

Electronic properties of organic semiconductors: bands or no bands?

Simone Fratini

Institut Néel - CNRS, Grenoble



Collaborations

Theory

S. Ciuchi



D. Mayou, X. Blase,
C. Faber



Experiment

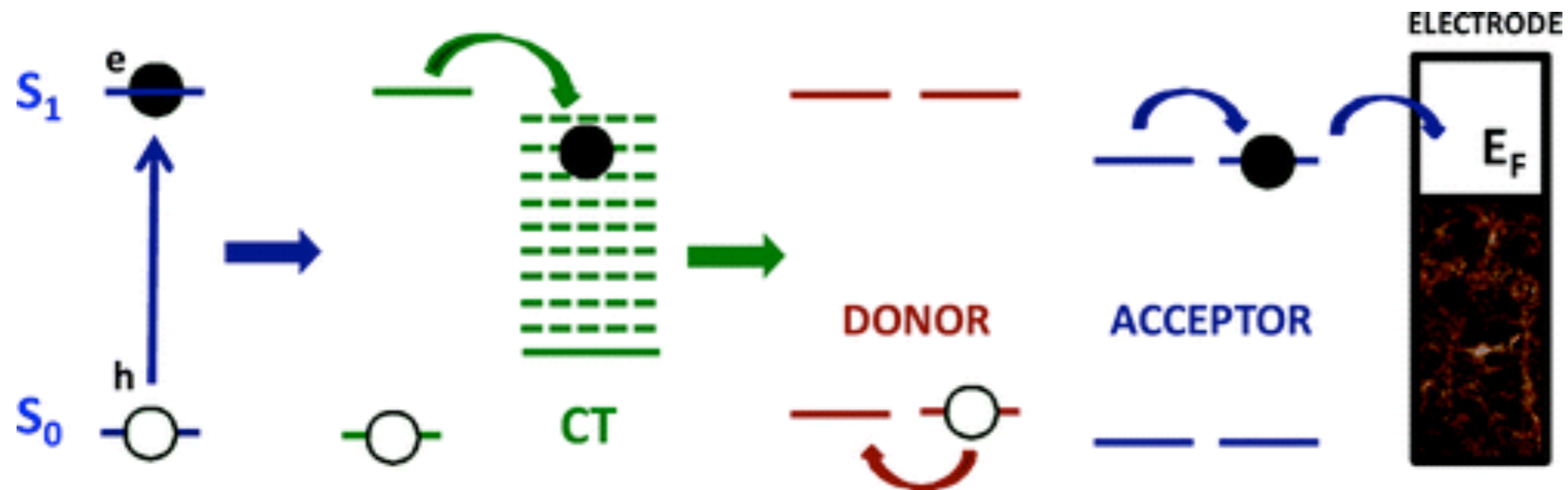
R. C. Hatch, H. Höchst
ARPES measurements



DEPARTMENT OF PHYSICS
AND ASTRONOMY
FACULTY OF SCIENCE
AARHUS UNIVERSITY



Energy & thermoelectricity? organic solar cells

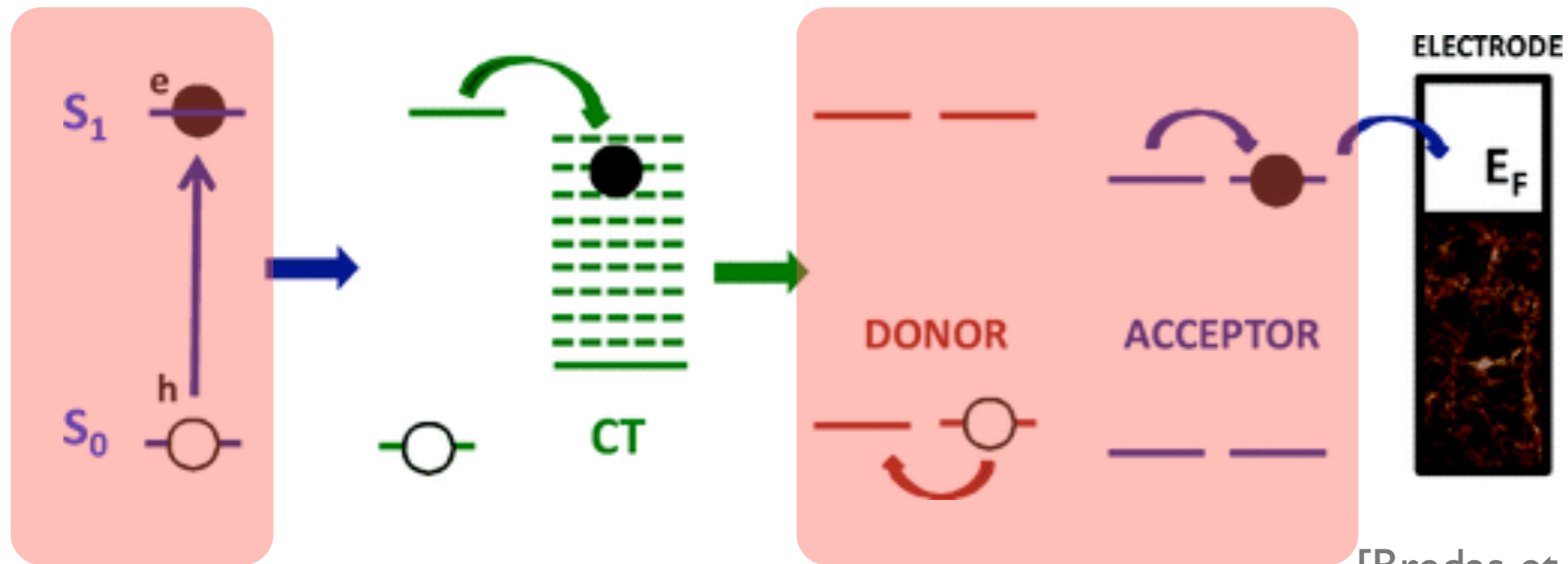


[Bredas et al, ACR 2009]

5 steps in the generation of current

- absorption of light -> exciton formation (e-h pair)
- exciton diffusion to the donor-acceptor interface
- exciton dissociation at the interface into separate electron and hole carriers
- electron and hole diffusion towards the electrodes
- charge collection at electrodes

Energy & thermoelectricity? organic solar cells



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Outline

- Introduction: something's wrong with the “common wisdom”

- **ARPES:**

the role of intramolecular vibrations and disorder

- **Transport properties:**

the role of intermolecular vibrations and the concept of transient localization

- **Kubo formula revisited:** carrier diffusivity from optical experiments

- Concluding remarks

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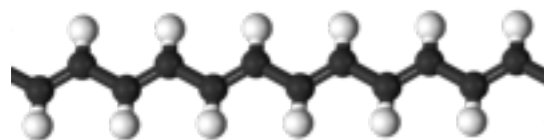
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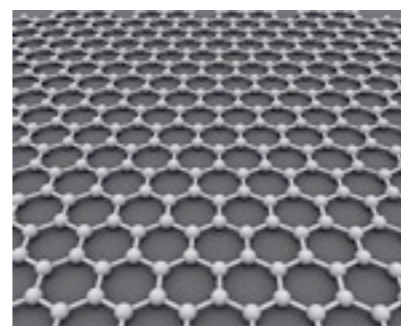
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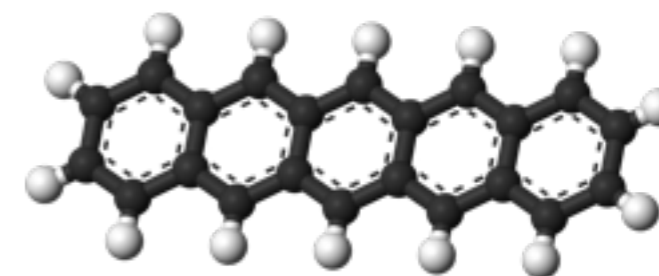
Organics



Polyacetylene

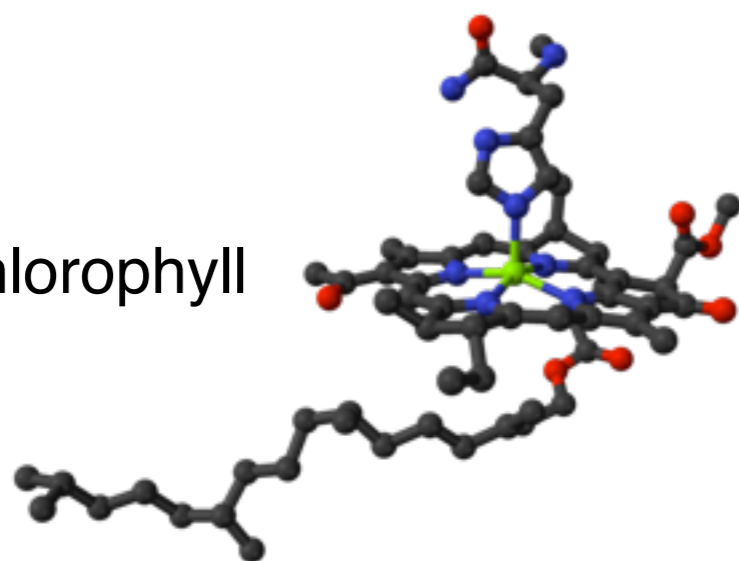


Graphene

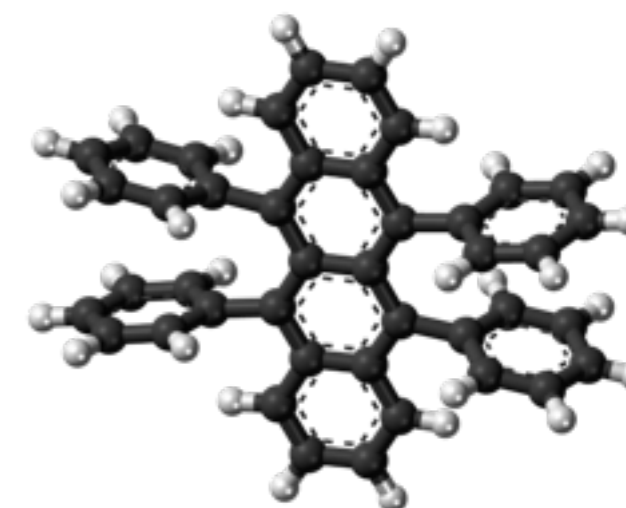
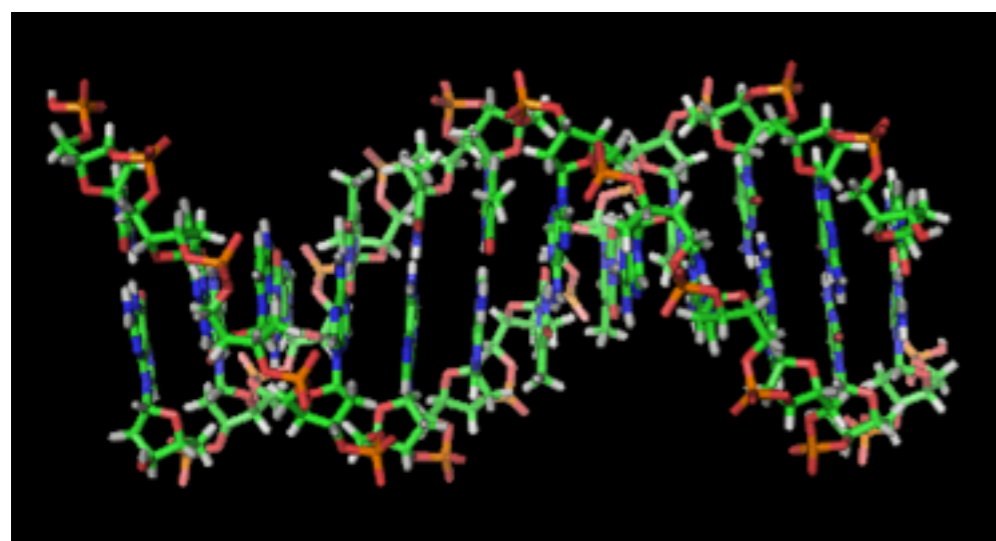


Pentacene

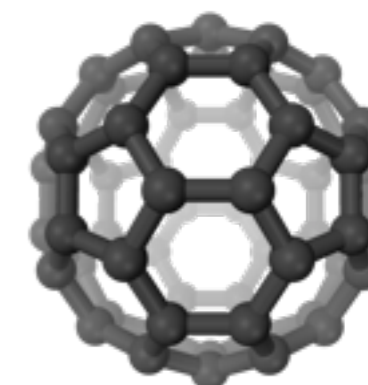
Chlorophyll



DNA

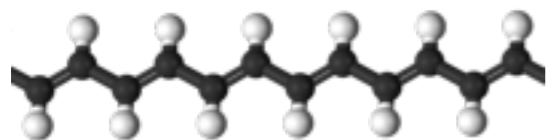


rubrene

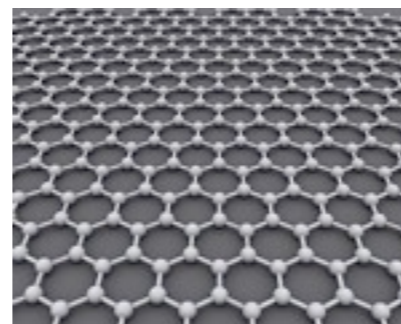


Fullerene

Organics

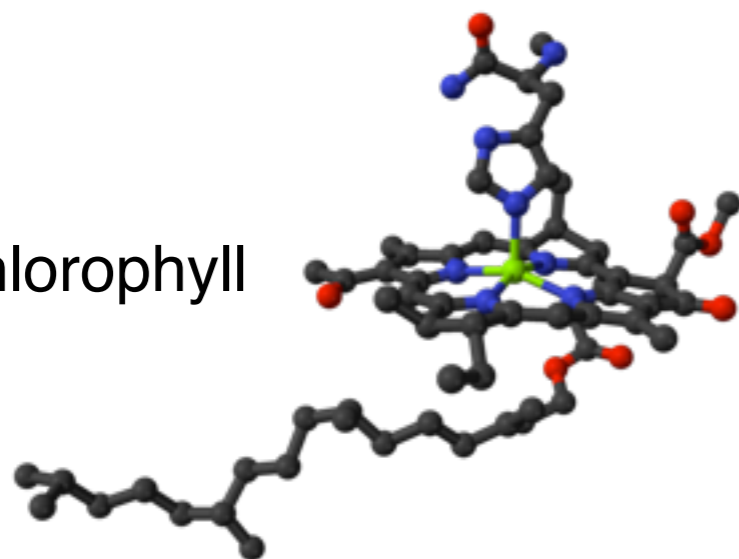


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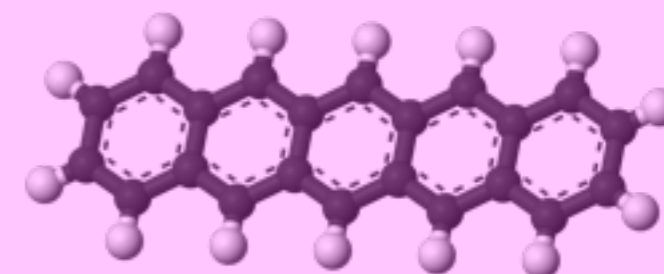
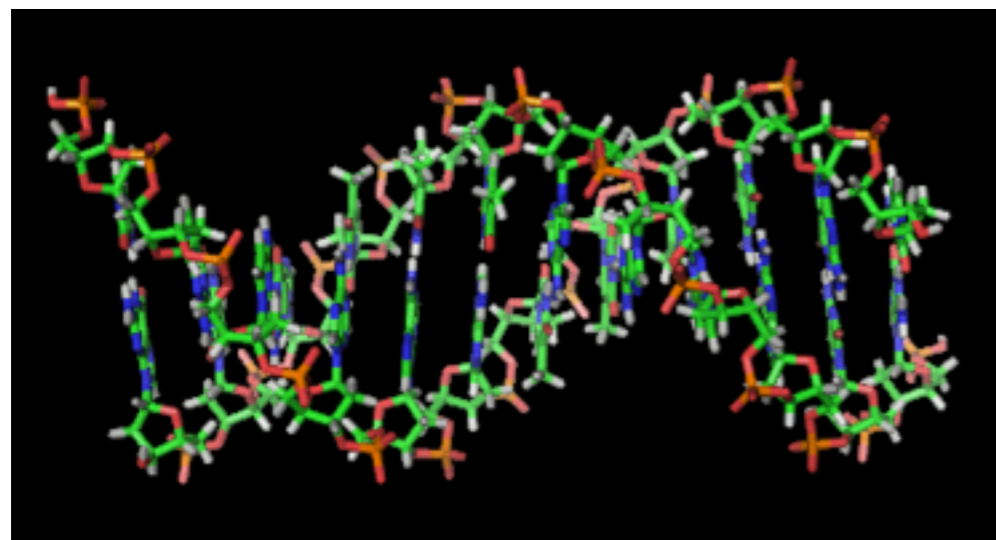


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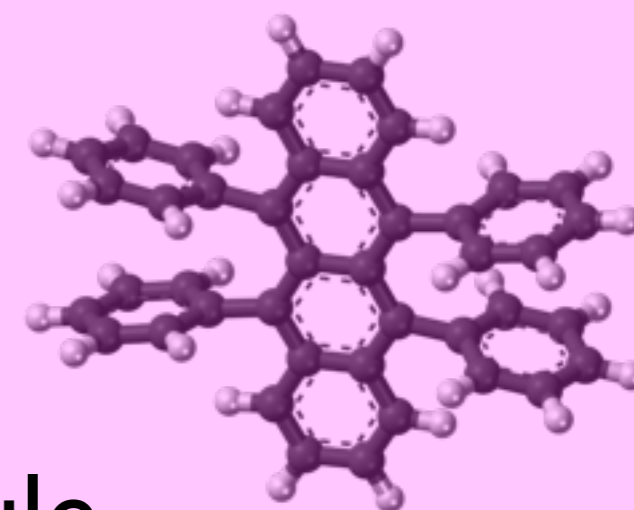
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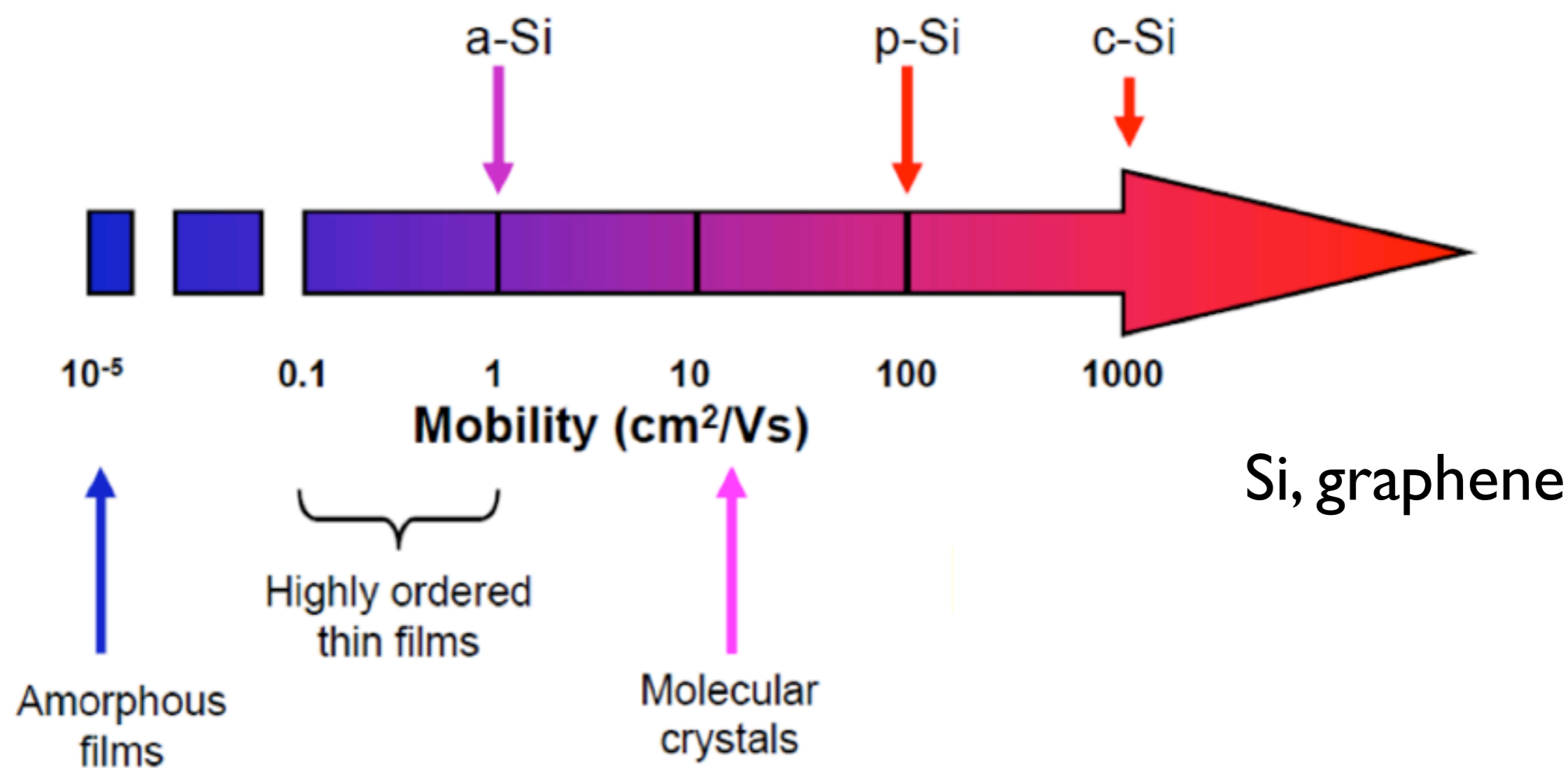
rubrene



Fullerene

Small molecule
organic solids

Organic semiconductors: somewhere “in-between”



hopping dynamics
(incoherent)

?

Bloch-Boltzmann transport
(wave-like)

[readapted from Brütting, talk 2007]

Organic semiconductors: somewhere “in-between”

Do electrons behave as predicted by band theory?

- narrow electronic bands
- large electron-phonon coupling (molecular vibrations)
- intrinsically large disorder (plastic is soft)

Everything seems to favor electron localization

Organic solids: is energy-band theory enough?

Chemist's view... real space Electrons hop from molecule to molecule

The molecular property

As we mentioned earlier, a pre-eminent feature of the organic solid state is the persistence of molecular identity.

Physicist's view... momentum space Electrons form weakly scattered Bloch states

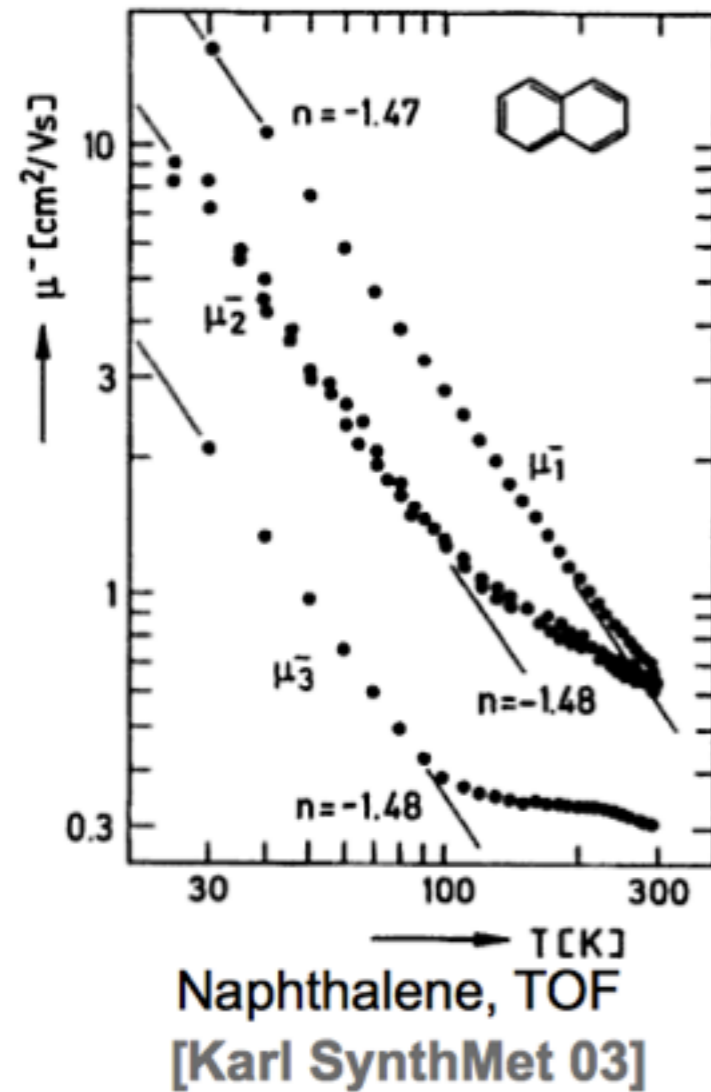
served behavior. Hence, Bloch-type states do appear to occur in some molecular crystals in certain temperature ranges. Above 100 K in naphthalene, however, another mechanism appears to limit the mobility. The usual alternative to transport via Bloch-type states is the hopping of localized carriers. It would

for solid-state physicists. But perhaps their most interesting aspect, however, is the persistent challenge that organic crystals afford to conventional theories of transport in solids. After over two decades of intensive investigation, the temperature and field dependence of the mobilities of charge carriers in van der Waals crystals remain unexplained by extant models of either band or hopping transport. Thus, organic materials con-

« one of the major outstanding mysteries in solid-state physics »
→ **the problem is still open**

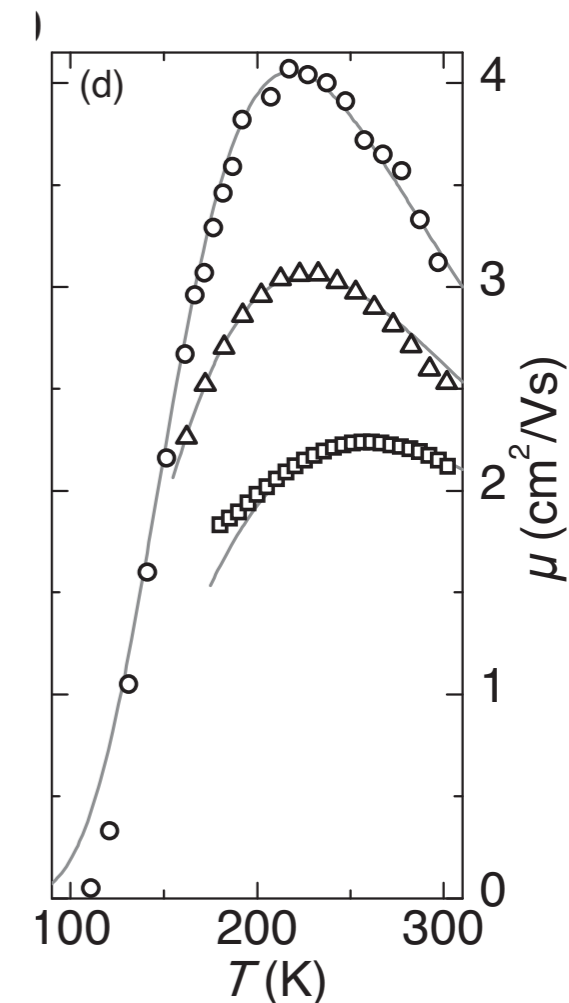
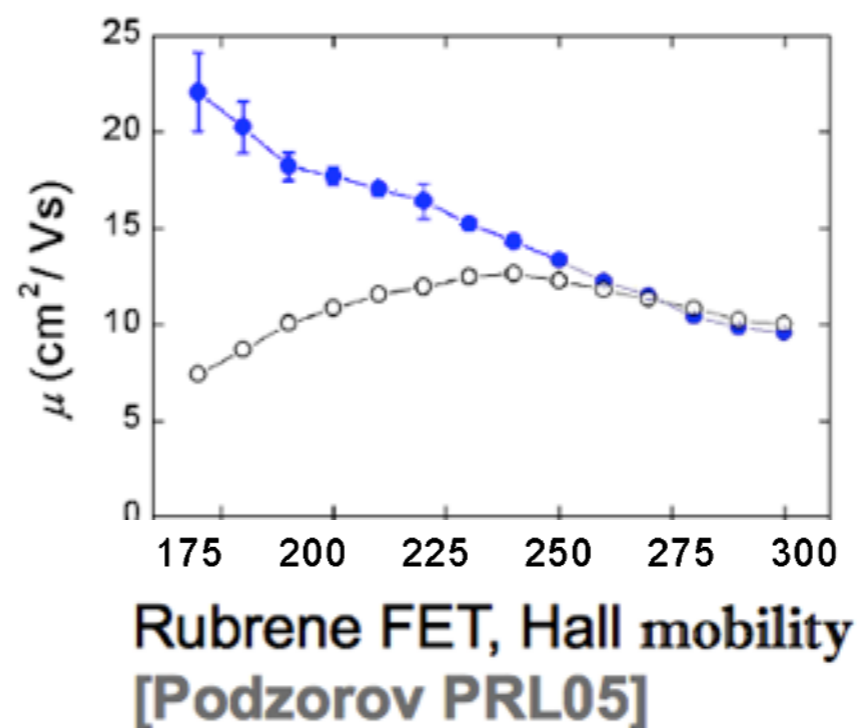
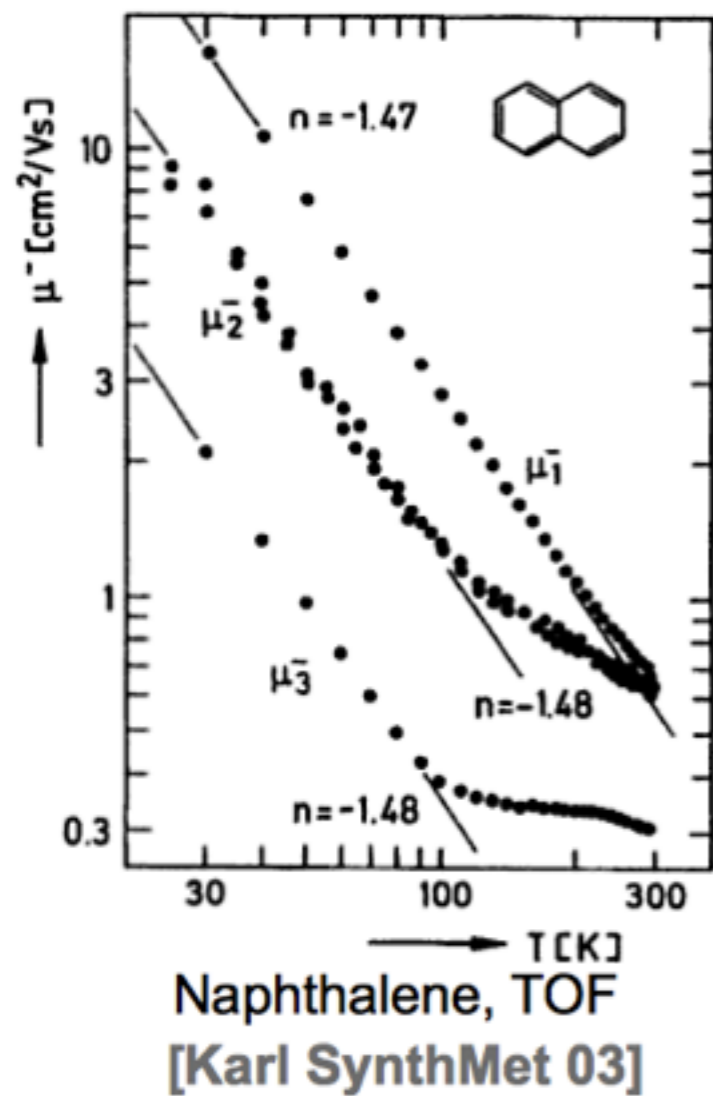
[Duke & Schein, Physics Today 1980]

Carrier mobility: TOF vs FET

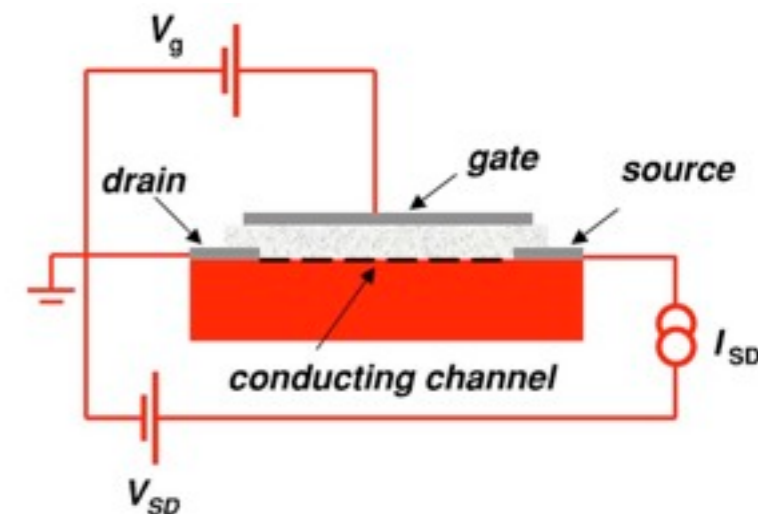


- carrier mobility in Time of Flight experiments shows ubiquitous “band-like” power-law dependence on temperature
- moderate values of mobility, $\sim 10 \text{ cm}^2/\text{Vs}$
- In FETs, clear “band-like” behavior is only seen in 4 compounds (rubrene is one of them)

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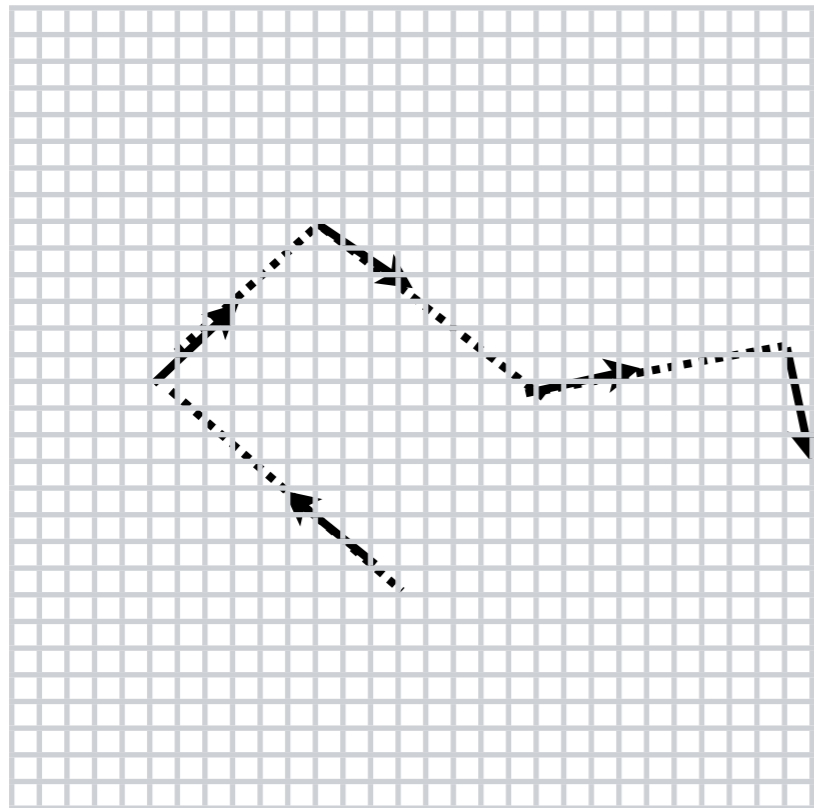


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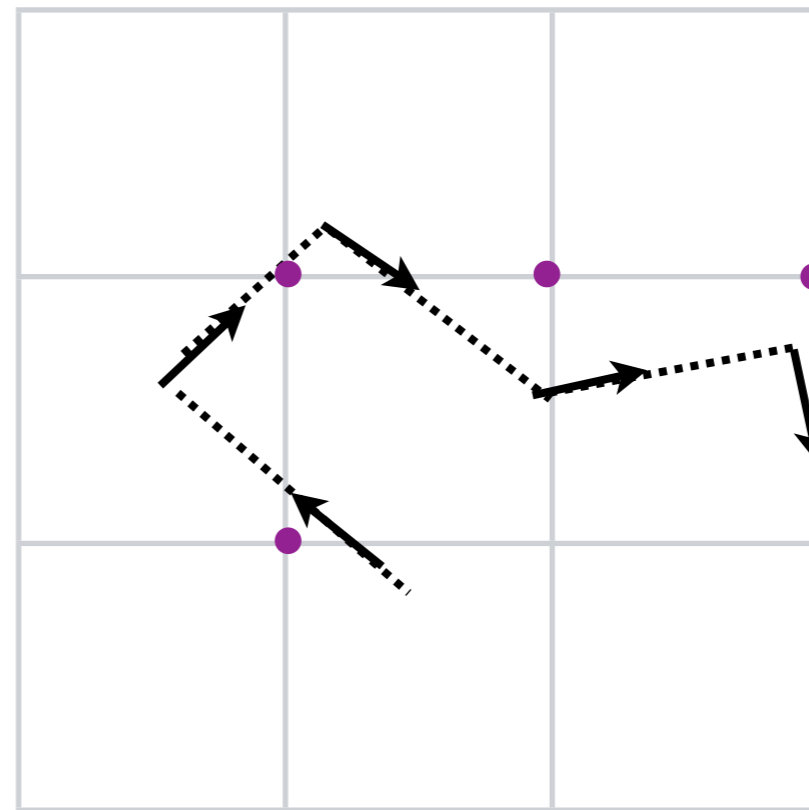
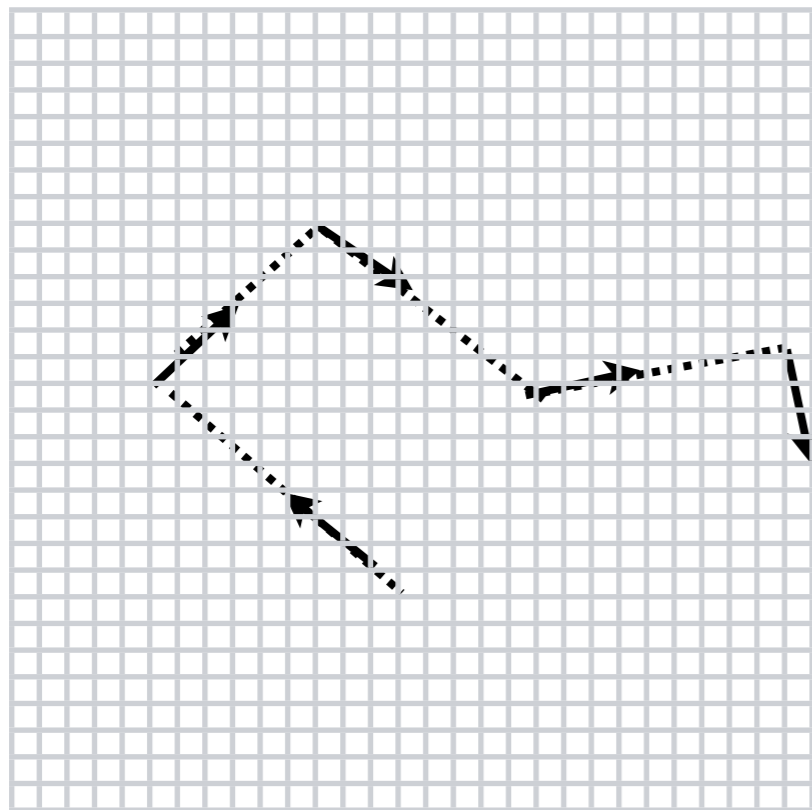
Problem: breakdown of semiclassical assumption

- Boltzmann approach: **particles** moving **freely** between (rare) scattering events
- (Quantum) **quasiparticle** picture must be valid, q.p. well defined
on a lattice the semiclassical assumption breaks when mean free path \approx lattice spacing



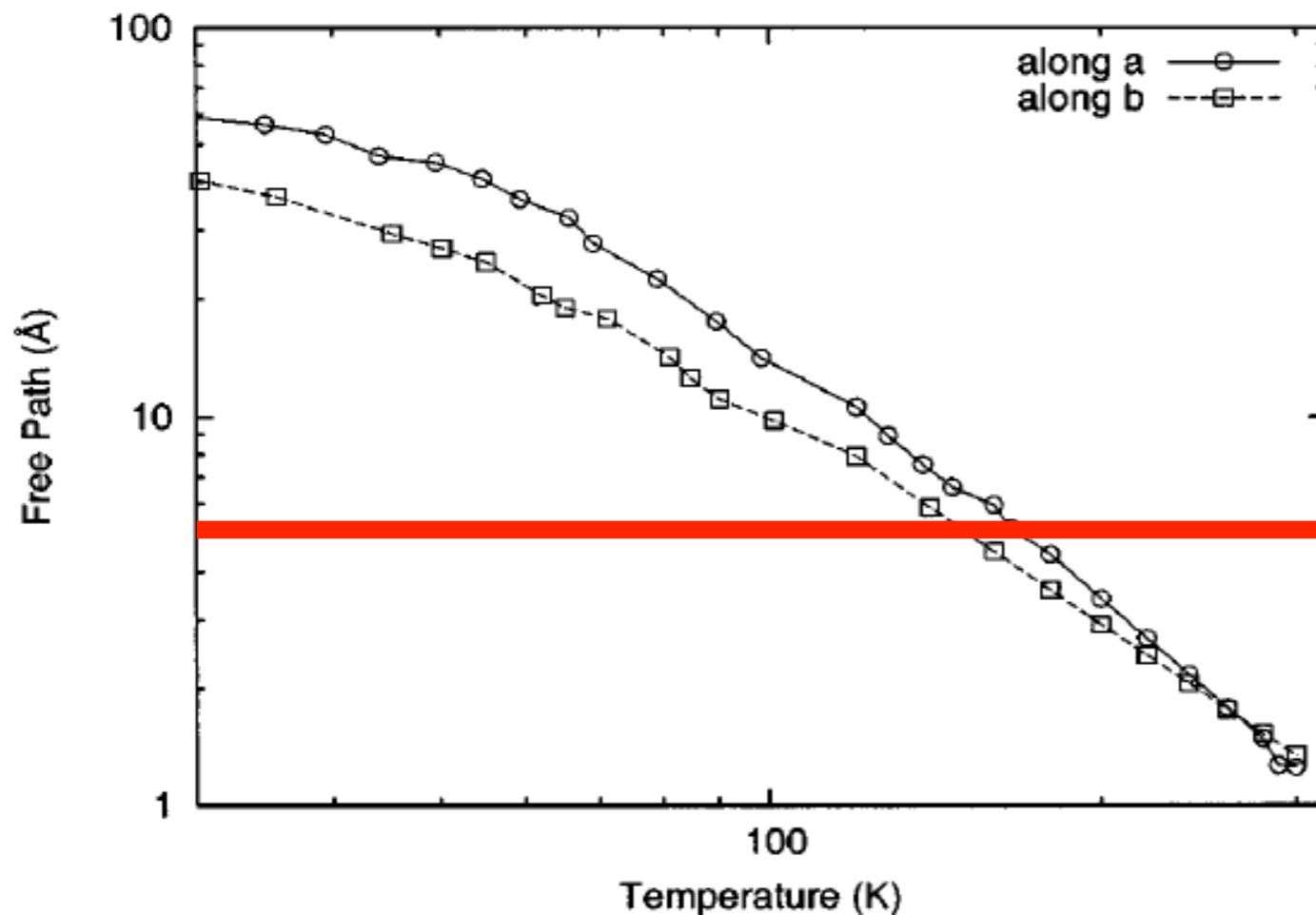
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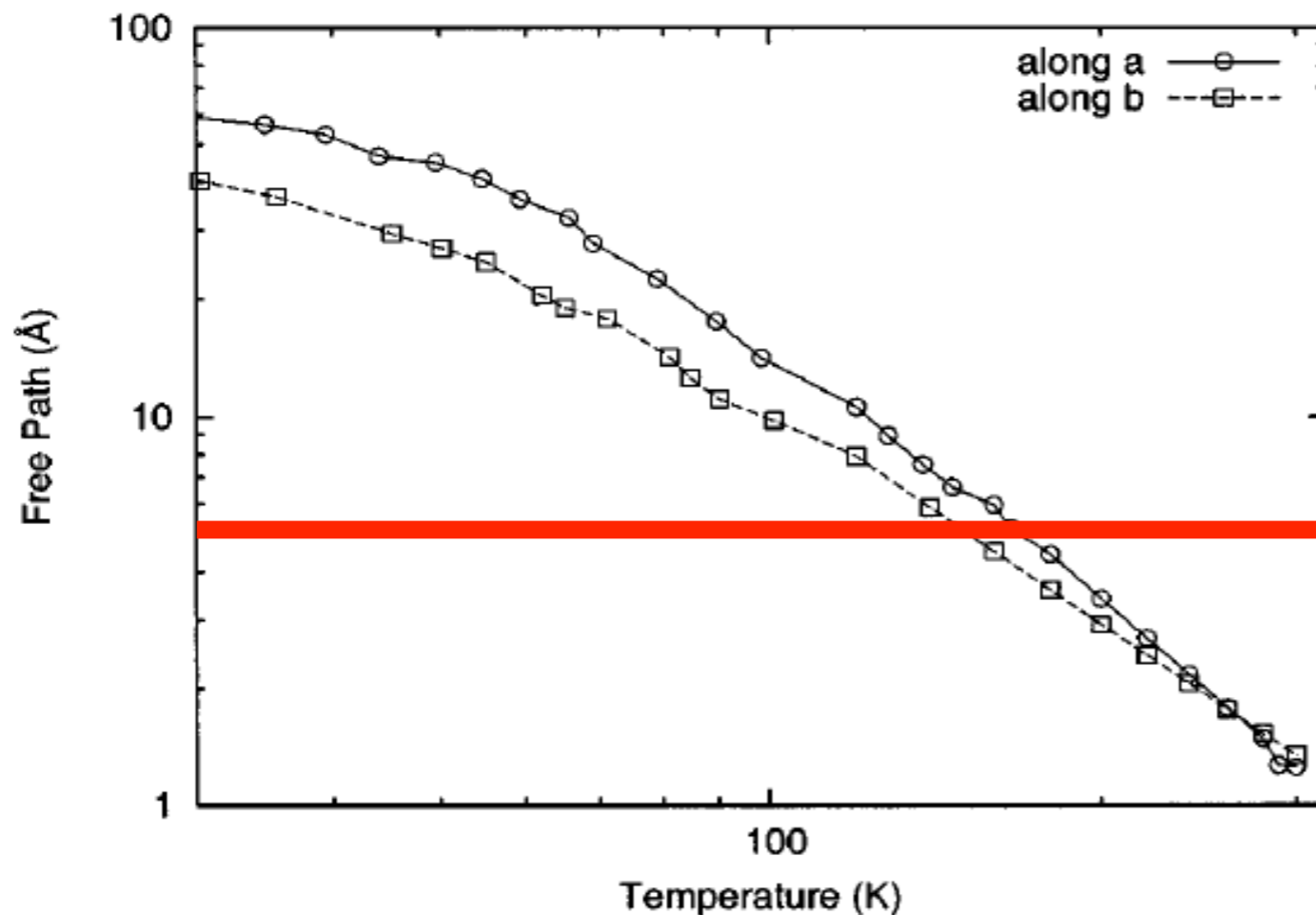
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$$l_{\text{mfp}} \sim a$$

Extracted from fitting the hole mobility in naphthalene via tight binding band-structure calculations
 [Cheng et al. J. Chem. Phys. 118, 3764 (2003)]

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$$\mu_{ij} = (e\lambda/kT) \langle v_i v_j / |\mathbf{v}(\mathbf{k})| \rangle,$$

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we need new tools to describe this regime, beyond Boltzmann theory

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Optical properties

Light Quasiparticles Dominate Electronic Transport in Molecular Crystal Field-Effect Transistors

Z. Q. Li,^{1,*} V. Podzorov,² N. Sai,^{1,3} M. C. Martin,⁴ M. E. Gershenson,² M. Di Ventra,¹ and D. N. Basov¹

- Rubrene optical conductivity
- light quasiparticles ($m^* \approx 1-2$) from sum rules
- BUT: unexplained peak at $500 \text{ cm}^{-1} = 61 \text{ meV}$
-> indicative of localization

PRL 99, 016403 (2007)

PHYSICAL REVIEW LETTERS

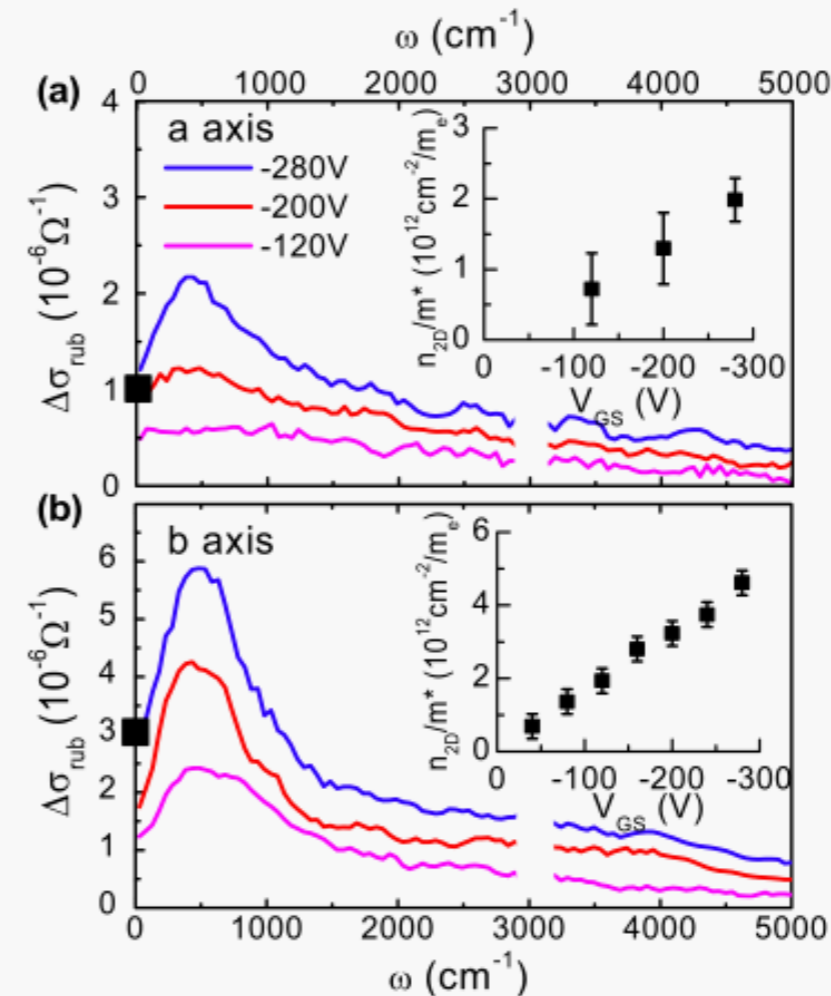


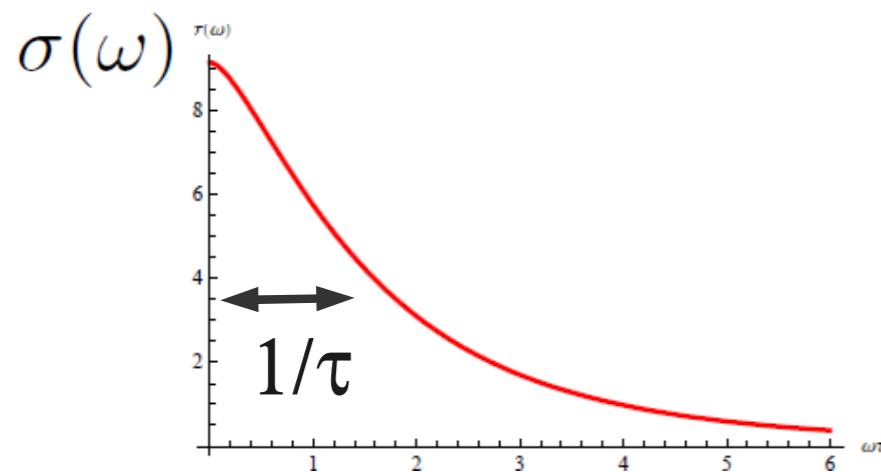
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Drude model
(free carriers)

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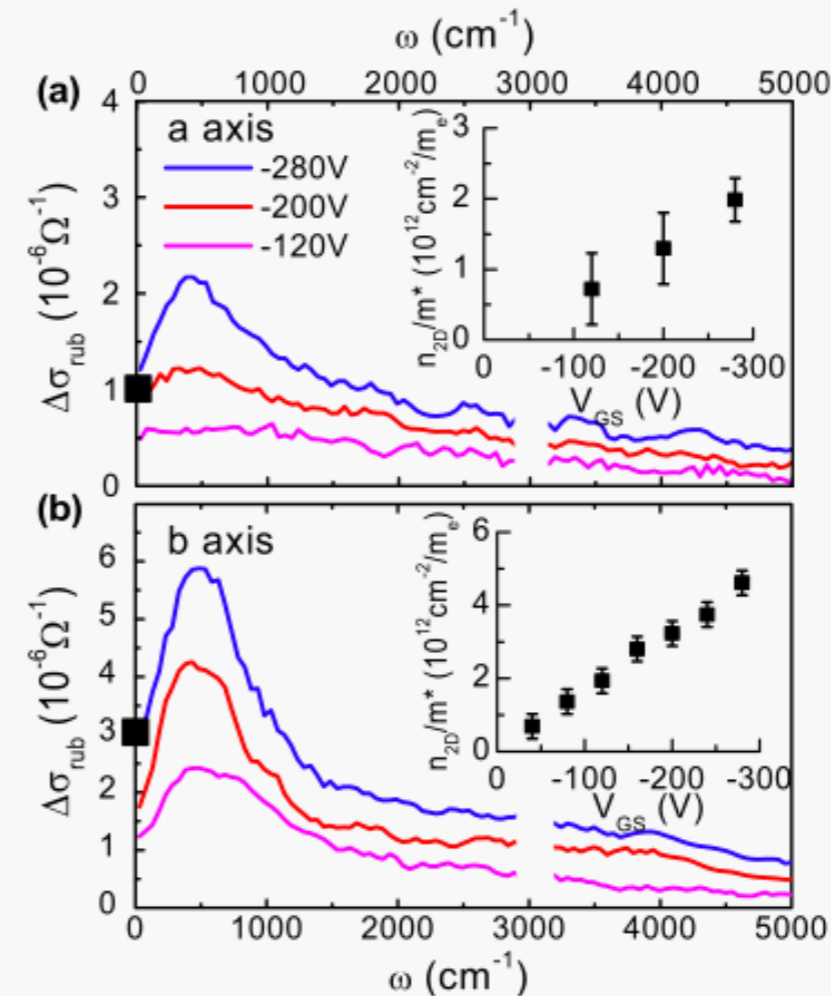


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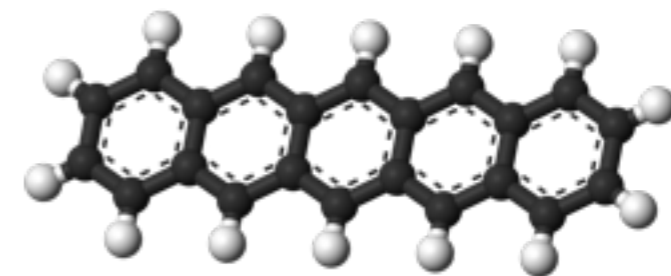
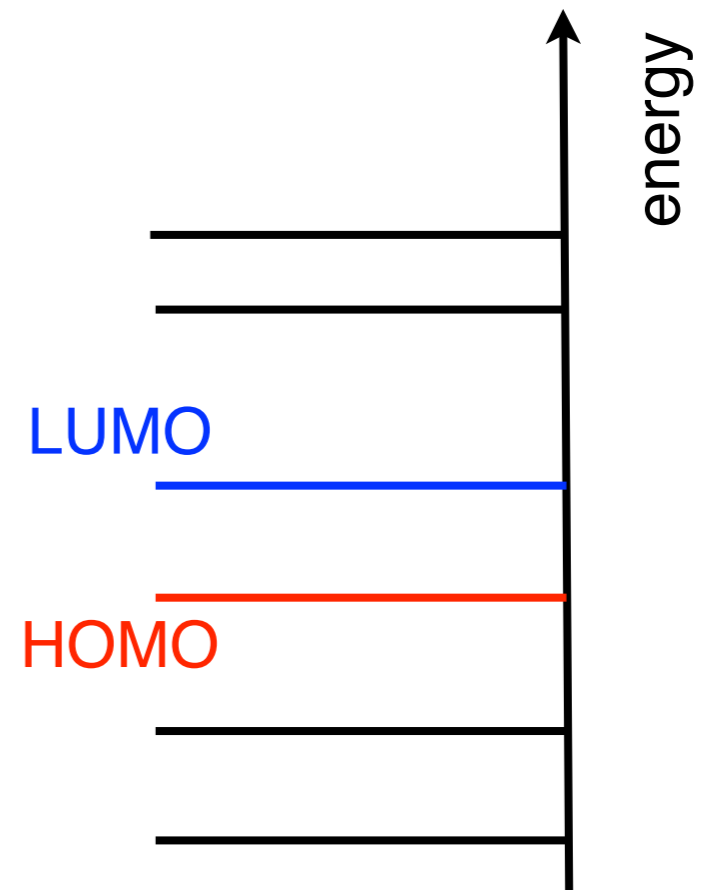
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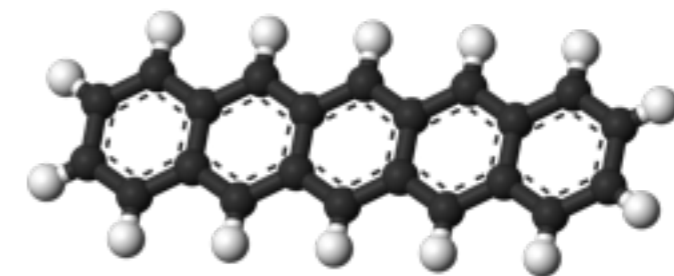
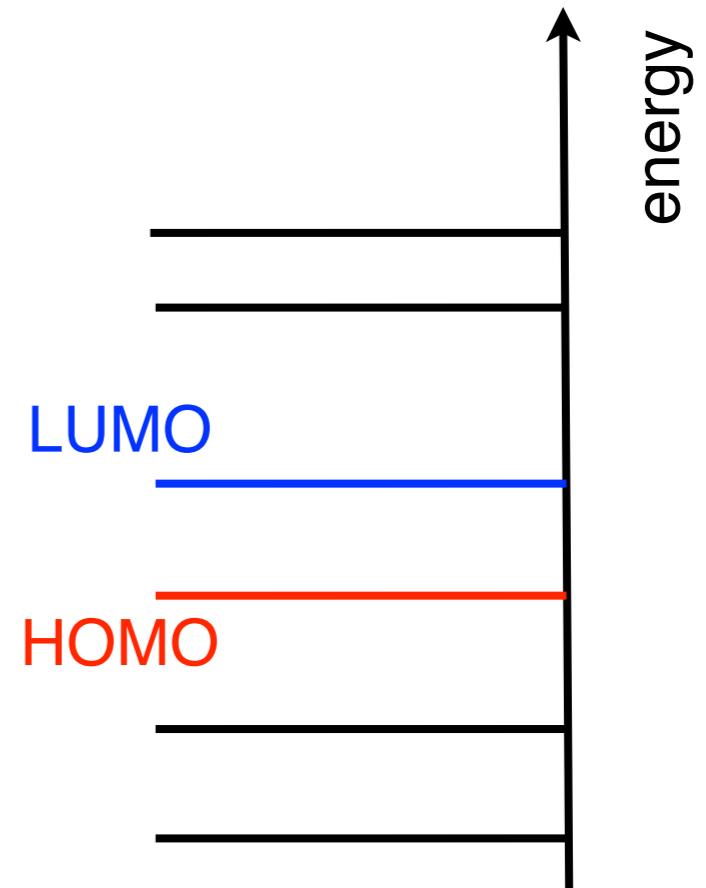
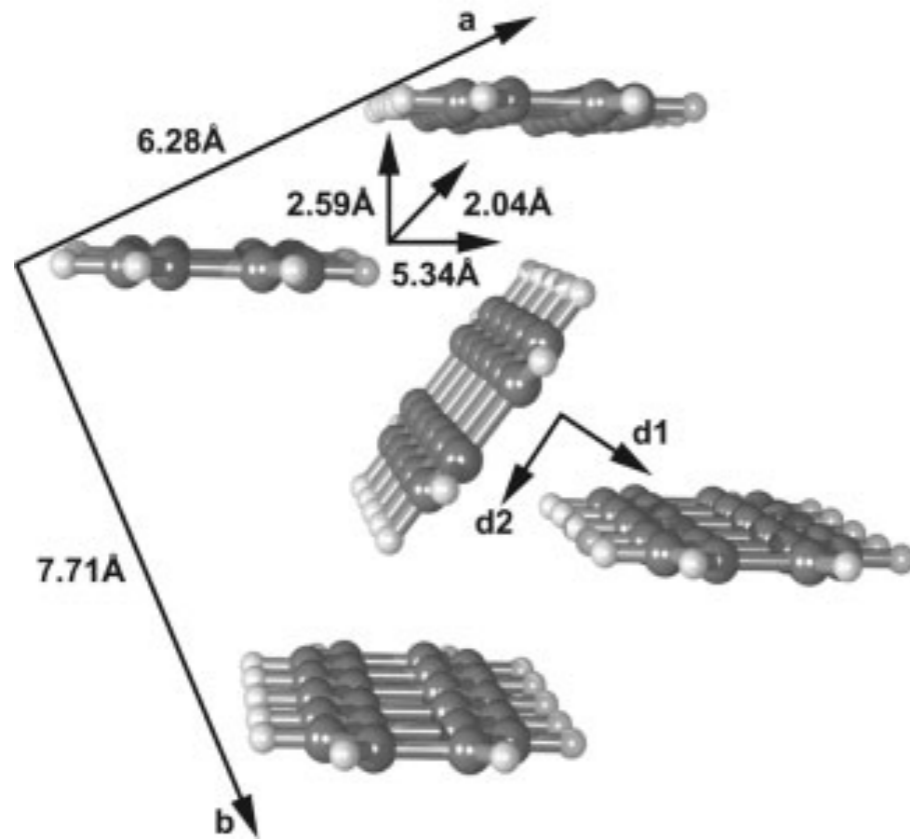
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The molecular crystal: band structure



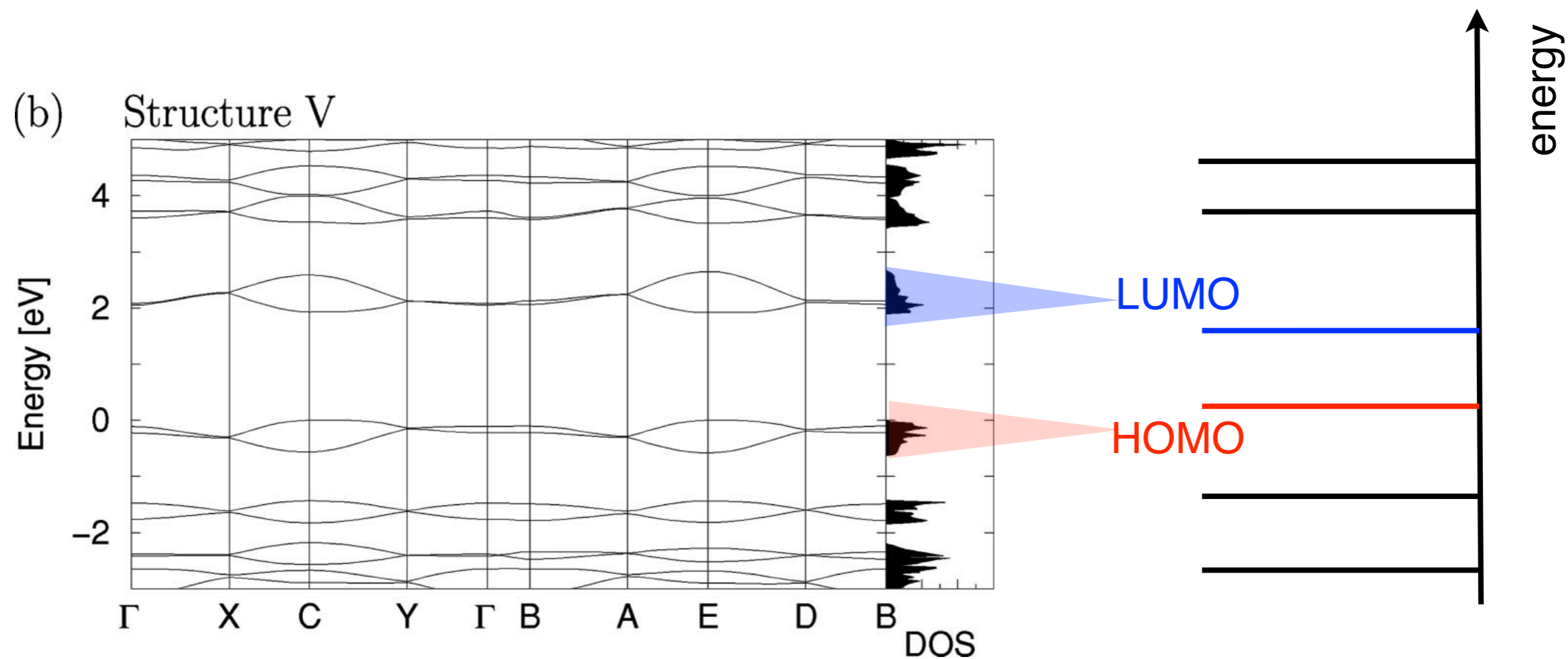
pentacene

The molecular crystal: band structure



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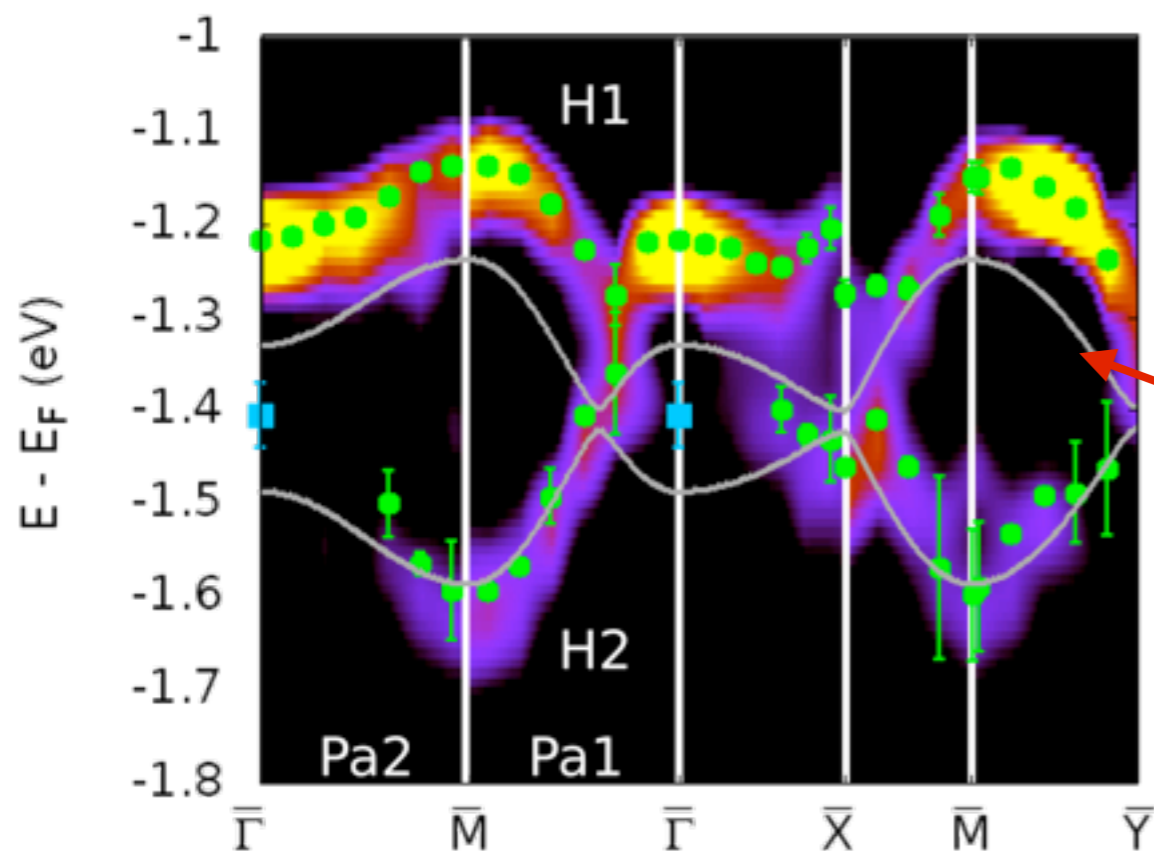


[GW, M. Tiago and S. Louie, PRB (2003)]

- Van der Waals bonding
→ Narrow bands, $W \sim 350$ meV, “persistence of molecular identity”
- Two inequivalent Pn sites result in two HOMO bands H1, H2

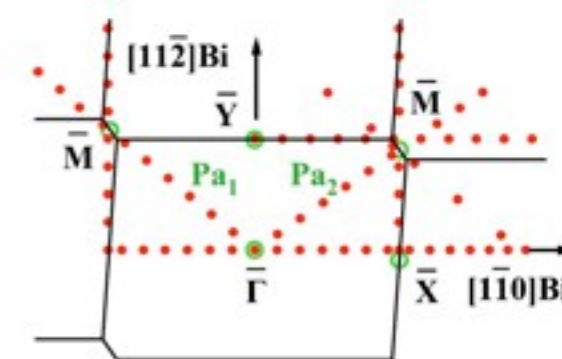
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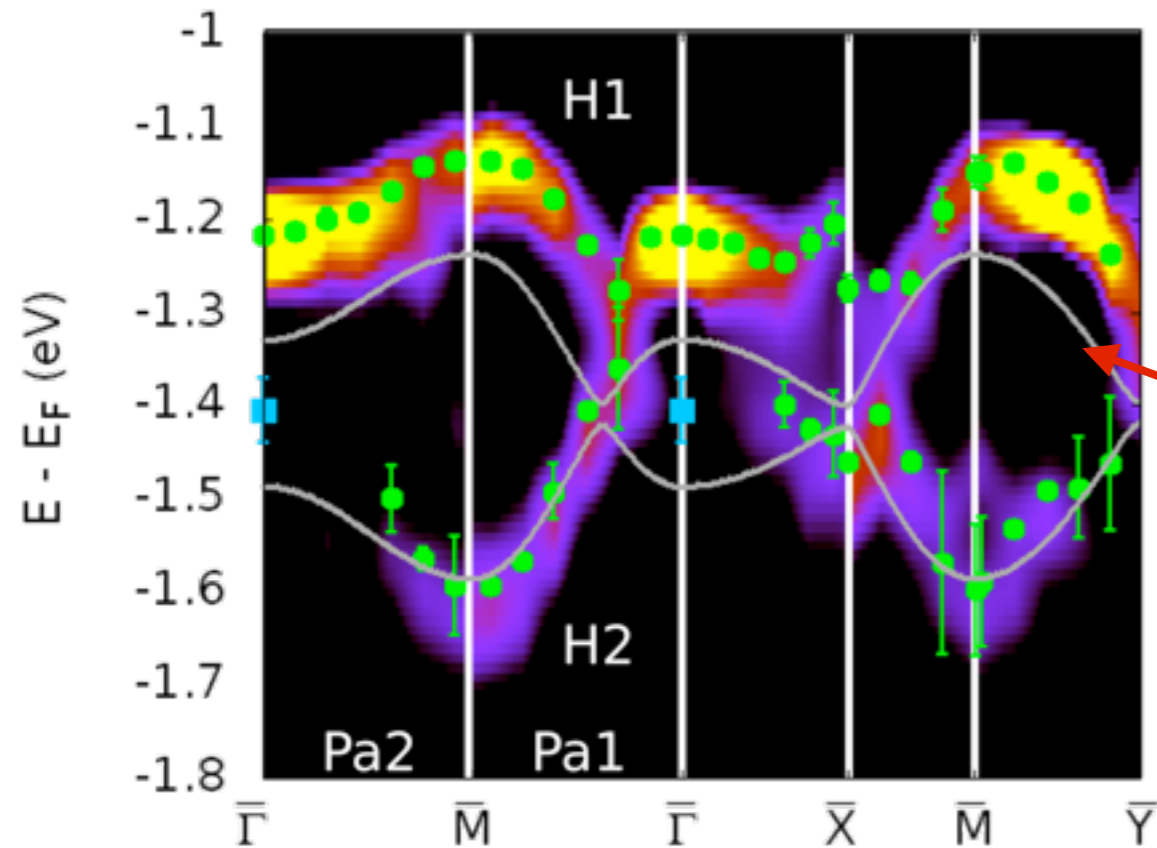
Crystalline film on Bi(001) substrate
HOMO band dispersion at T=75K

ab initio band structure



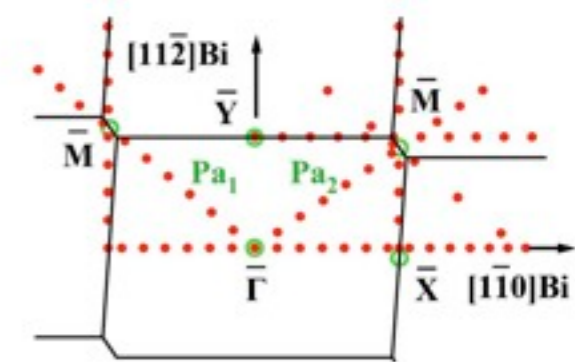
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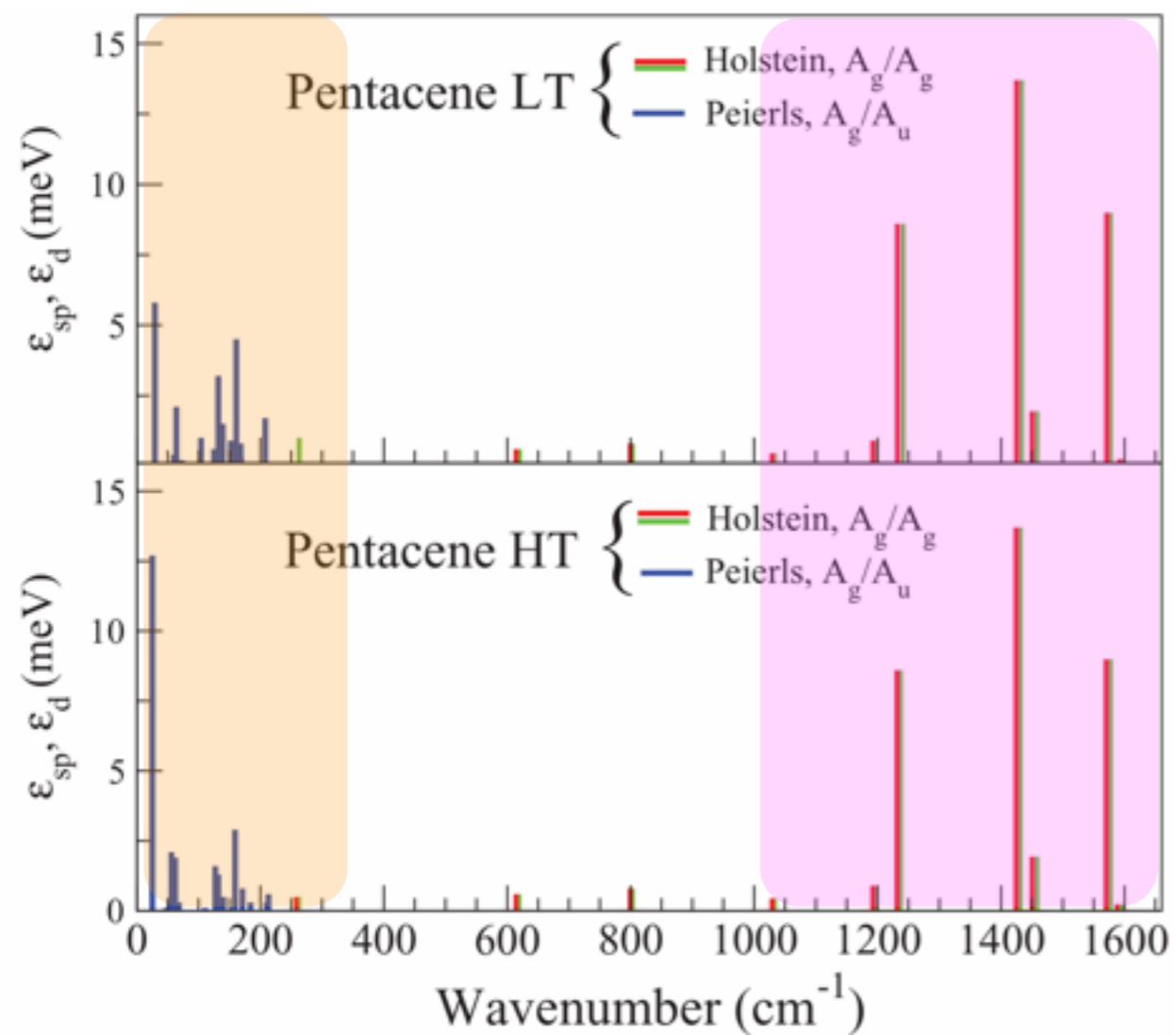
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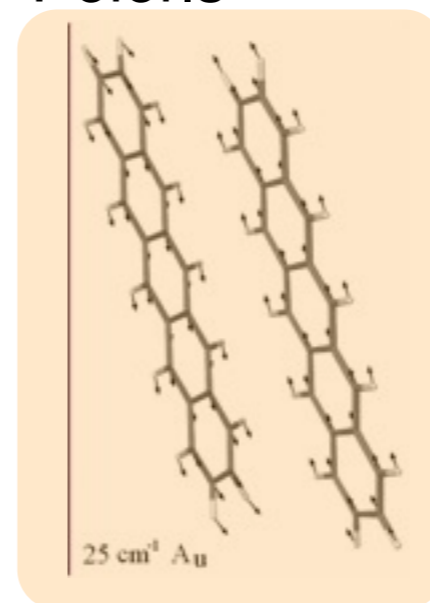


- *ab initio* calculations never fully agree with experiment (even GW)
- Unpredicted large H1/H2 separation systematically observed in all recent ARPES measurements in clean organic semiconductors (Pn and rubrene)
- Experimental bandwidth is **$W = 450 \pm 15 \text{ meV} \gg$** calculated **$W = 350 \pm 5 \text{ meV}$ (DFT, GW)**
- peaks are extremely broad --> interactions!

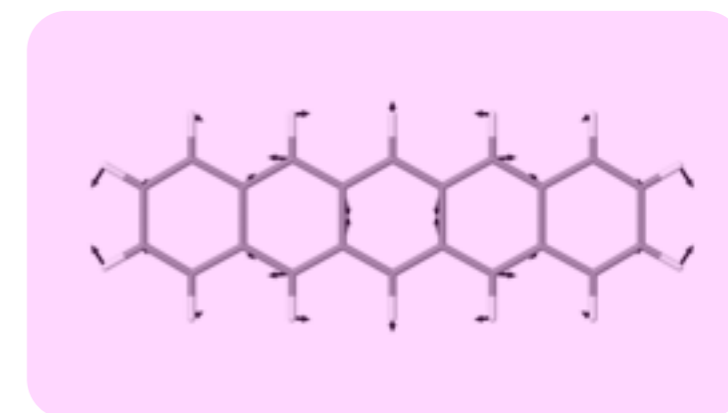
Interactions with molecular vibrations



Peierls



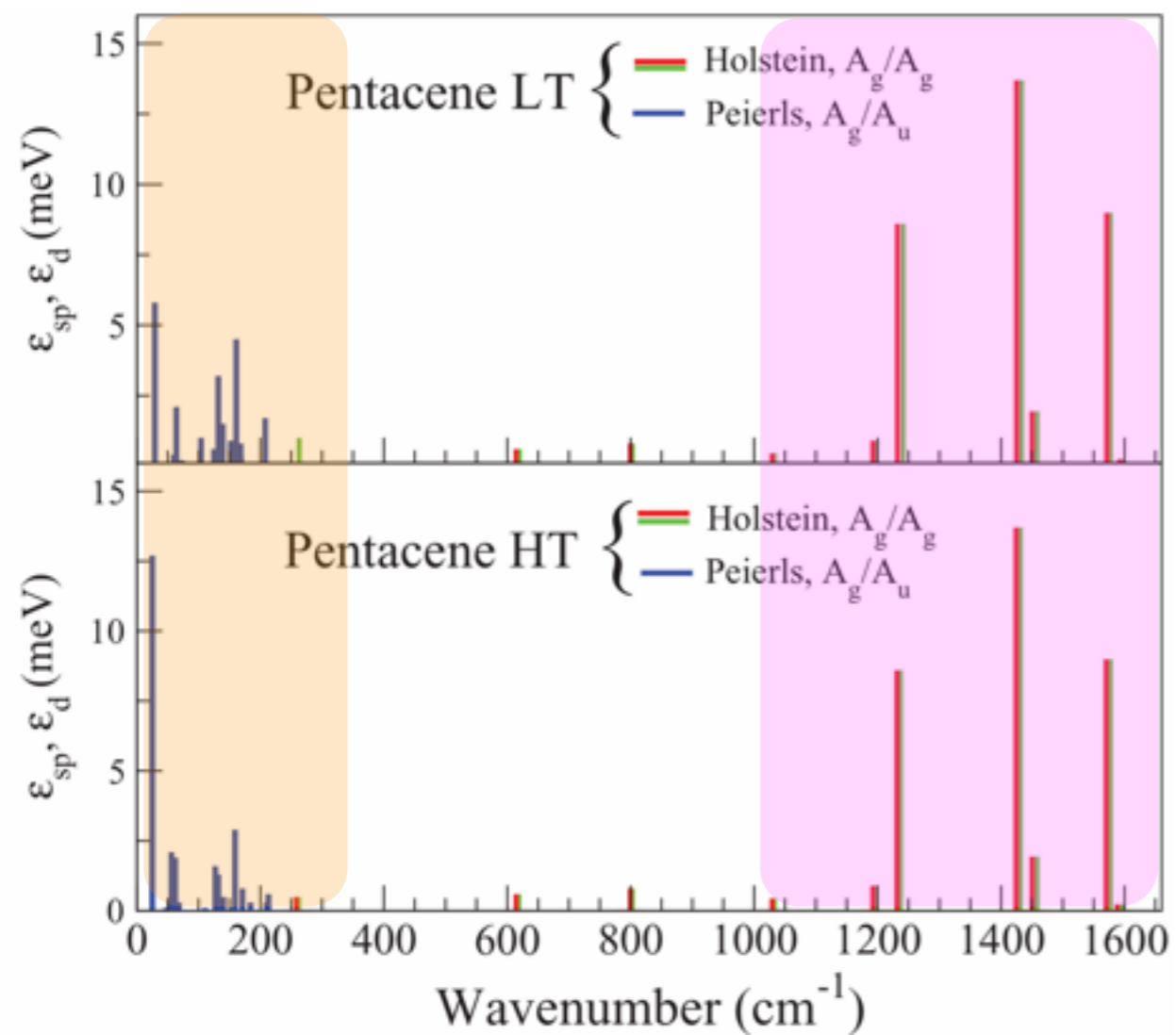
Holstein



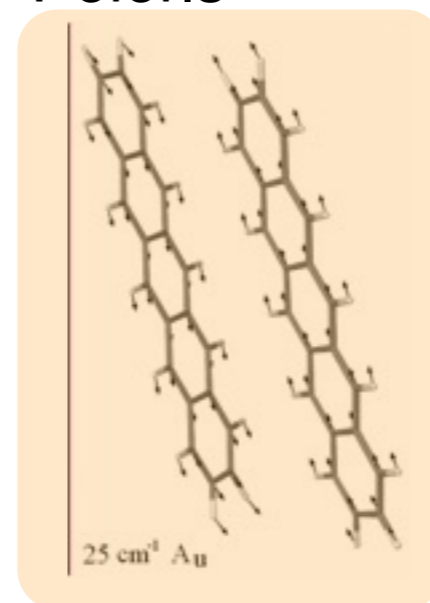
by courtesy of A. Girlando

INDO Calculations by [A. Girlando, et al., J. Chem. Phys. 135, 084701 (2011)]

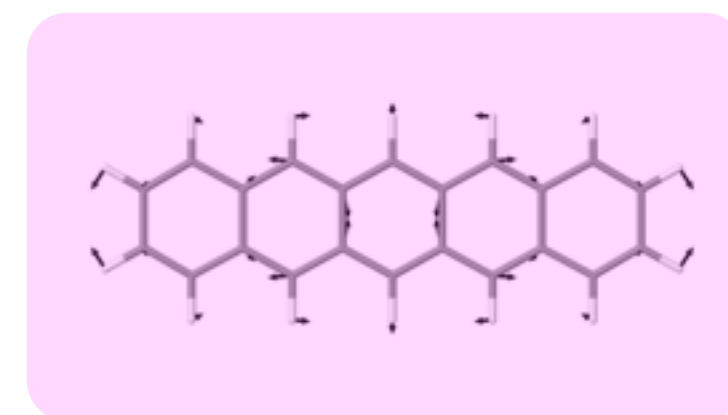
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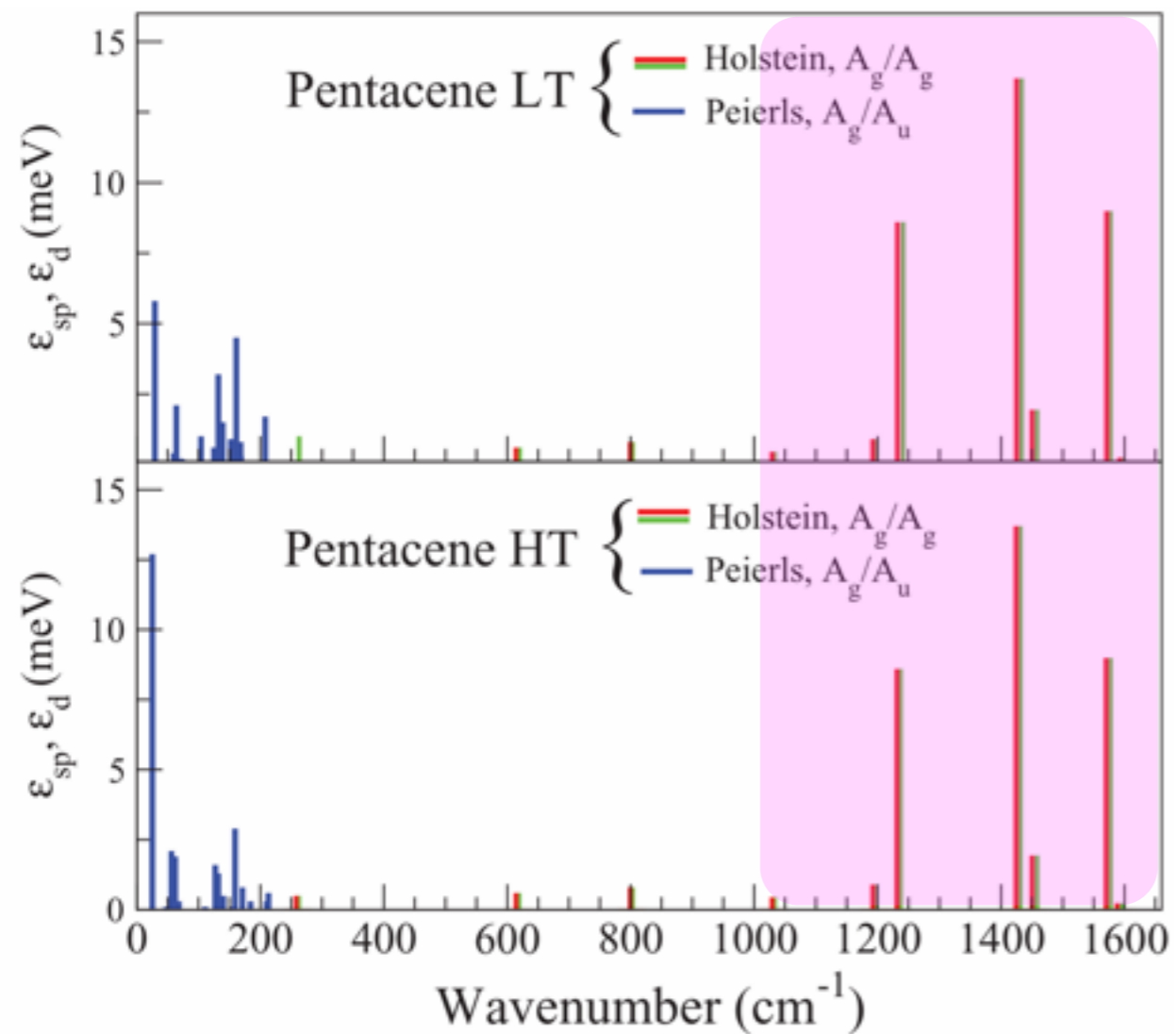


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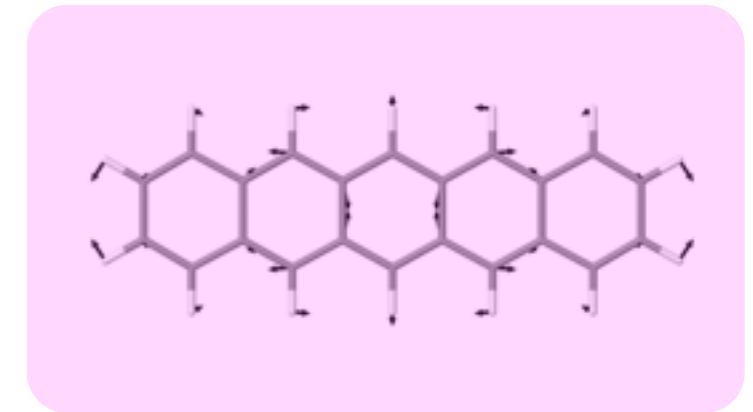
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- separation of energy scales between low energy intermolecular modes and high energy intramolecular modes
- Intramolecular (Holstein) FAST: $\omega_0 \approx 120-200 \text{ meV} \approx \text{bandwidth } W$
- Intermolecular (Peierls) SLOW: $\omega_0 \approx 3-20 \text{ meV} \ll \text{bandwidth } W$

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- below experimental resolution, neglect

DFT+GW+DMFT

[S. Ciuchi, R. C. Hatch, H. Höchst, C. Faber, X. Blase, S. Fratini PRL to appear (2012)]

Holstein model + disorder (Anderson)

ab initio band structure

$$H = \sum_{\langle ij \rangle} (t_{ij} c_i^\dagger c_j + h.c.)$$

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dispersionless molecular vibrations

$$\begin{aligned}
 H = & \sum_{\langle ij \rangle} (t_{ij} c_i^\dagger c_j + h.c.) + \sum_i \Omega (a_i^\dagger a_i + 1/2) \\
 & + \sum_i (\dots) \sqrt{\lambda} c_i^\dagger c_i (a_i^\dagger + a_i) + \sum_i \epsilon_i c_i^\dagger c_i
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local electron-vibration coupling

gaussian disorder in molecular energy

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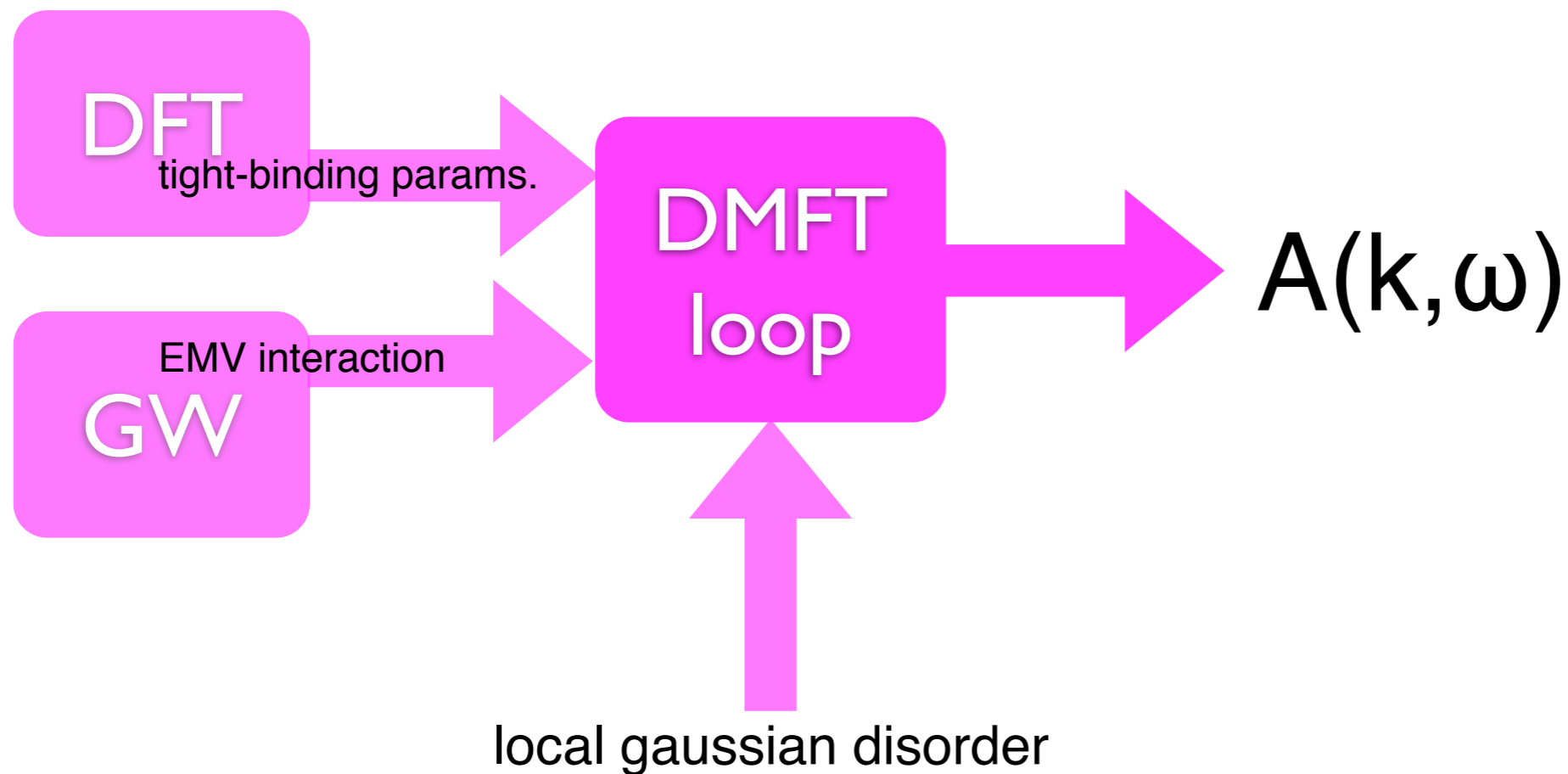
● relevant parameters:

- noninteracting bandwidth W from DFT/GW
- Intramolecular Holstein EMV coupling $\lambda = 2 E_P / W = 0.4$ from GW
- Einstein model phonon frequency $\Omega/W=0.5$
- Anderson local gaussian disorder variance $\Delta/W=0\div 0.5$

● Non perturbative approach in both disorder and EMV interaction

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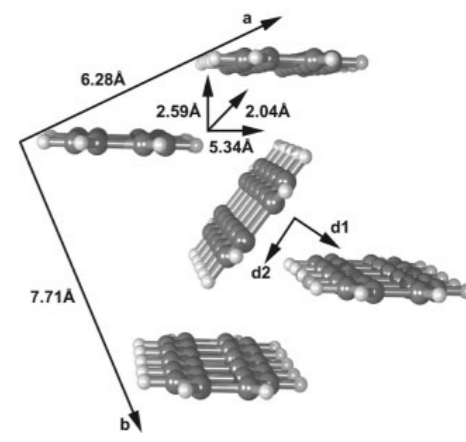
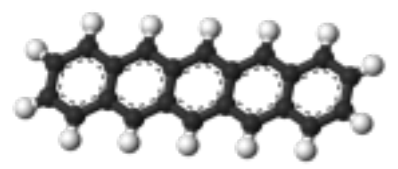
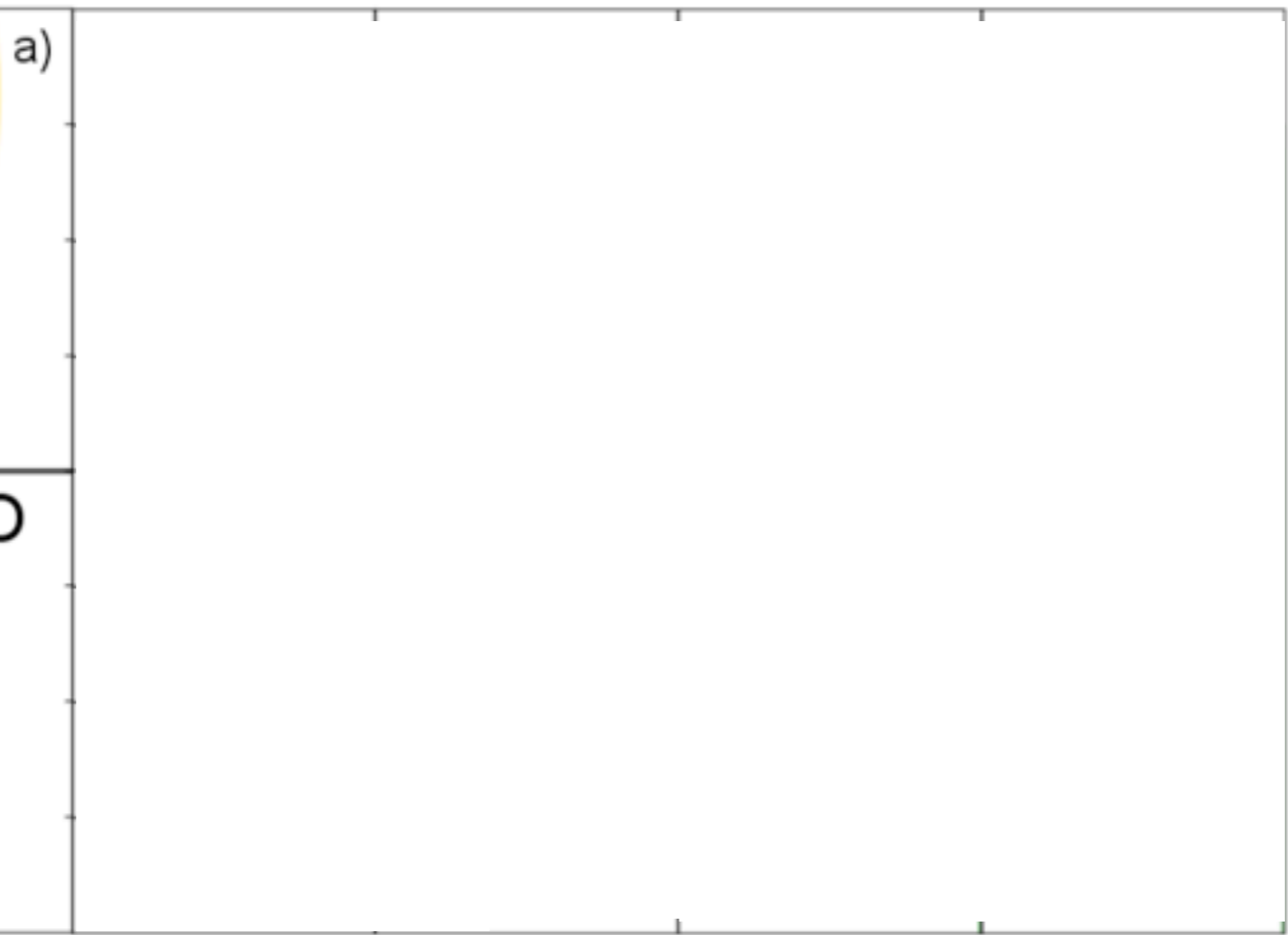
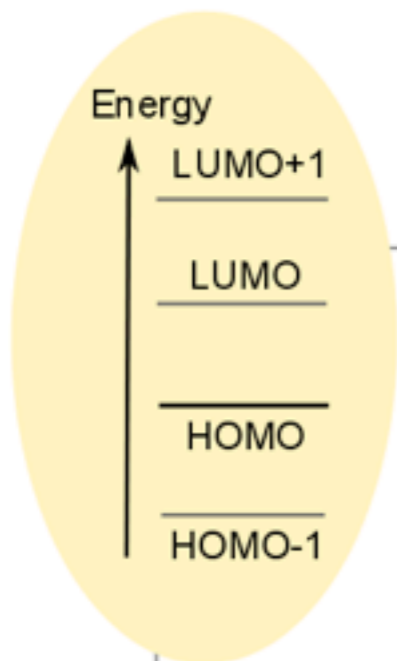
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- Non perturbative approach in both disorder and EMV interaction

Density of states: between molecules and bands

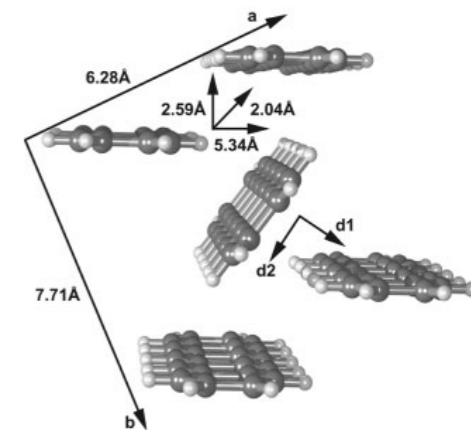
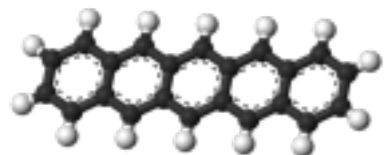
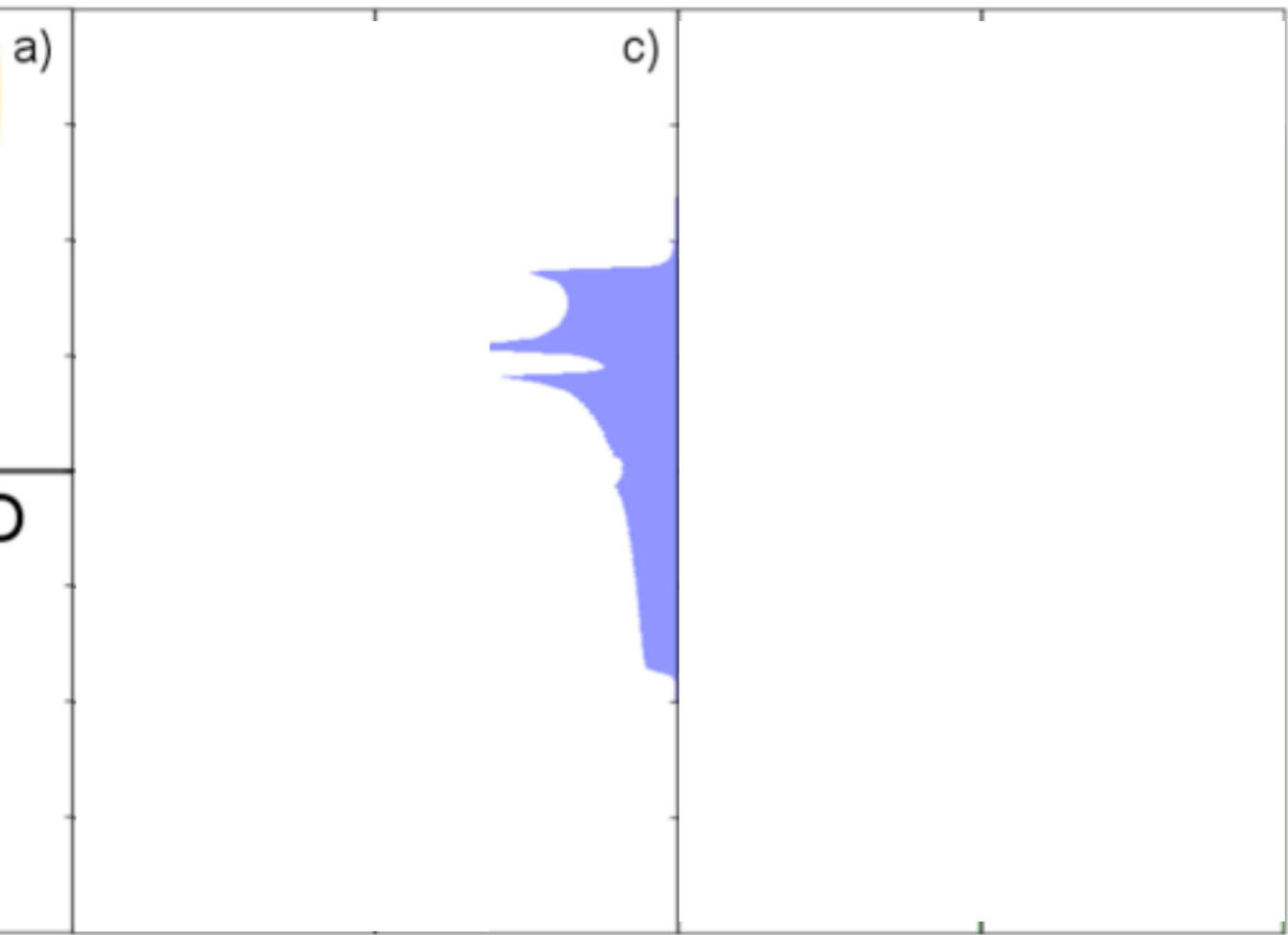
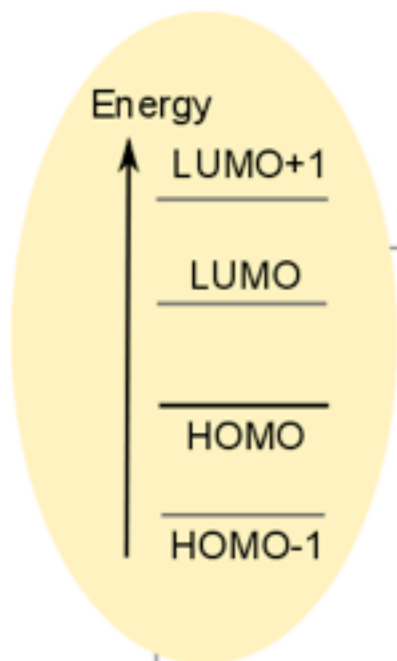
Density of States



Energy

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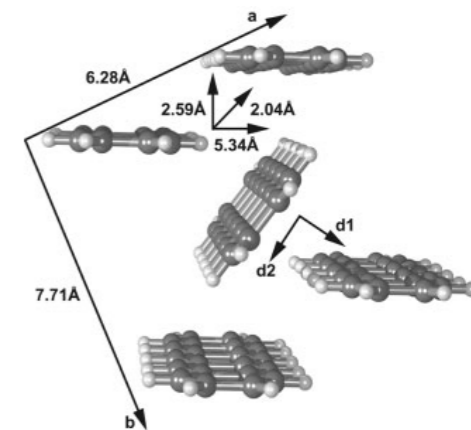
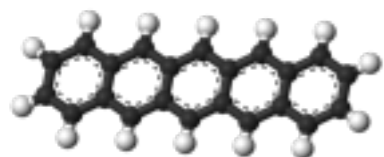
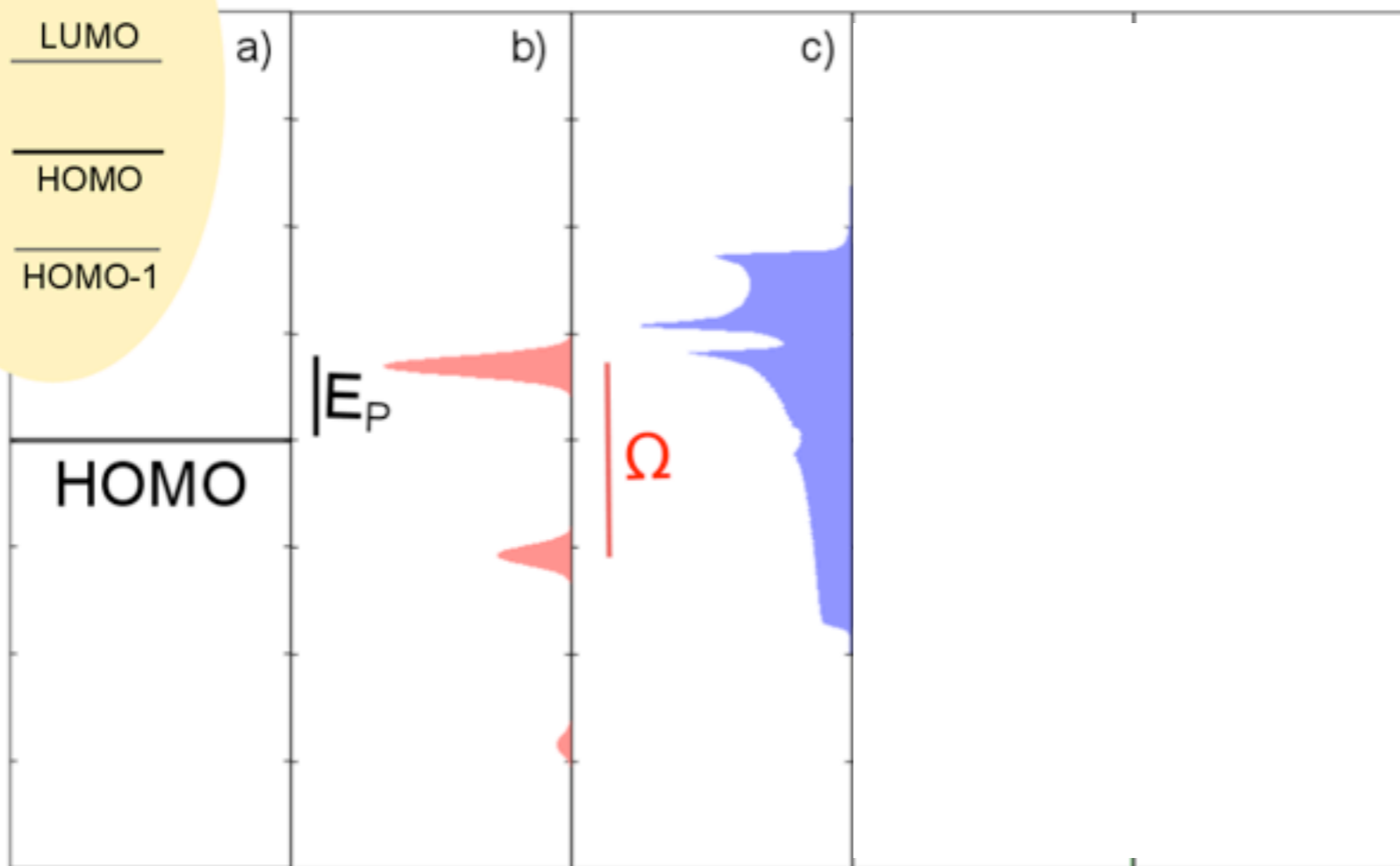
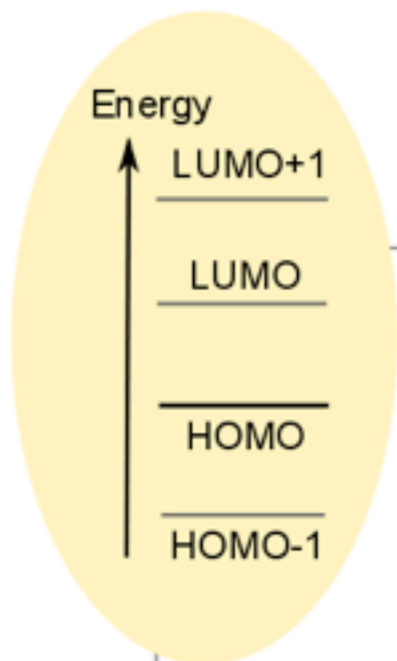
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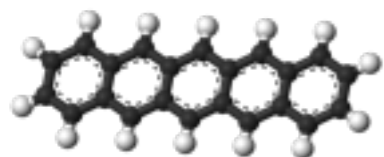
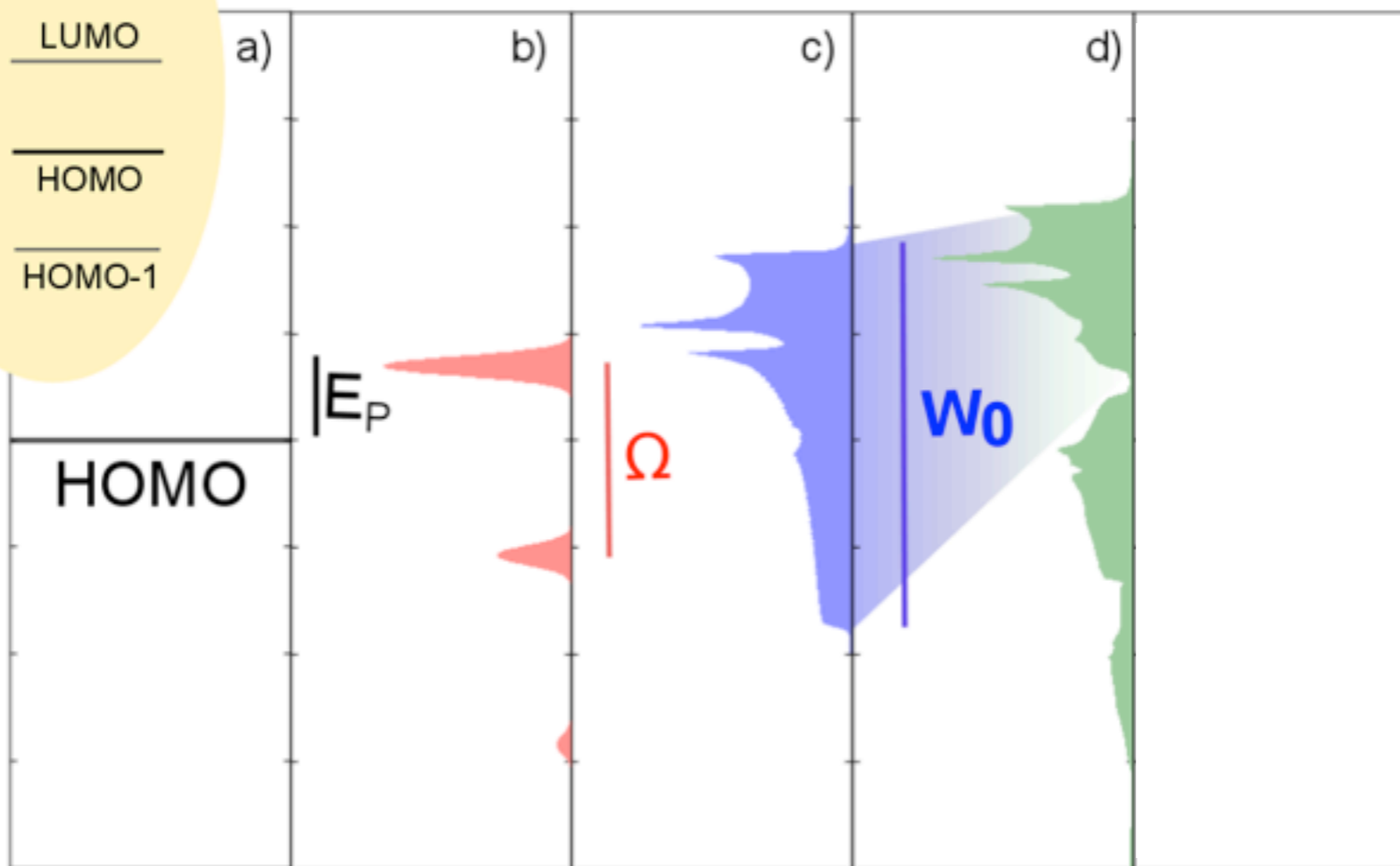
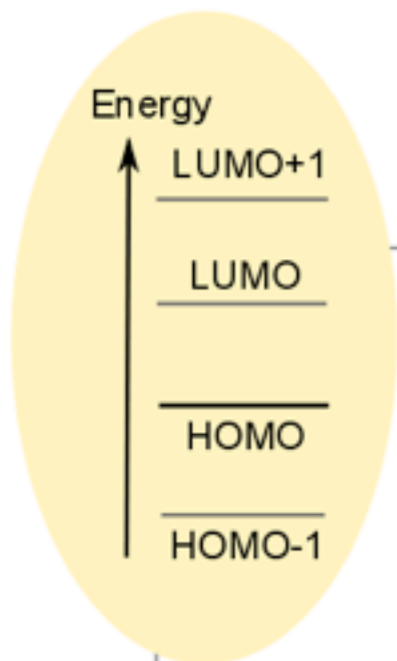
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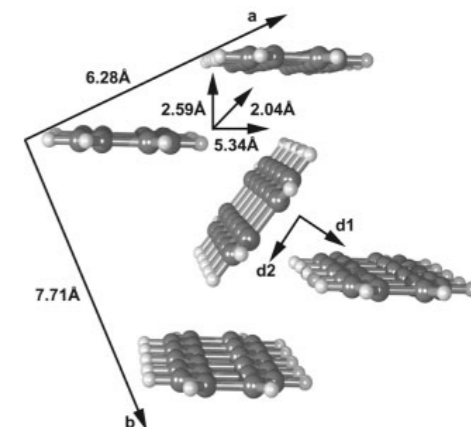
Energy

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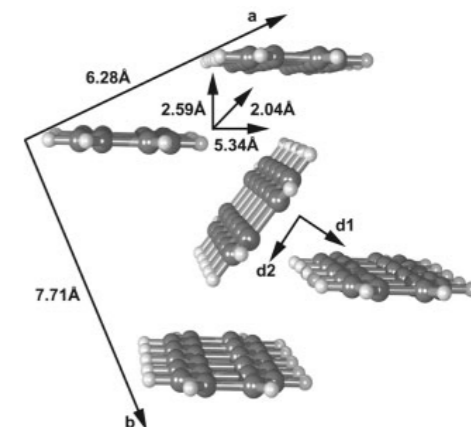
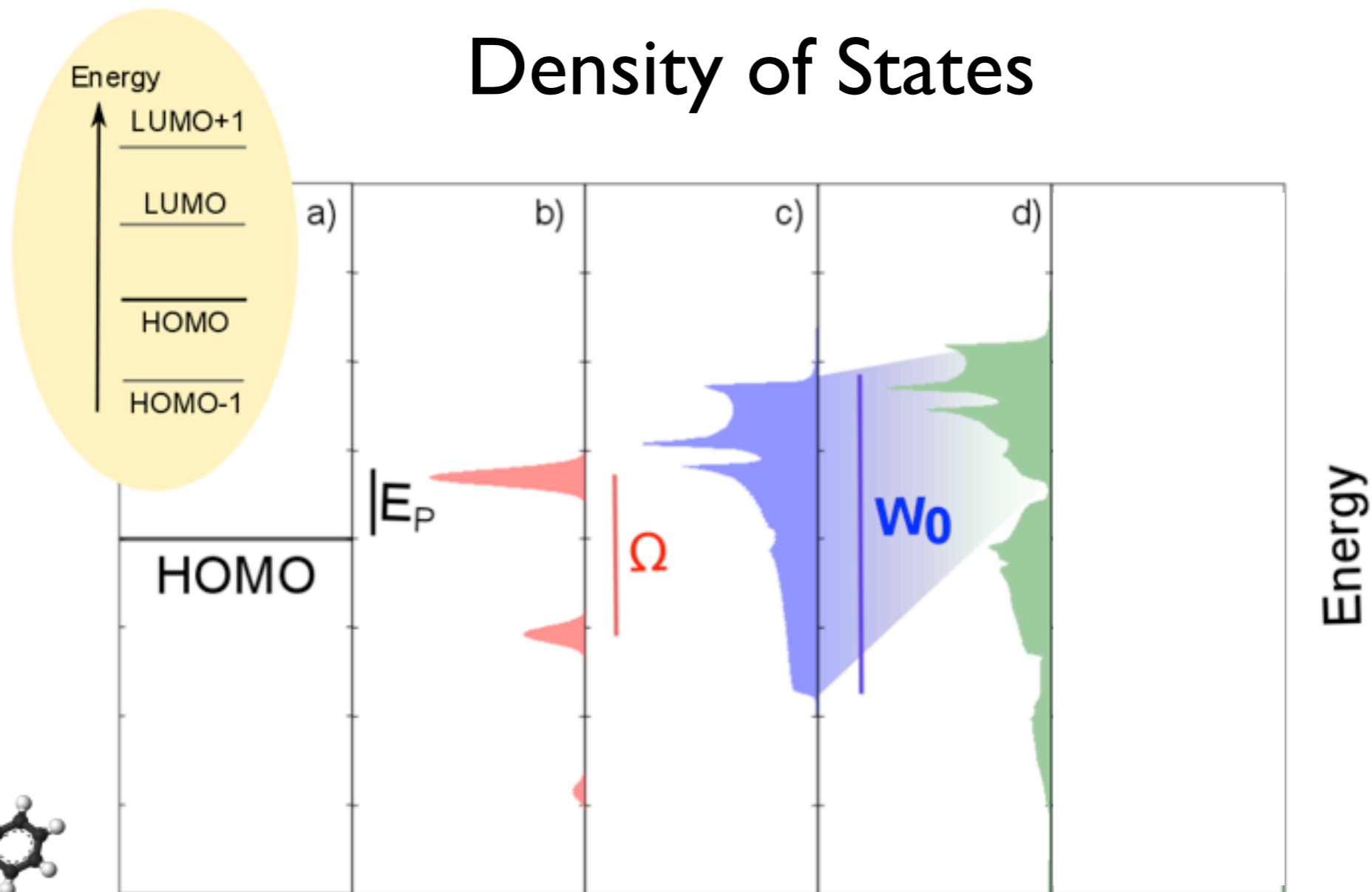


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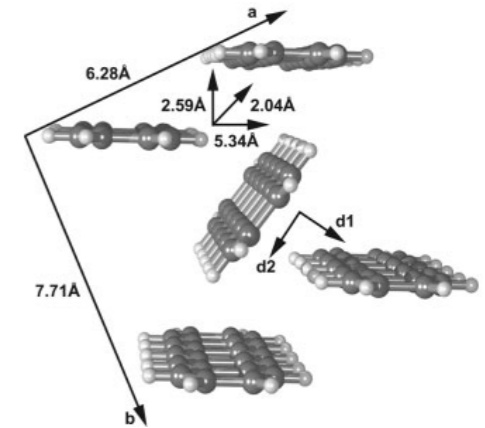
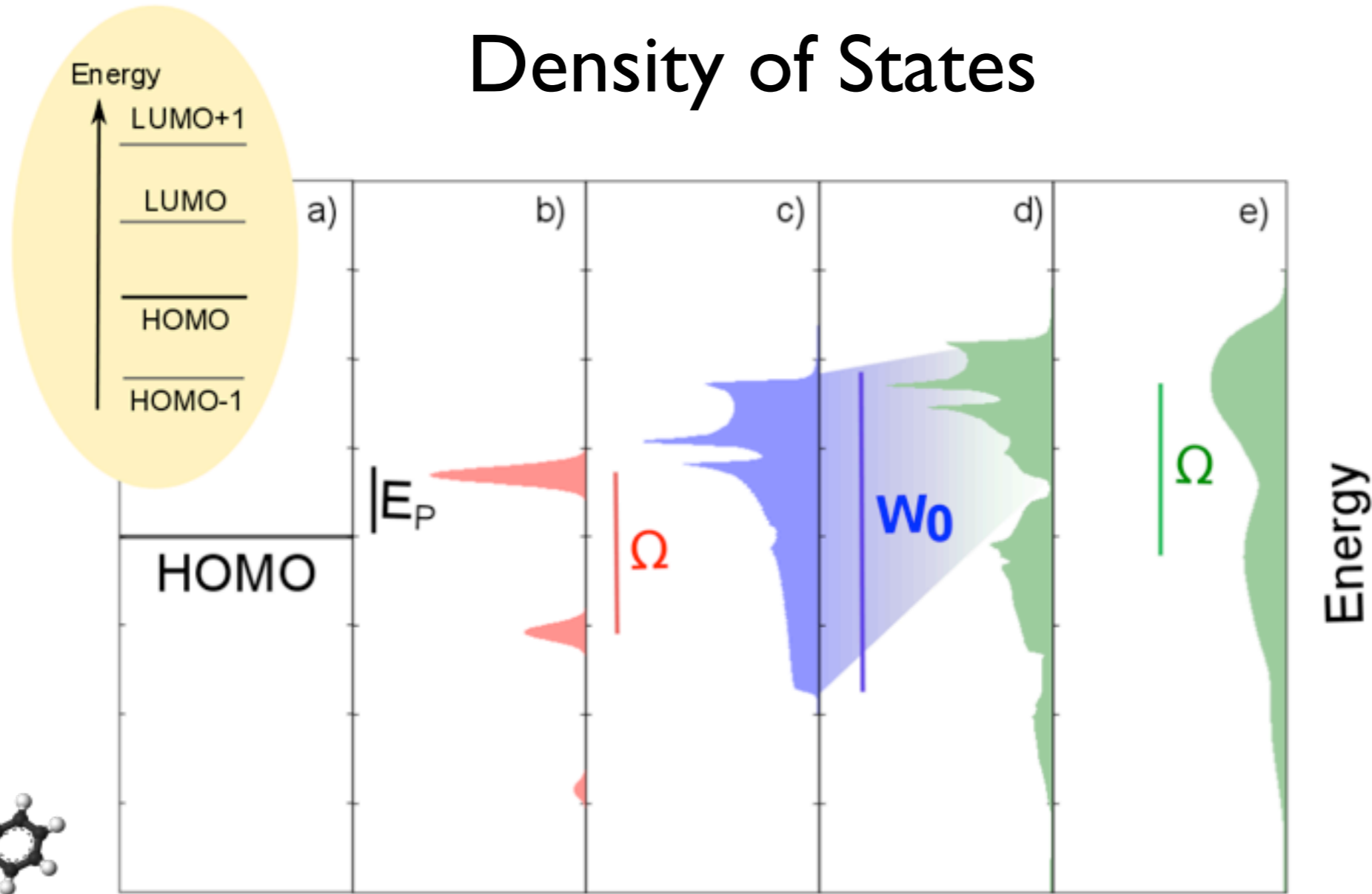
Density of States



+ structural and thermal disorder (because of VdW bonding, these materials are soft and easily deformable)

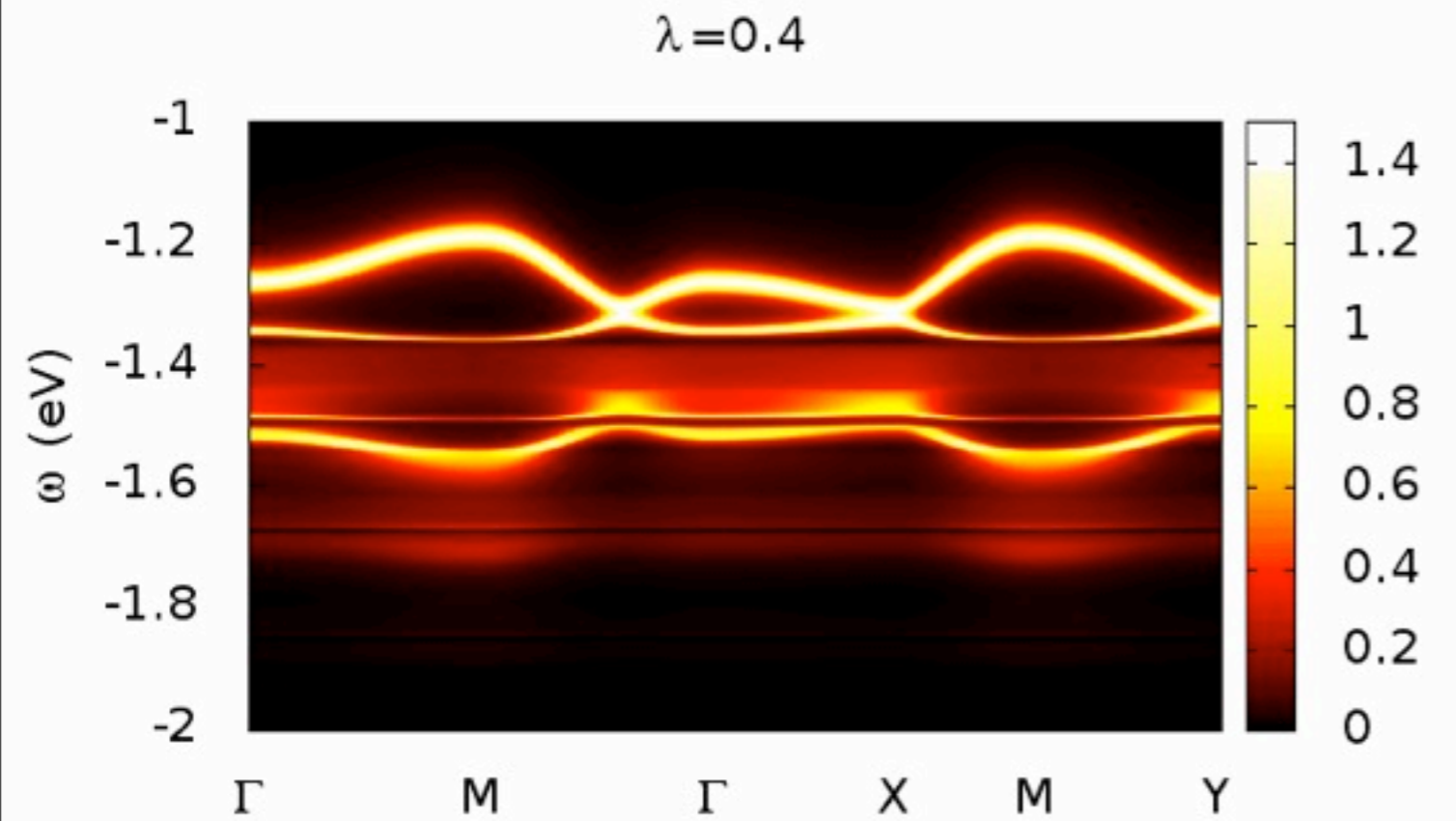
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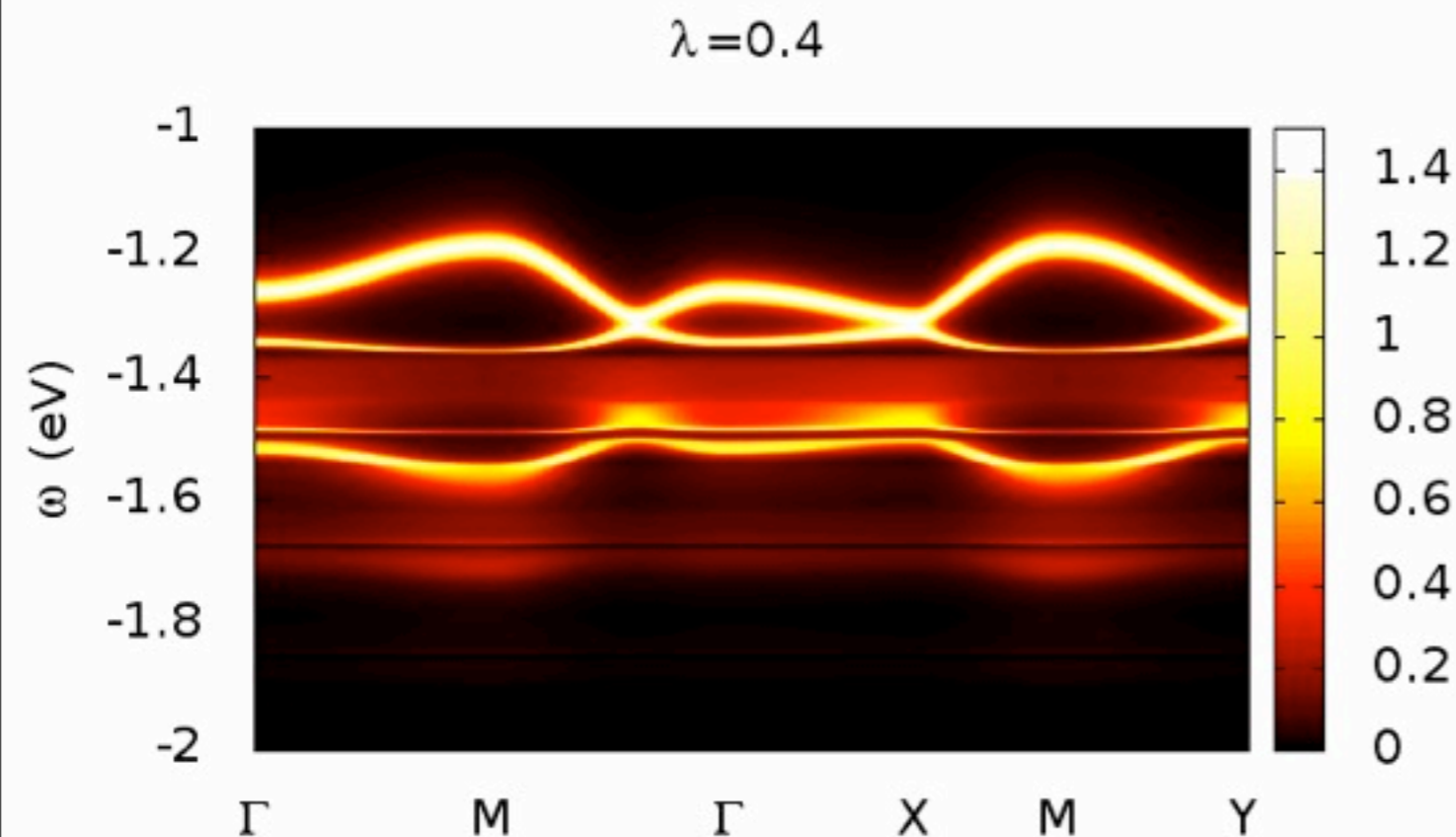


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Evolution of bands with EMV coupling



Evolution of bands with EMV coupling

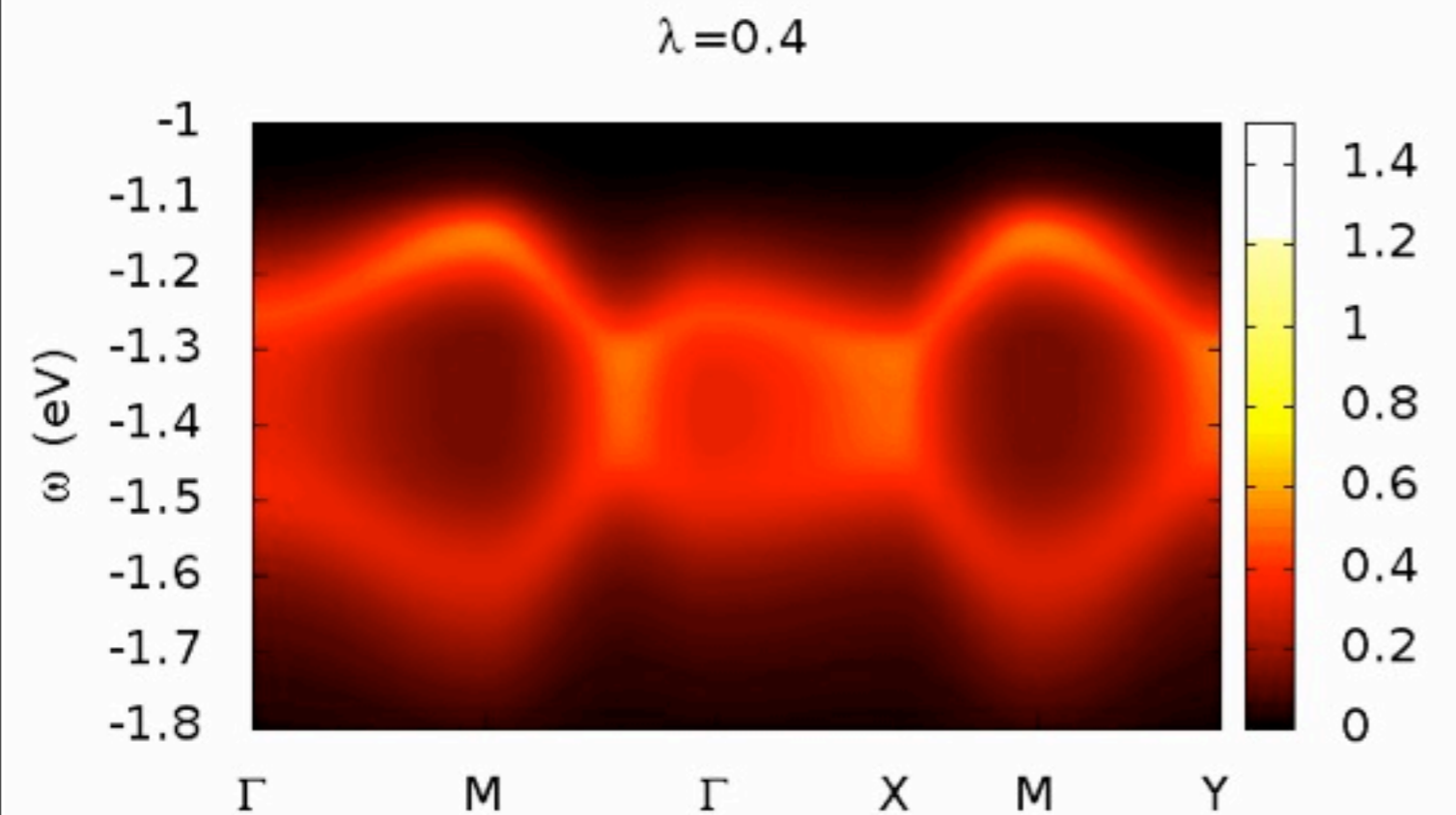


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--> tends to spectrum of the individual molecule!

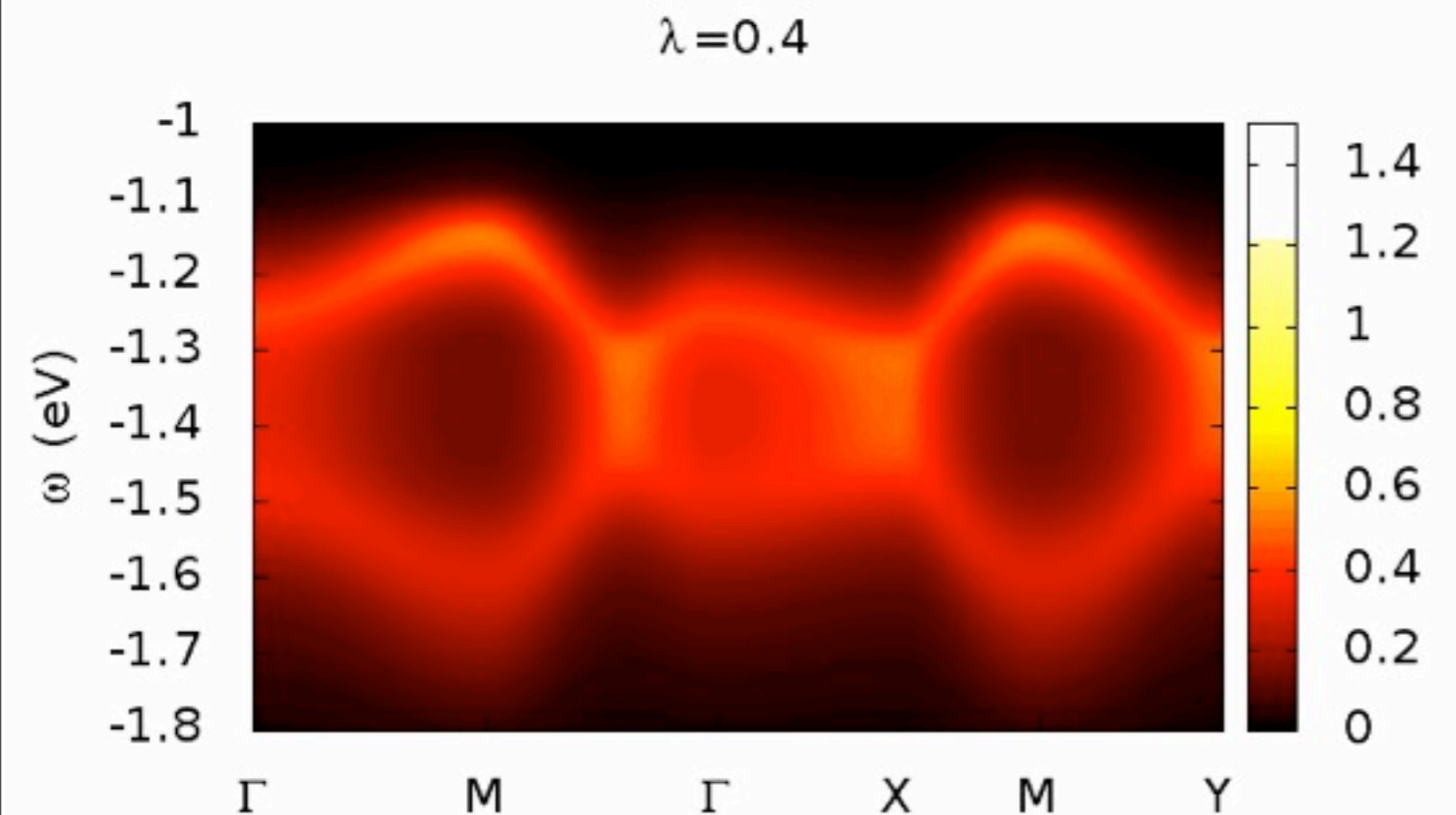
- **redistribution of spectral weight:** EMV interaction shifts the top of band upwards (molecular relaxation energy E_p) and increases the range of electronic excitations

Evolution of bands with EMV coupling



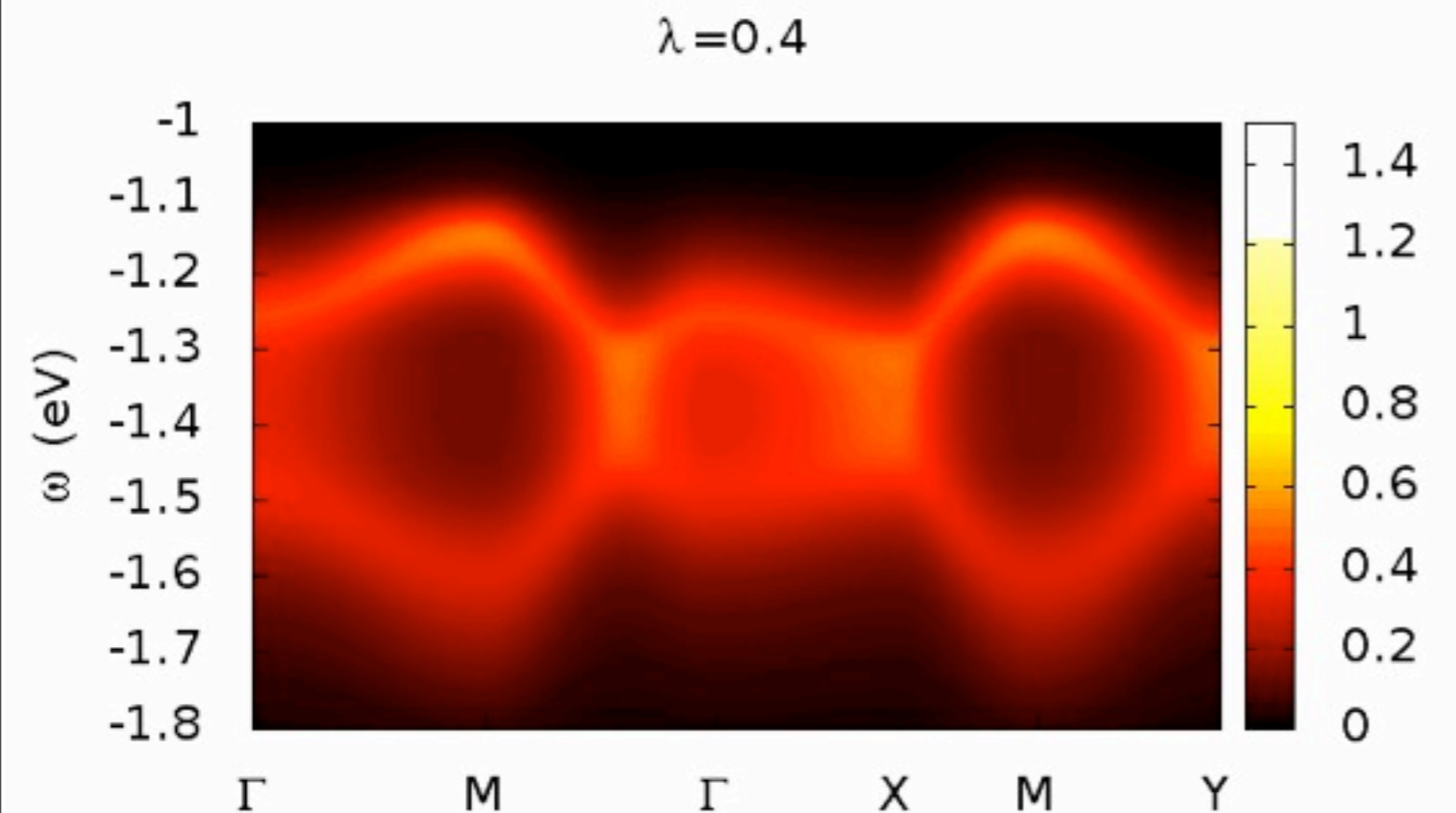
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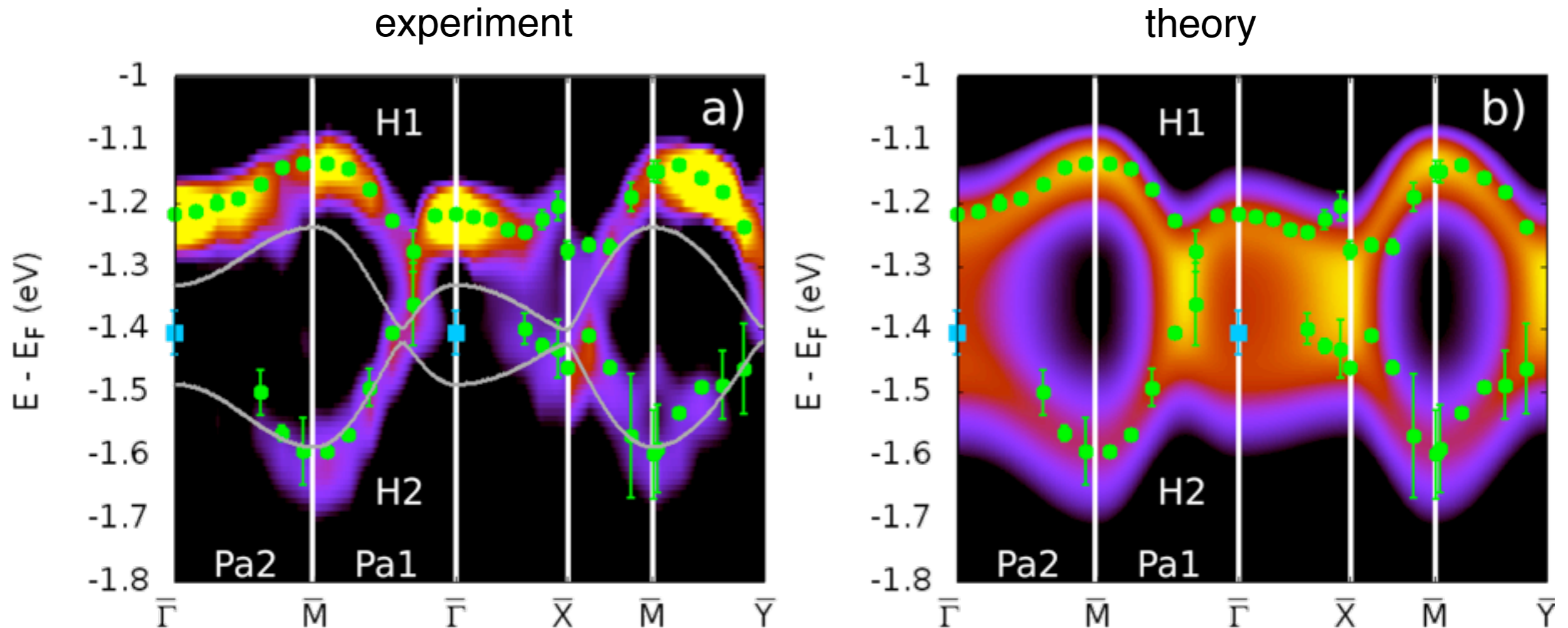
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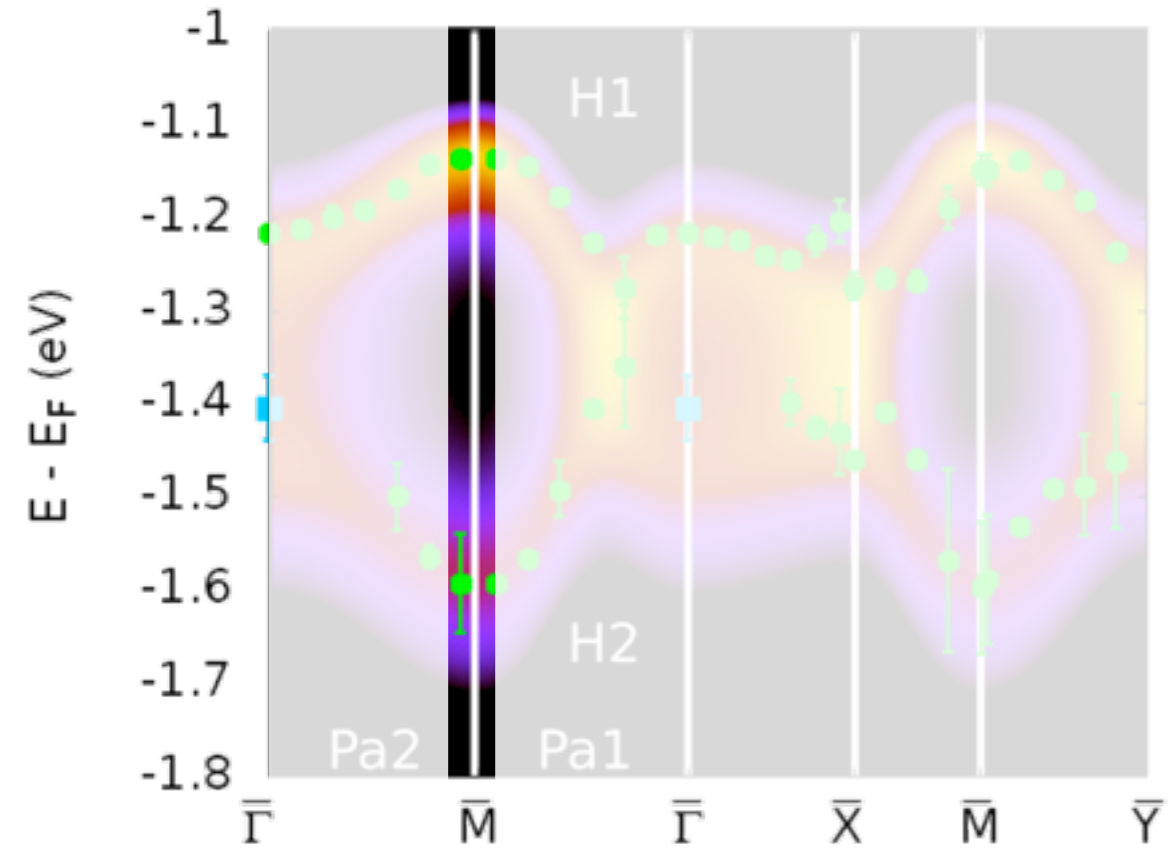
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- from the GW calculated value $\lambda=0.4$, estimate disorder $\Delta=75\pm 15\text{meV}$

ARPES: experiment vs theory (EMV interaction and disorder)

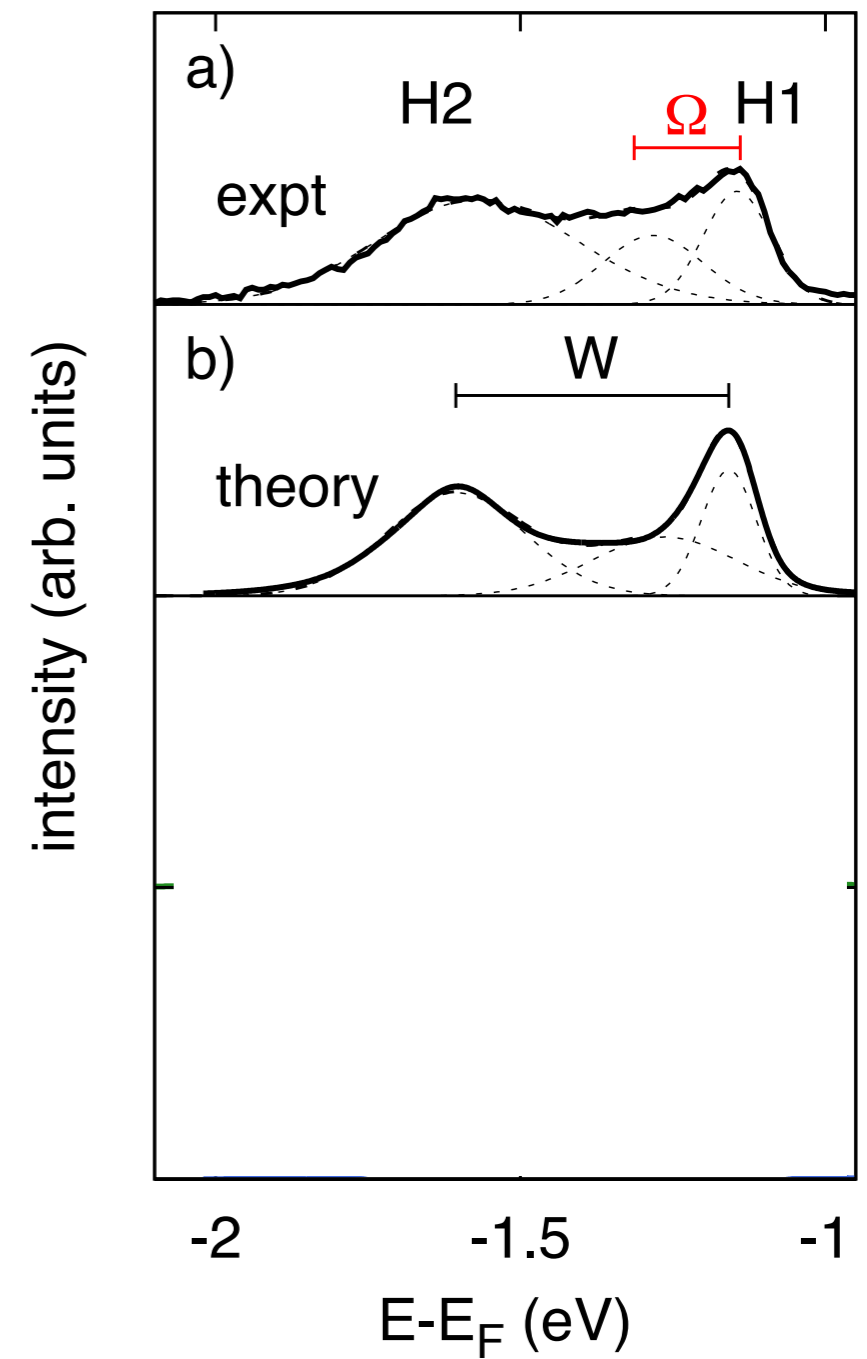
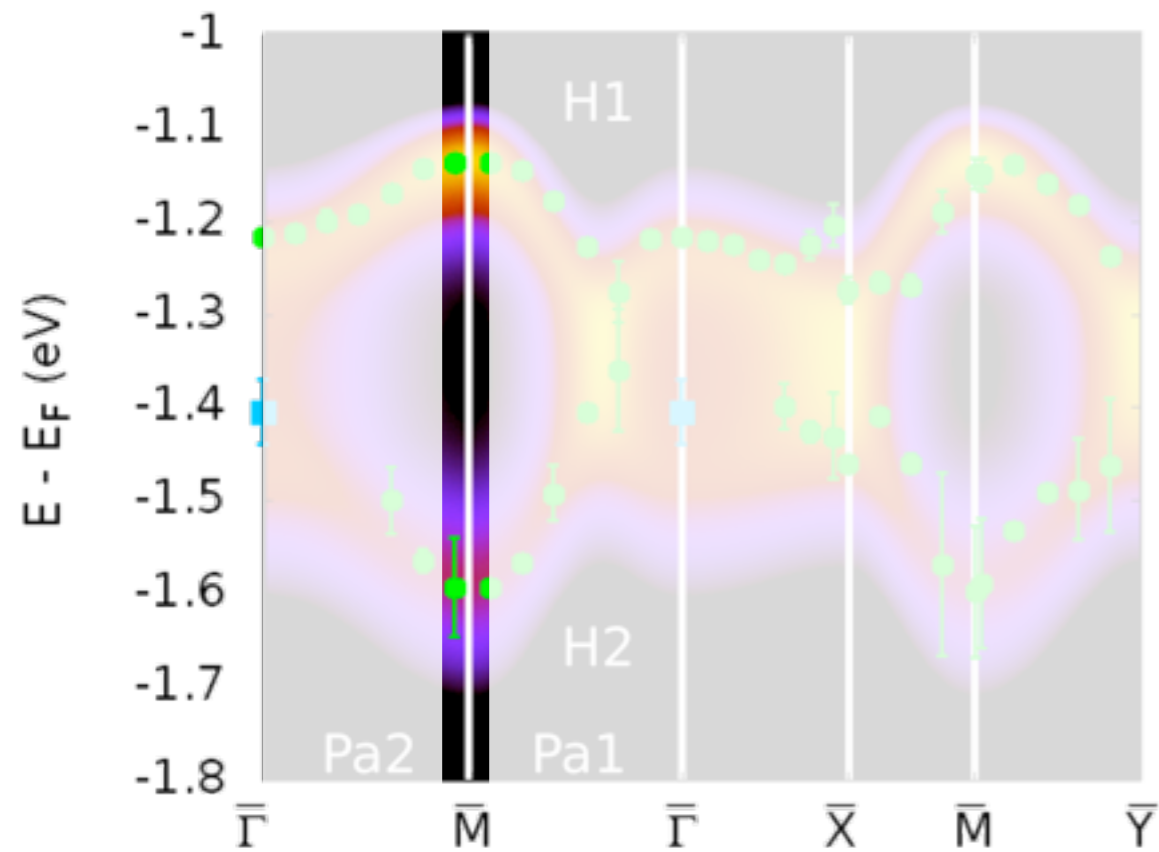


- interplay of EMV interaction and disorder explains the observed band dispersion (H1 band is shifted upwards by the molecular relaxation energy E_p)
- multiphonon fine structure could be seen in future experiments

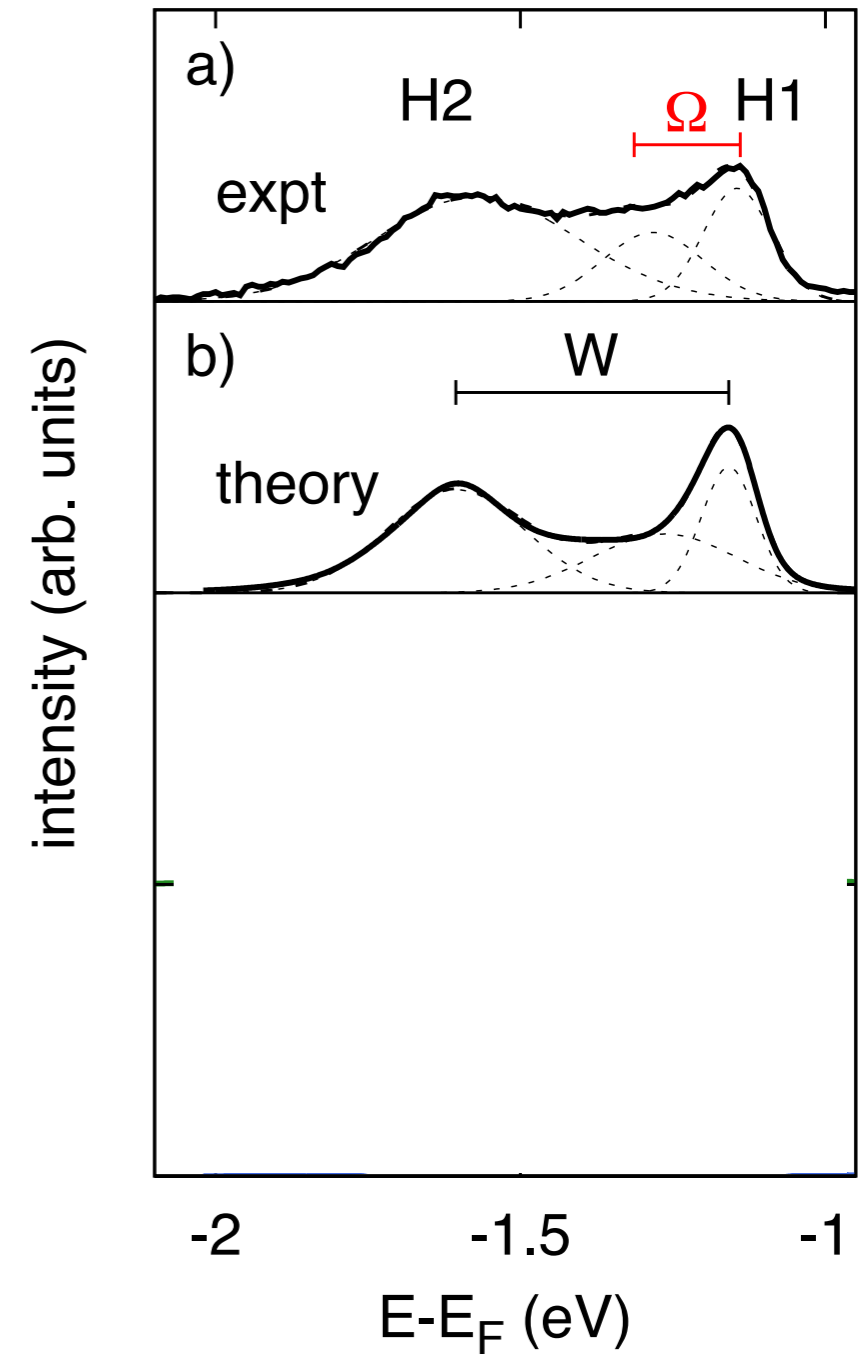
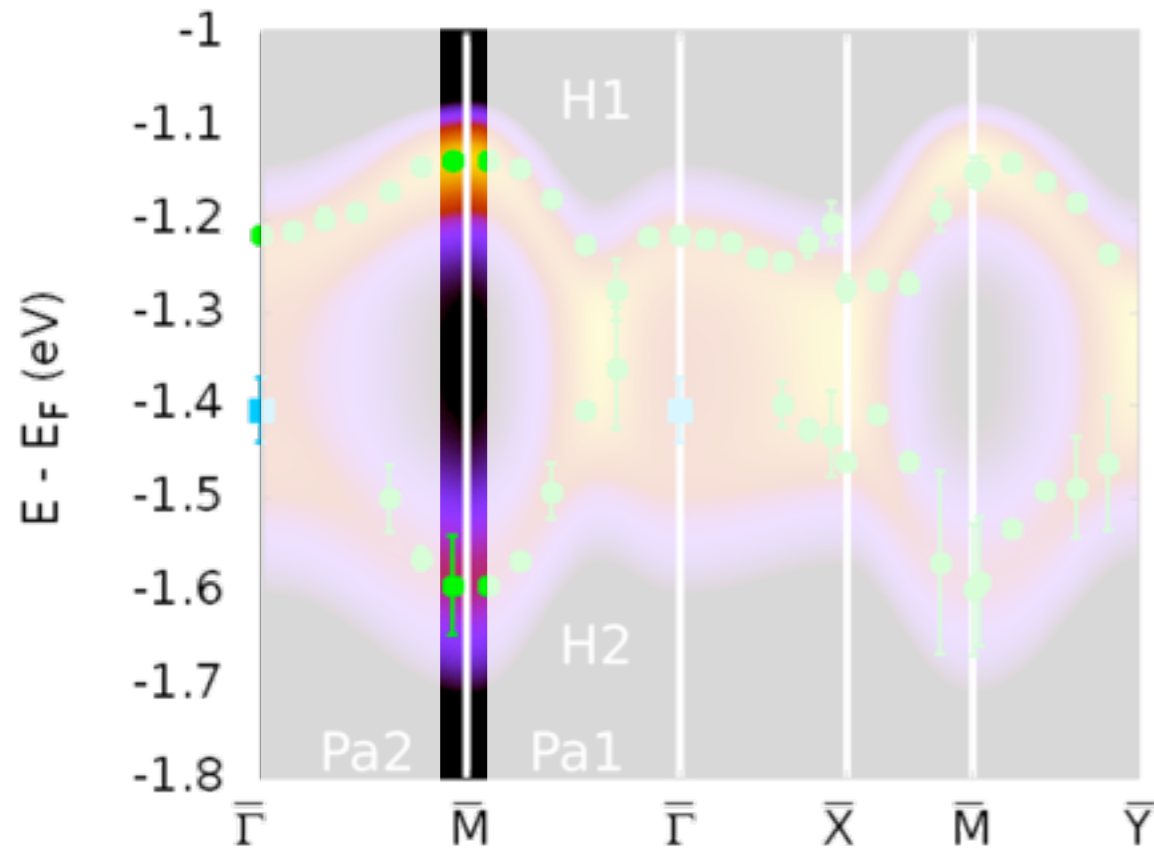
ARPES Pentacene: hallmarks of EMV



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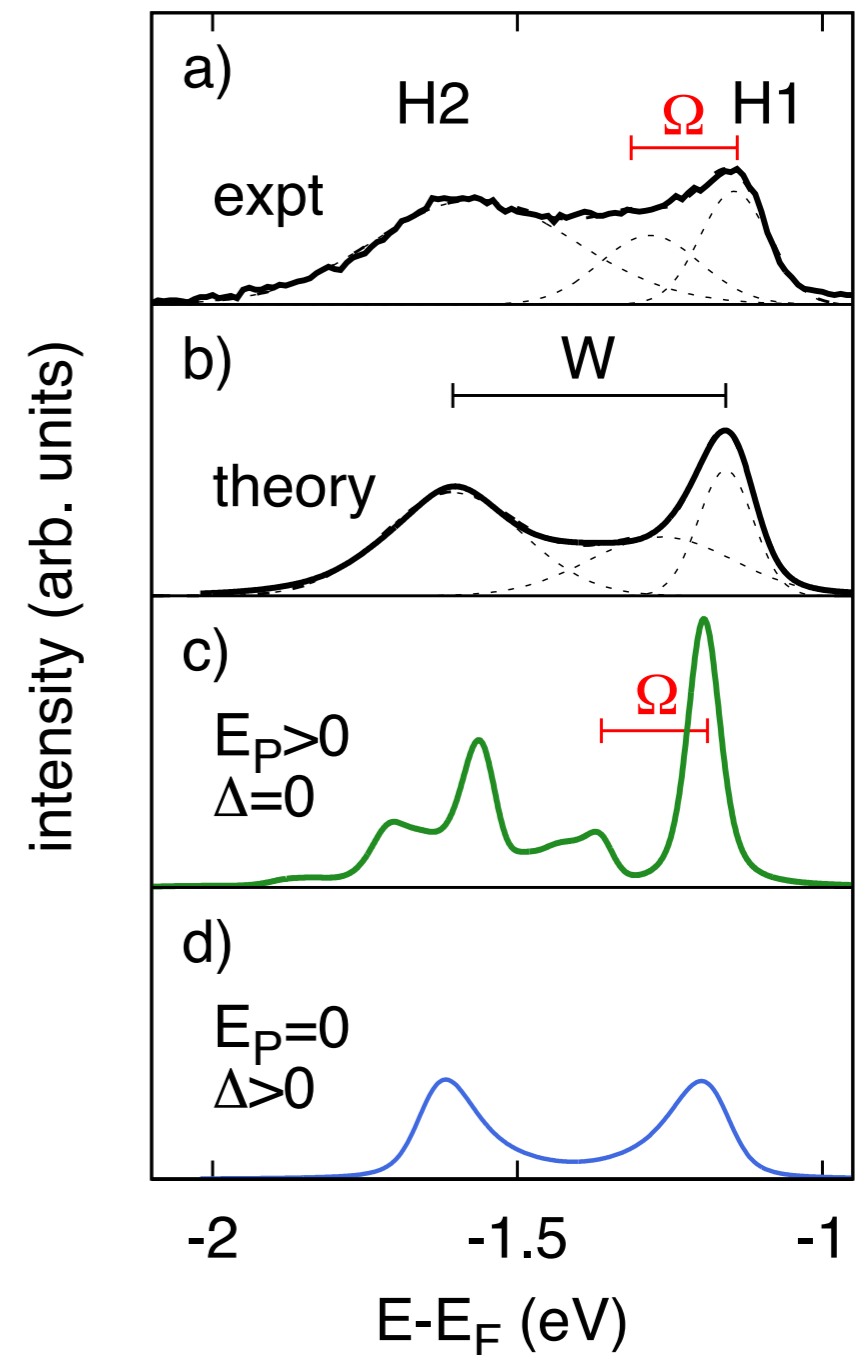
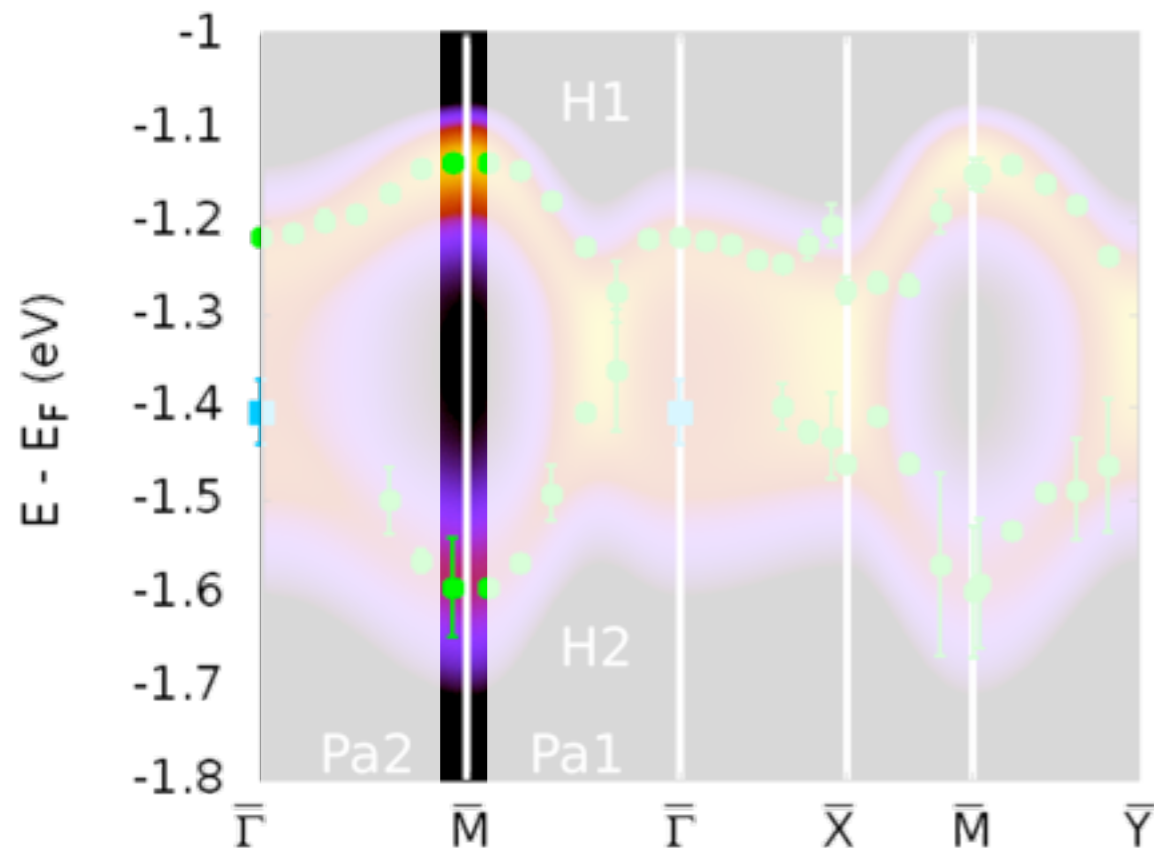


ARPES Pentacene: hallmarks of EMV



- Large spectral weight inside the H1/H2 gap:
H1 overtone is there, but broadened by disorder
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Outline

- Introduction: something's wrong with the “common wisdom”

- **ARPES:**

the role of intramolecular vibrations and disorder

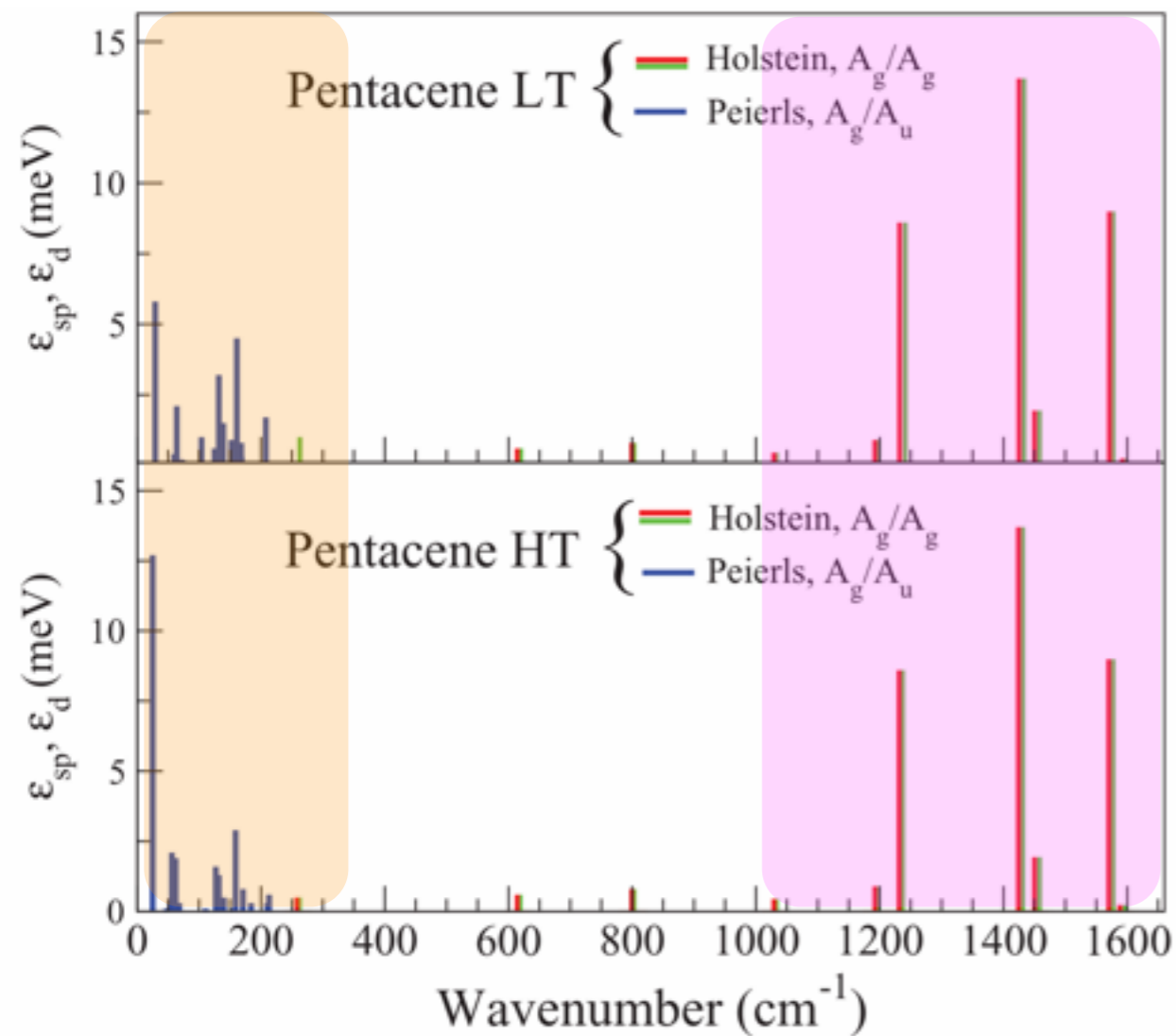
- **Transport properties:**

the role of intermolecular vibrations and the concept of transient localization

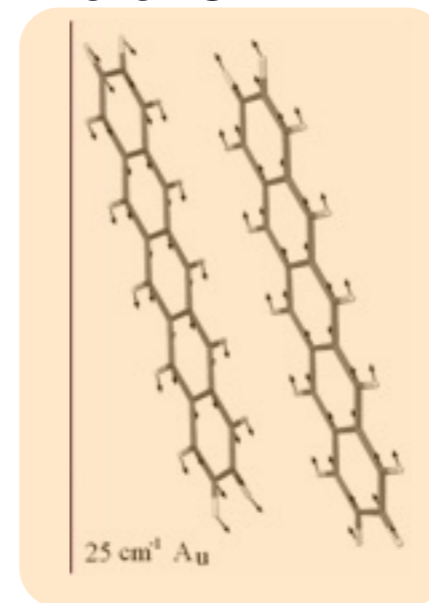
- Kubo formula revisited: carrier diffusivity from optical experiments

- Concluding remarks

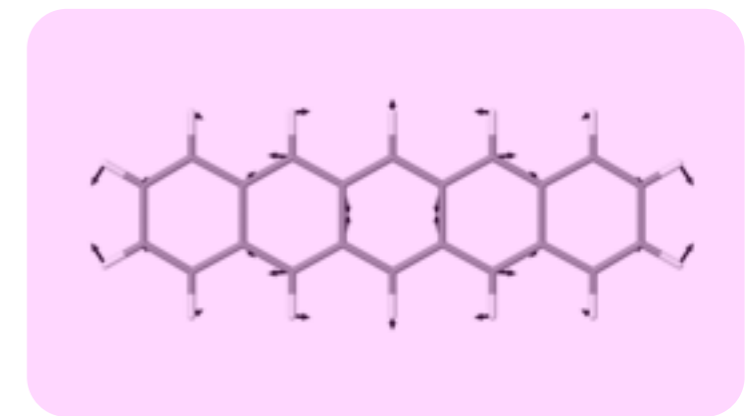
Interactions with molecular vibrations



Peierls



Holstein

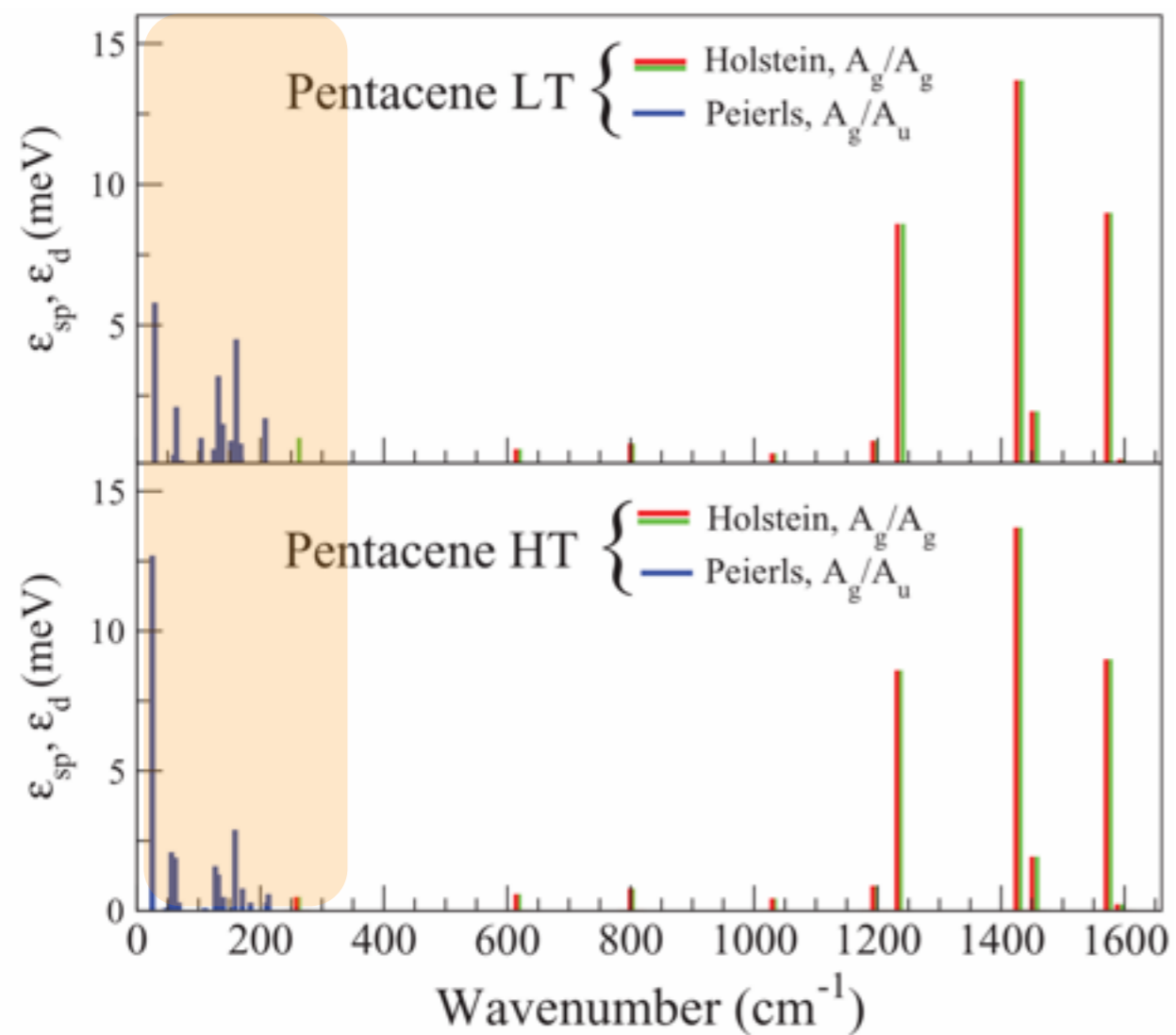


by courtesy of A. Girlando

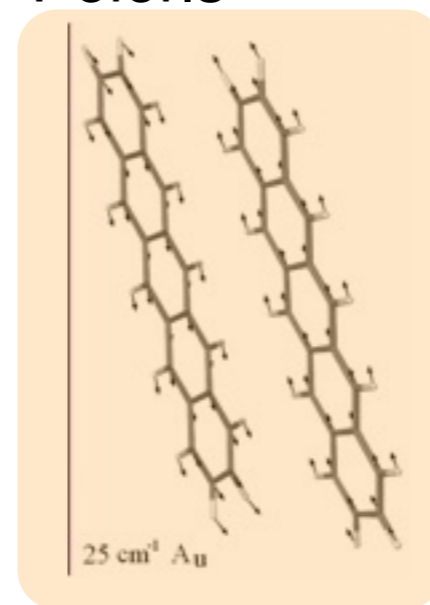
INDO Calculations by [A. Girlando, et al., J. Chem. Phys. 135, 084701 (2011)]

- separation of energy scales between low energy intermolecular modes and high energy intramolecular modes
- Intramolecular (Holstein) FAST: $\omega_0 \approx 120-200 meV \approx$ bandwidth W
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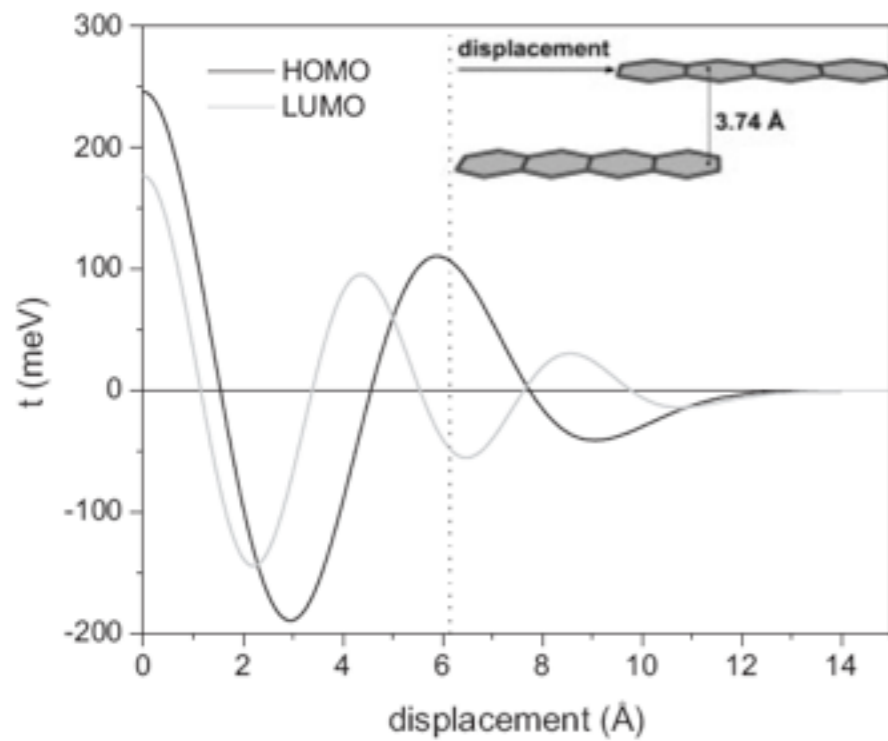
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these modes are too fast to really affect electron transport, and no T dependence
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The model

- modulation of the transfer integral t due to relative intermolecular vibrations

DFT

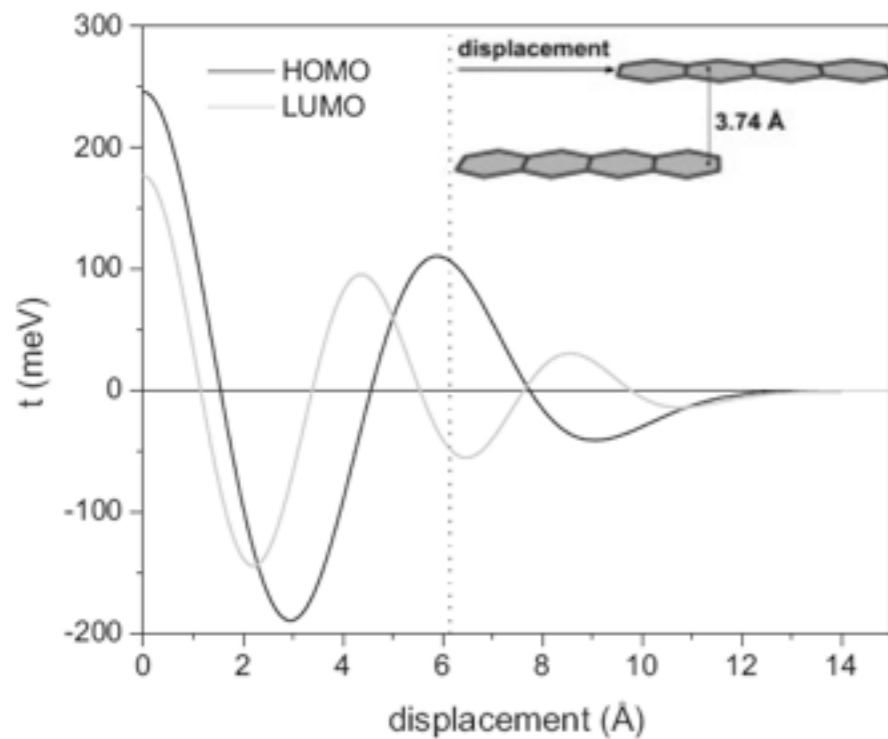


[DaSilva AdvMat05, Cornil AdvMat06]

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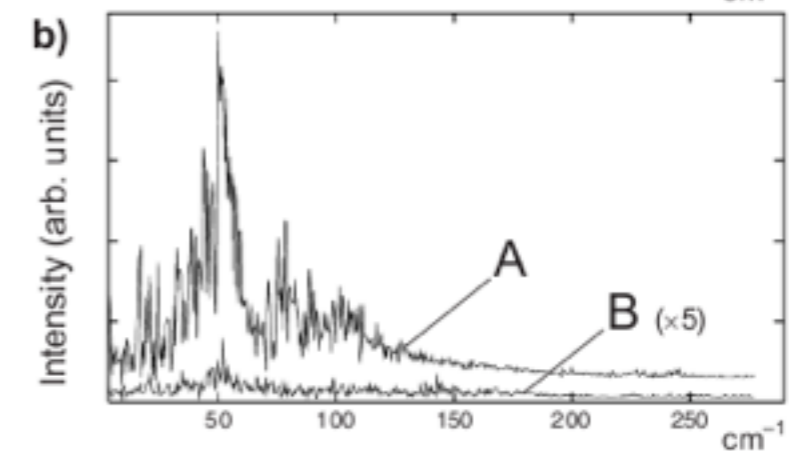
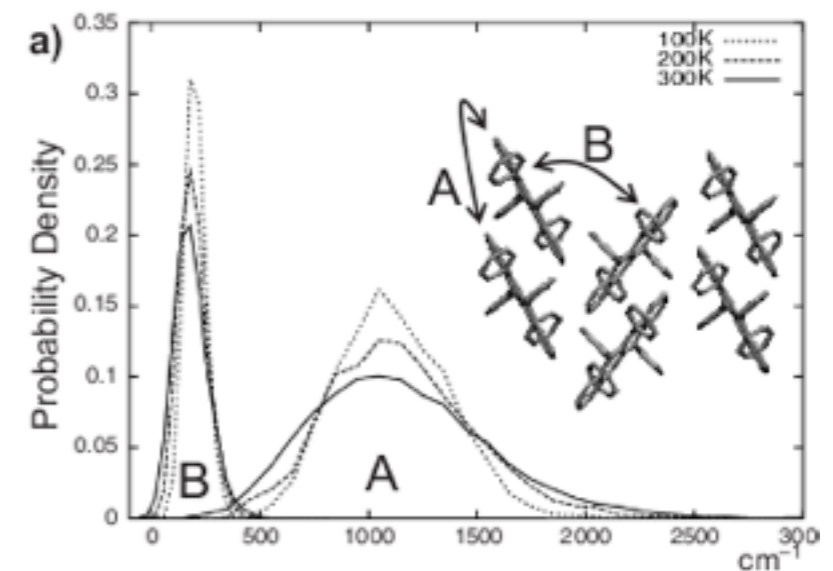
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molecular dynamics

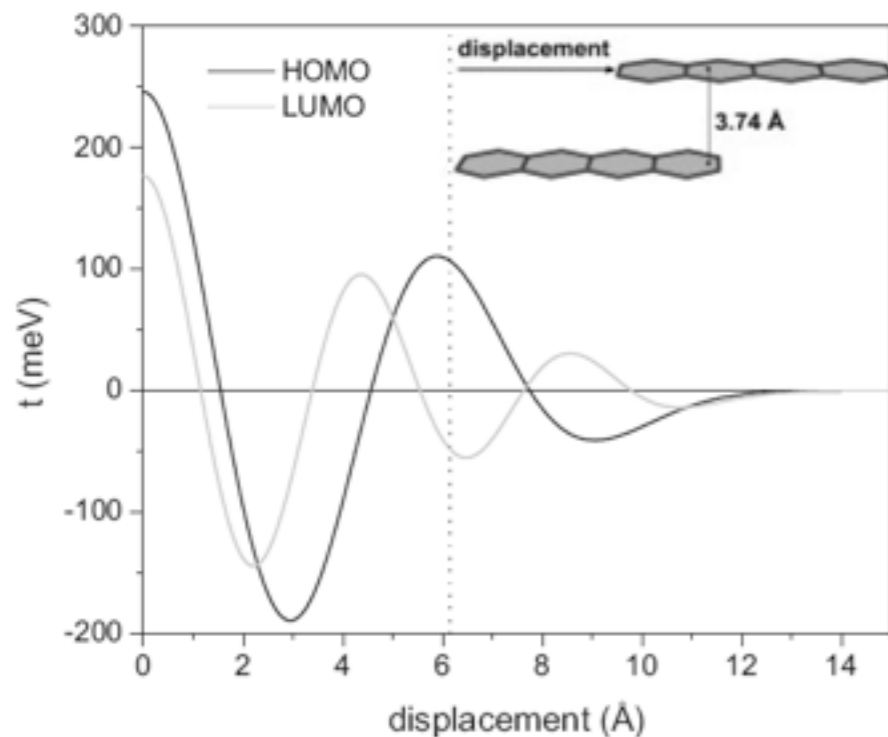


[Troisi AdvMat07]

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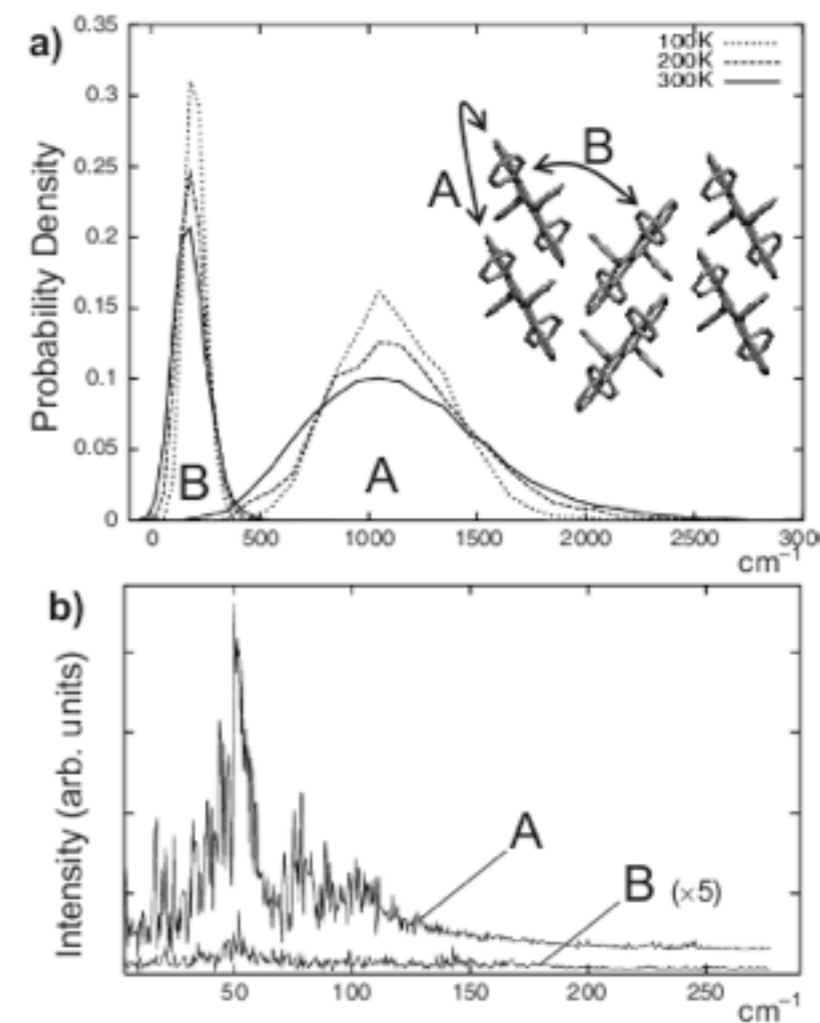
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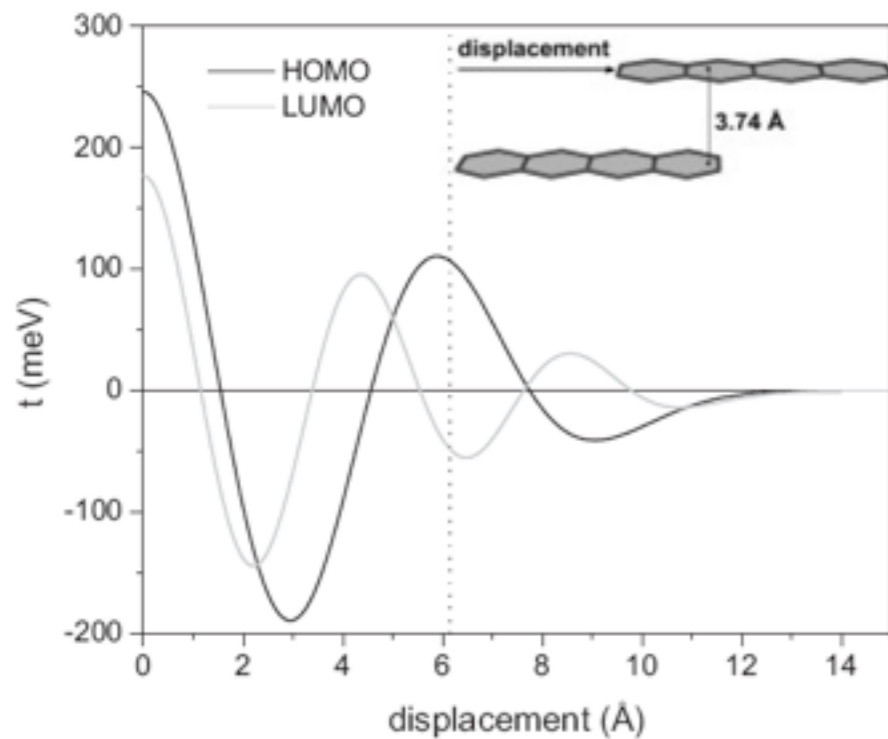


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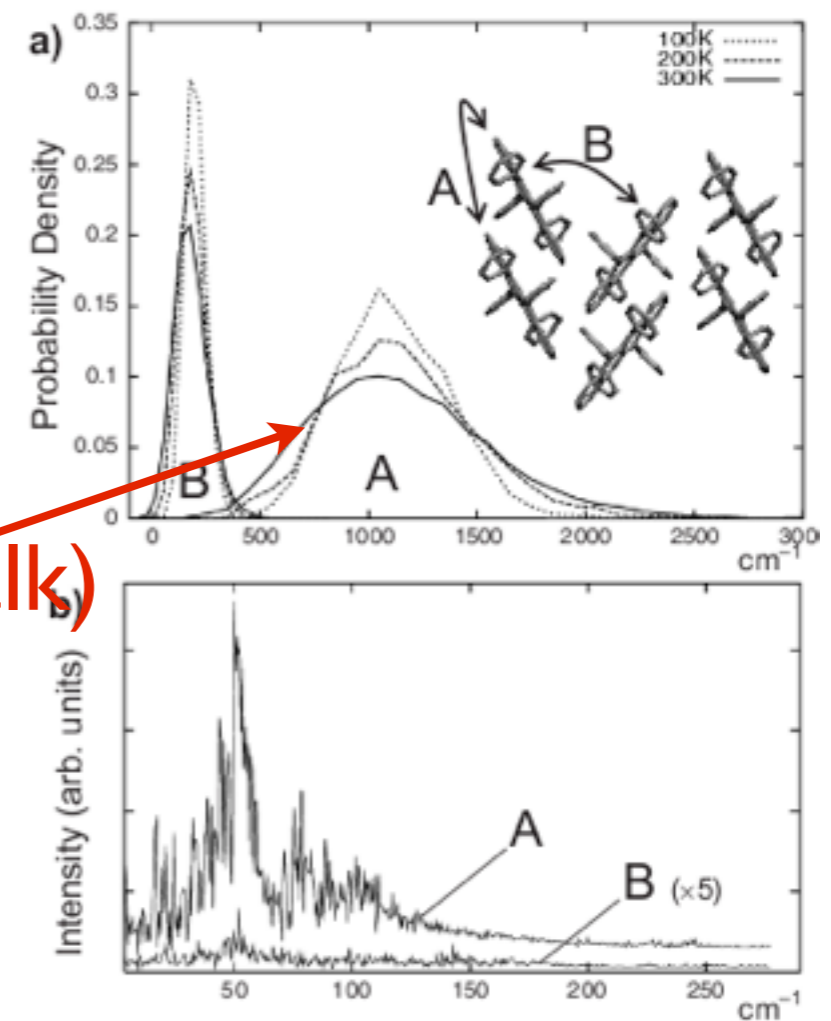
DFT



[DaSilva AdvMat05, Cornil AdvMat06]

molecular dynamics

the material is soft (cf. A. Chin talk)



[Troisi AdvMat07]

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a single Einstein mode

$$H = -J \sum_i [1 - \alpha(X_i - X_{i+1})] (c_i^\dagger c_{i+1} + c_{i+1}^\dagger c_i) + H_{ph}(X)$$

[Friedman PR 1964]

[Gosar & Choi PR 1966]

[Duke & Schein, Physics Today 1980]

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Semiclassical approach, resistivity saturation (bad conductors):
S. F. and S. Ciuchi. Phys. Rev. Lett. 103, 266601 (2009)

Beyond, account for localization phenomena:

S. Ciuchi. S. F. and D. Mayou Phys. Rev. B 83, R081202 (2011)

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Quantum-Classical dynamics

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[L. Wang et al, J. Chem. Phys. 134, 244116 (2011)]

[S. Ciuchi, S. F. and D. Mayou Phys. Rev. B 83, R081202 (2011)]

$$H = H_{el}(X) + \sum_i \frac{P_i^2}{2M} + \frac{M\omega_0^2}{2} X_i^2$$

$$i\partial_t |\psi\rangle = H_{el}(X) |\psi\rangle$$

Quantum degrees of freedom: carriers

$$M\ddot{X}_i = - \langle \psi | \frac{\partial H}{\partial X_i} | \psi \rangle$$

Classical degrees of freedom: molecular displacements

- Classical approximation for vibrations: ($k_B T / \hbar \omega_0 \geq 1$)
- Ehrenfest dynamics: classical forces evaluated as quantum averages
4th order RK integration (nord=4), Velocity Verlet, one dimensional chain (N=2048), averaging over 10^4 initial conditions taken from a static equilibrium ensemble

- calculate time dependent electron spread $\Delta x^2(t) = \langle |x(t) - x(0)|^2 \rangle$

- carrier mobility obtained from the long time behavior of the diffusivity

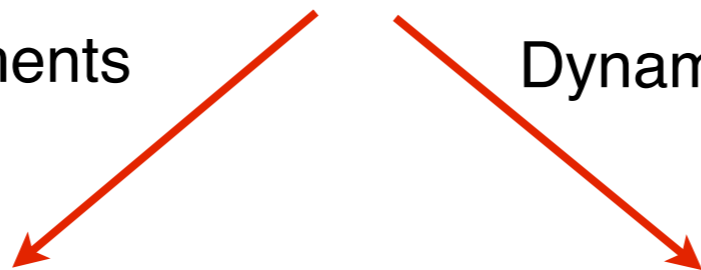
$$D = \lim_{t \rightarrow \infty} \frac{1}{2} \frac{\Delta x^2(t)}{dt} \quad \mu = \frac{eD}{k_B T}$$

Transient localization

electron wavefunction

Frozen molecular displacements

Dynamical molecular displacements



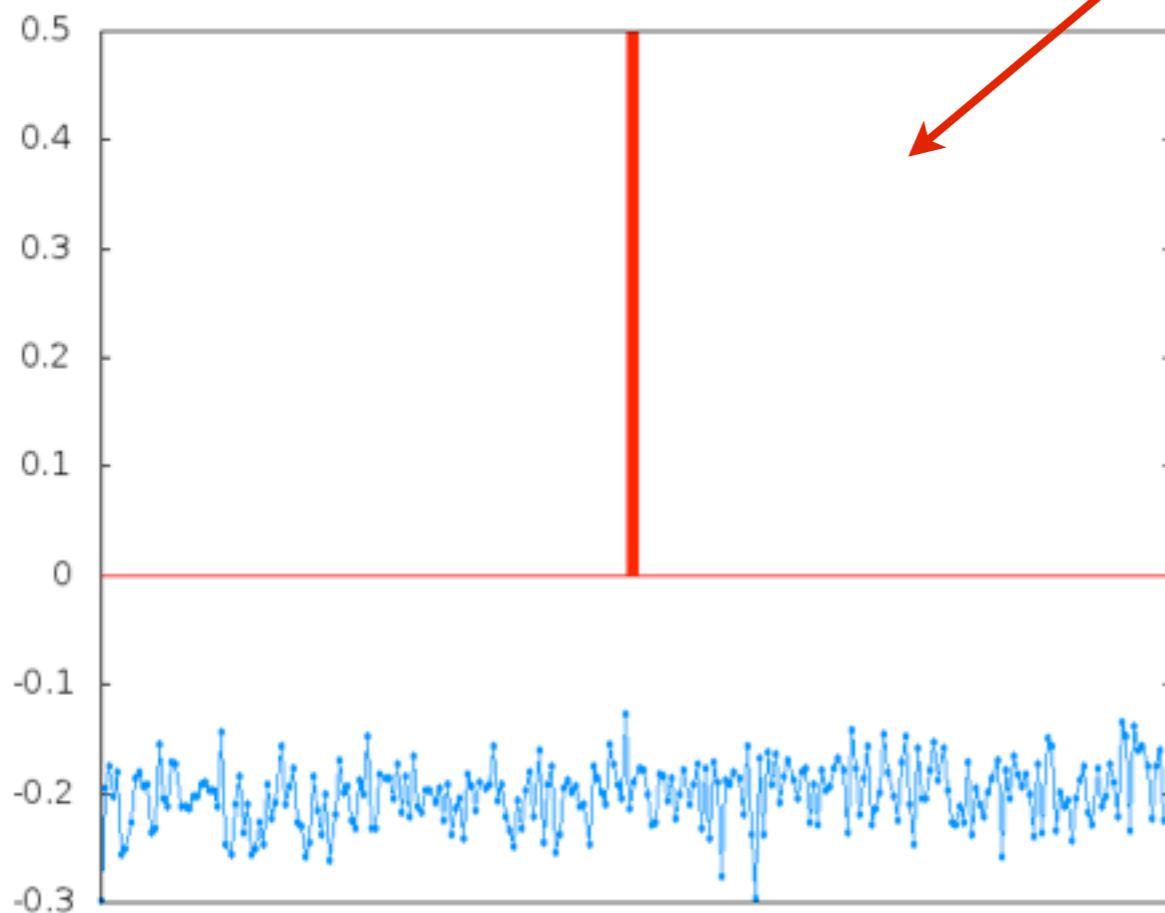
molecular displacements



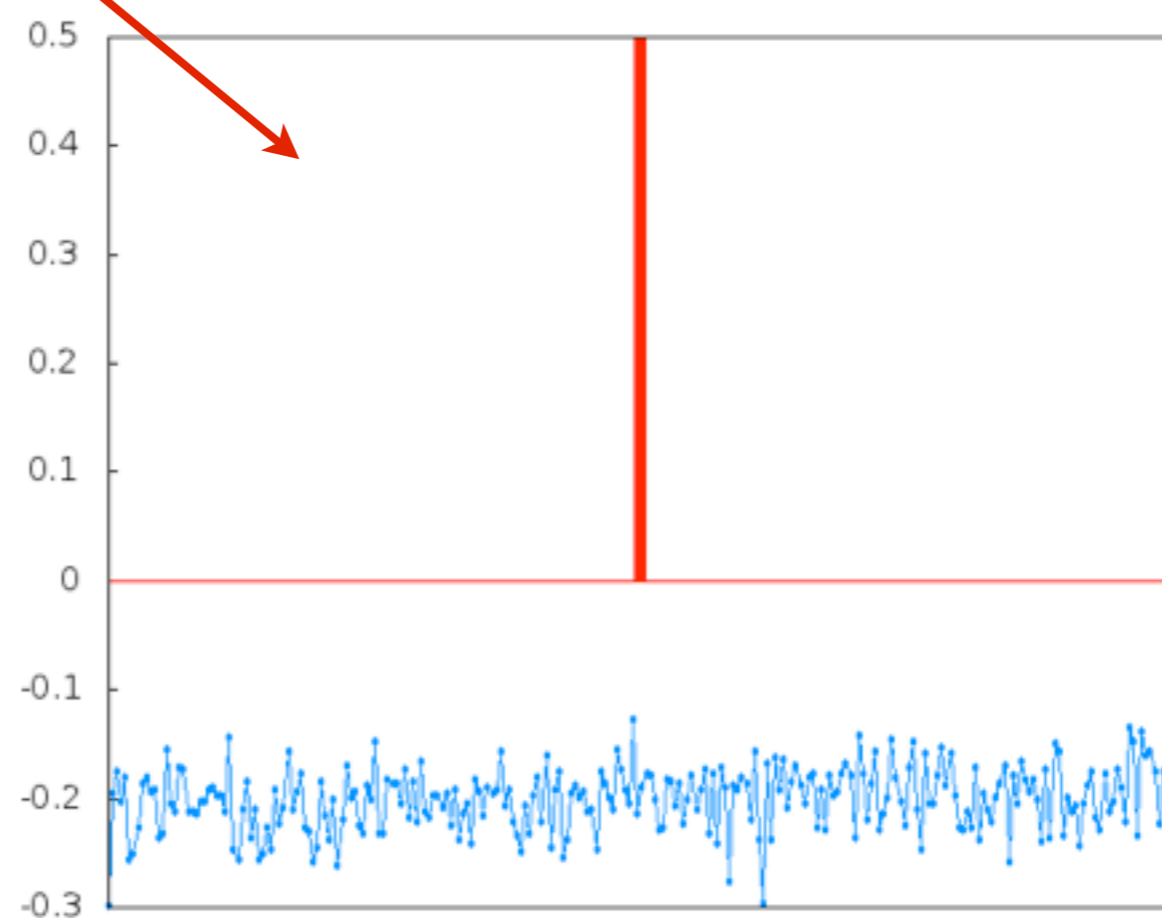
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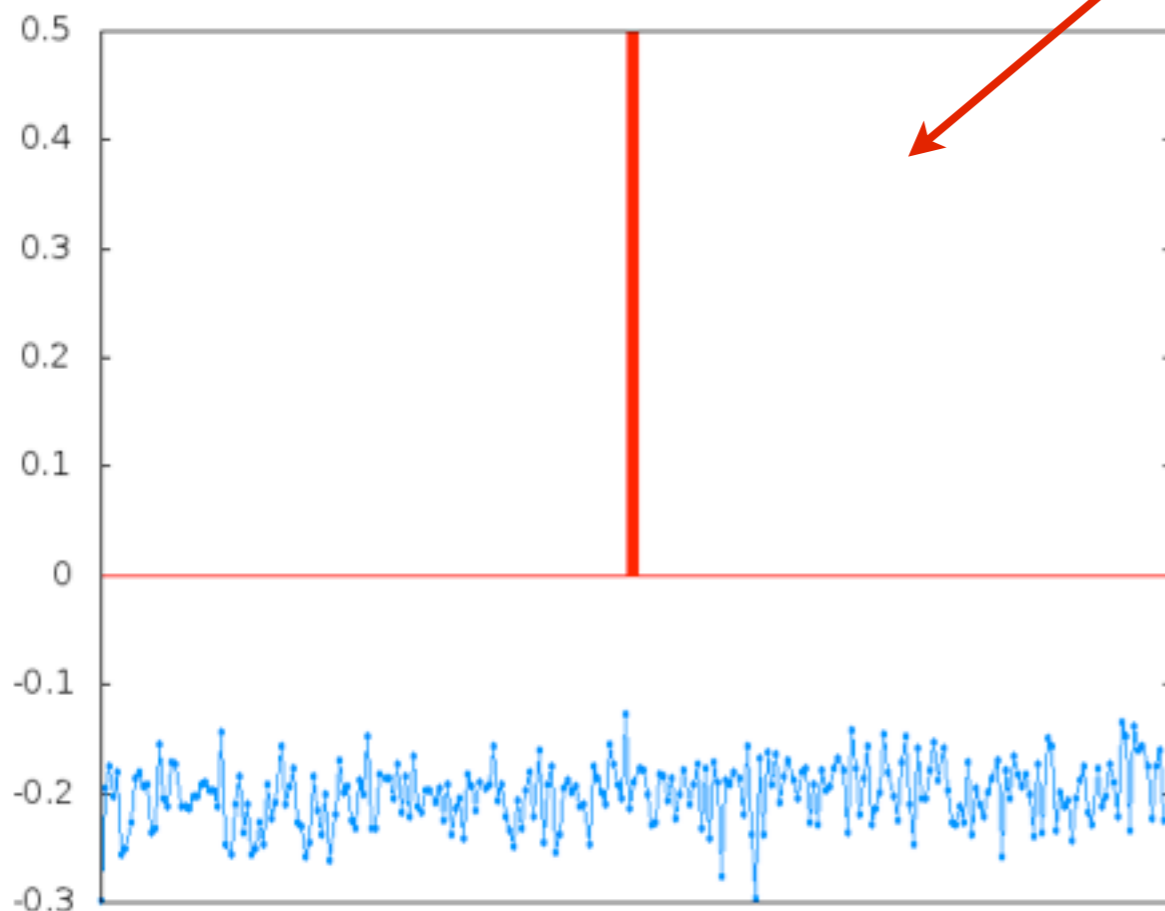


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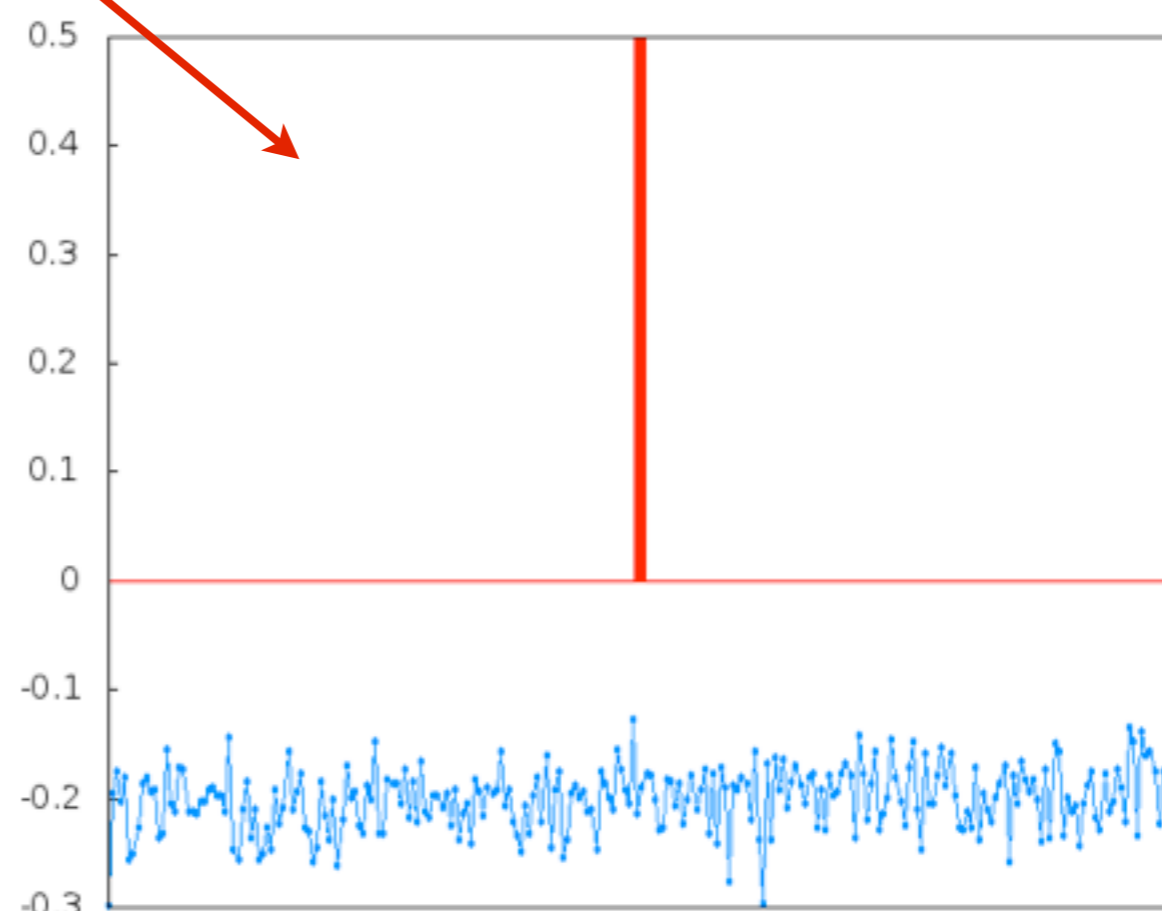
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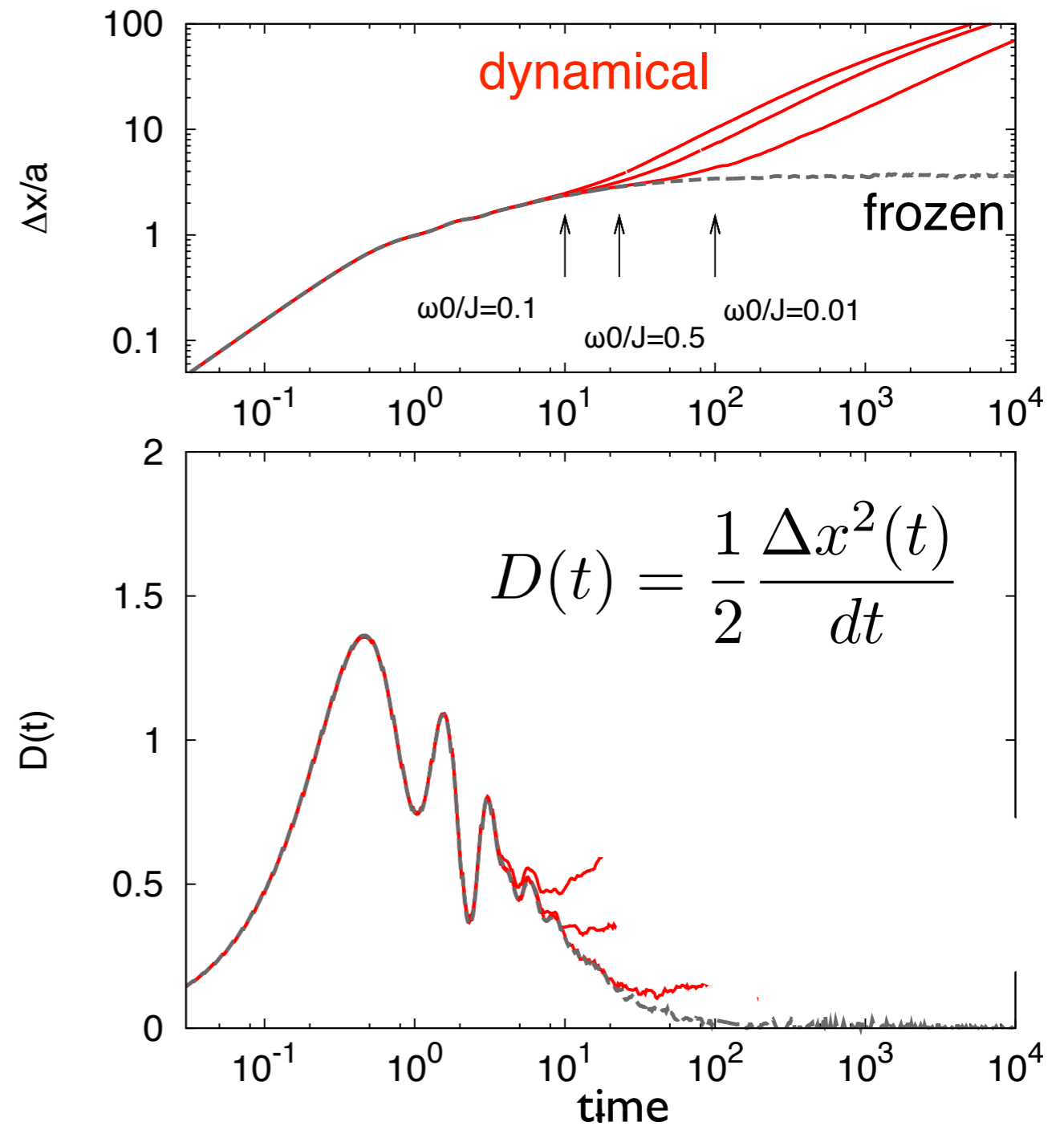


molecular displacements

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- **Frozen molecular displacements:**
 --> **Anderson localization**
 finite localization length,
 diffusivity vanishes at long times

$$\Delta x^2(t) = \langle |x(t) - x(0)|^2 \rangle$$



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--> **transient localization:** initially

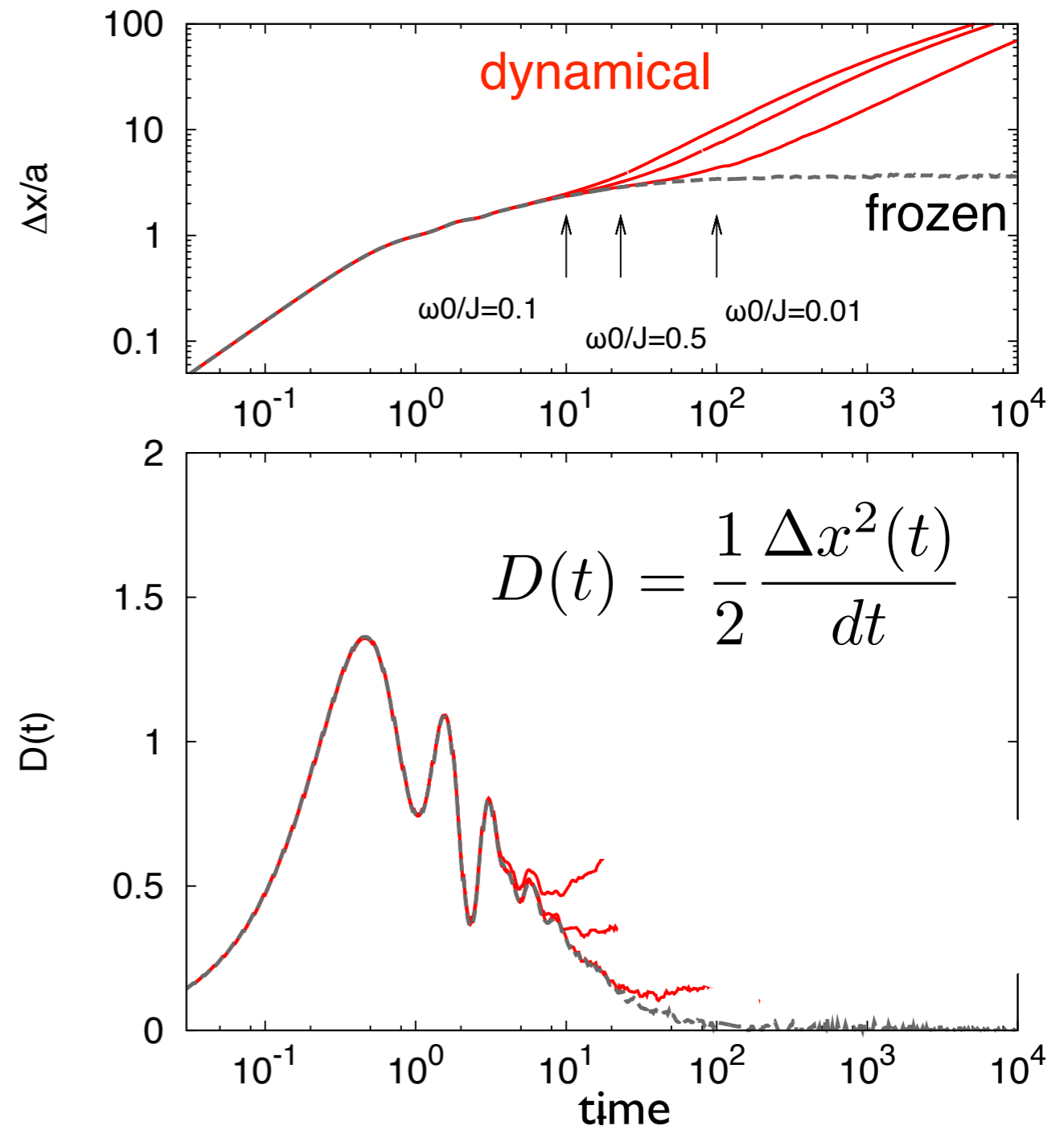
the diffusivity decreases and the particle localizes as if the disorder were static

- At later times, lattice dynamics destroy the quantum interferences at the origin of localization --> **diffusion sets back in**

- mobility depends on transient localization length and timescale $1/\omega_0$ of lattice dynamics: *the longer it localizes, the lower the mobility*

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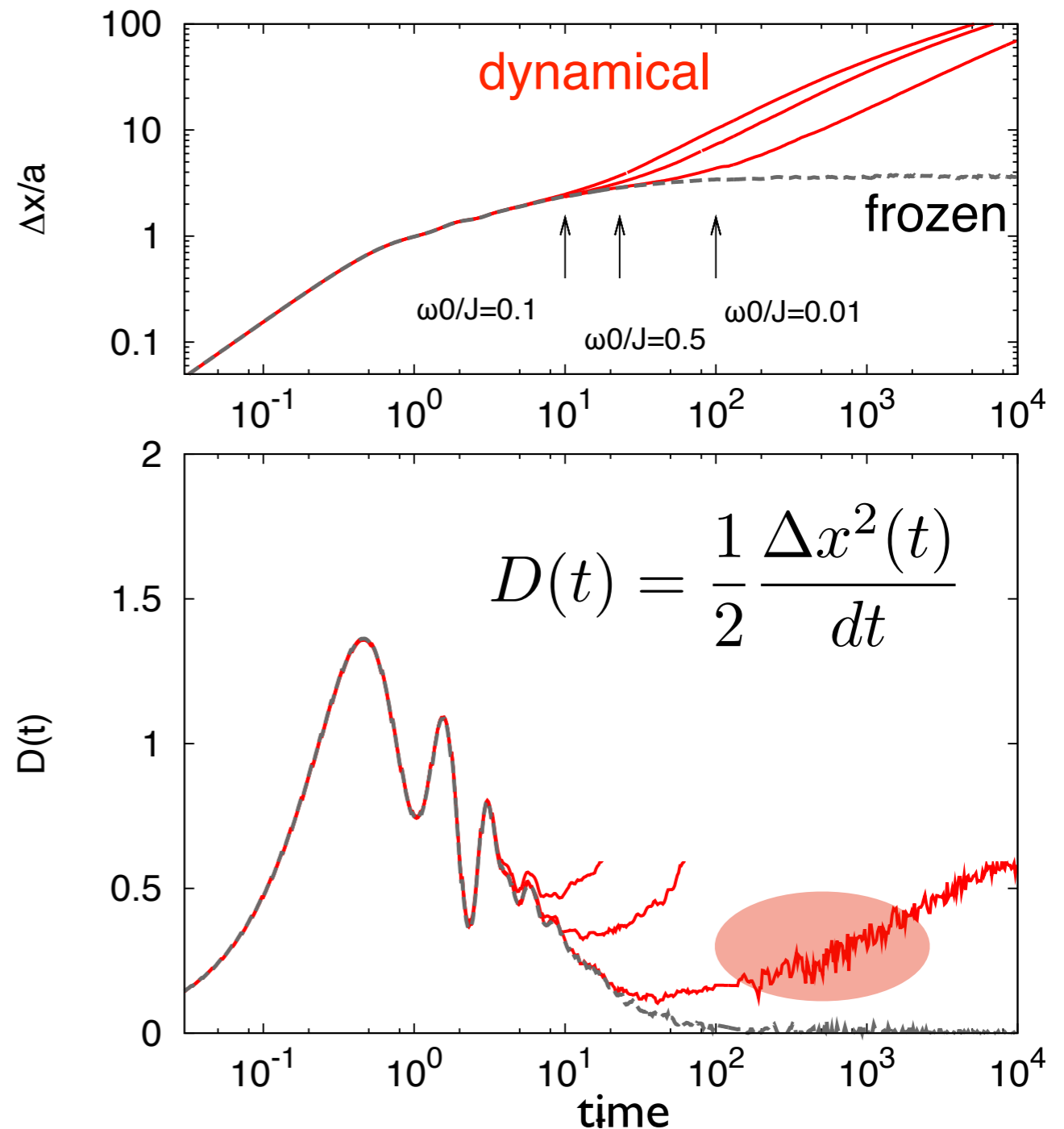
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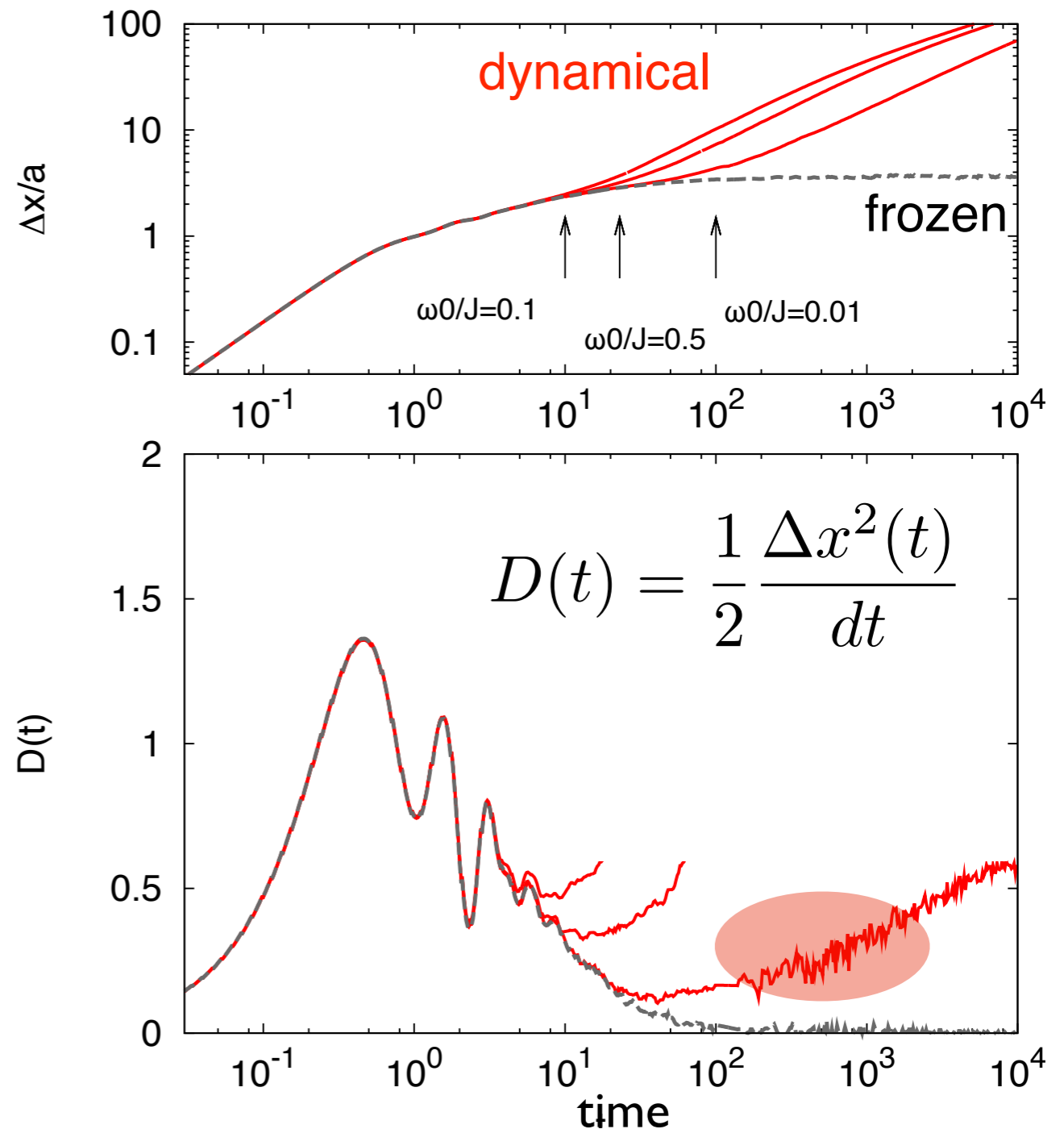
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superdiffusive regime ->
drawback of Ehrenfest method, overestimates mobility

phenomenological description: Relaxation time approximation (RTA)

- take the frozen (localized) system as a reference system:
the correlations in the velocity-velocity correlation function that
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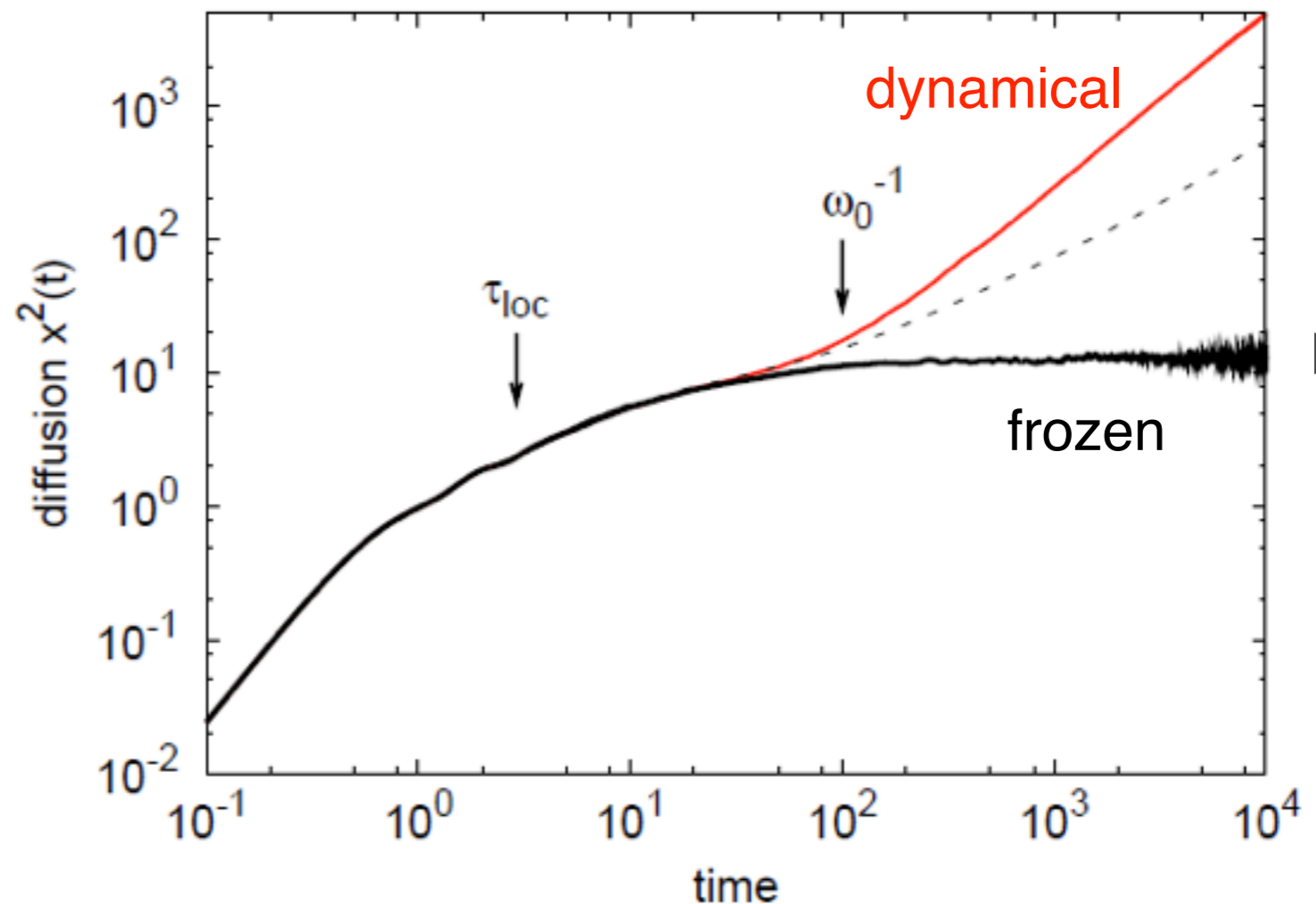
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RTA

L_0

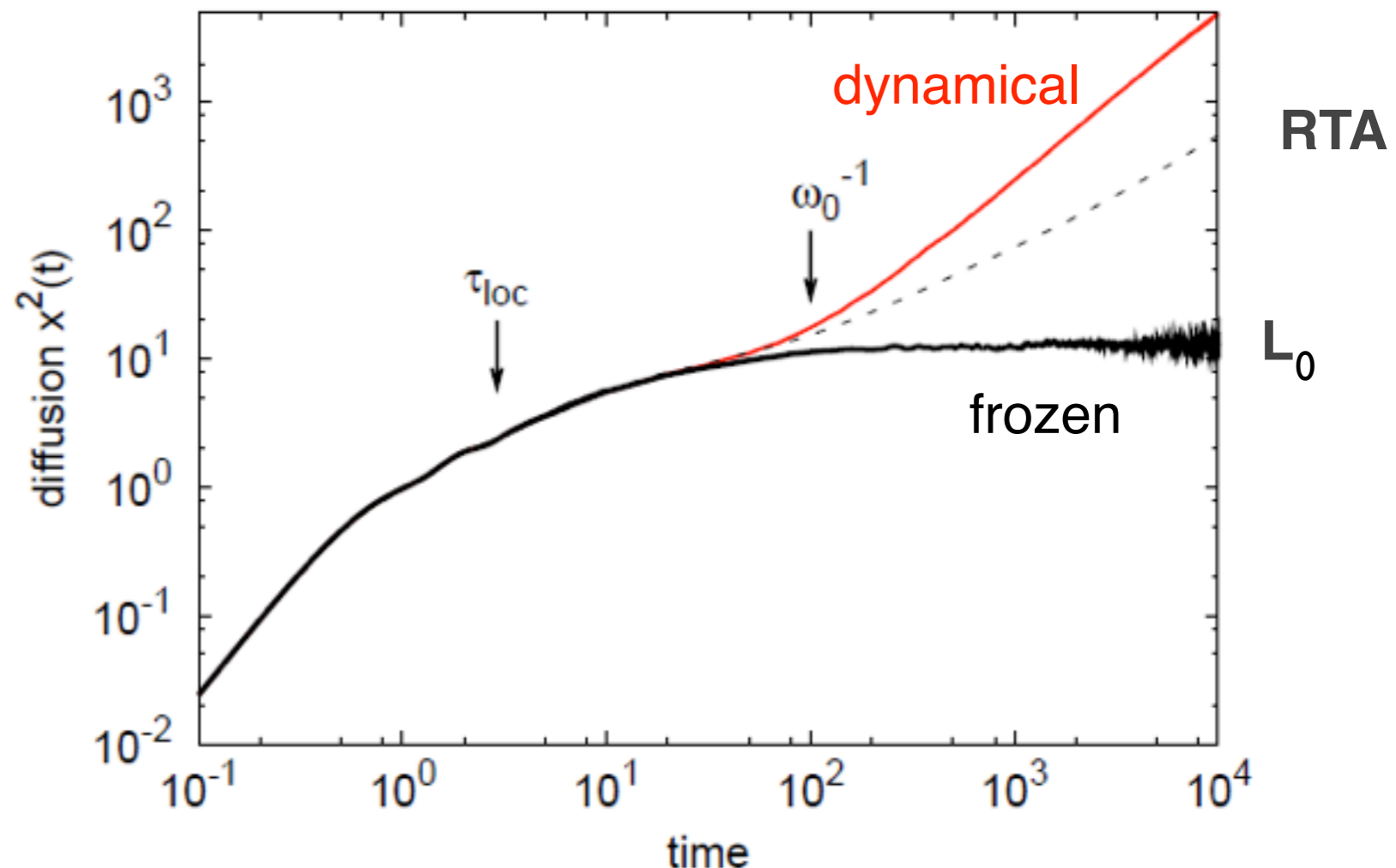
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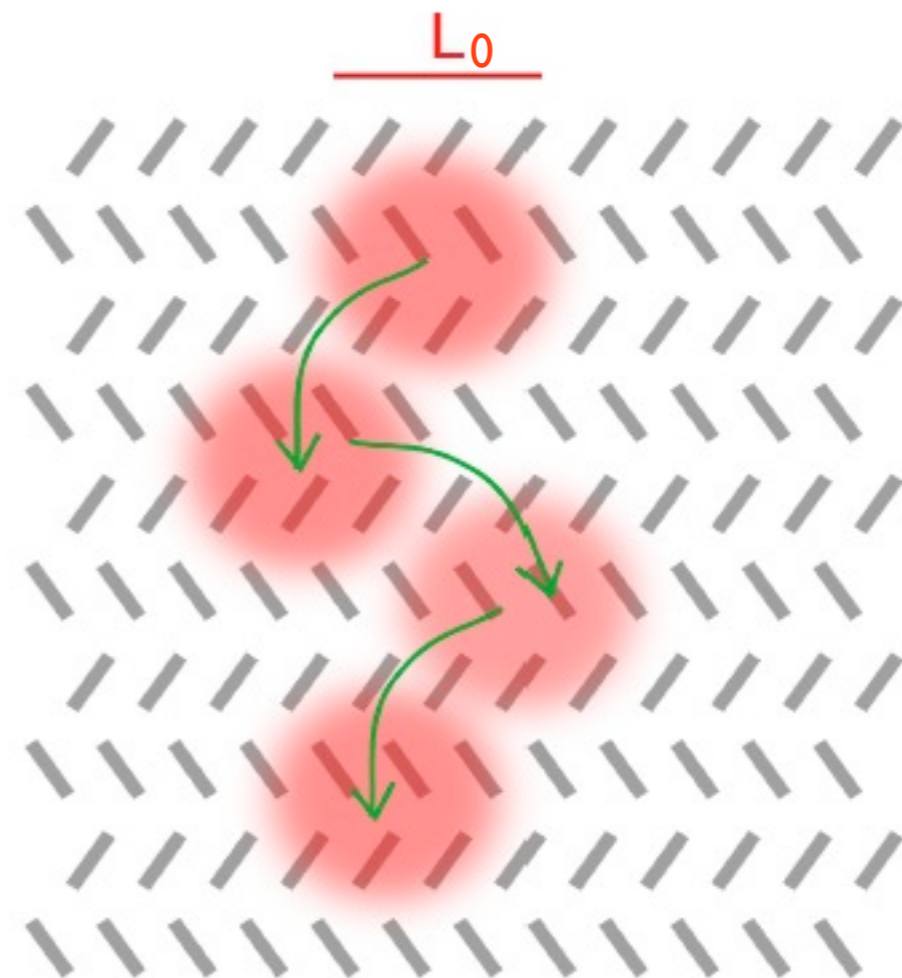
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- restores a non-zero mobility

$$\mu(T) \simeq \frac{e}{k_B T} \frac{L_0^2(\tau_{in})}{2\tau_{in}}$$

- equivalent to diffusive jumps of length L_0 with trial rate $1/\tau_{in}$ (Thouless diffusivity)



Outline

- Introduction: something's wrong with the “common wisdom”

- **ARPES:**

the role of intramolecular vibrations and disorder

- **Transport properties:**

the role of intermolecular vibrations and the concept of transient localization

- **Kubo formula revisited:** carrier diffusivity from optical experiments

- Concluding remarks

Quantum diffusion from the Kubo formula

- optical conductivity

$$\sigma(\omega) = \frac{1}{\nu \hbar \omega} \text{Re} \int_0^\infty dt e^{i\omega t} C_-(t)$$

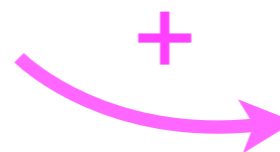


$$C_-(t) = \langle [\hat{J}_x(t), \hat{J}_x(0)] \rangle$$

- quantum diffusivity

$$\Delta X^2(t) = \langle [\hat{X}(t) - \hat{X}(0)]^2 \rangle,$$

$$\frac{d\Delta X^2(t)}{dt} = \frac{1}{e^2} \int_0^t C_+(t') dt'$$



$$C_+(t) = \langle \{\hat{J}_x(t), \hat{J}_x(0)\} \rangle$$

Quantum diffusion from the Kubo formula

- optical conductivity

$$\sigma(\omega) = \frac{1}{\nu \hbar \omega} \text{Re} \int_0^\infty dt e^{i\omega t} C_-(t) \quad \xrightarrow{-} \quad C_-(t) = \langle [\hat{J}_x(t), \hat{J}_x(0)] \rangle$$

- quantum diffusivity

$$\Delta X^2(t) = \langle [\hat{X}(t) - \hat{X}(0)]^2 \rangle, \quad \frac{d\Delta X^2(t)}{dt} = \frac{1}{e^2} \int_0^t C_+(t') dt'.$$

$$\xrightarrow{+} \quad C_+(t) = \langle \{ \hat{J}_x(t), \hat{J}_x(0) \} \rangle$$

- detailed balance $C_-(\omega) = \tanh(\frac{\beta \hbar \omega}{2}) C_+(\omega).$

$$\sigma(\omega) = -\frac{e^2}{\hbar \nu} \omega \tanh\left(\frac{\beta \hbar \omega}{2}\right) \text{Re} \int_0^\infty dt e^{i(\omega + i\delta)t} \Delta X^2(t)$$

[see also N. H. Lindner and A. Auerbach, PRB 2010]

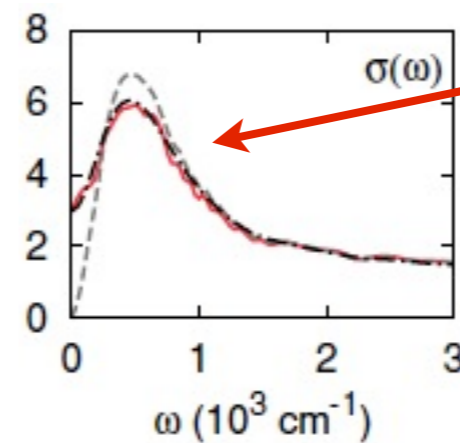
- inversion: quantum diffusion from experiment

$$\Delta x^2(t) = \frac{2\hbar}{\pi e^2} \text{Re} \int_0^\infty (1 - e^{-i\omega t}) \frac{\sigma(\omega)/n}{\omega \tanh(\beta \hbar \omega / 2)} d\omega$$

Real time dynamics from experiment

$$\Delta x^2(t) = \frac{2\hbar}{\pi e^2} \text{Re} \int_0^\infty (1 - e^{-i\omega t}) \frac{\sigma(\omega)/n}{\omega \tanh(\beta\hbar\omega/2)} d\omega$$

Rubrene: measured optical conductivity



[Z. Q. Li, et al.,
PRL 99, 016403 (2007)]

Real time dynamics from experiment

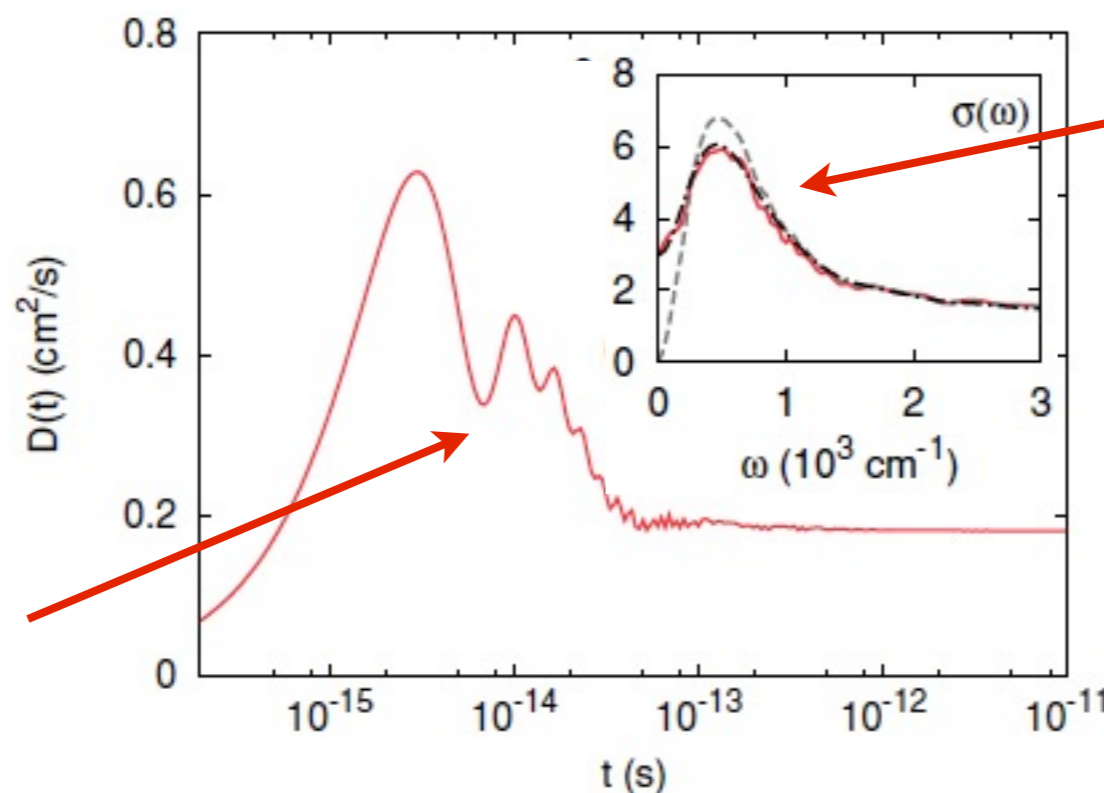
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diffusivity from Fourier transform

diffusivity decreases at intermediate times: experimental proof of transient localization

Rubrene: measured optical conductivity

[Z. Q. Li, et al., PRL 99, 016403 (2007)]



Peak in optical conductivity \Leftrightarrow Transient localization phenomenon

Real time dynamics from experiment

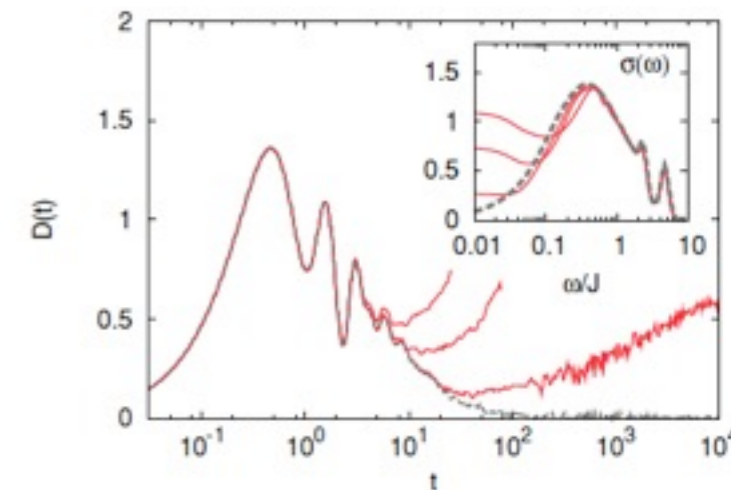
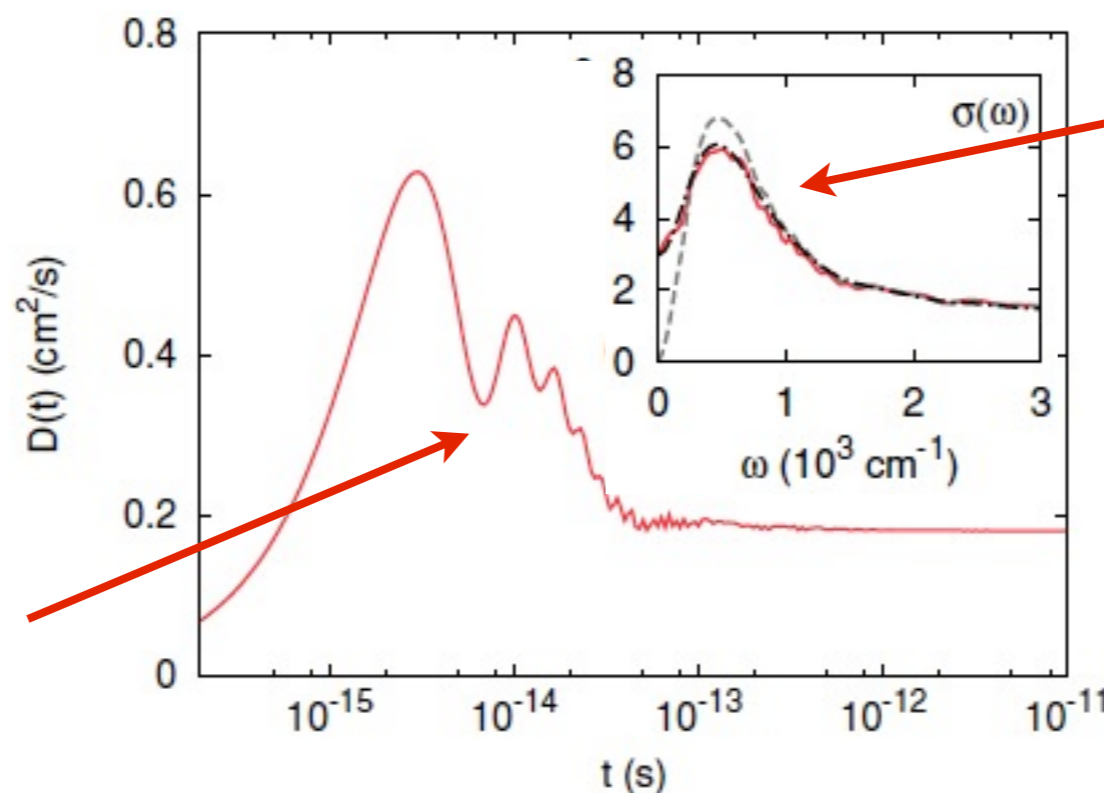
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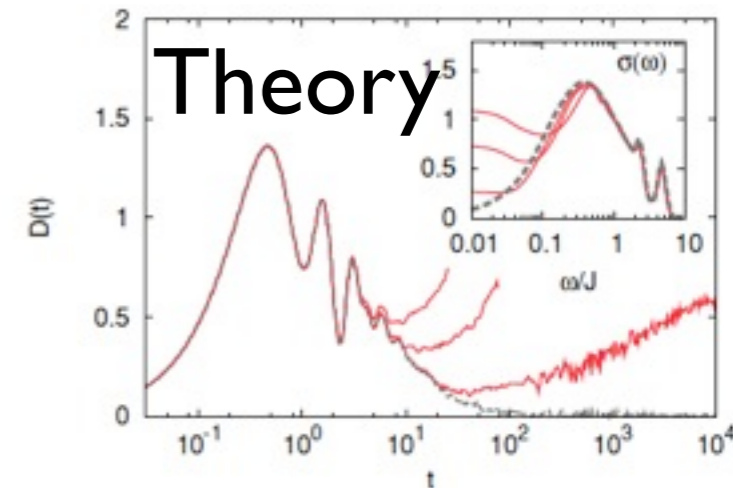
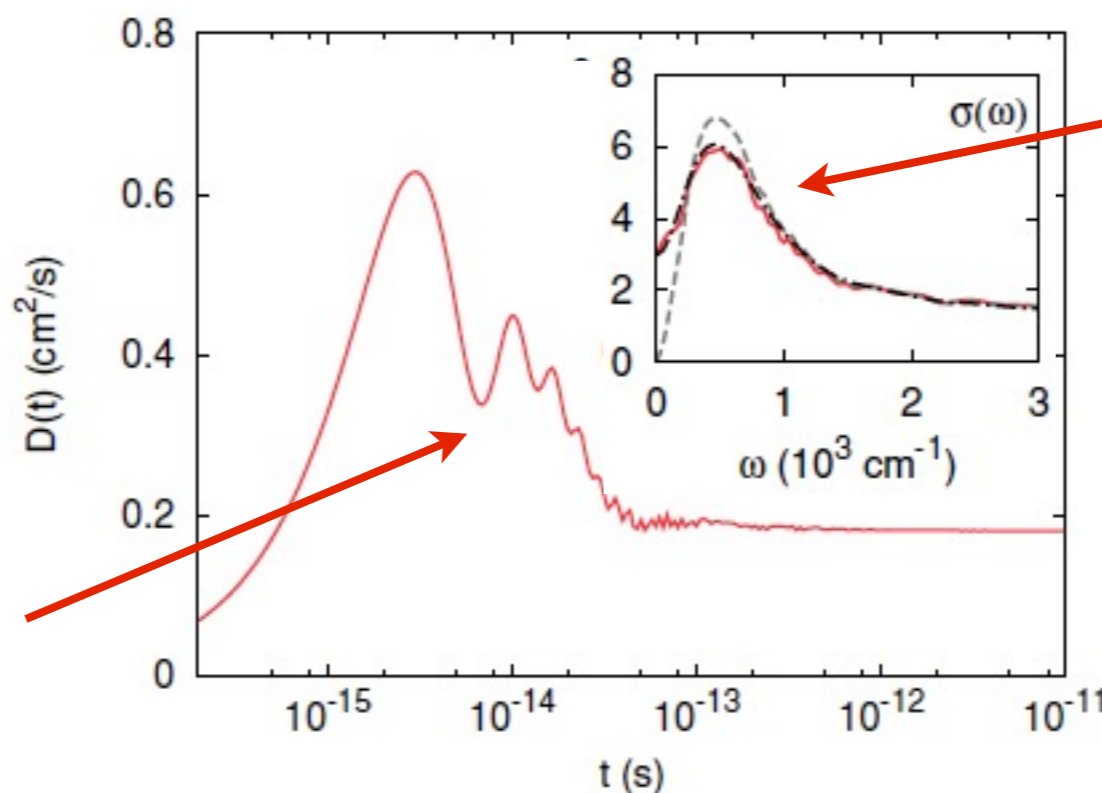
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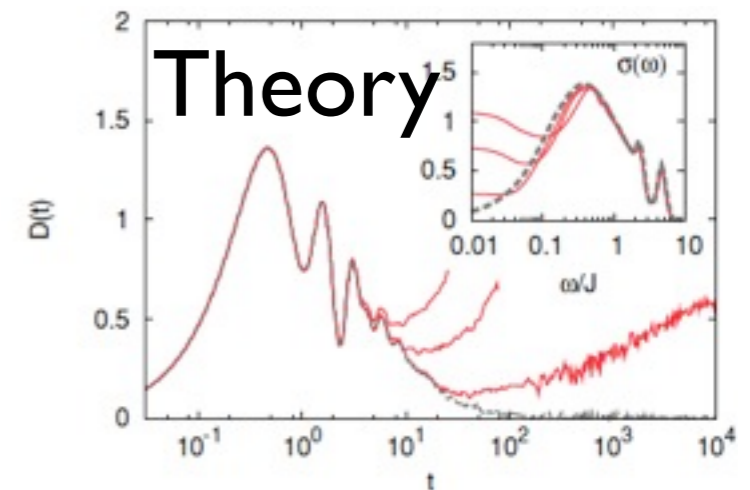
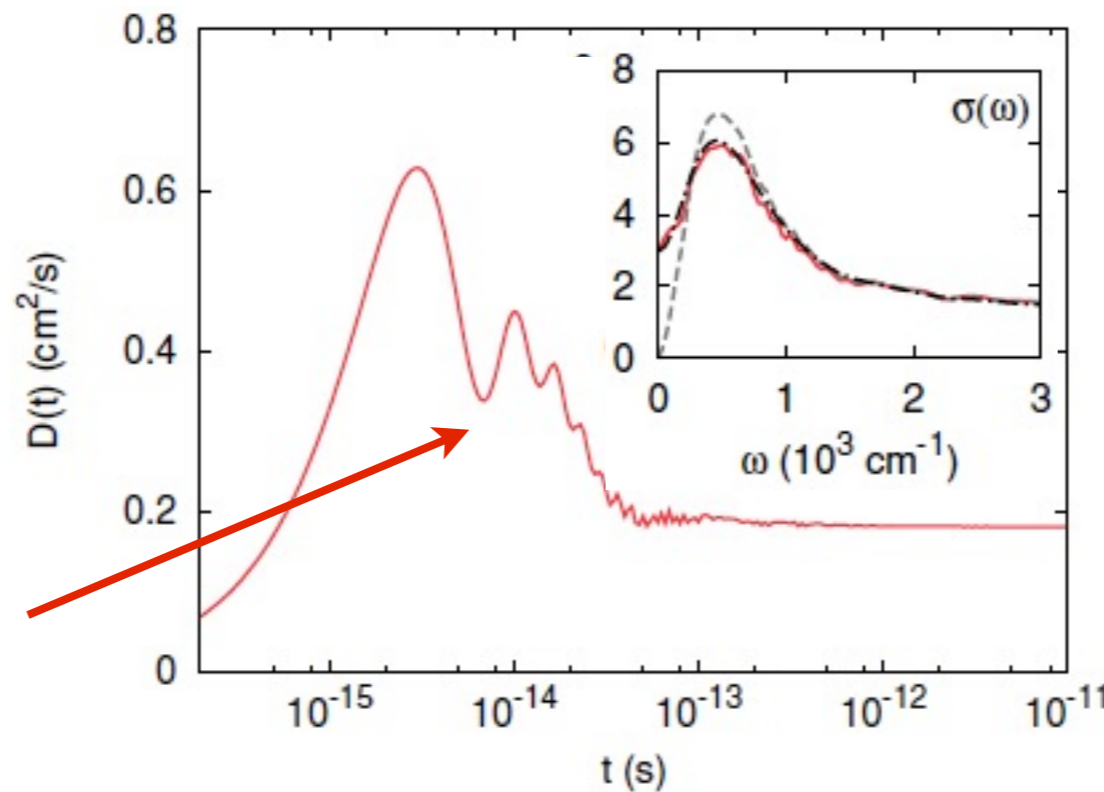
Real time dynamics from experiment

By analyzing the quantum diffusion data with the RTA we can extract:

- the inelastic time $\rightarrow \hbar/\tau_{in} = 104\text{cm}^{-1}$ (typical inter-molecular vibrations)
- the transient localization length $L_0(1/\tau_{in}) = 2a$ (localization is important)

diffusivity from Fourier transform

diffusivity decreases at intermediate times: experimental proof of transient localization



Peak in optical conductivity \Leftrightarrow Transient localization phenomenon

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Conclusions

- ❖ electronic band theory is not enough to describe the electronic properties of organic semiconductors (but bands are there, so molecular approaches also fail)
- ❖ **ARPES**: including the interaction with high frequency intramolecular vibrations and disorder provides an accurate description of the experimental photoemission spectra.
- ❖ **Transport**: interaction with low frequency intermolecular vibrations seems to be the crucial ingredient to understand charge transport: the dynamical disorder arising from lattice motion causes transient localization phenomena (and a breakdown of Boltzmann theory).
- ❖ The instantaneous diffusivity of the carriers can be extracted from optical absorption experiments via an appropriate Kubo formula.

References

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