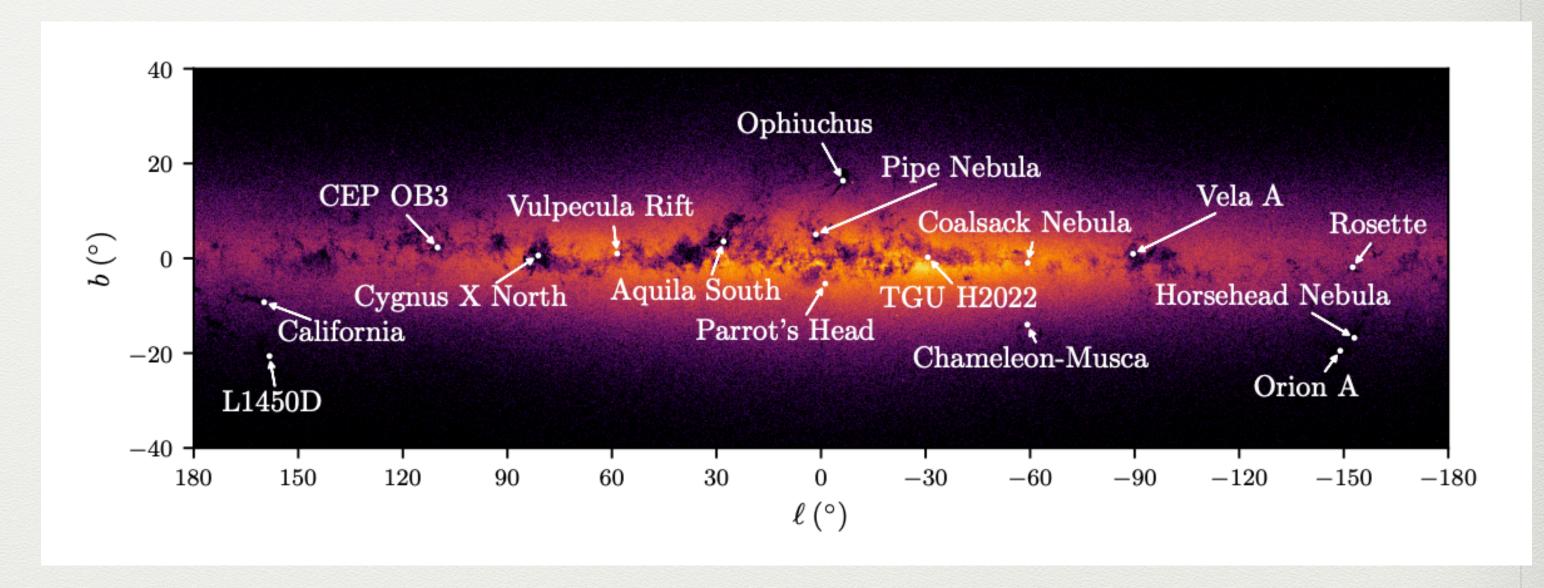
#### Highly overdetermined system!

• Many ways to solve. Our approach: minimize MSE weighted by phase space density

$$\sum_{\vec{v} \sim f(\vec{v}|\vec{x})} \left| \vec{v} \cdot \frac{\partial f}{\partial \vec{x}} + \vec{a}(x) \cdot \frac{\partial f}{\partial \vec{v}} \right|^{2}$$

#### Problem: interstellar dust

- But wait! What are all those blotches in the number density?
- Interstellar dust!
- Blocks many lines of sight
- Stars are reddened and dimmed — can fall out of the Gaia dataset



• Affects the completeness of the dataset, biases the phase space density

### First version:



Mapping Dark Matter in the Milky Way using Normalizing Flows and Gaia DR3

Sung Hak Lim, Eric Putney, Matthew R. Buckley, David Shih (May 22, 2023)

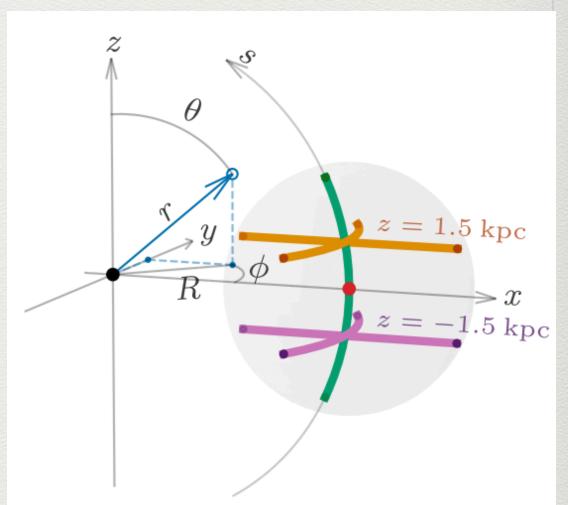
e-Print: 2305.13358 [astro-ph.GA]

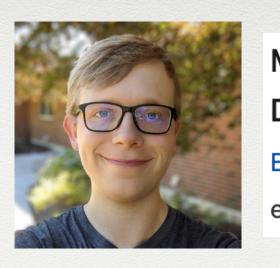
- In previous work, we chose specific lines of sight that are not blocked by dust
- Solved MSE for accelerations pointwise at each position

$$\sum_{\vec{v} \sim f(\vec{v}|\vec{x})} \left| \vec{v} \cdot \frac{\partial f}{\partial \vec{x}} + \vec{a}(x) \cdot \frac{\partial f}{\partial \vec{v}} \right|^2$$

• Take another derivative (using kernel trick) to obtain  $\rho(x)$ 







#### Mapping Dark Matter Through the Dust of the Milky Way Part I: Dust Correction and Phase Space Density

Eric Putney, David Shih, Sung Hak Lim, Matthew R. Buckley (Dec 18, 2024) e-Print: 2412.14236 [astro-ph.GA]

• New idea: can simultaneously determine Galactic potential and "dust efficiency" function

$$f_{obs}(x, v) = \epsilon(x) f_{corr}(x, v)$$

- Key point: dust efficiency doesn't depend on star's velocity, just its position!
- Dust-corrected PSD should satisfy equilibrium CBE

$$\vec{v} \cdot \frac{\partial f_{corr}}{\partial \vec{x}} + \vec{a}(x) \cdot \frac{\partial f_{corr}}{\partial \vec{v}} = 0$$



Mapping Dark Matter Through the Dust of the Milky Way Part I: Dust Correction and Phase Space Density

Eric Putney, David Shih, Sung Hak Lim, Matthew R. Buckley (Dec 18, 2024)

e-Print: 2412.14236 [astro-ph.GA]

• New idea: can simultaneously determine Galactic potential and "dust efficiency" function

$$f_{obs}(x, v) = \epsilon(x) f_{corr}(x, v)$$

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$$\vec{v} \cdot \frac{\partial f_{corr}}{\partial \vec{x}} + \vec{a}(x) \cdot \frac{\partial f_{corr}}{\partial \vec{v}} = 0 \implies$$



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$$\vec{v} \cdot \frac{\partial f_{corr}}{\partial \vec{x}} + \vec{a}(x) \cdot \frac{\partial f_{corr}}{\partial \vec{v}} = 0 \quad \Rightarrow \quad \vec{v} \cdot \frac{\partial \log f_{obs}}{\partial \vec{x}} - \vec{v} \cdot \nabla \log \epsilon - \nabla \Phi \cdot \frac{\partial \log f_{obs}}{\partial \vec{v}} = 0$$

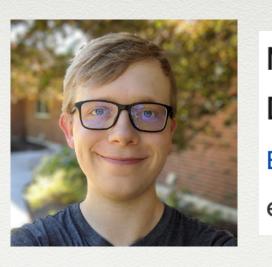


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- Different velocity dependence still overdetermined system!
- Can simultaneously determine  $\Phi(x)$  and  $\epsilon(x)$



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- Different velocity dependence still overdetermined system!
- Can simultaneously determine  $\Phi(x)$  and  $\epsilon(x)$

$$L(\theta, \varphi) = \sum_{(x,y) \sim f(x,y)} \left| \vec{v} \cdot \frac{\partial \log f_{obs}}{\partial \vec{x}} - \vec{v} \cdot \nabla \log \epsilon_{\theta}(x) - \nabla \Phi_{\varphi}(x) \cdot \frac{\partial \log f_{obs}}{\partial \vec{v}} \right|^{2}$$

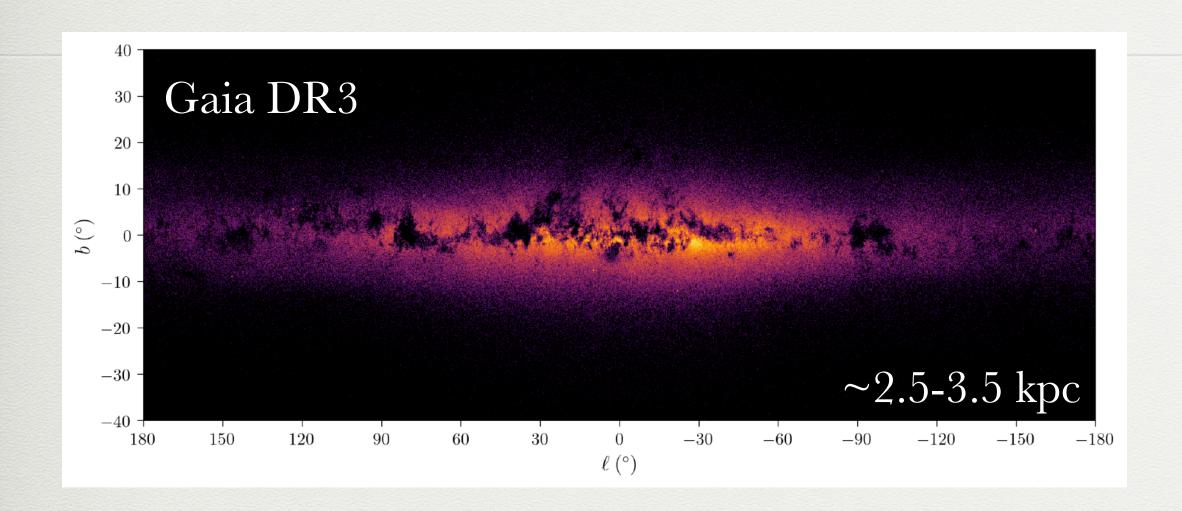


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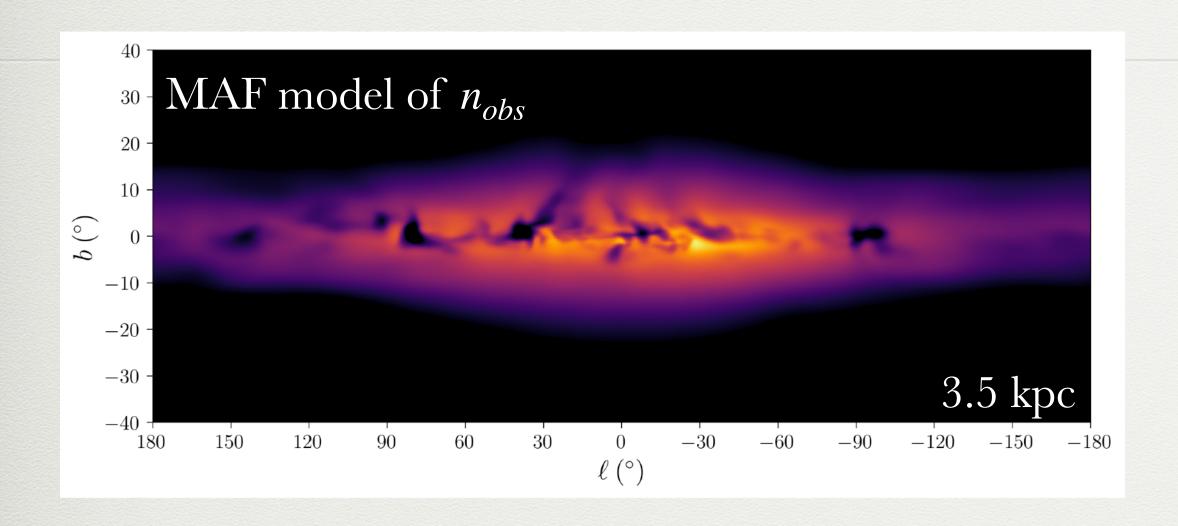
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$$L(\theta, \varphi) = \sum_{(x,v) \sim f(x,v)} \left| \vec{v} \cdot \frac{\partial \log f_{obs}}{\partial \vec{x}} - \vec{v} \cdot \nabla \log \epsilon_{\theta}(x) - \nabla \Phi_{\varphi}(x) \cdot \frac{\partial \log f_{obs}}{\partial \vec{v}} \right|^{2}$$

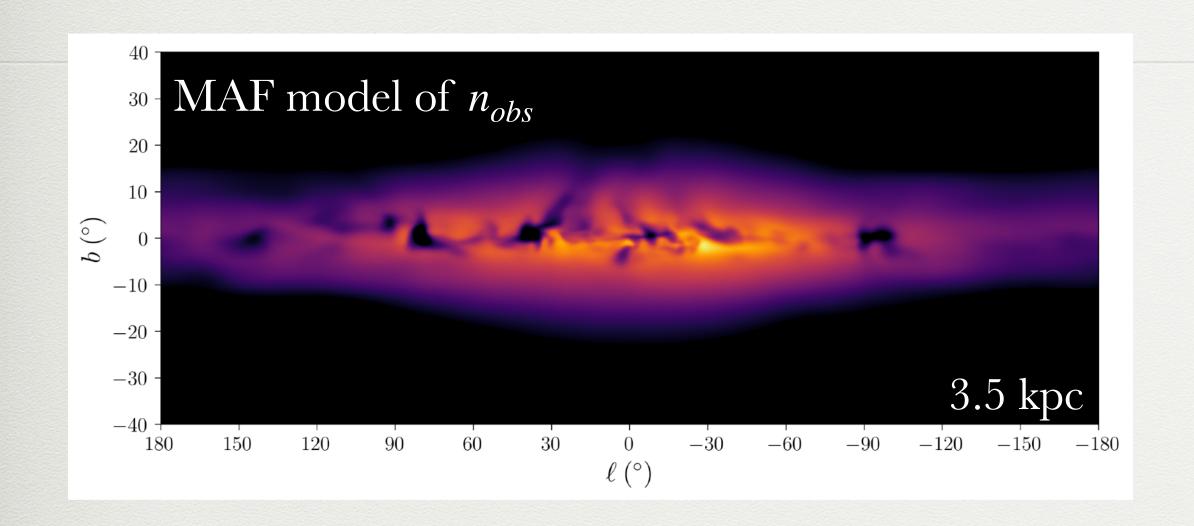
- Parametrize both  $\epsilon(x)$  and  $\Phi(x)$  with NNs (simple MLPs)
- Advantages
  - Much more computationally efficient compared to previous pointwise approach
  - More physical guarantees curl-free accelerations

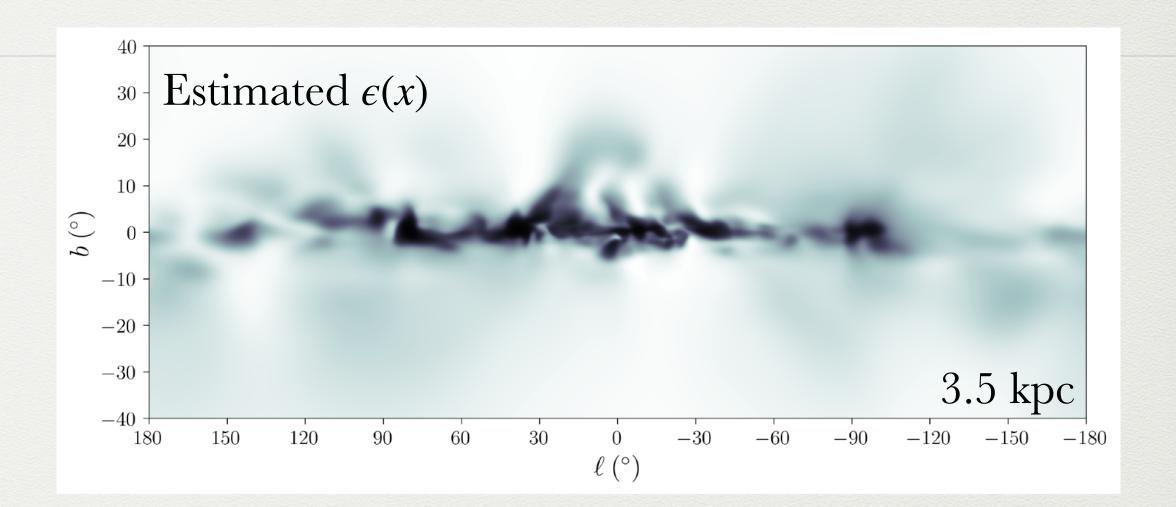


Observed data is biased by dust

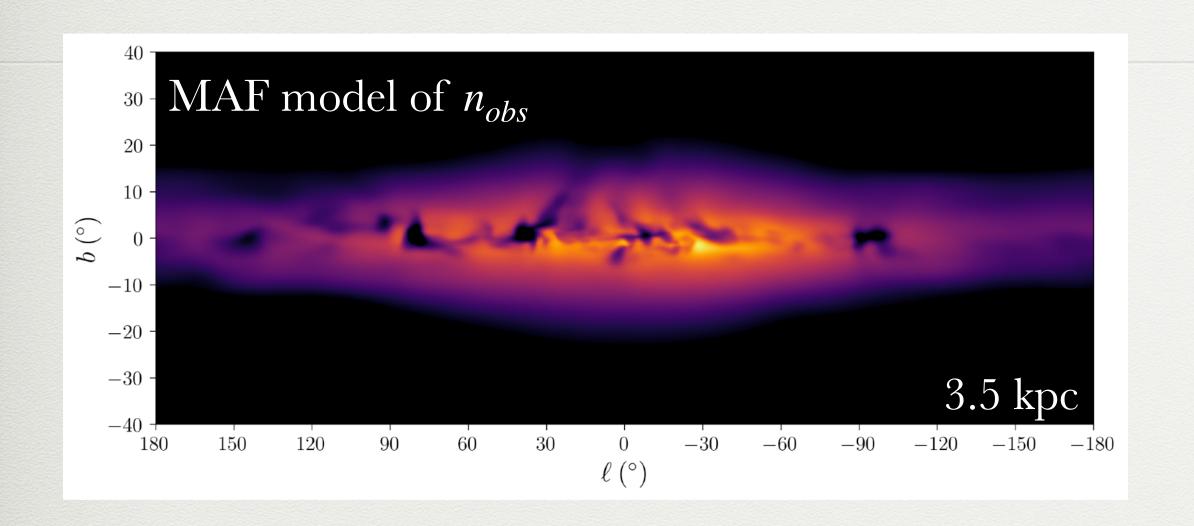


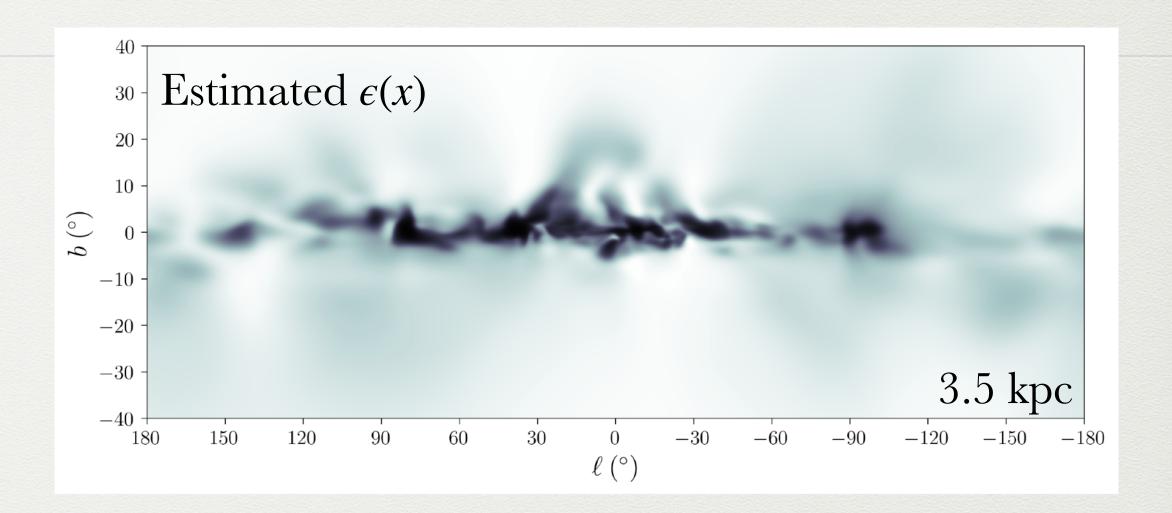
Learned PSD is biased by dust



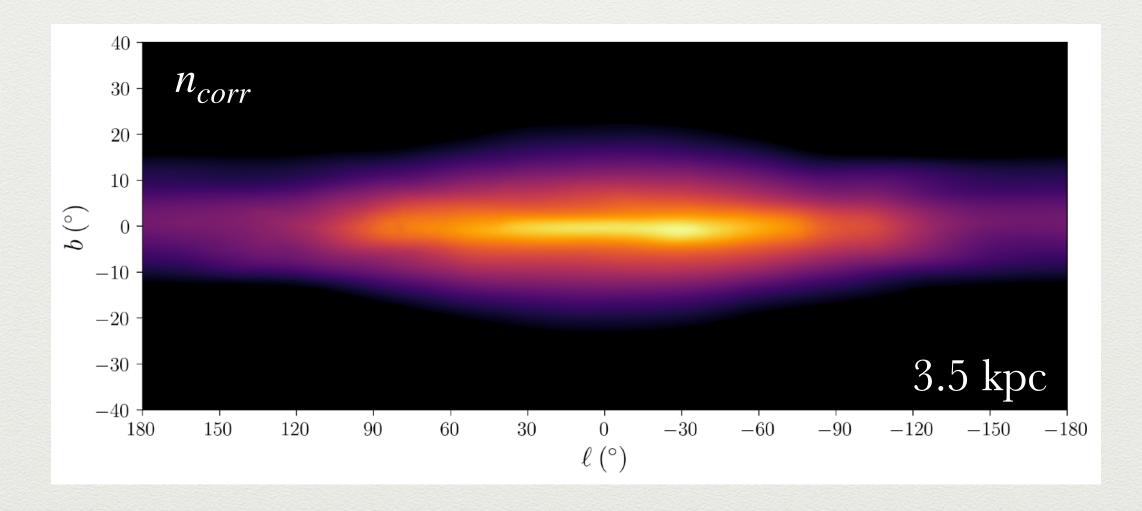


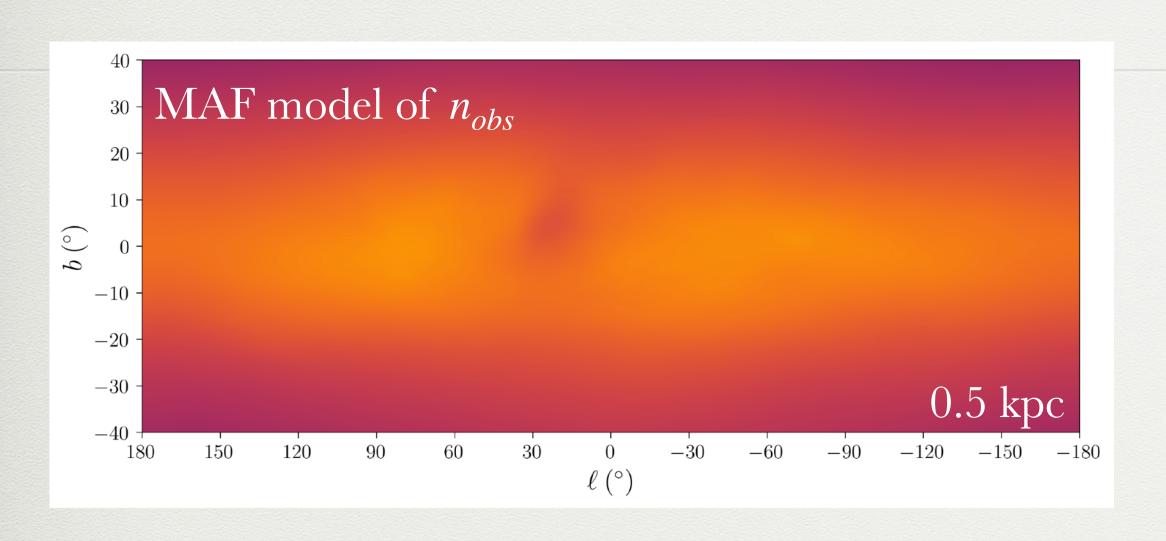
Estimate  $\epsilon(x)$  from CBE

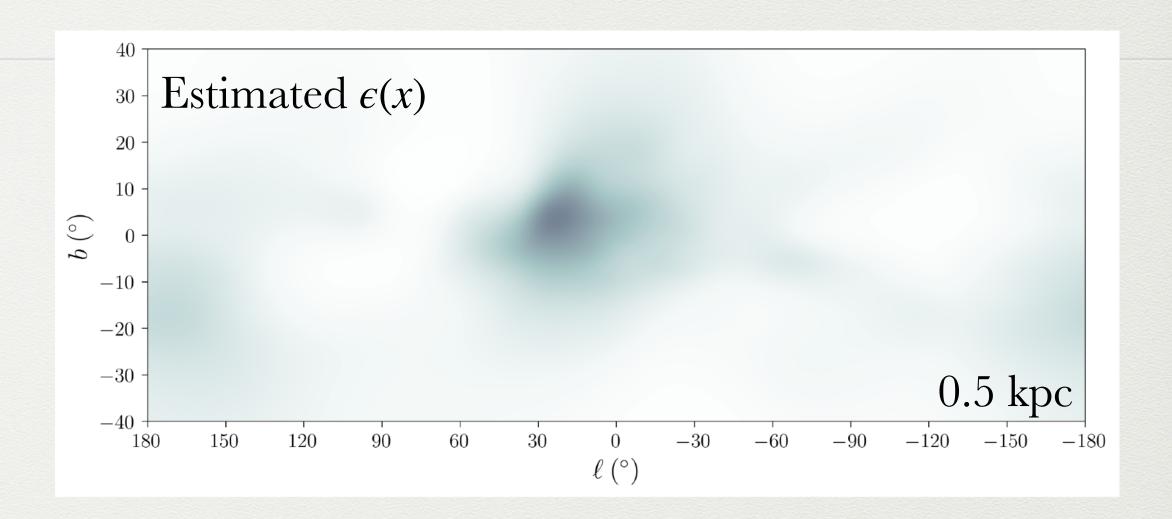


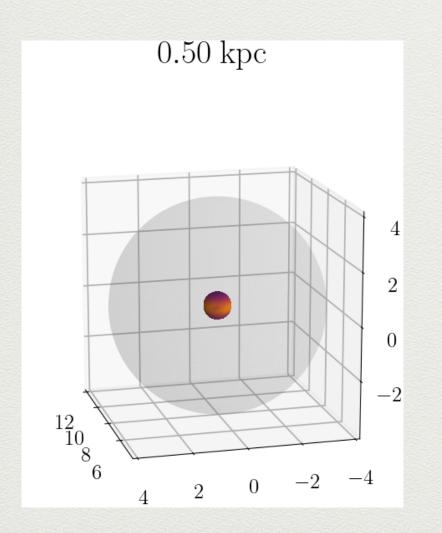


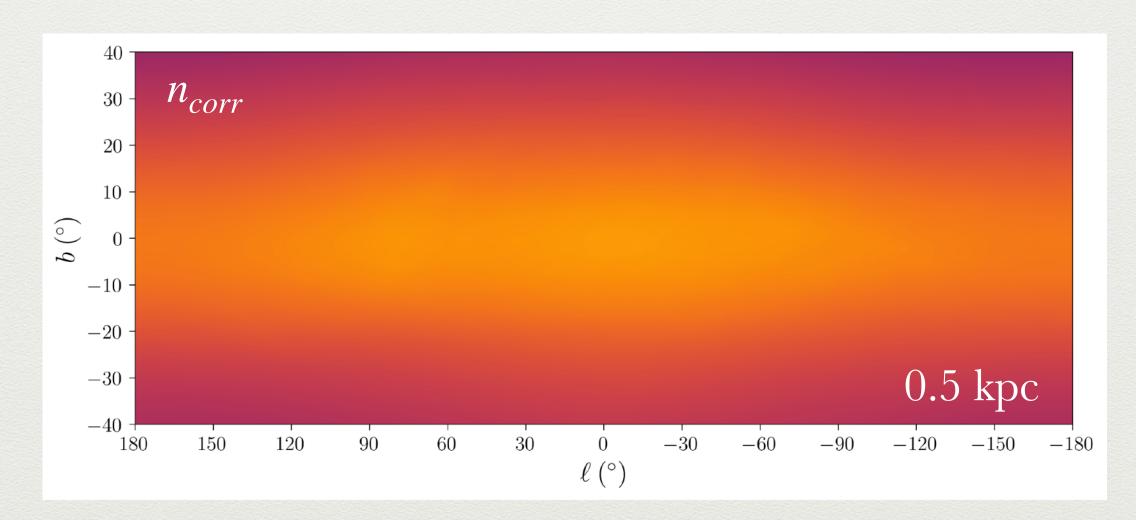
Corrected density  $n_{corr}(x) = n_{obs}(x)/\epsilon(x)$ 

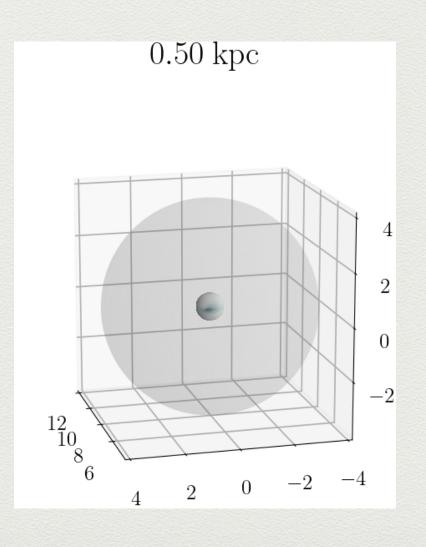


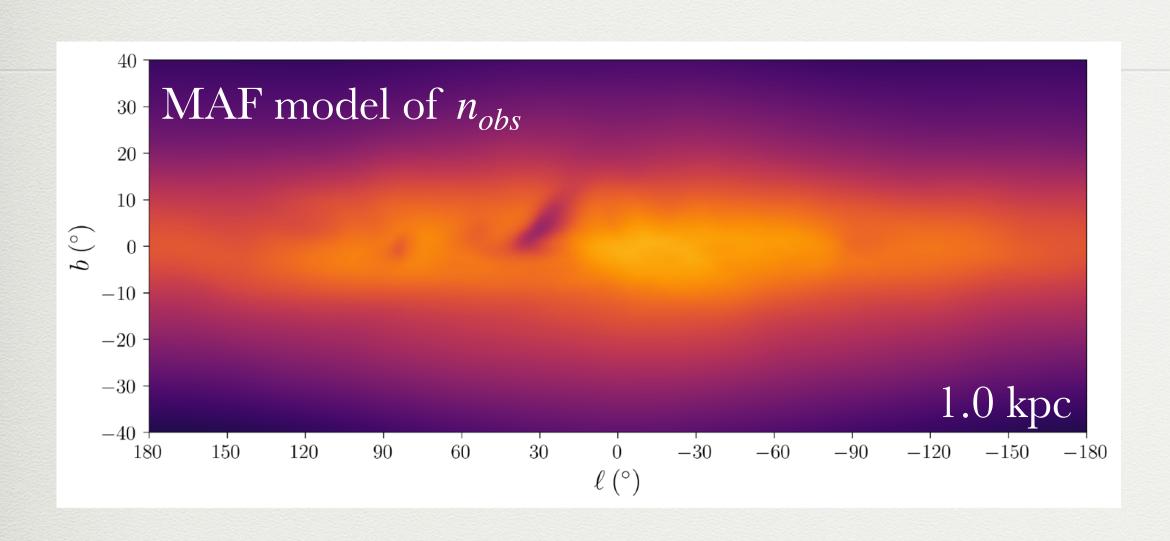


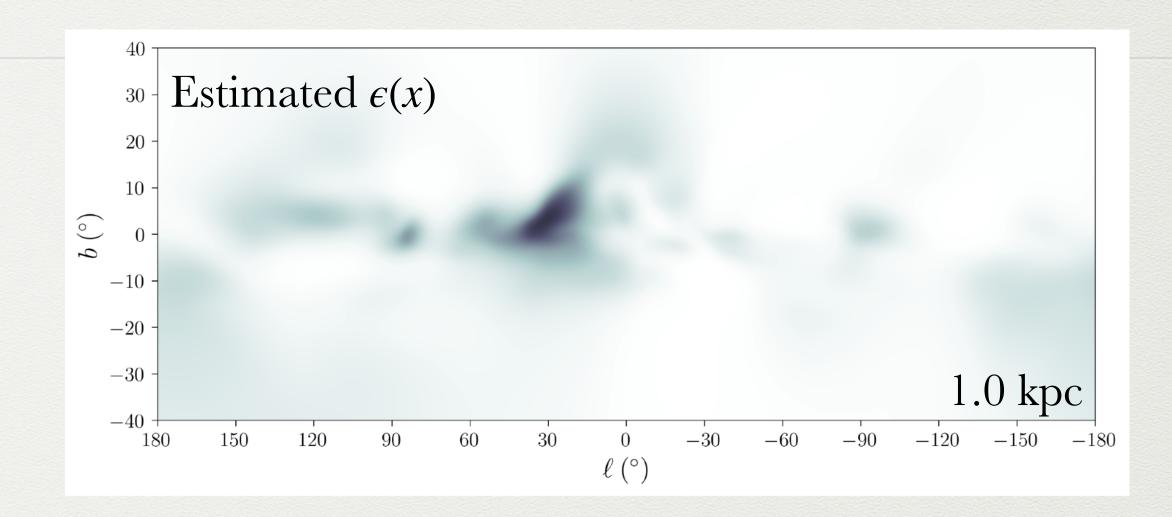


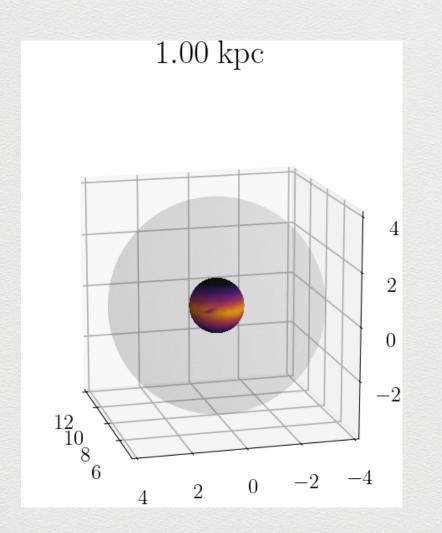


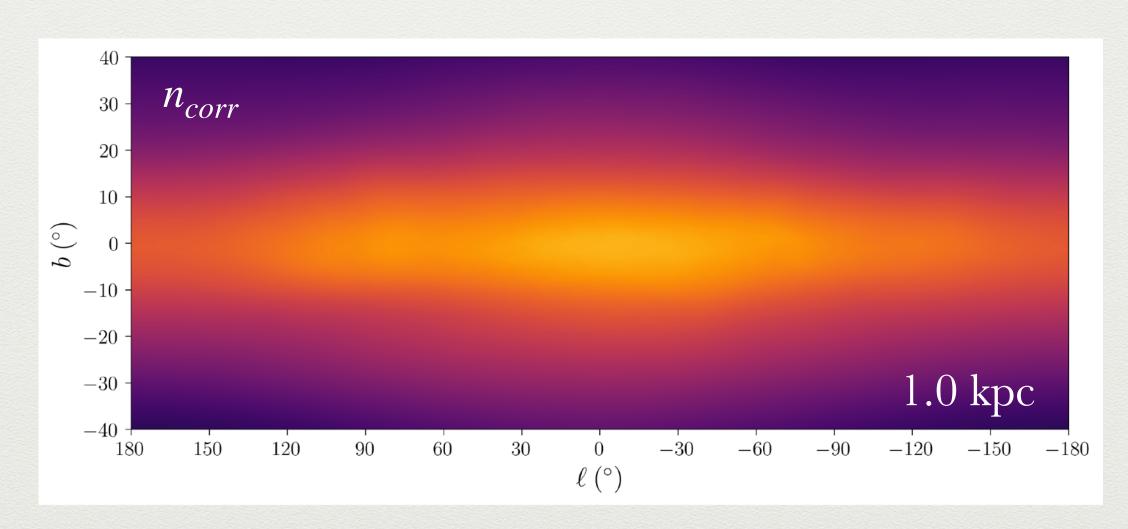


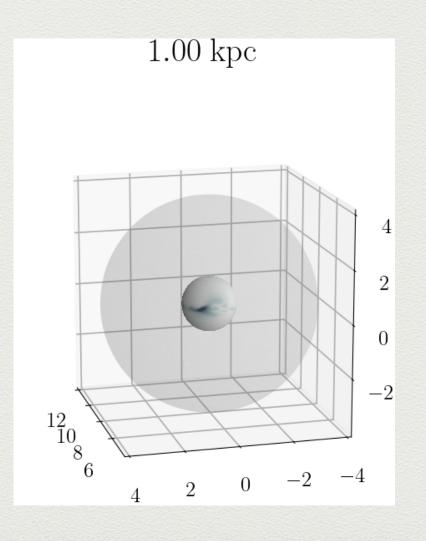


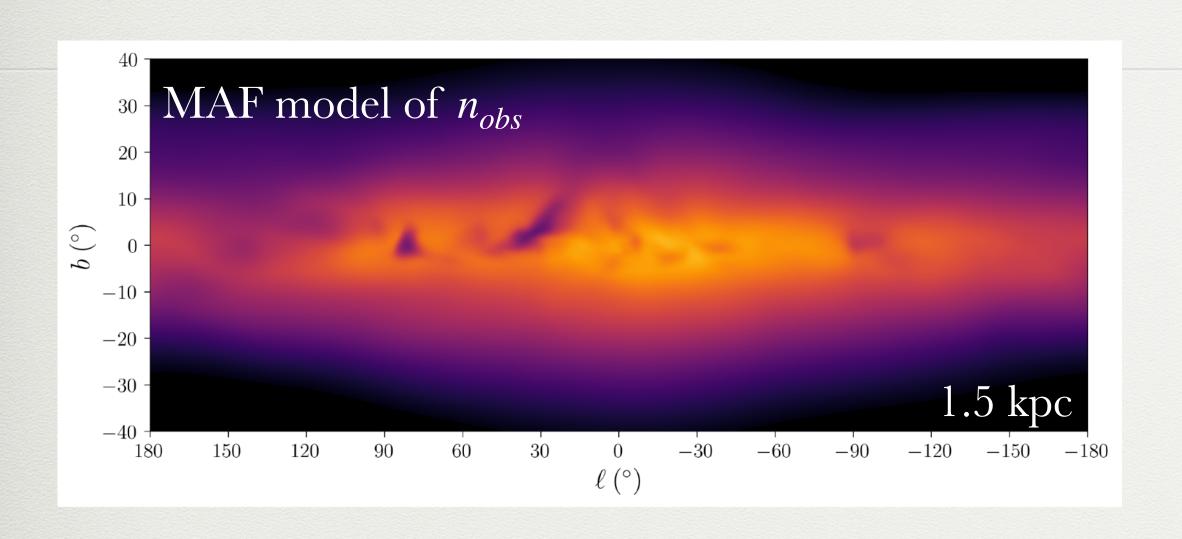


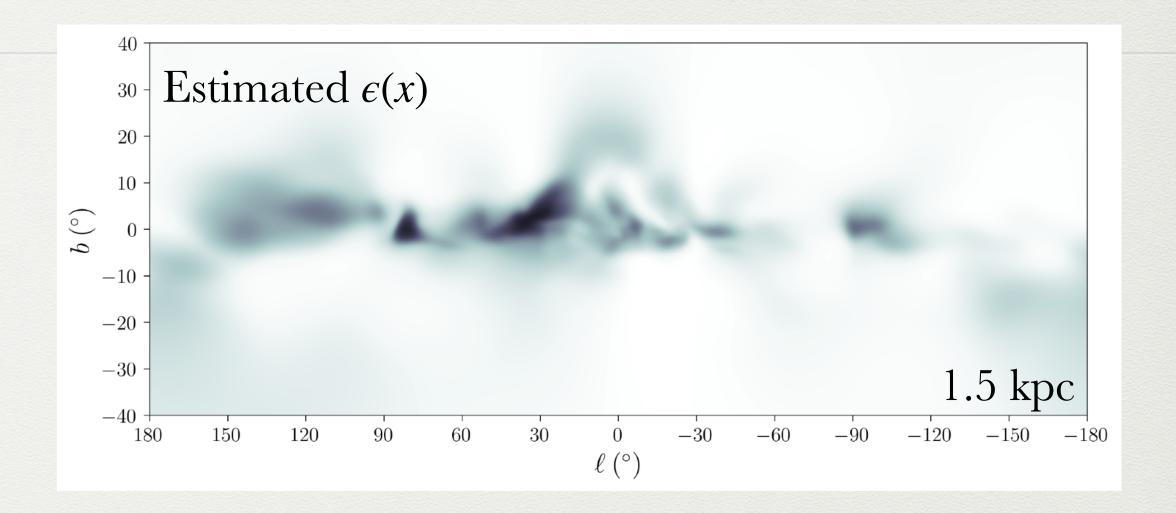


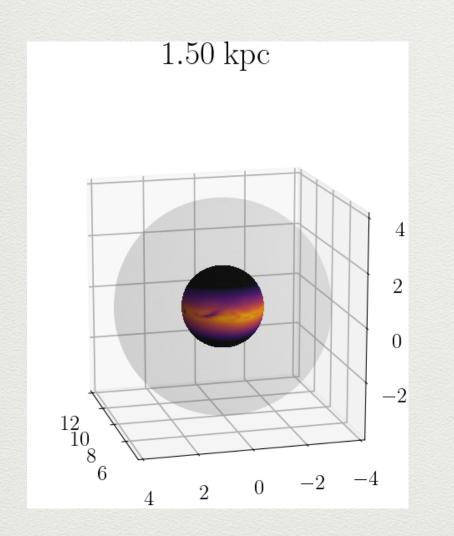


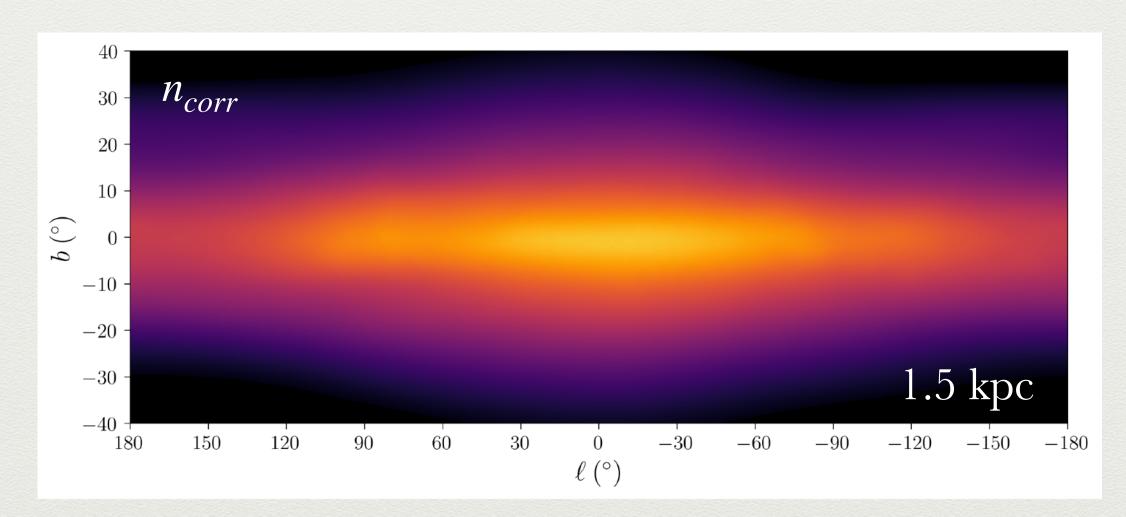


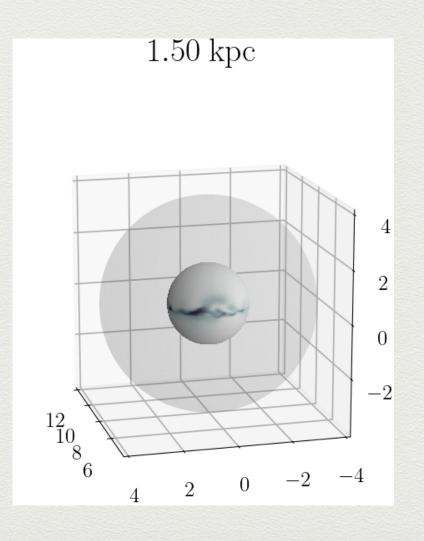


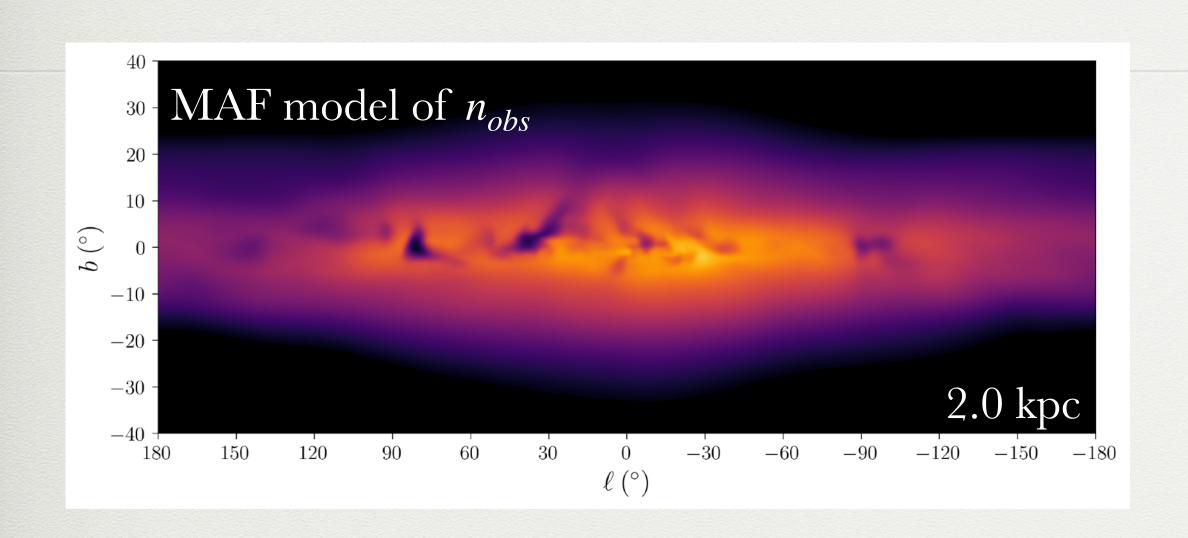


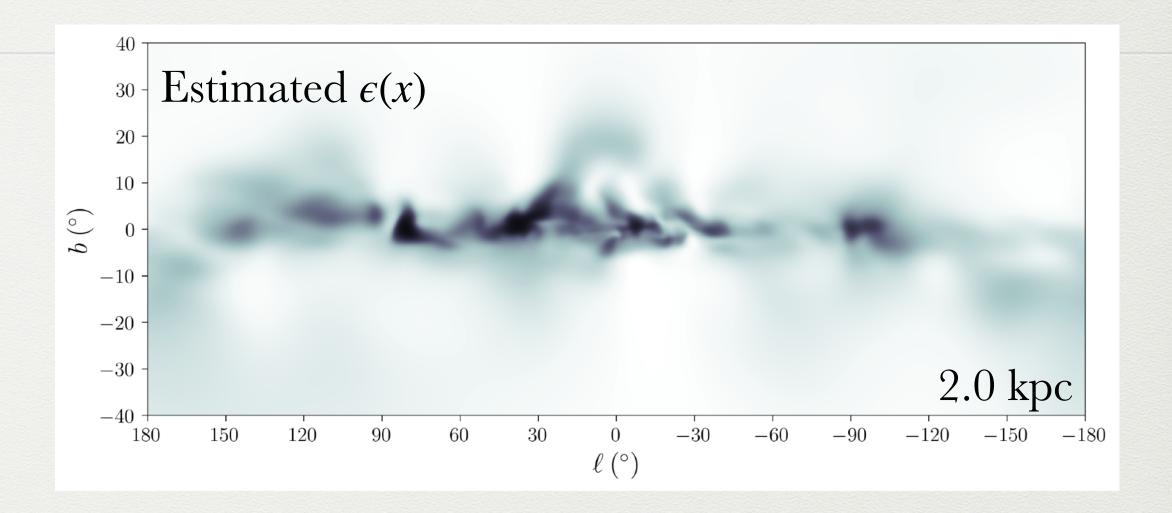


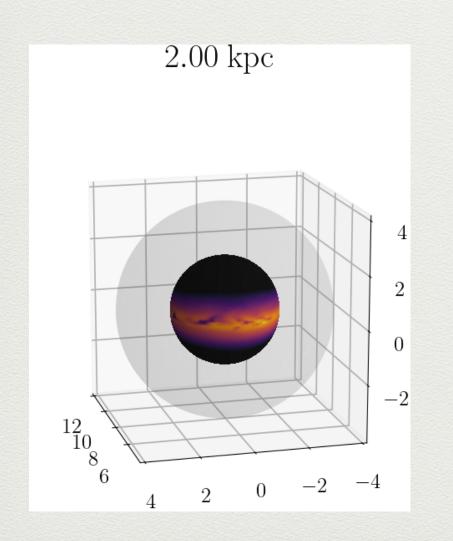


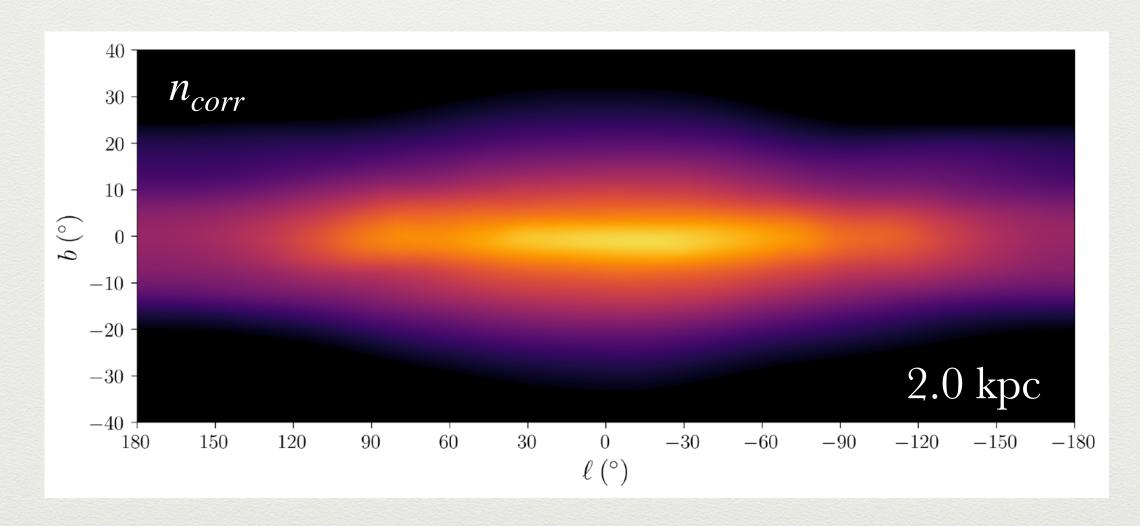


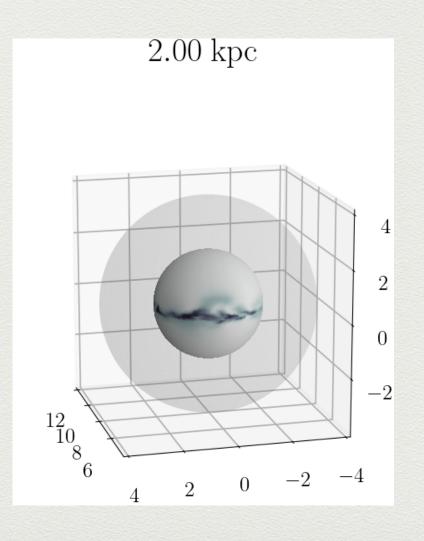


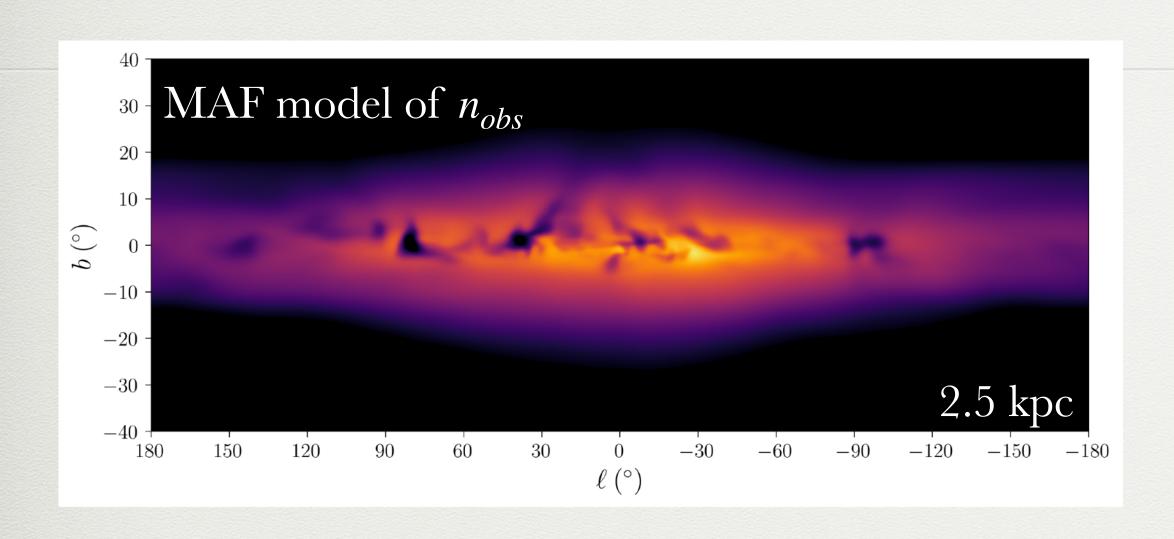


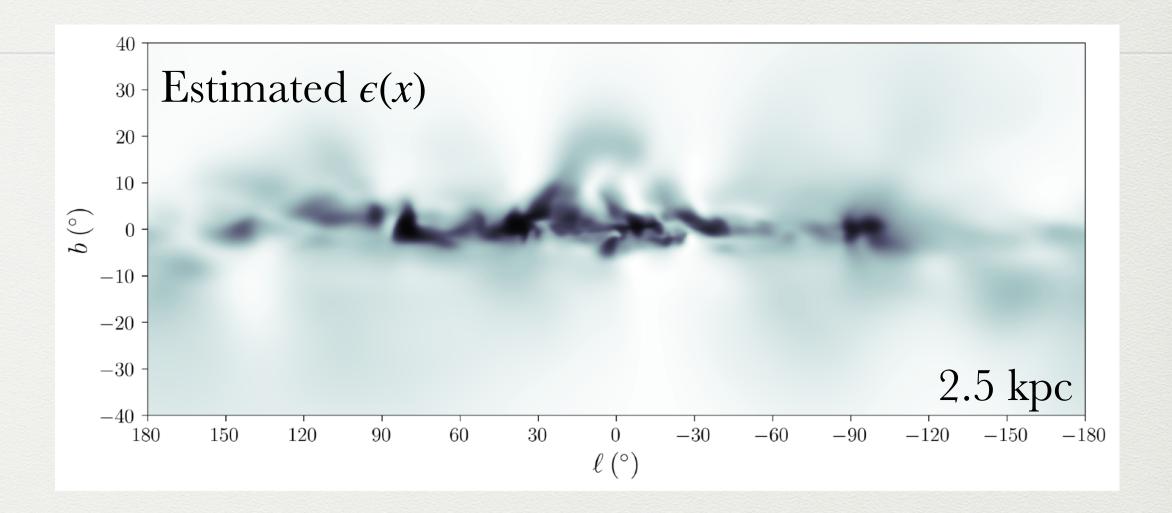


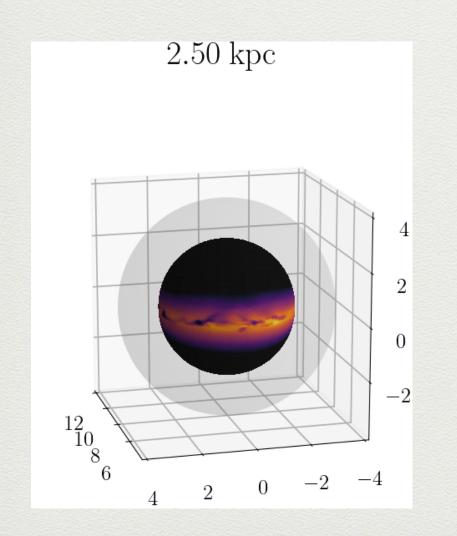


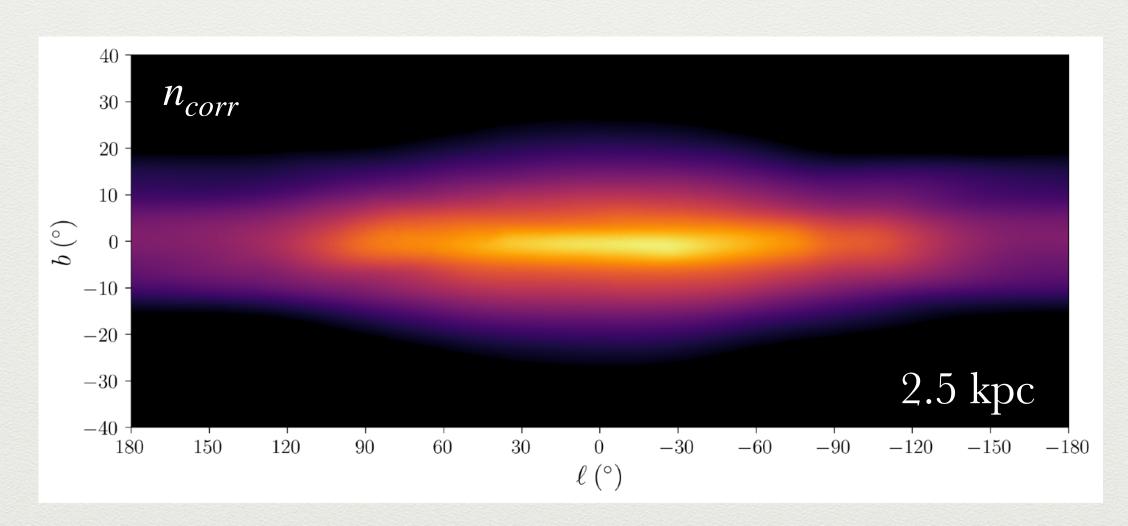


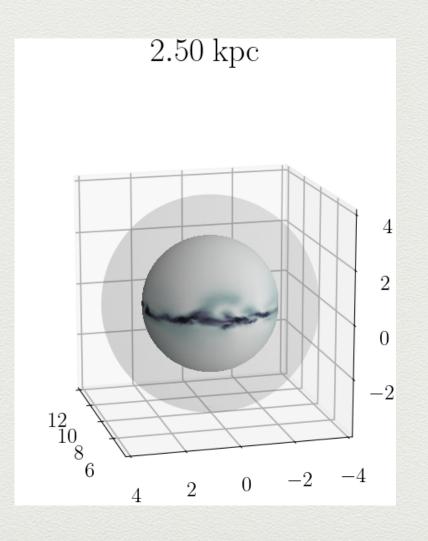


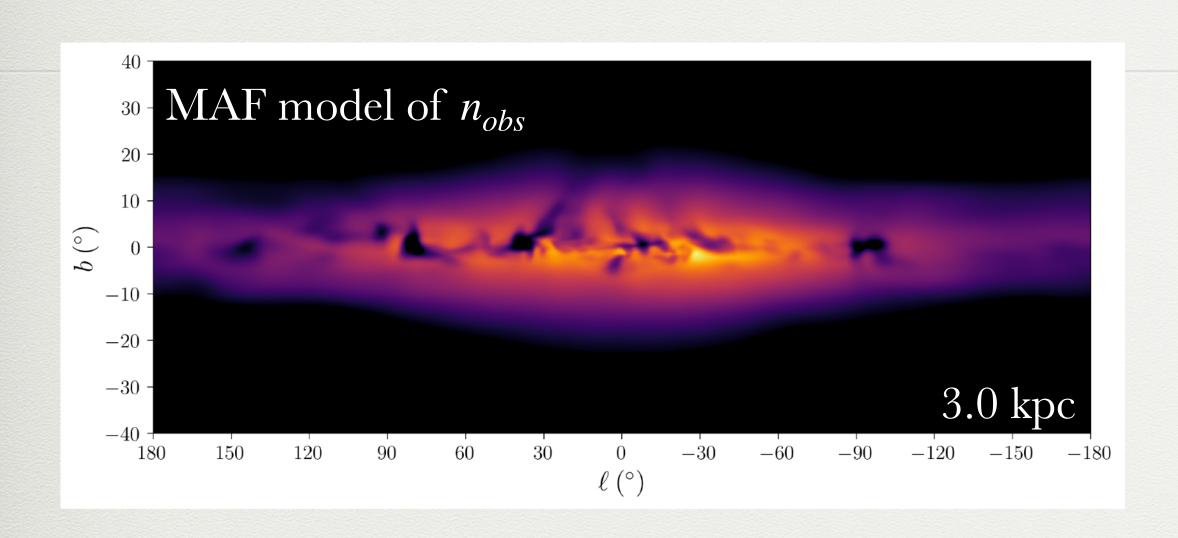


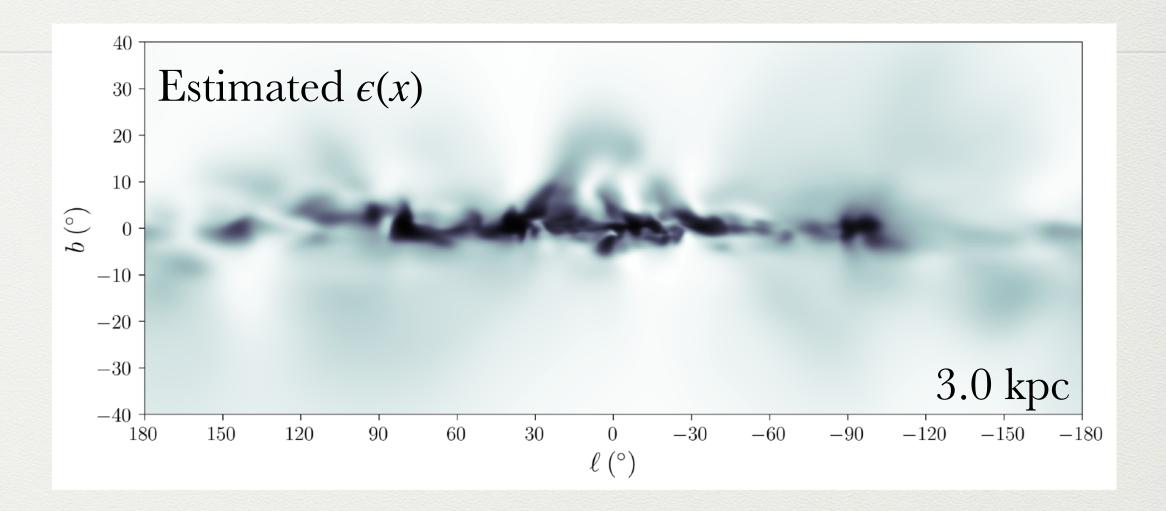


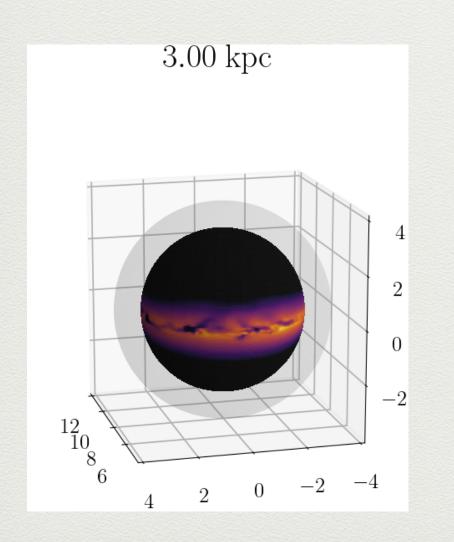


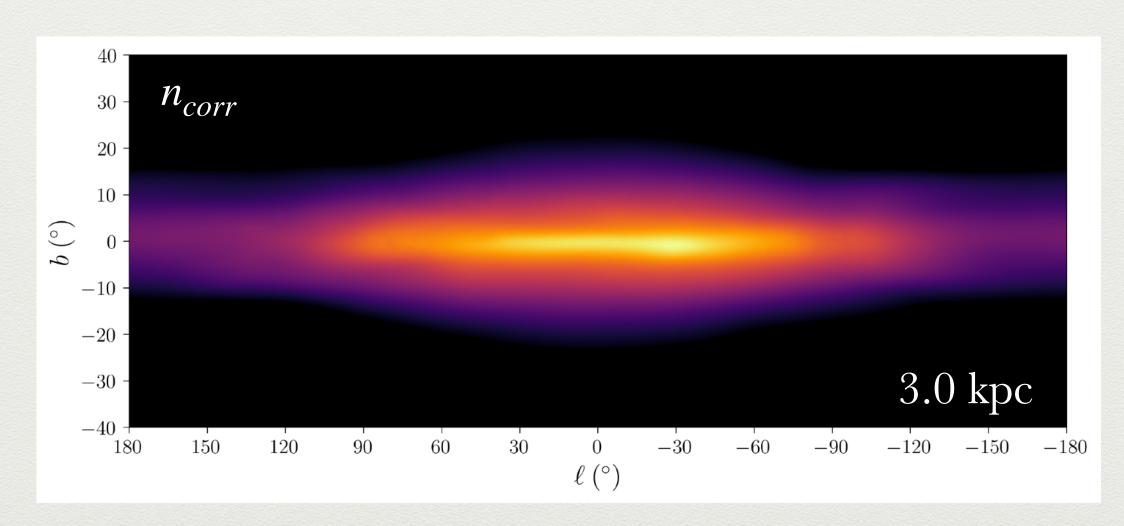


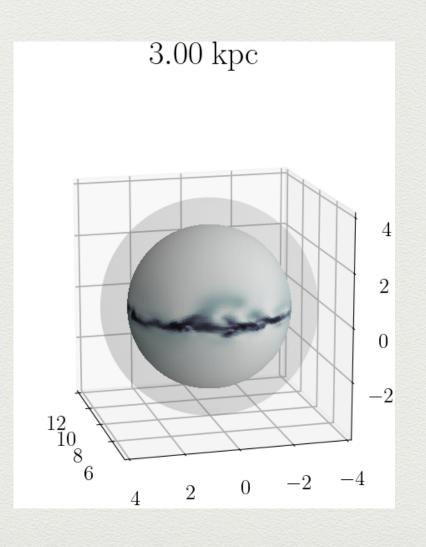


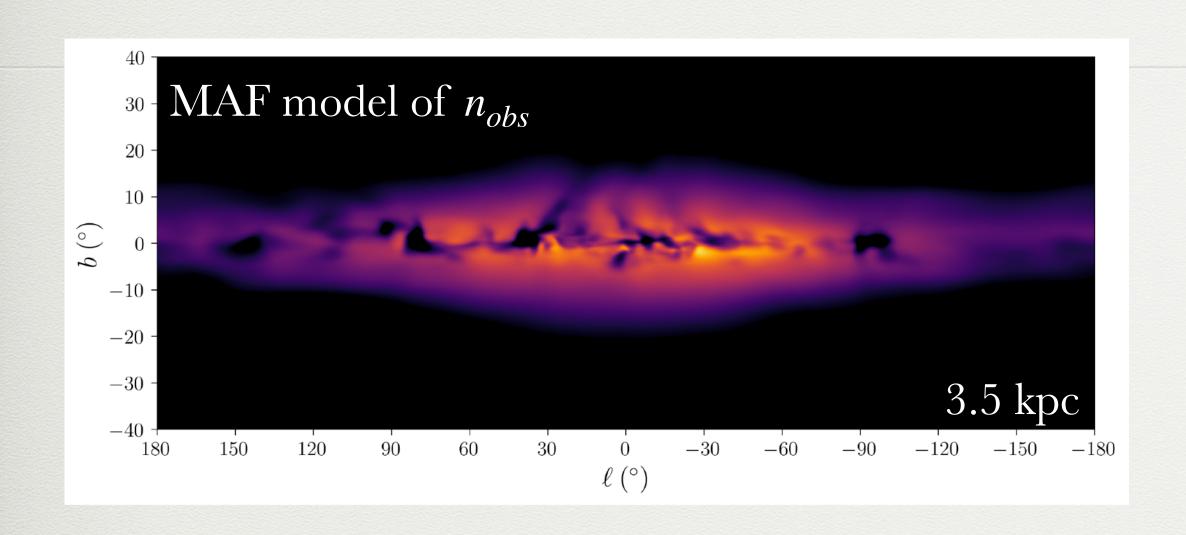


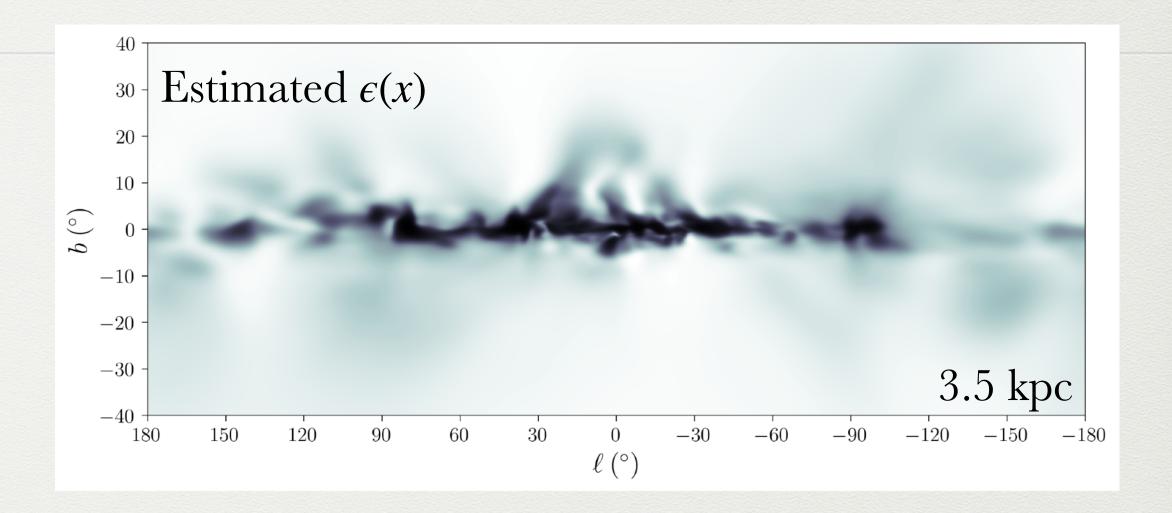


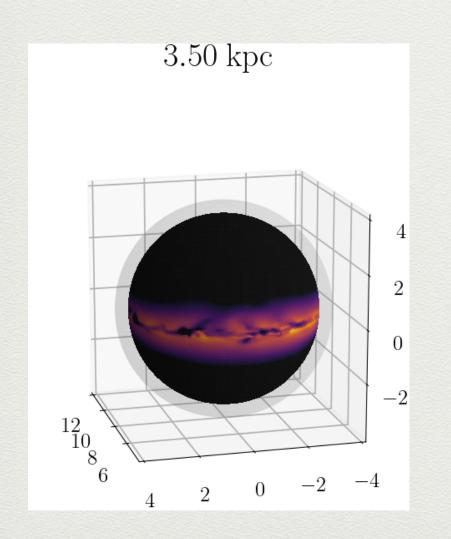


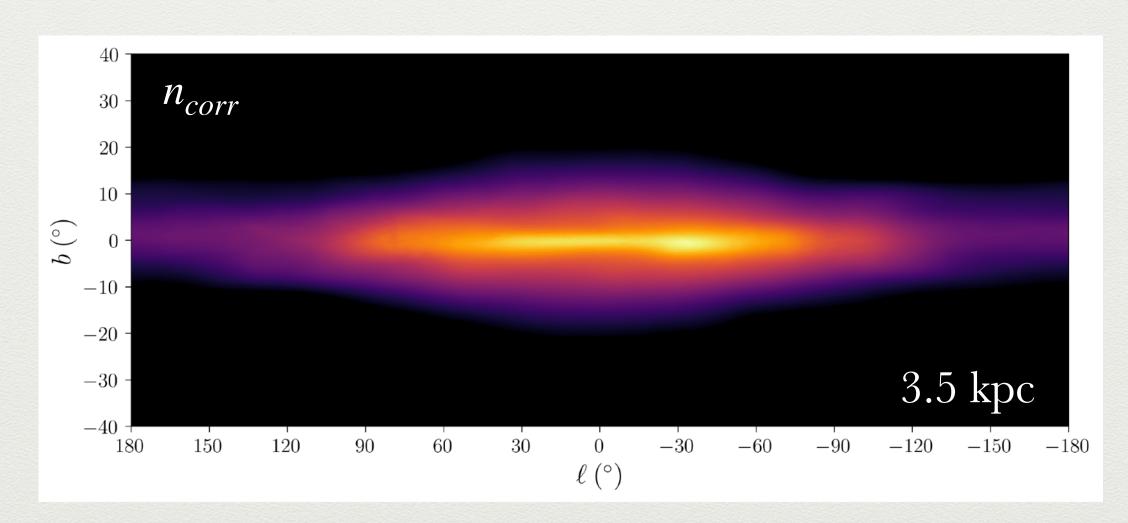


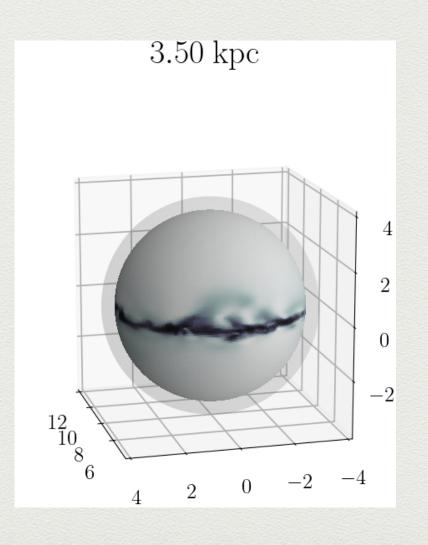




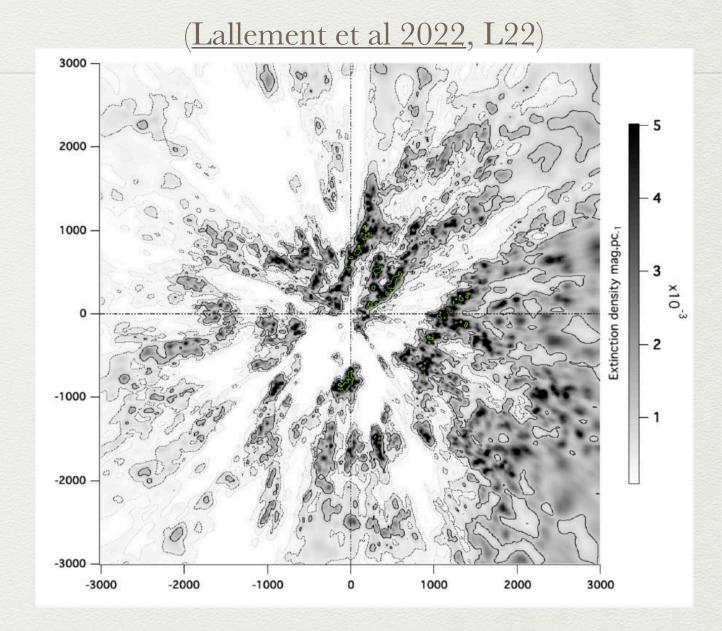






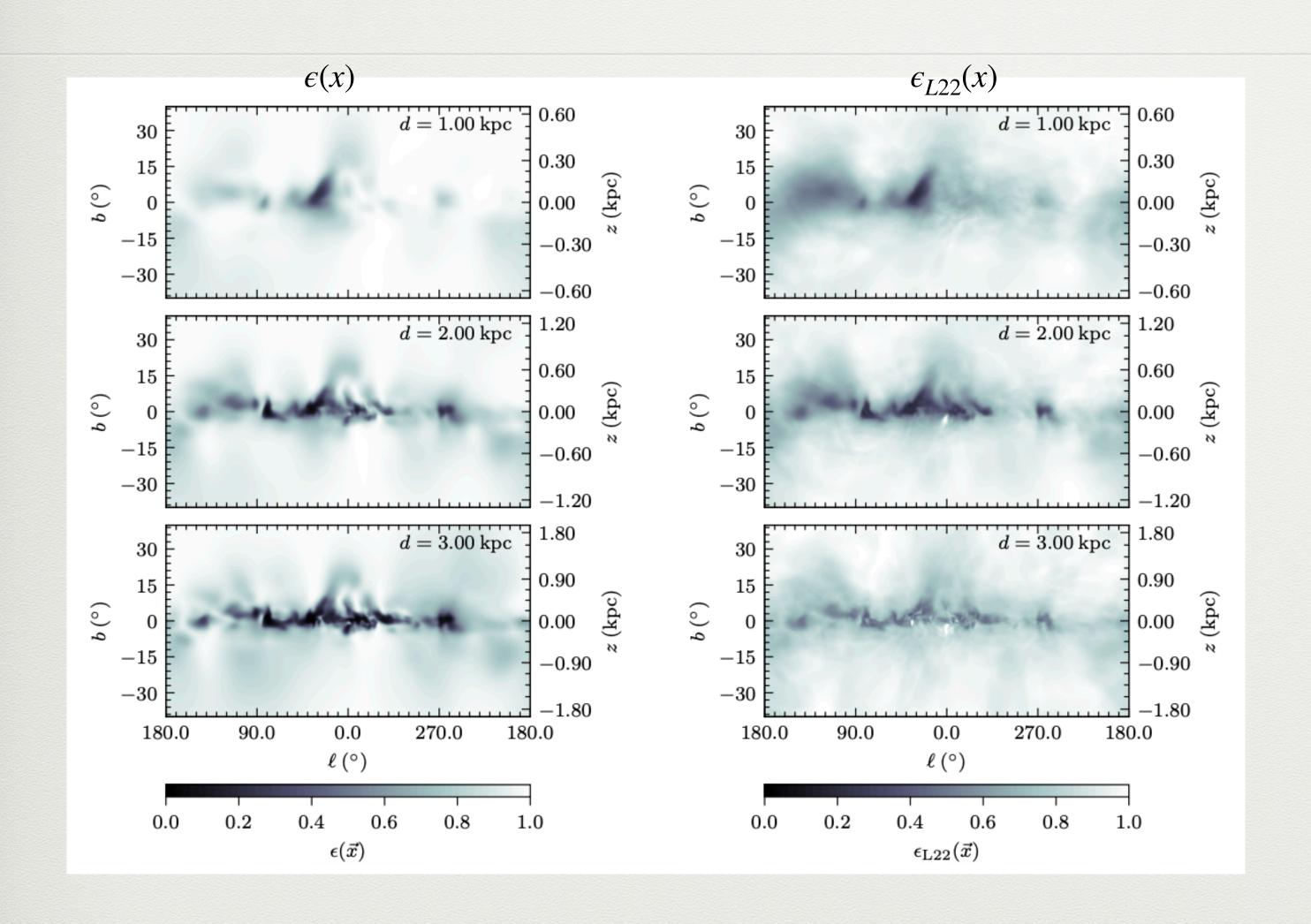


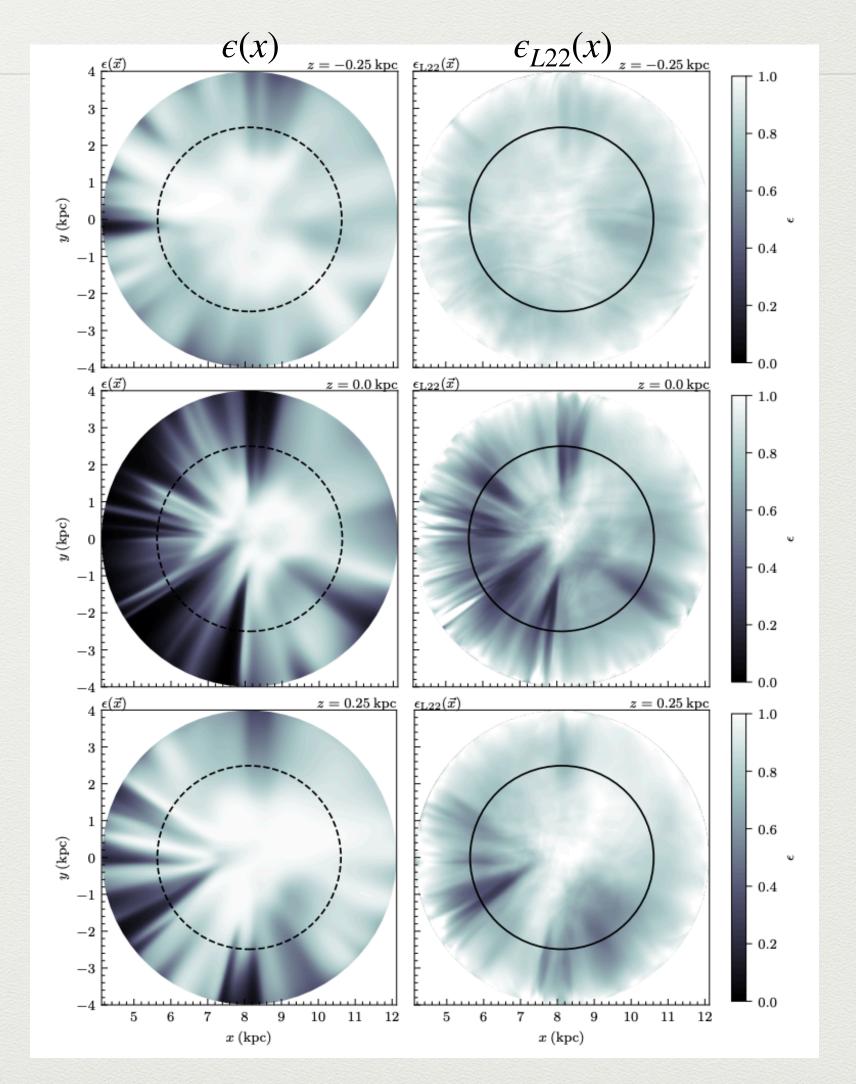
- How do we know that  $\epsilon(x)$  is really correct?
- Astronomers have constructed extremely detailed and precise 3d dust maps using
   Gaia and other data

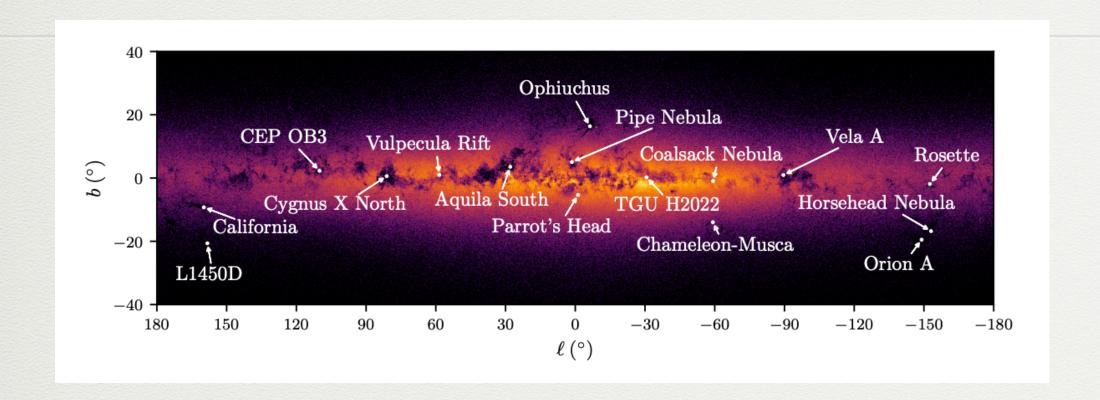


- However, these quantify how much an *observed* star is dimmed and reddened by dust.
- They cannot by themselves tell us about stars that are lost due to dust

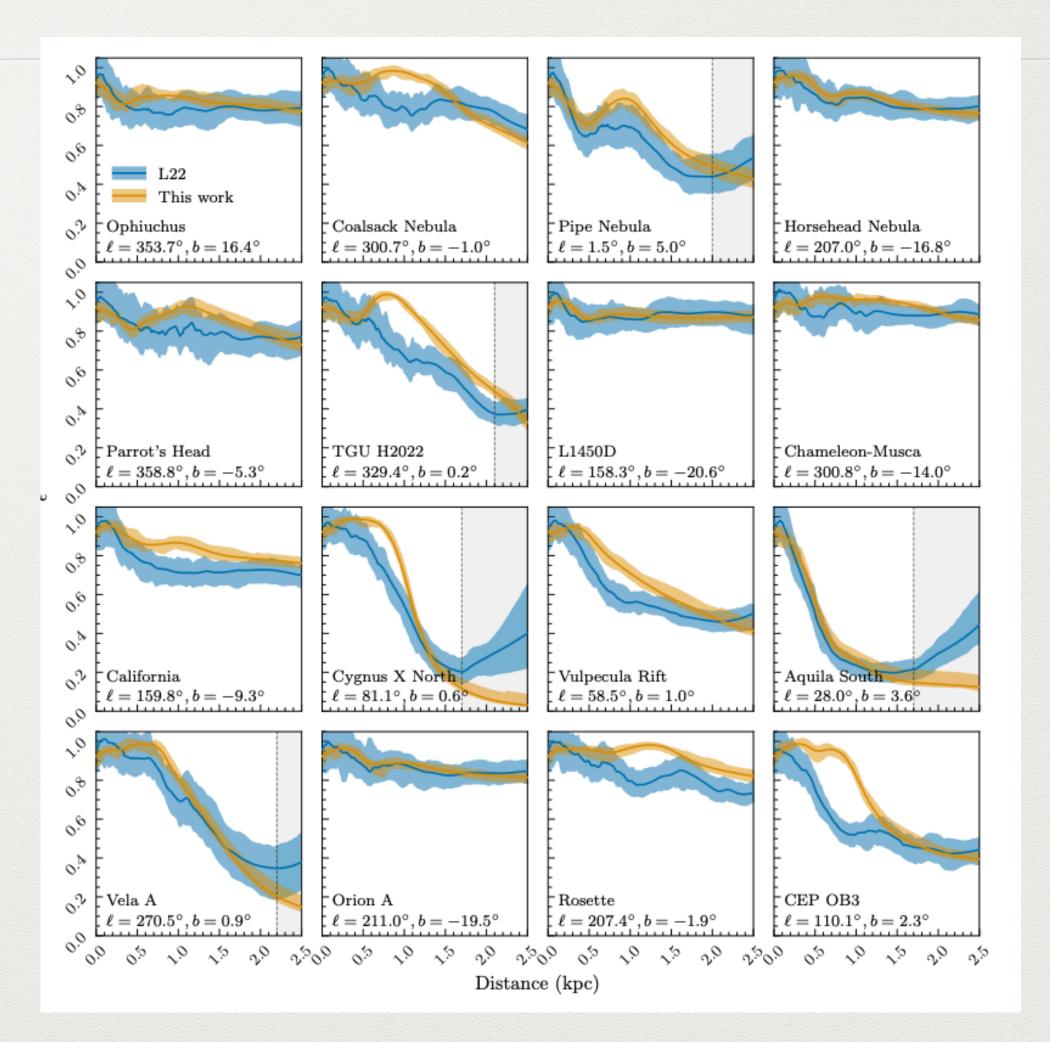
- From L22 dust map we can construct an alternative efficiency map as follows:
  - Within ~2.5 kpc, Gaia is expected to be complete even accounting for dust extinction
  - Using L22 dust-corrected magnitudes, re-apply 4 kpc magnitude cut
  - Train flow on surviving stars to learn  $n_{L22}(x)$
  - Compute  $\epsilon_{L22}(x) = n_{L22}(x)/n_{obs}(x)$





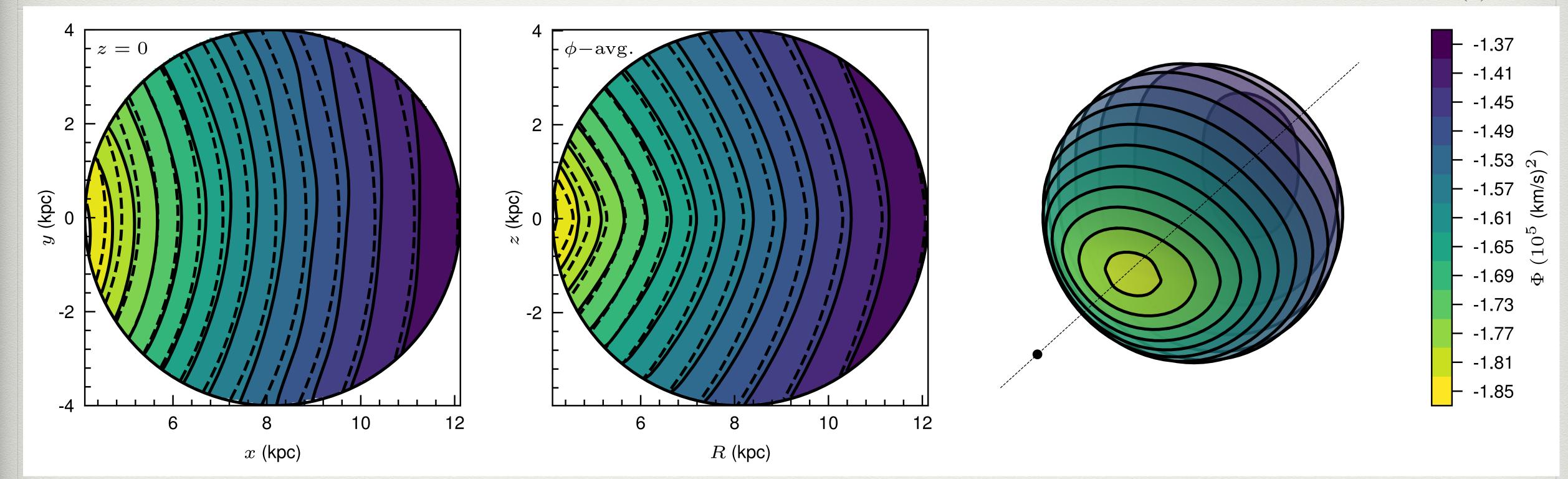


(Mostly) reproduce known dust clouds!



#### Results: Potential

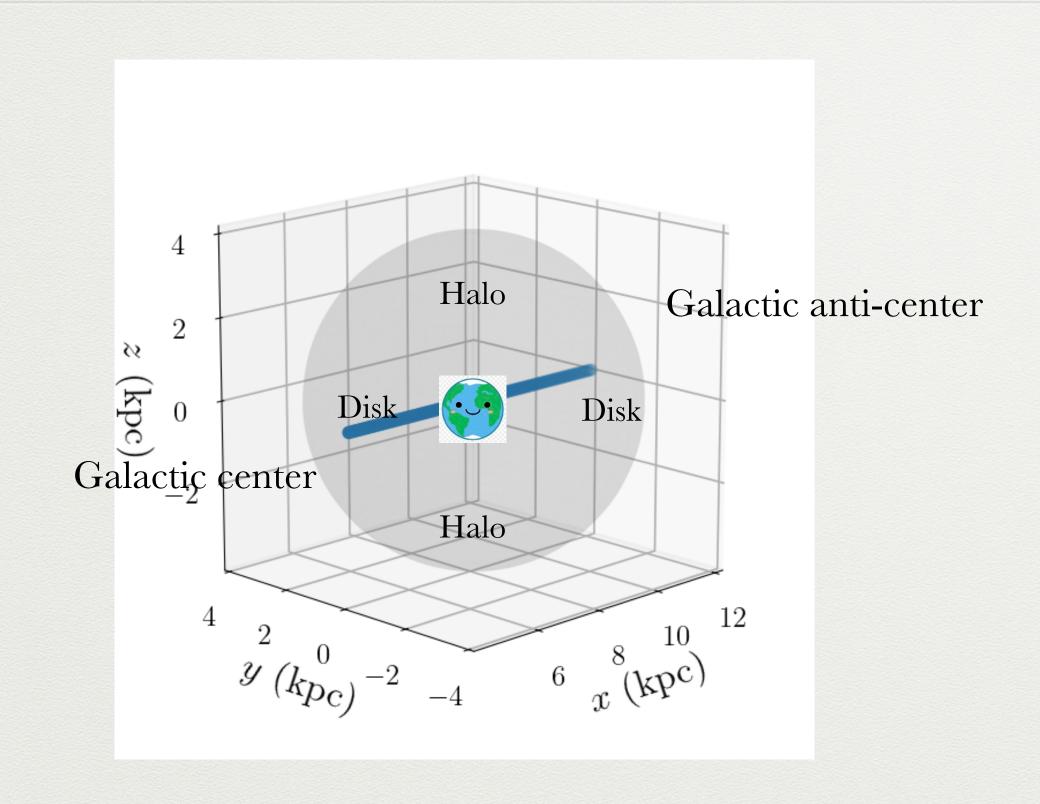
Solid: our  $\Phi(x)$ Dashed: MWPotential2014  $\Phi(x)$ 



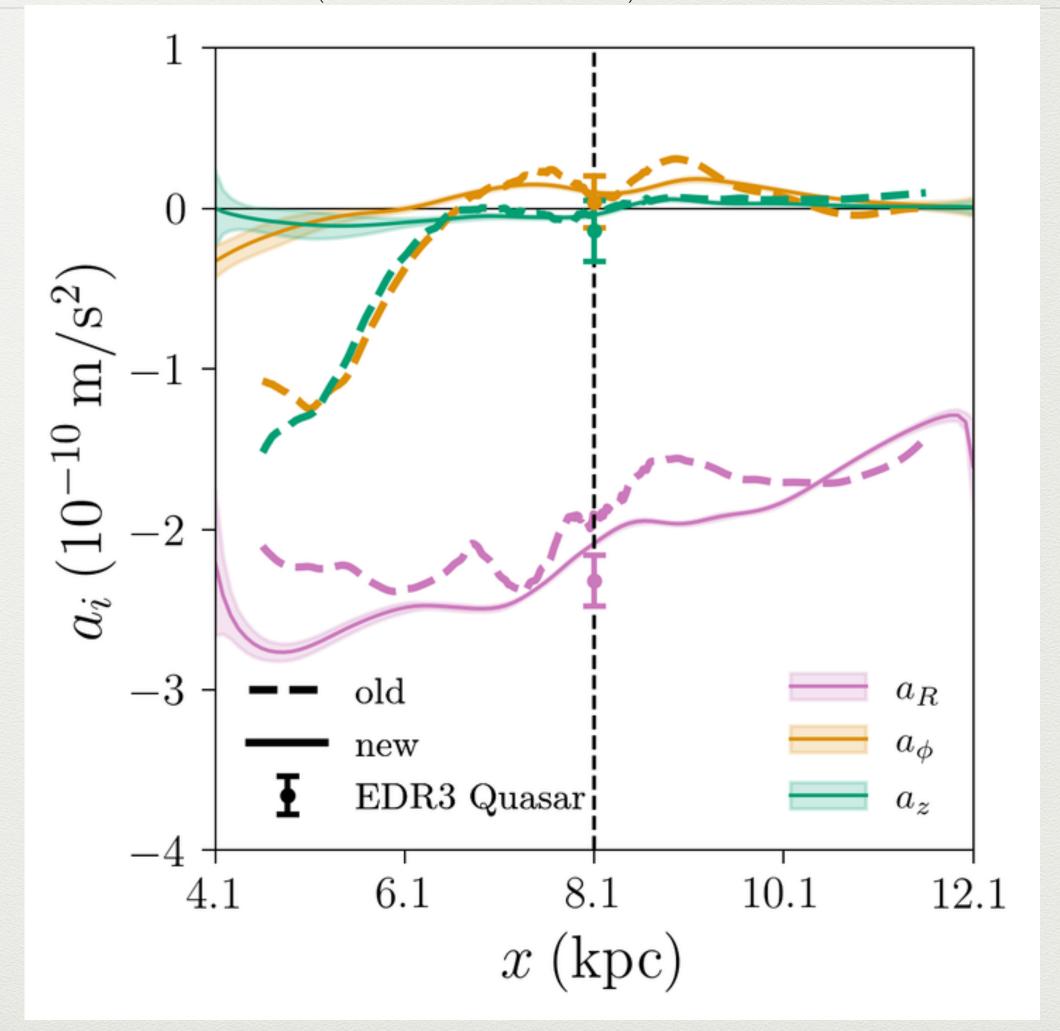
- Generally good agreement with gala's MWPotential2014!
- Can recover smooth and reasonable potential even in highly dust-obscured disk

#### Results: accelerations

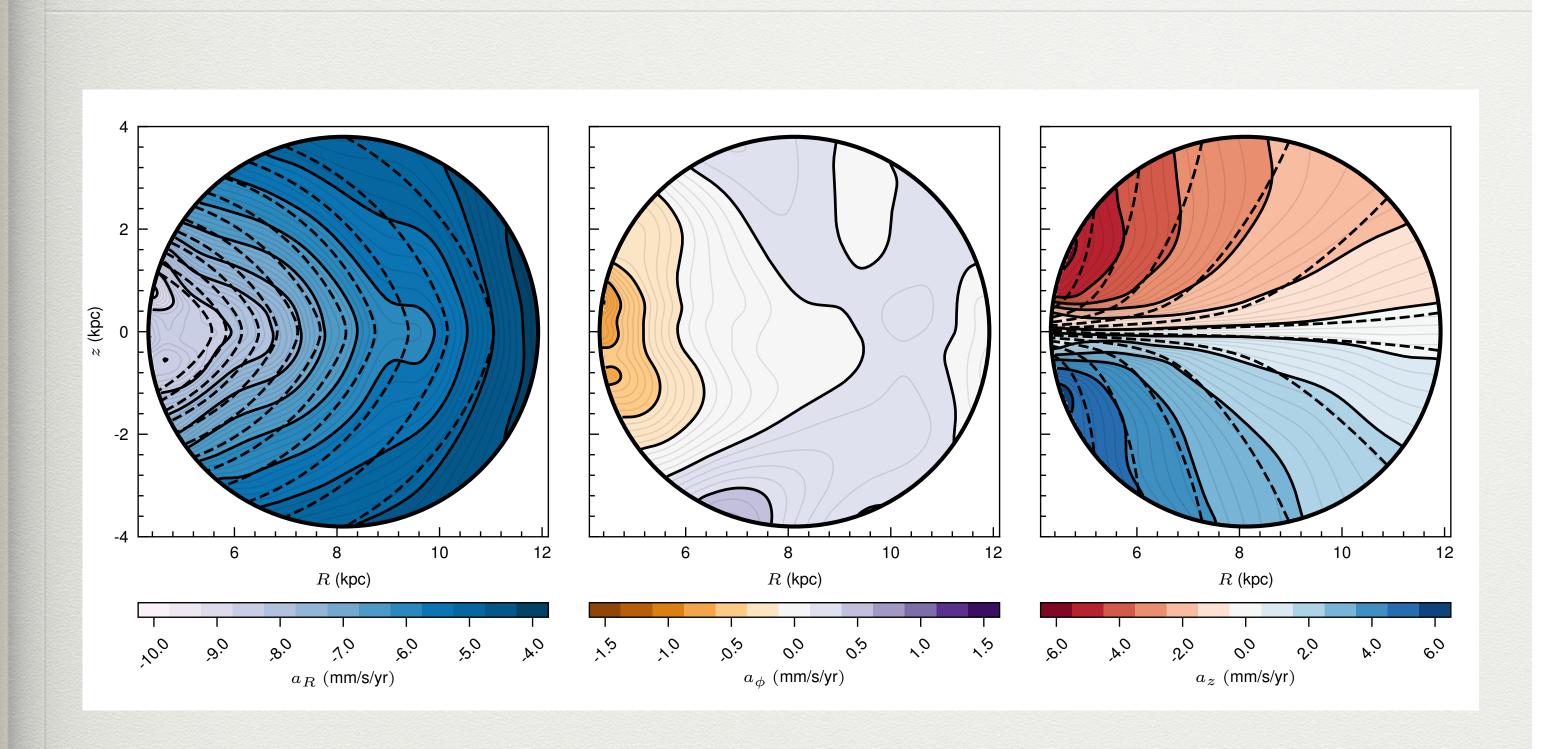
← Galactic center (dust clouds thicken)

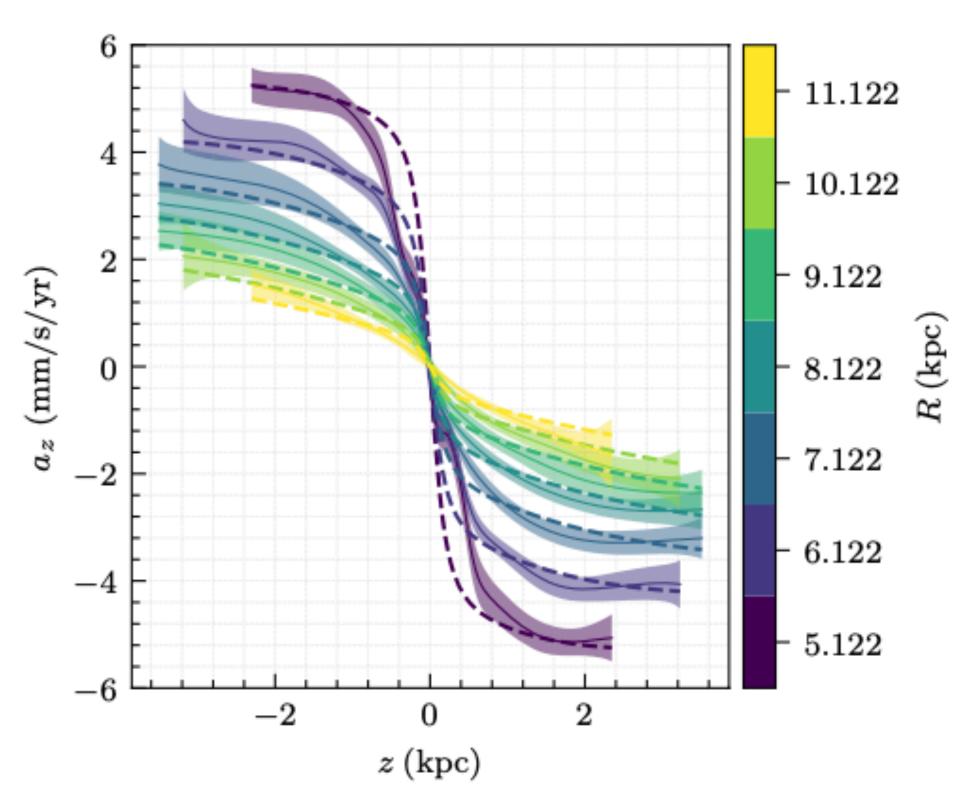


Can now access accelerations in the disk!



#### Results: accelerations



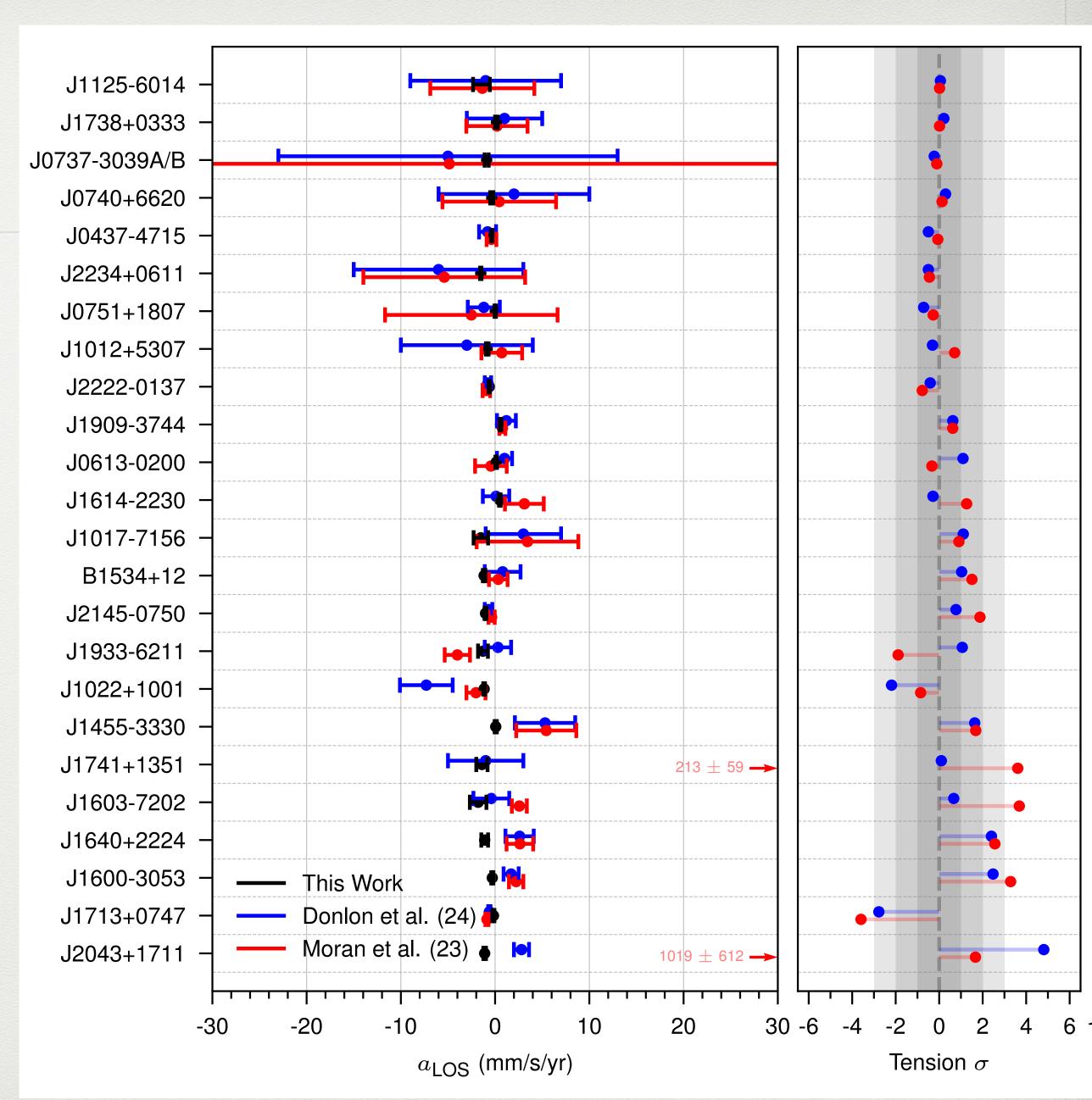


Excellent overall agreement with MWPotential 2014

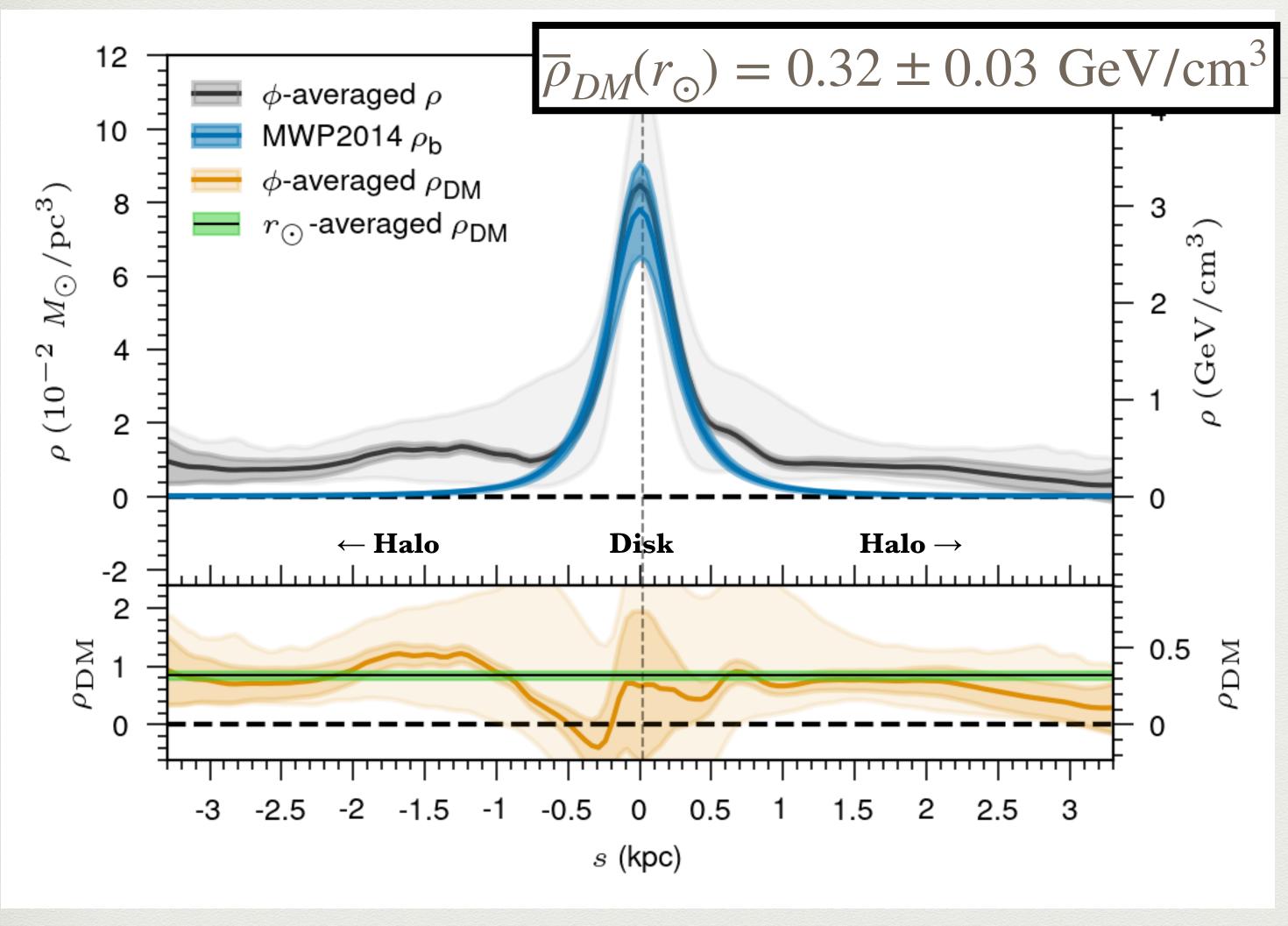
#### Results: accelerations

Also excellent overall agreement with recent measurements of LOS Galactic accelerations using binary pulsars

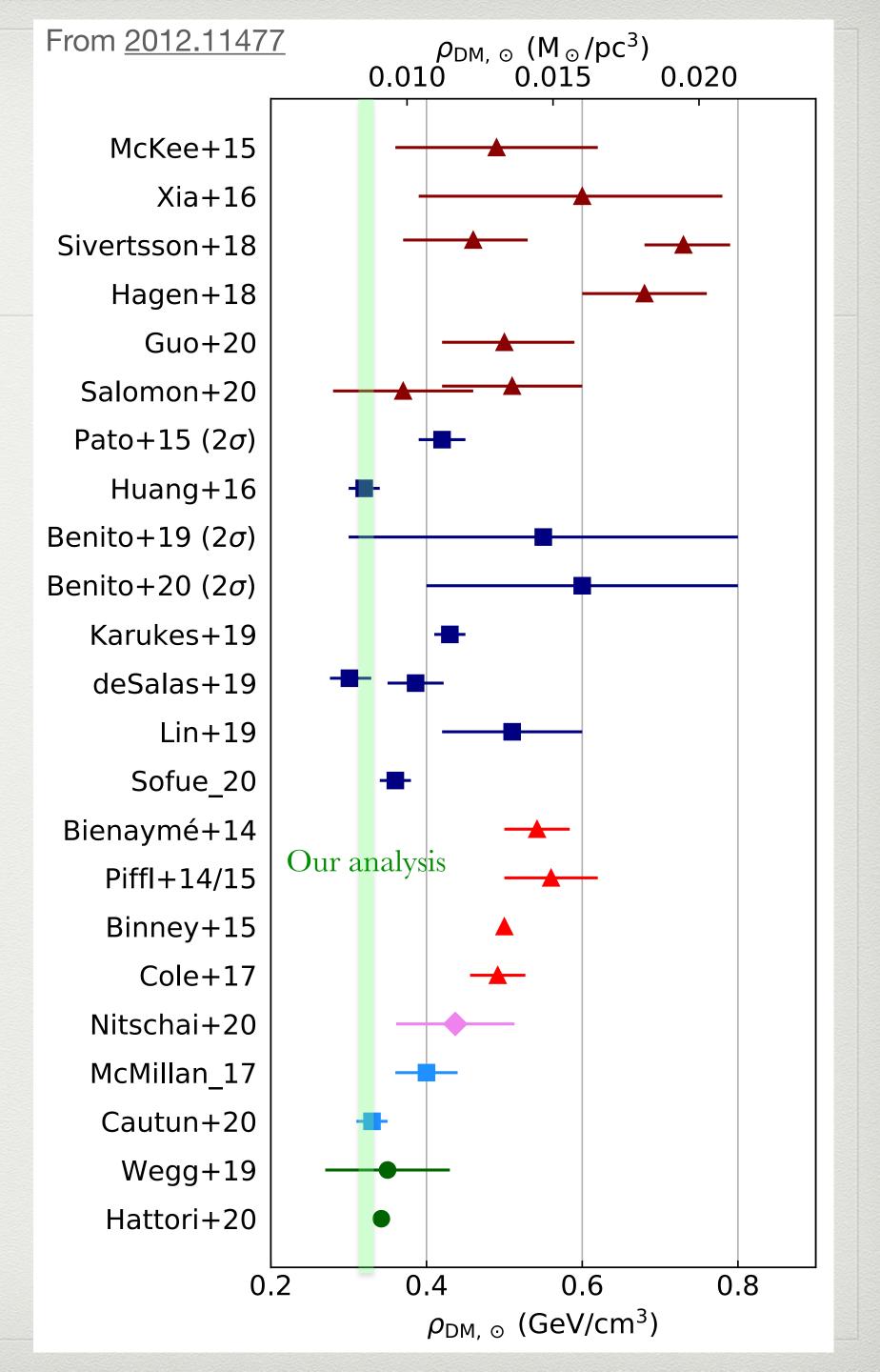
About 15% of the pulsars show significant discrepancies — could be a novel probe of disequilibrium in the Milky Way



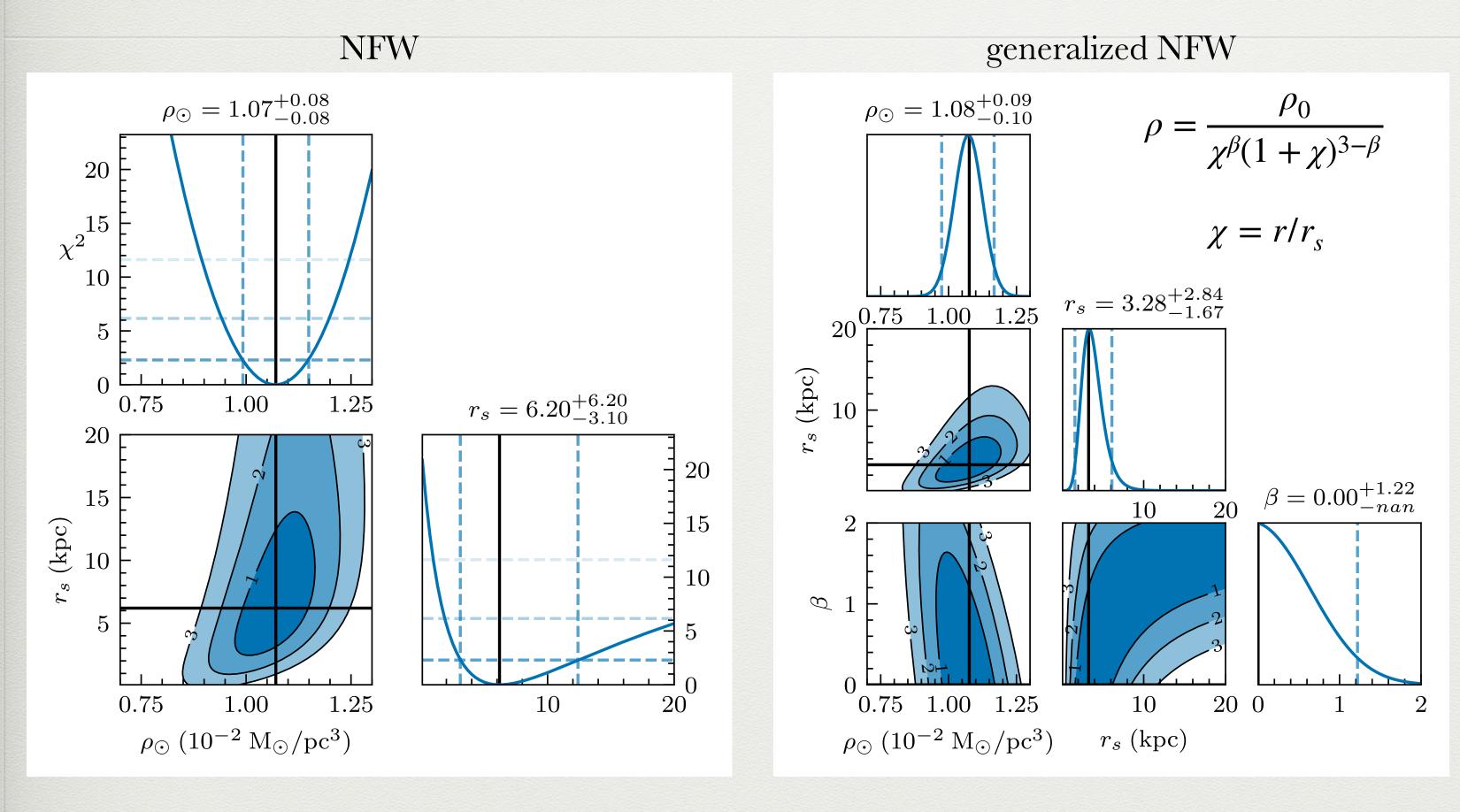
## Results: mass density

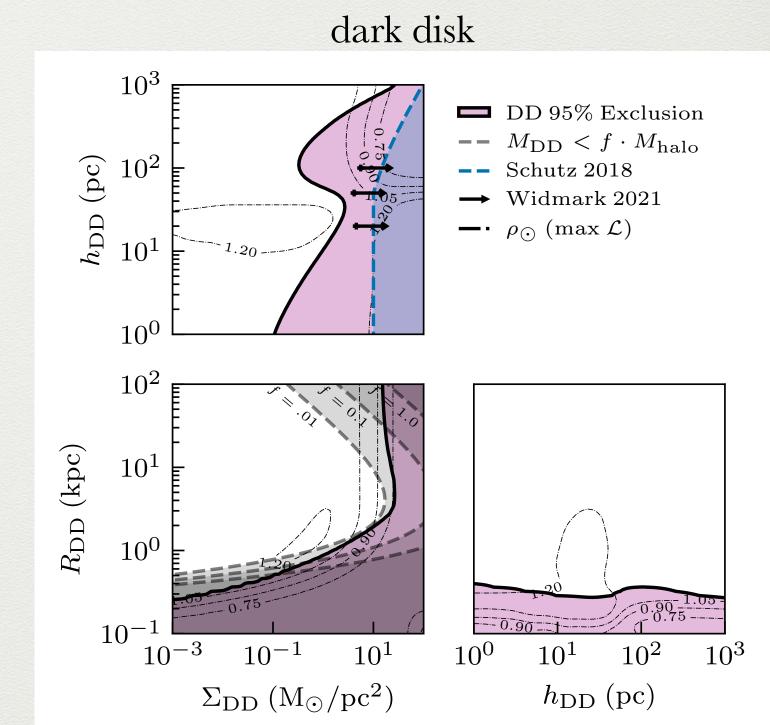


mass density vs arc height off disk, azimuthally averaged



#### Results: constraints on models





fits prefer smaller scale radius and highly cored profile

strongest contraints to date on thin dark disk

## Summary

- We have a developed a new technique to simultaneously infer
  - the Galactic gravitational potential+acceleration field+mass density field,
  - as well as a dust efficiency map
- within a 4 kpc volume around the Sun, using normalizing flows and Gaia DR3, by leveraging the equilibrium CBE.
- Unlike previous approaches, our method is fully data-driven, modelfree, unbinned and does not assume any symmetries

#### Outlook

• Work in progress: use our approach to set limits on dark disks, MOND...

#### · ... what else?

- Our approach should be sensitive to disequilibrium through spread of  $\partial f/\partial t$  values but how exactly?
- Gaia DR4 expected sometime in 2026 expect further major improvements to our method!
- Large astro datasets + modern ML => huge potential for fundamental physics!

# Thank you!

Credit — DALL-E + Eric Putney: "A visually striking image that represents the phrase "dark matter to dark matter, dust to dust" symbolizing the removal of interstellar dust to reveal a spiral galaxy"



## Backup: uncertainties

- We attempt to quantify the following sources of uncertainty:
  - finite training statistics
  - training variance
  - Gaia measurement errors

