

# Origin and Acceleration of High Energy Cosmic Rays

Günter Sigl

1. Introduction and Overview
2. Astrophysics
3. Particle Physics at High Energies

**DFG** Deutsche  
Forschungsgemeinschaft

PROJEKTTÄGER FÜR DAS



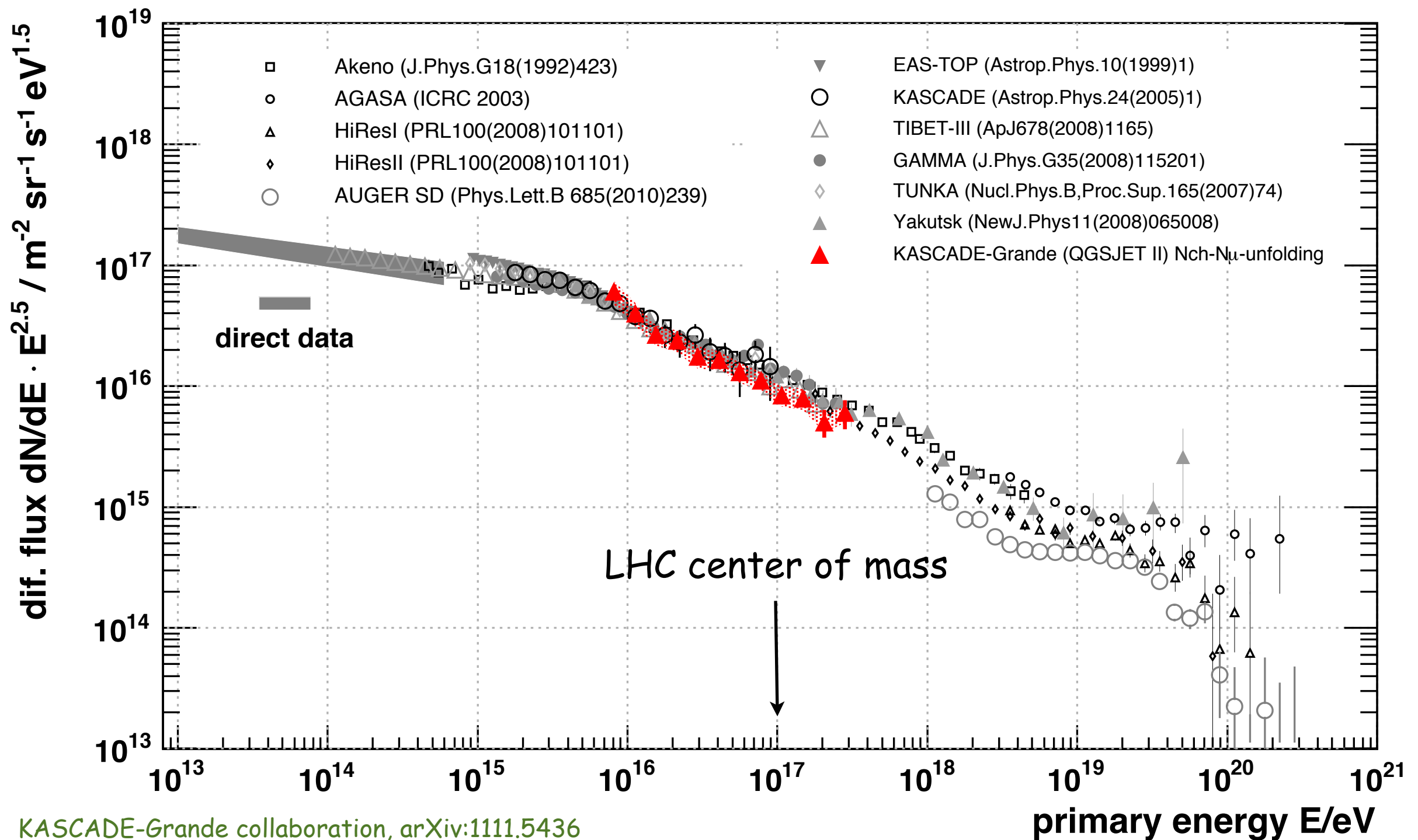
Bundesministerium  
für Bildung  
und Forschung

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<http://www2.iap.fr/users/sigl/homepage.html>

# The All Particle Cosmic Ray Spectrum

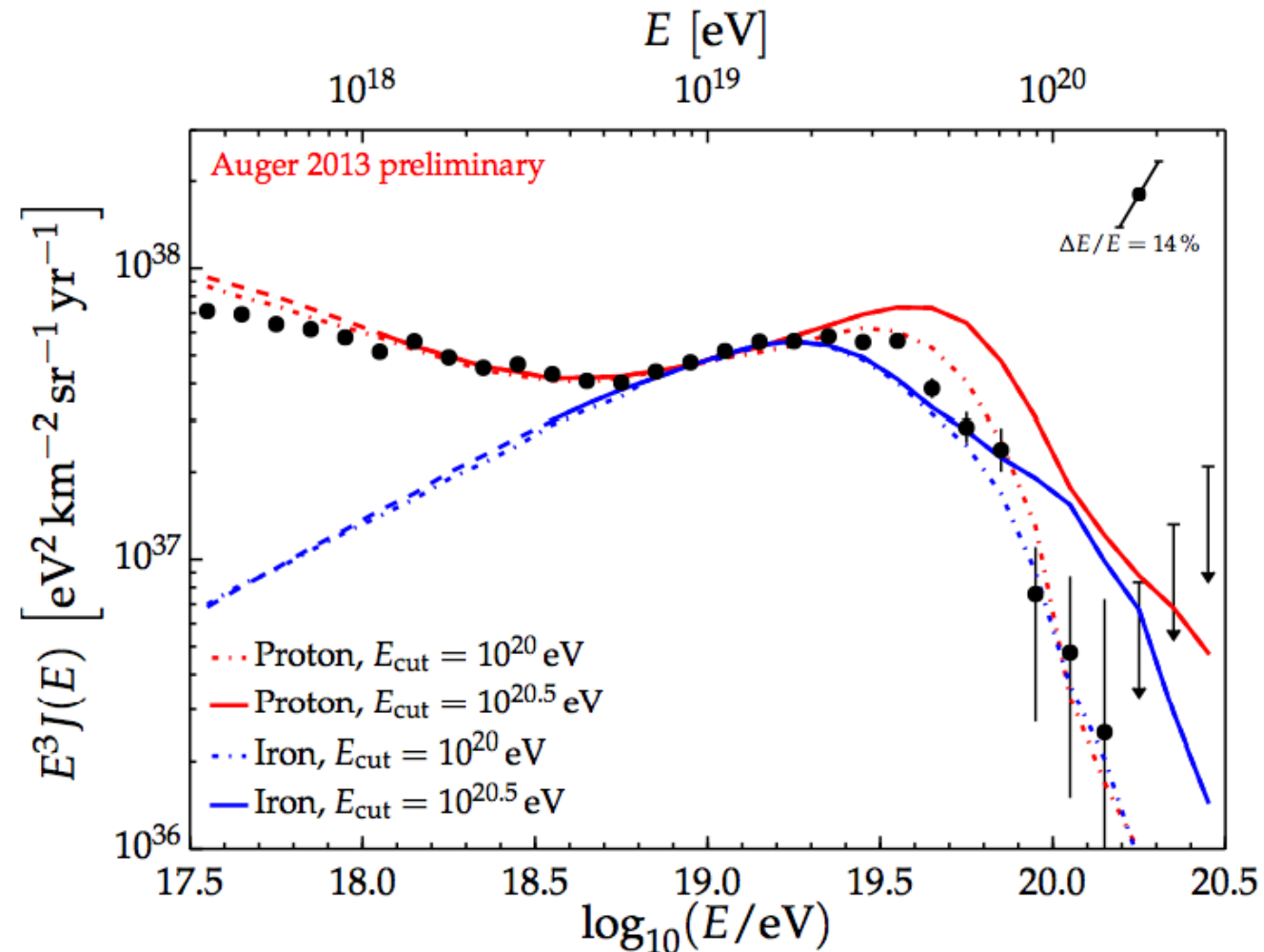
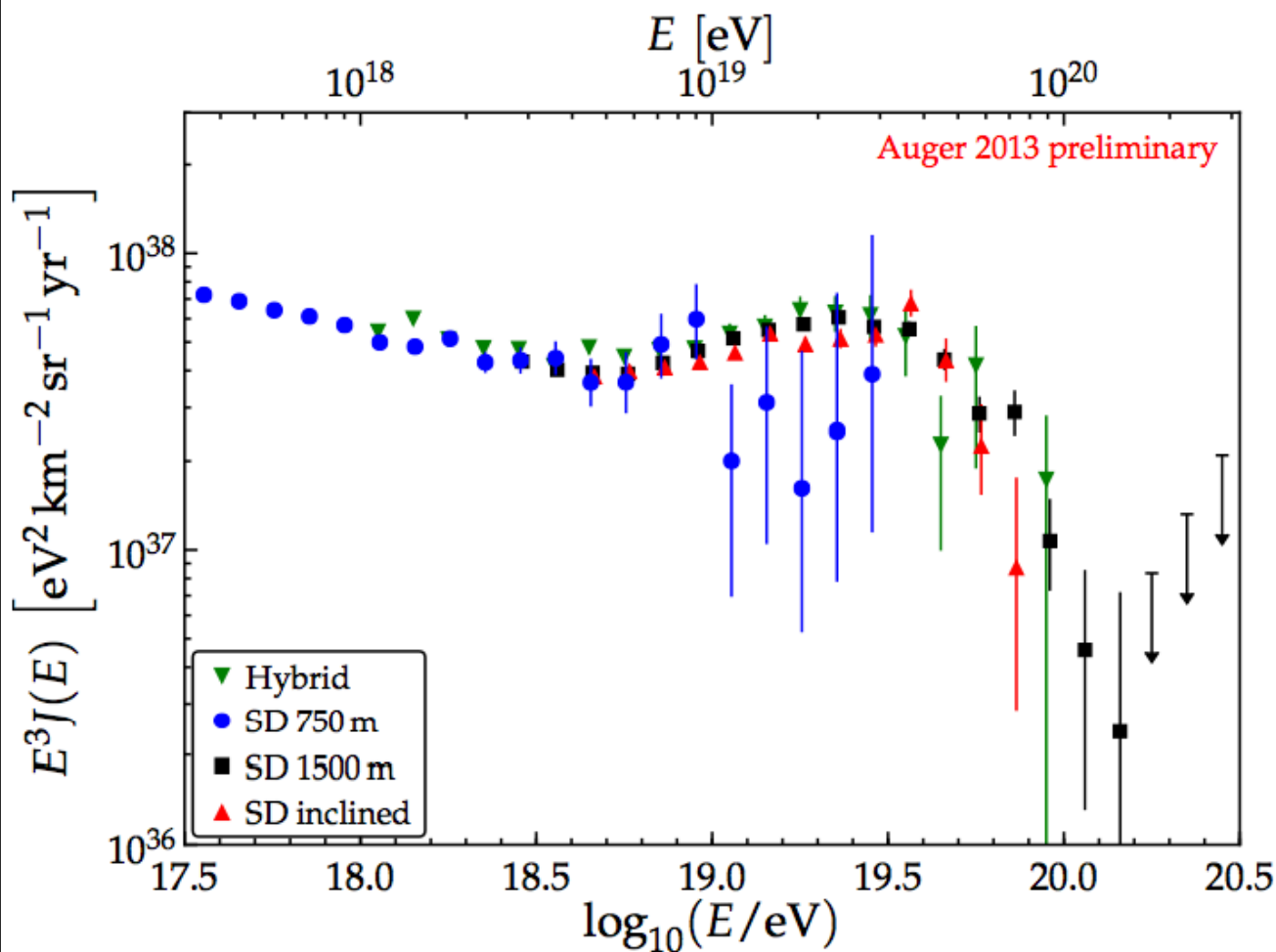




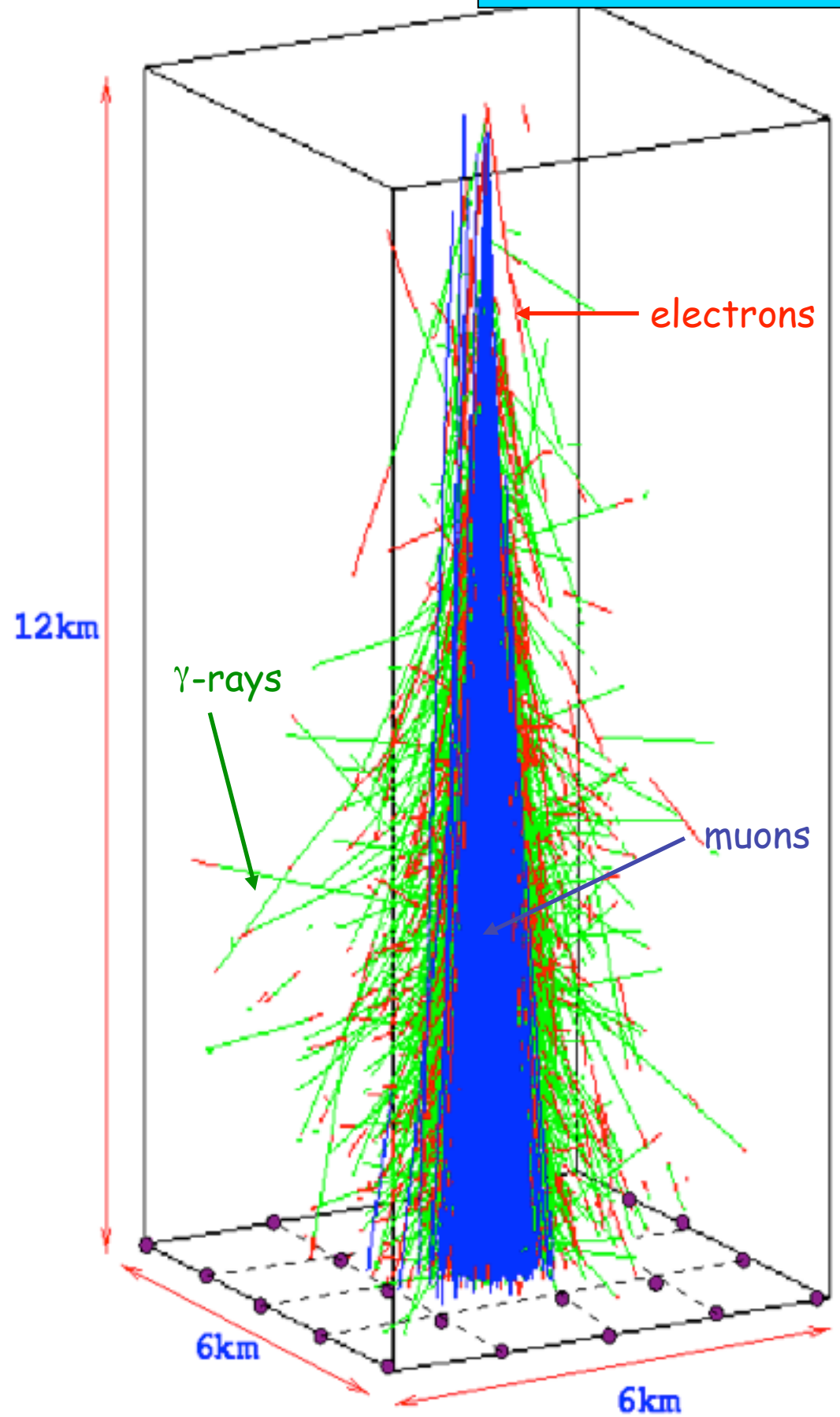
# Pierre Auger Spectra

Auger exposure = 31645 km<sup>2</sup> sr yr  
up to December 2012

Pierre Auger Collaboration, PRL 101, 061101 (2008)  
and Phys.Lett.B 685 (2010) 239  
and ICRC 2013, arXiv:1307.5059, highlight talk Letessier-Selvon



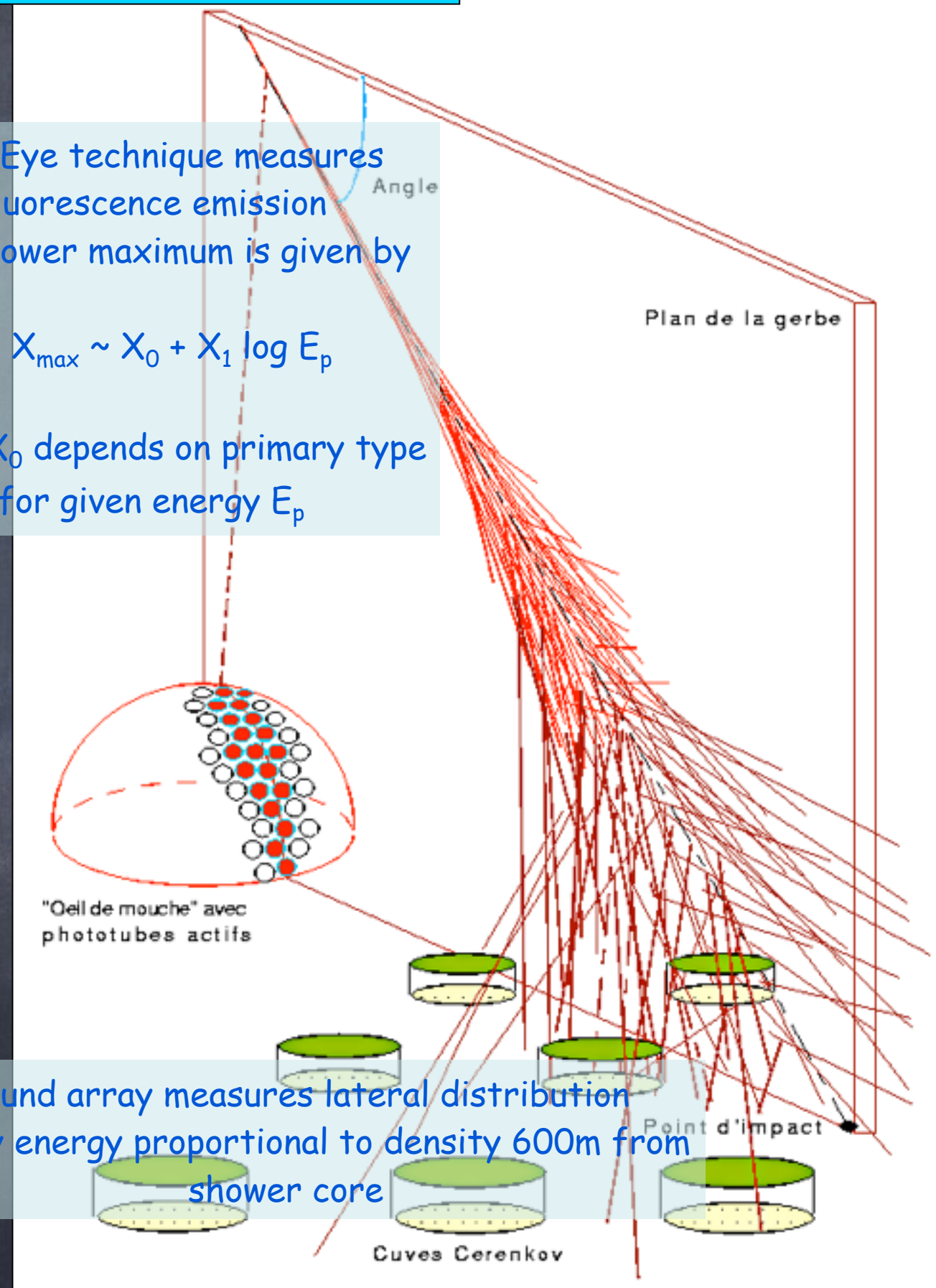
# Atmospheric Showers and their Detection



Fly's Eye technique measures  
fluorescence emission  
The shower maximum is given by

$$X_{\max} \sim X_0 + X_1 \log E_p$$

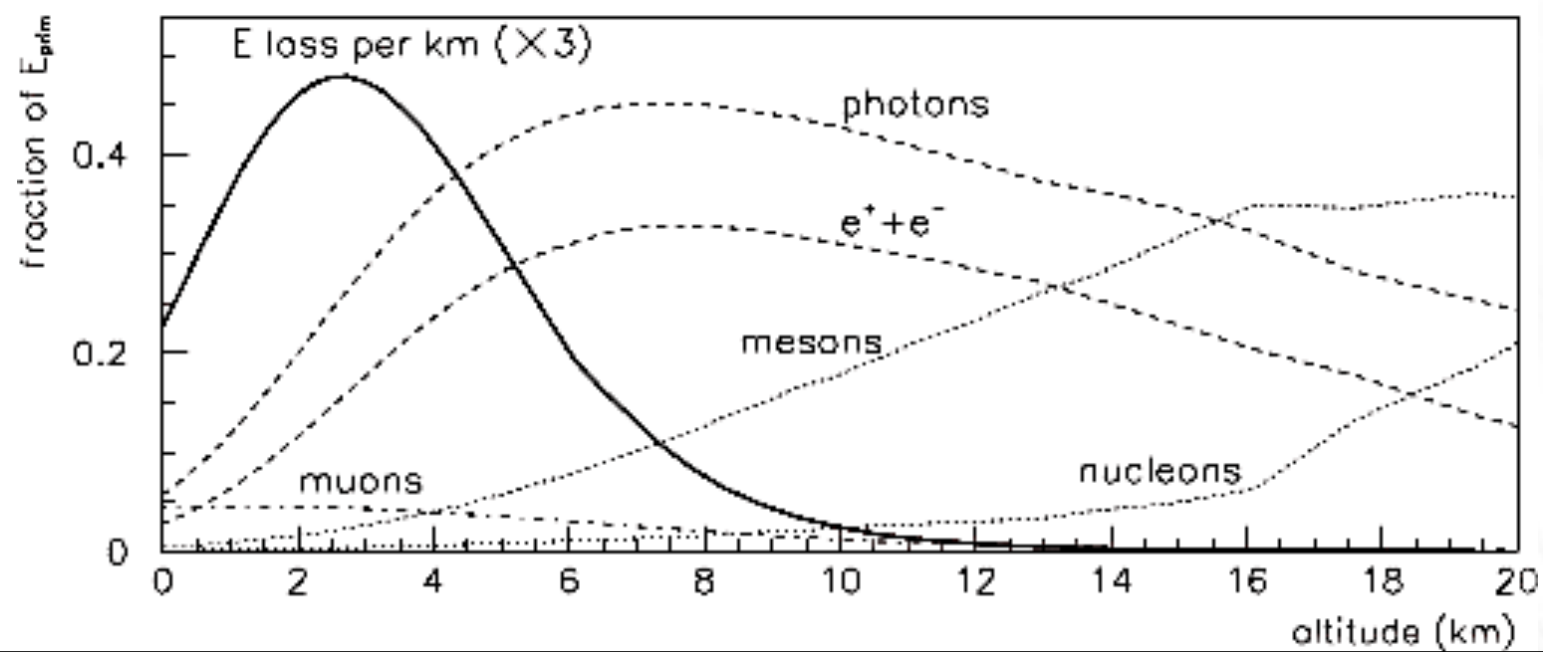
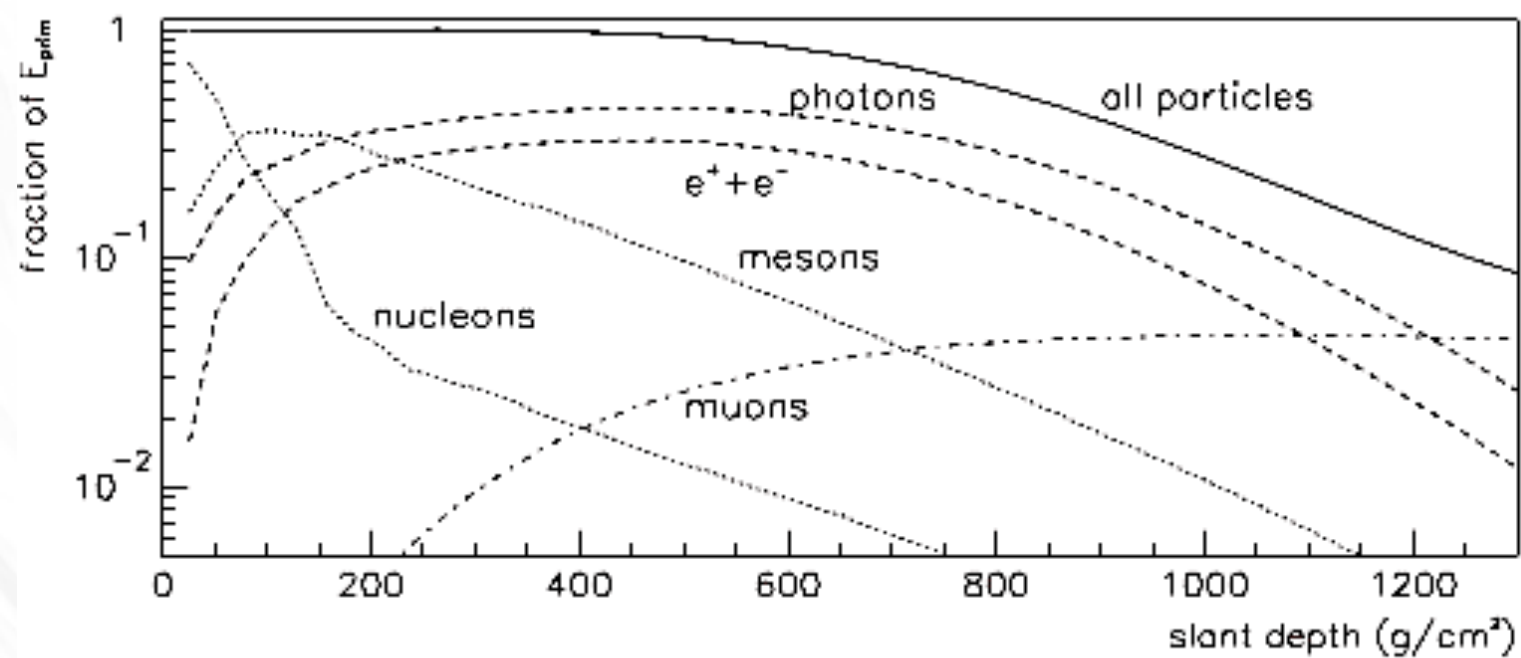
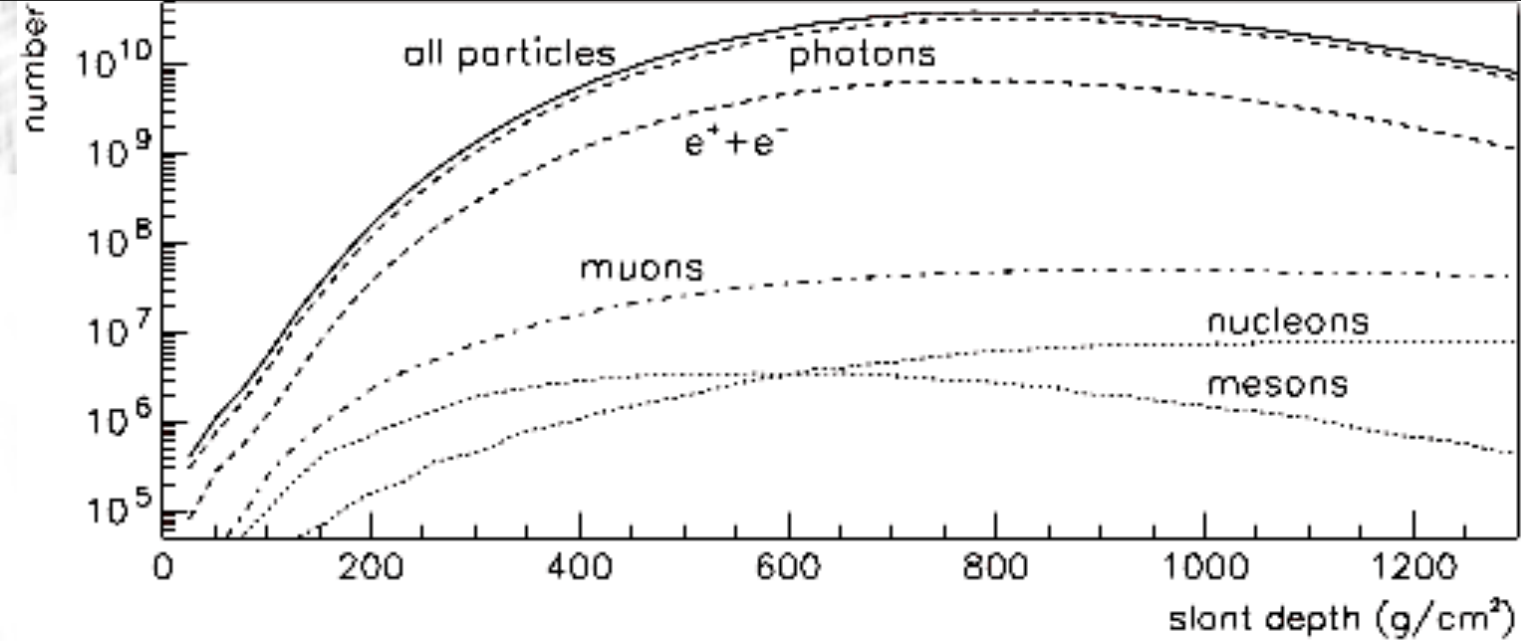
where  $X_0$  depends on primary type  
for given energy  $E_p$



Ground array measures lateral distribution  
Primary energy proportional to density 600m from  
shower core

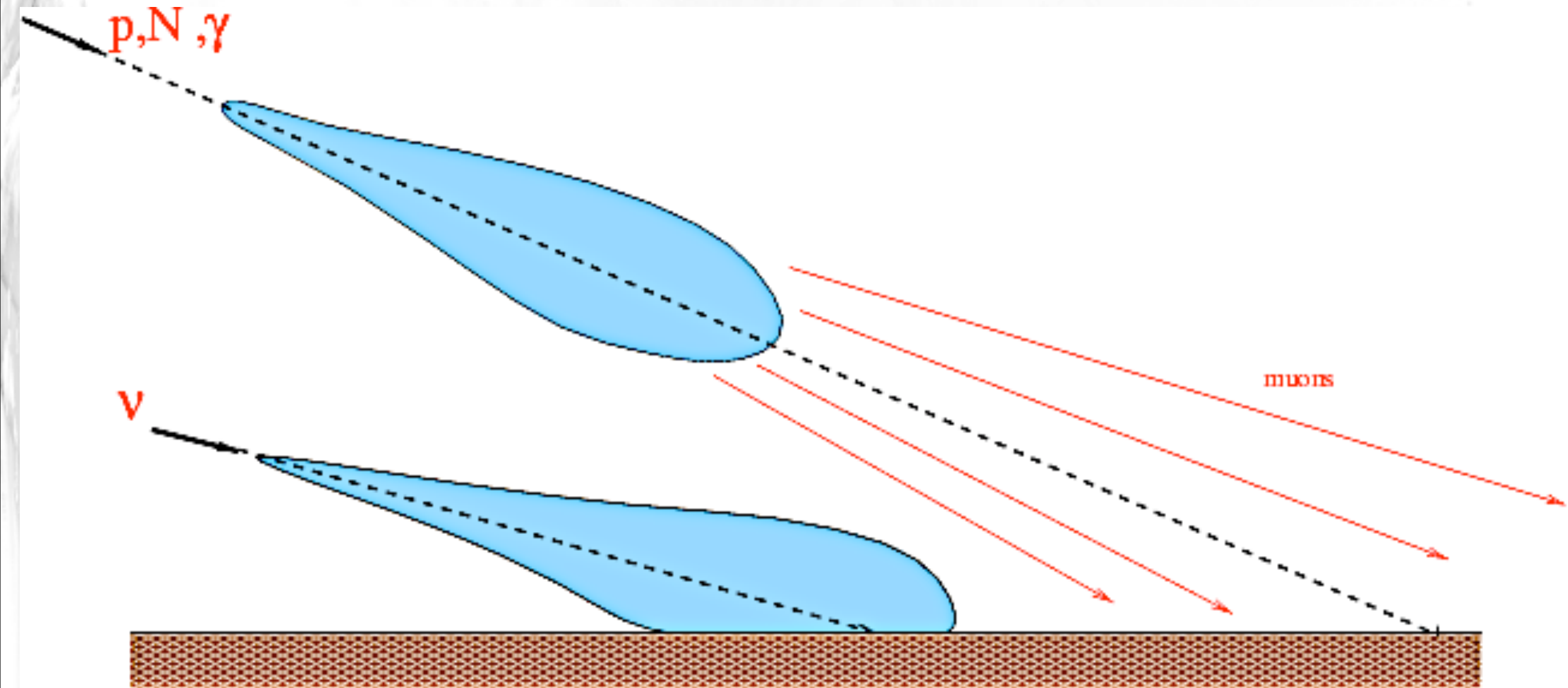




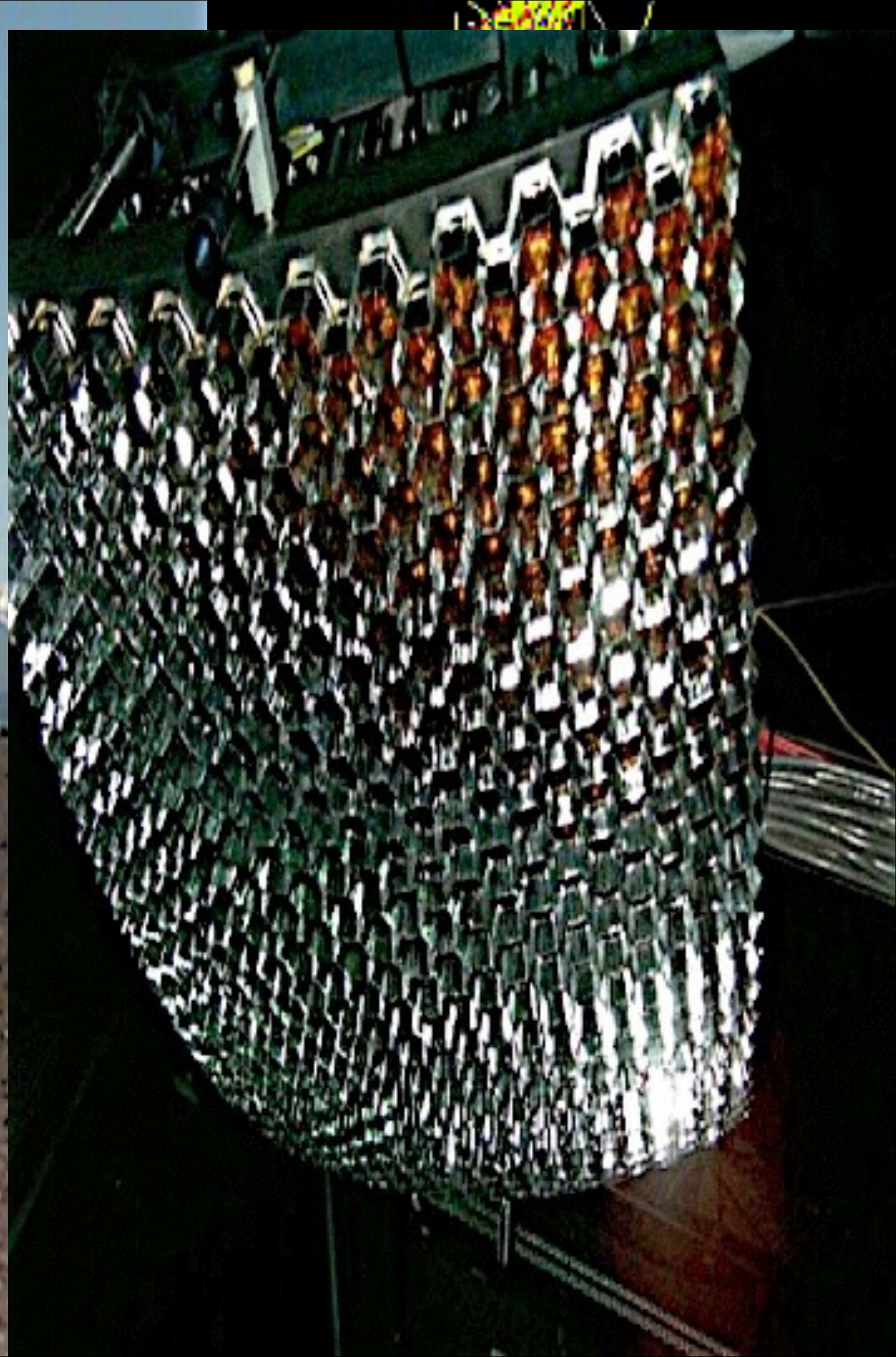




# Cosmic ray versus neutrino induced air showers









# Southern Auger Site

Surface Array (SD):  
1600 Water Tanks  
1.5 km spacing  
3000 km<sup>2</sup>

Fluorescence Detectors (FD):  
4 Sites ("Eyes")  
6 Telescopes per site (180° x 30°)

70 km



# The Ultra-High Energy Cosmic Ray Mystery consists of (at least) Four Interrelated Challenges

1.) electromagnetically or strongly interacting particles above  $10^{20}$  eV loose energy within less than about 50 Mpc.

2.) in most conventional scenarios exceptionally powerful acceleration sources within that distance are needed.

3.) The observed distribution does not yet reveal unambiguously the sources, although there are hints of correlations with local large scale structure

4.) The observed mass composition may become heavy toward highest energies, but no completely clear picture yet between experiments and air shower models

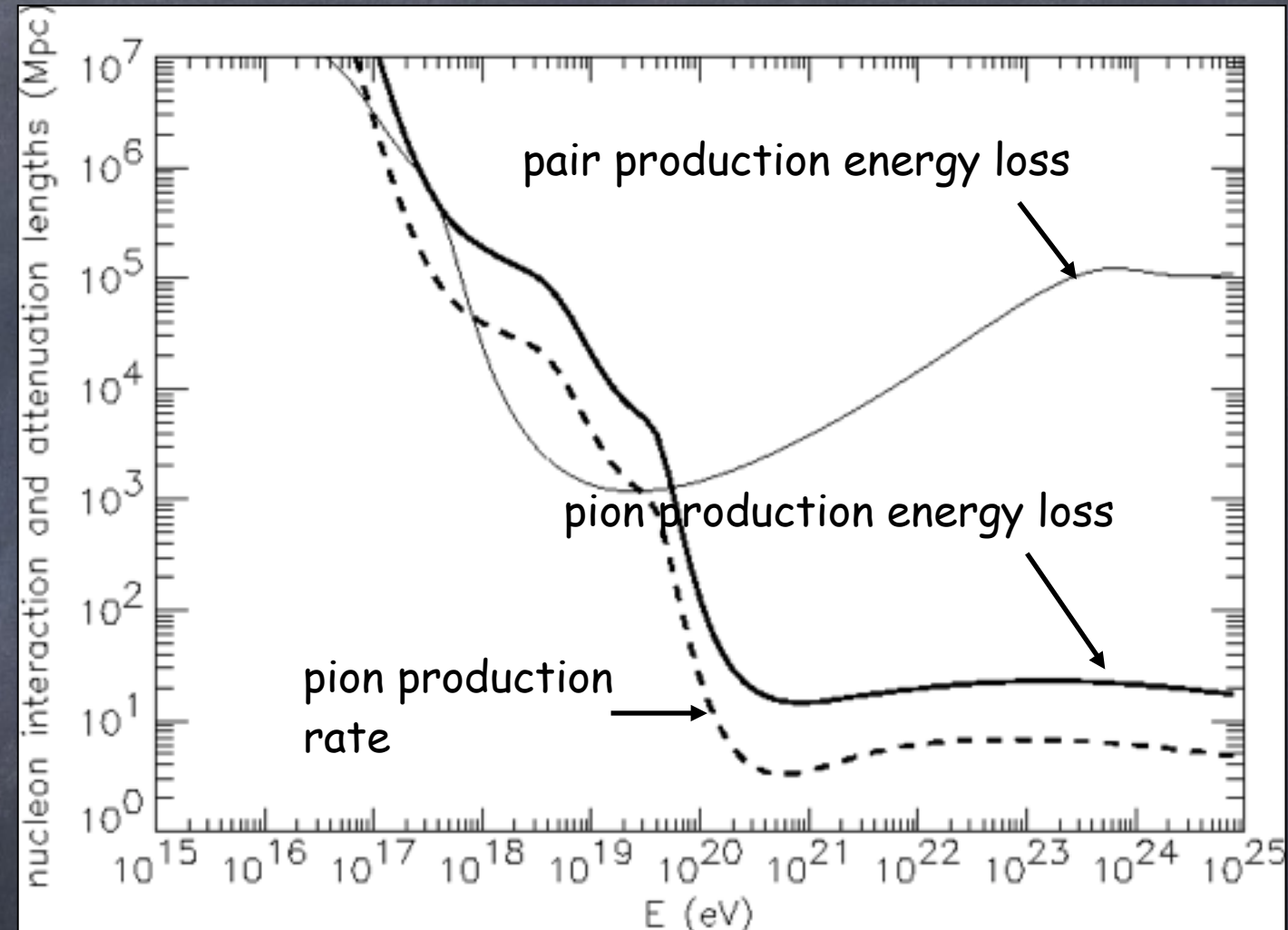
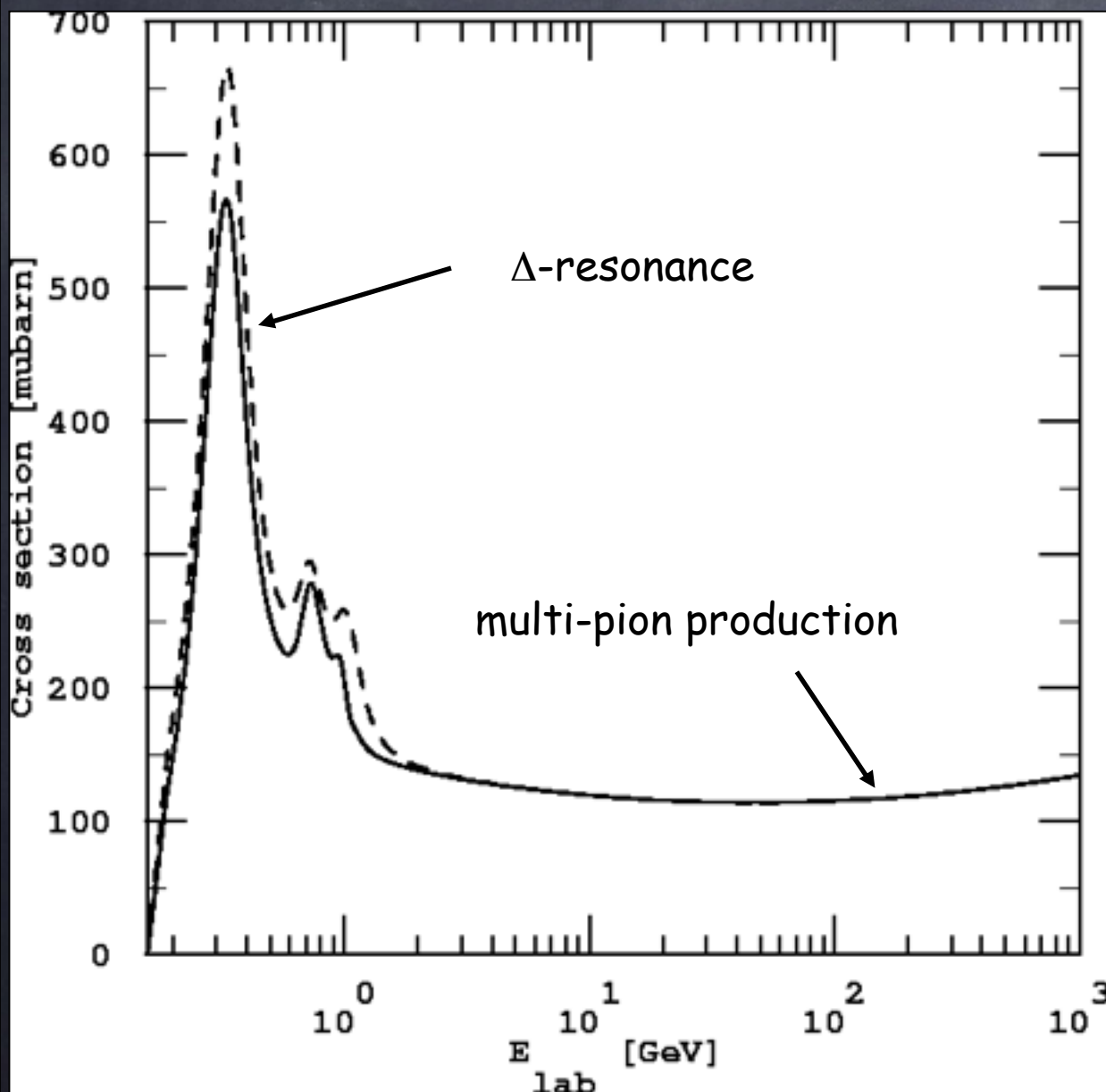


# The Greisen-Zatsepin-Kuzmin (GZK) effect

Nucleons can produce pions on the cosmic microwave background

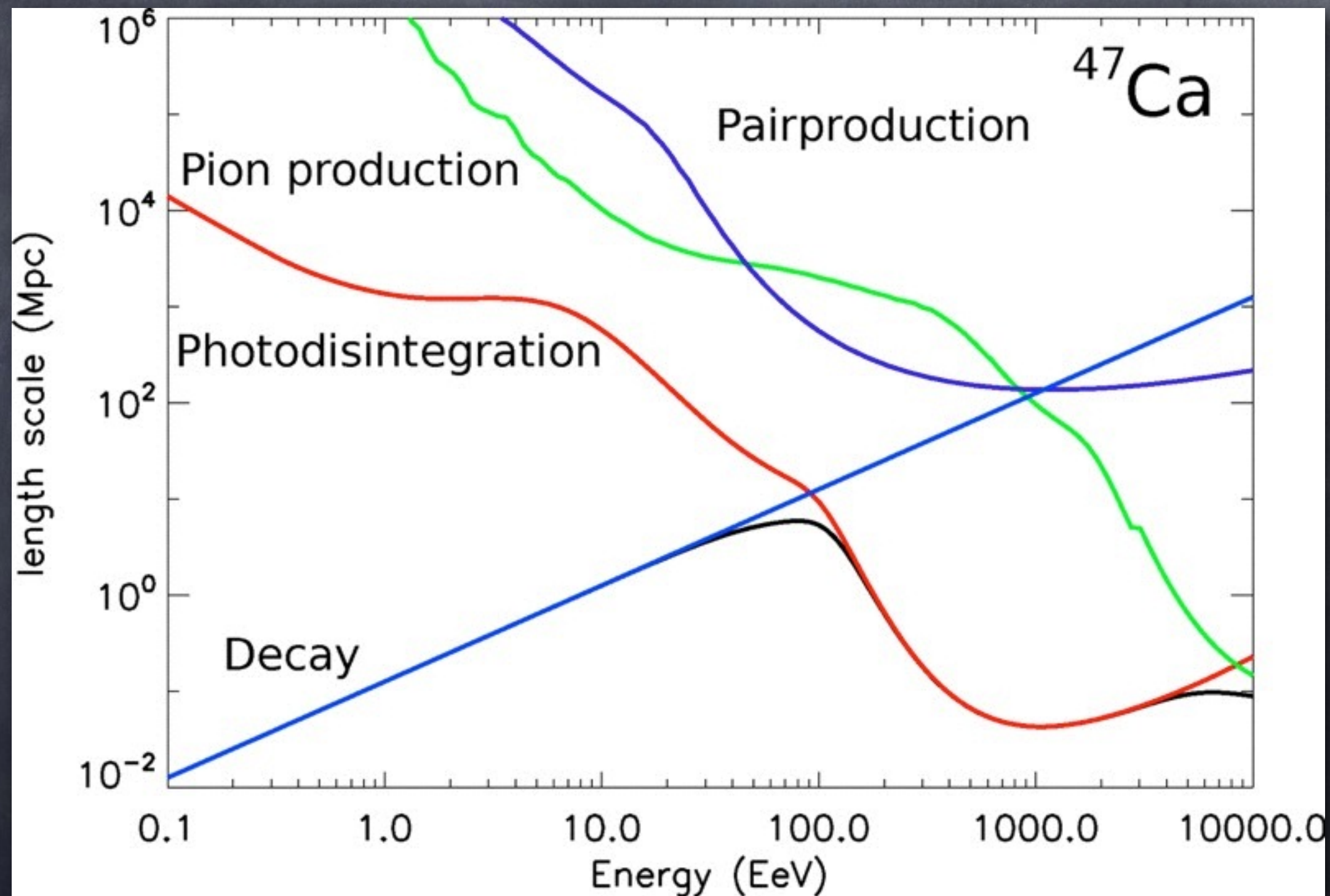


$$E_{\text{th}} = \frac{2m_N m_\pi + m_\pi^2}{4\epsilon} \simeq 4 \times 10^{19} \text{ eV}$$



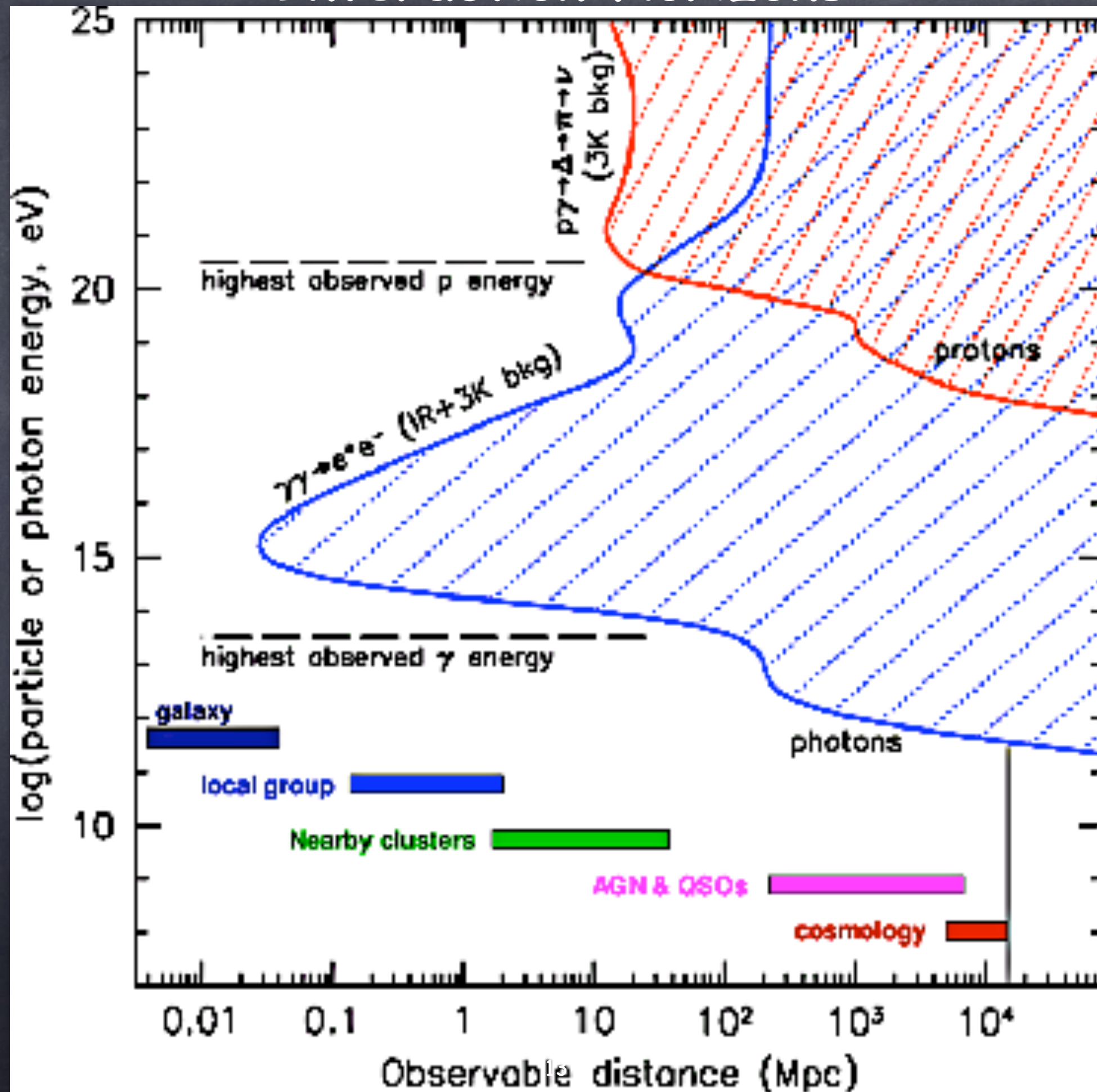
sources must be in cosmological backyard  
Only Lorentz symmetry breaking at  $\Gamma > 10^{11}$   
could avoid this conclusion.

# Length scales for relevant processes of a typical heavy nucleus

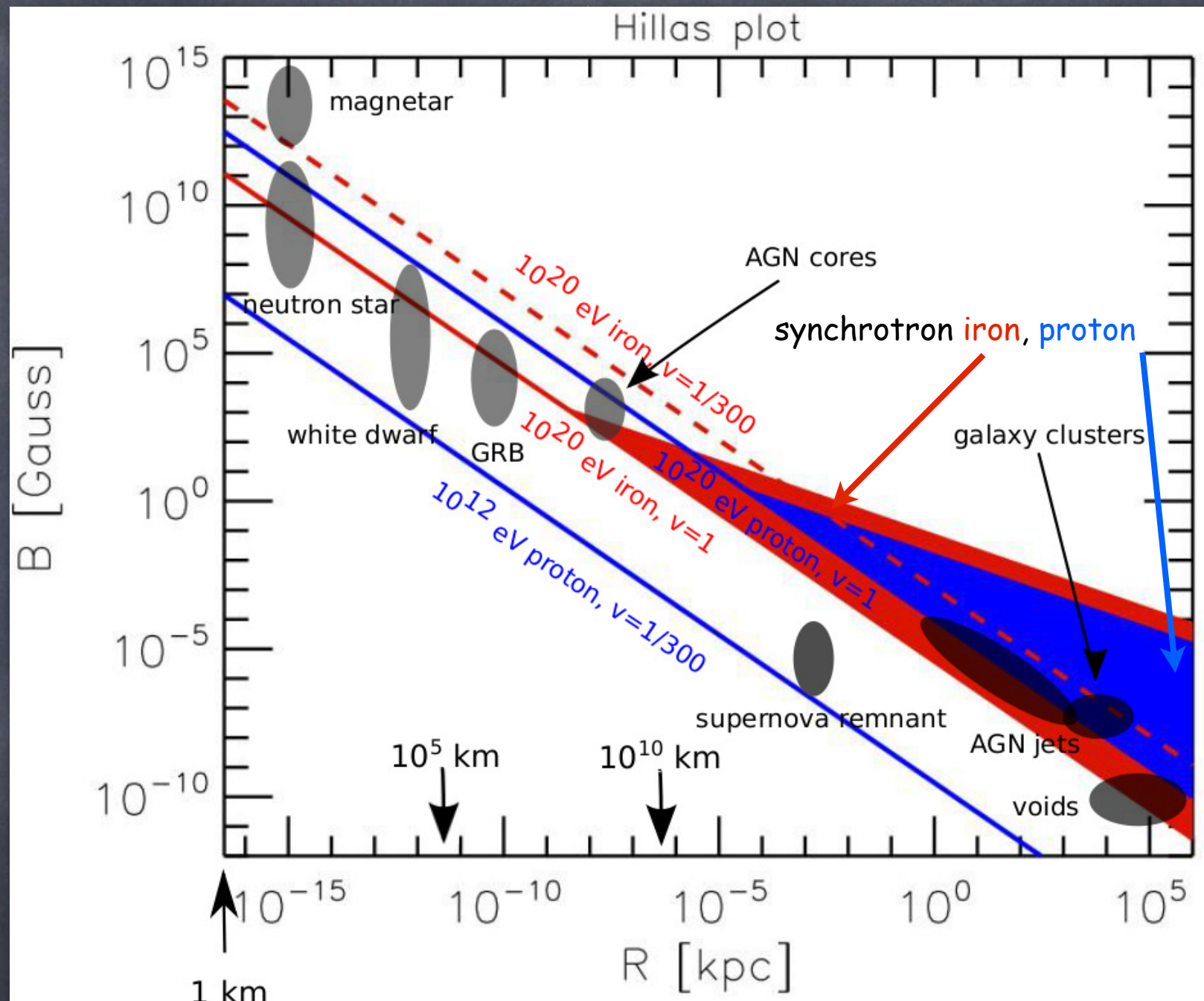
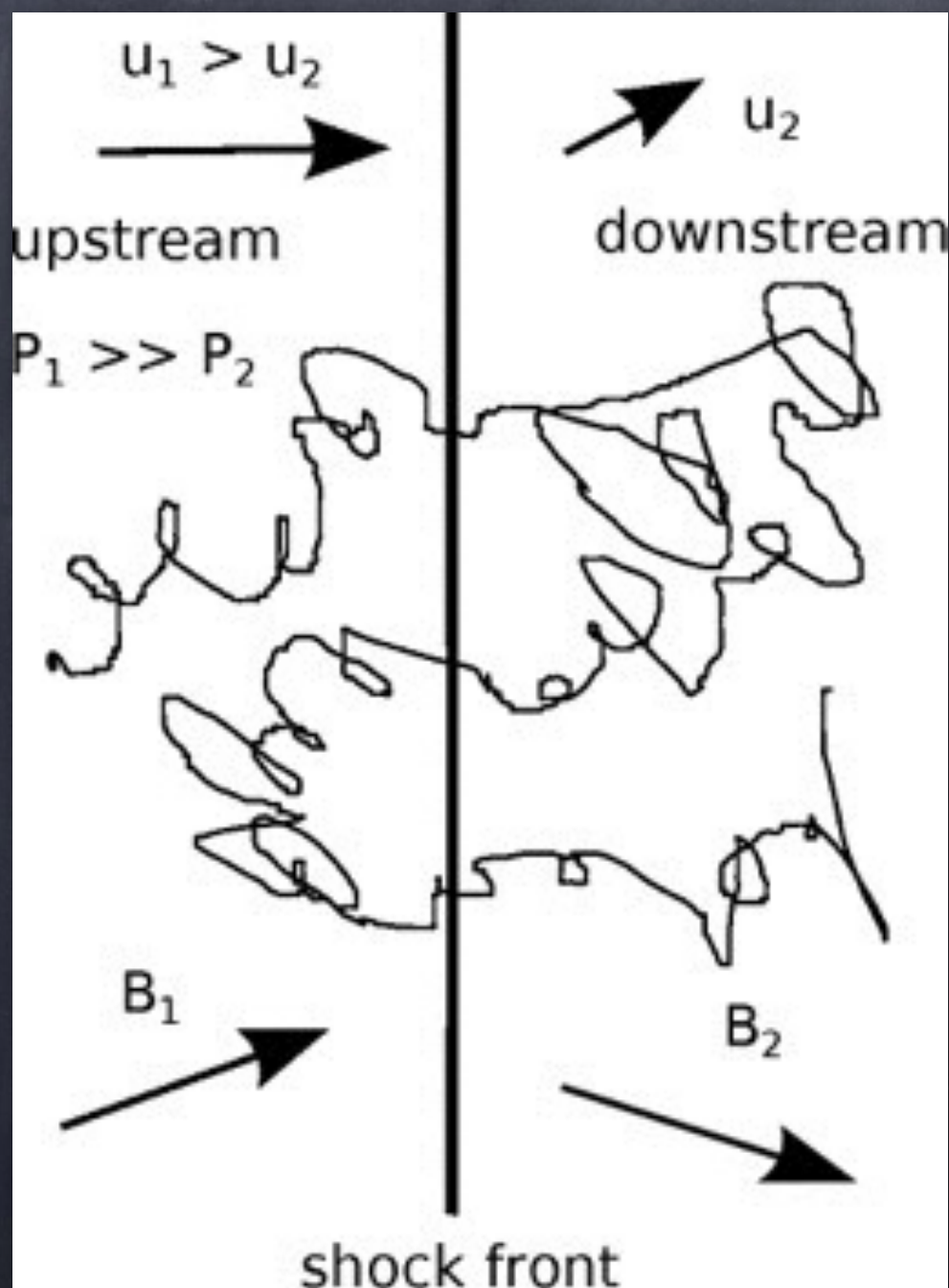




# Interaction Horizons



# 1<sup>st</sup> Order Fermi Shock Acceleration



Fractional energy gain per shock crossing  $\sim u_1 - u_2$  on a time scale  $r_L/u_2$ .

Together with downstream losses this leads to a spectrum  $E^{-q}$  with  $q > 2$  typically. Confinement, gyroradius  $<$  shock size, and energy loss times define maximal energy



## Some general Requirements for Sources

Accelerating particles of charge  $eZ$  to energy  $E_{\max}$  requires induction  $\varepsilon > E_{\max}/eZ$ . With  $Z_0 \sim 100\Omega$  the vacuum impedance, this requires dissipation of minimum power of

$$L_{\min} \sim \frac{\epsilon^2}{Z_0} \simeq 10^{45} Z^{-2} \left( \frac{E_{\max}}{10^{20} \text{ eV}} \right)^2 \text{ erg s}^{-1}$$

This „Poynting“ luminosity can also be obtained from  $L_{\min} \sim (BR)^2$  where  $BR$  is given by the „Hillas criterium“:

$$BR > 3 \times 10^{17} \Gamma^{-1} \left( \frac{E_{\max}/Z}{10^{20} \text{ eV}} \right) \text{ Gauss cm}$$

where  $\Gamma$  is a possible beaming factor.

If most of this goes into electromagnetic channel, only AGNs and maybe gamma-ray bursts could be consistent with this.

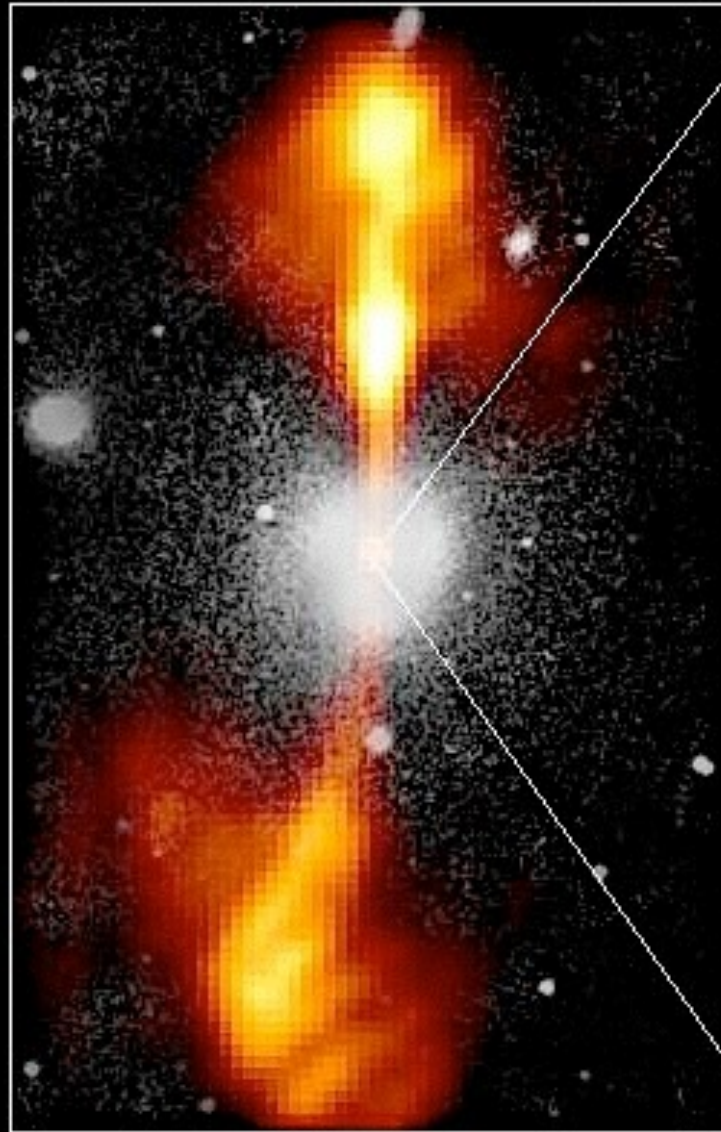


A possible acceleration site associated with shocks in hot spots of active galaxies

# Core of Galaxy NGC 4261

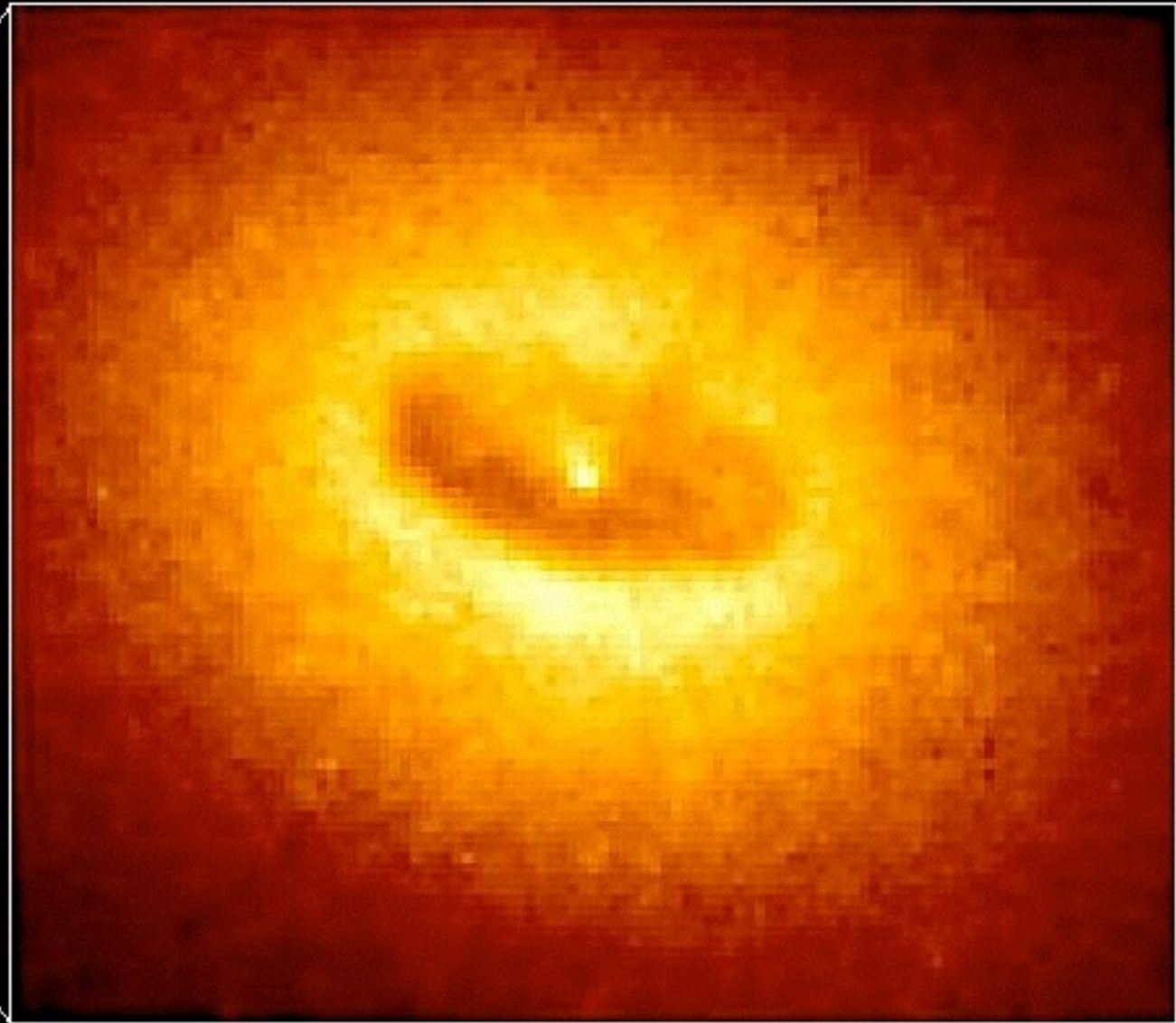
Hubble Space Telescope  
Wide Field / Planetary Camera

Ground-Based Optical/Radio Image



380 Arc Seconds  
88,000 LIGHT-YEARS

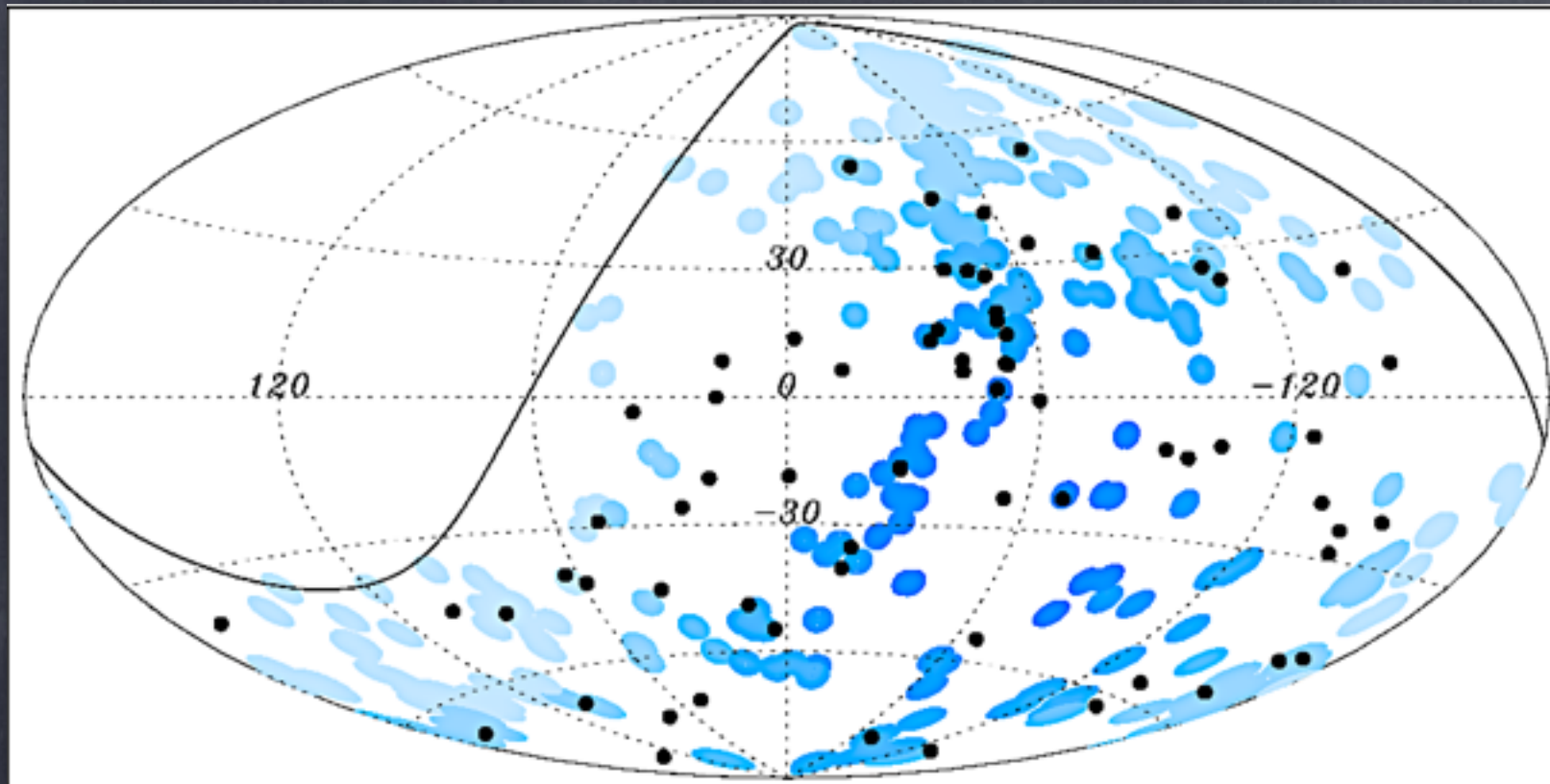
HST Image of a Gas and Dust Disk



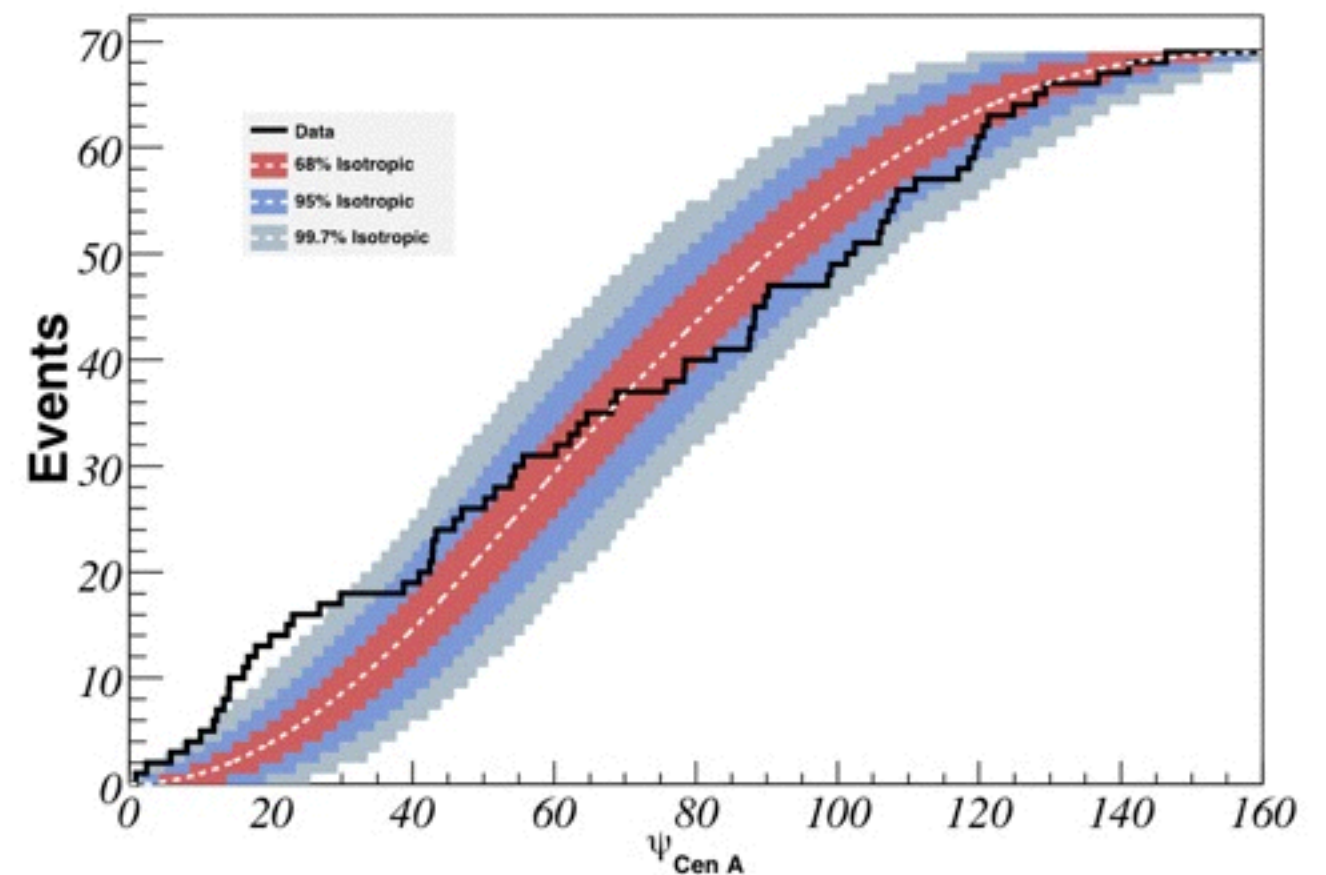
17 Arc Seconds  
400 LIGHT-YEARS



# Centaurus A is a UHECR source candidate



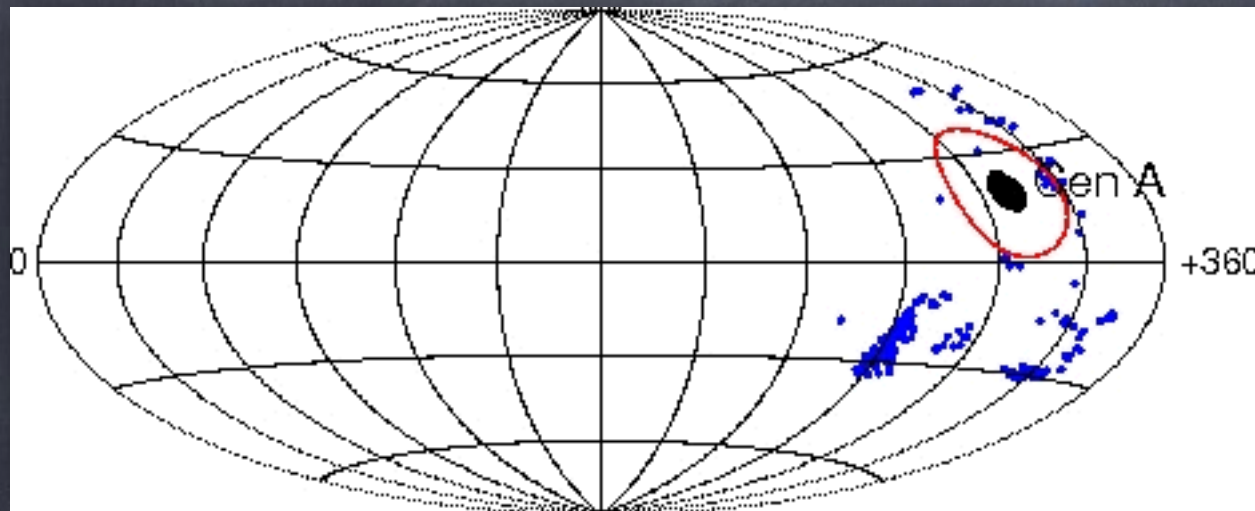
Pierre Auger sees an excess  
in the direction of Centaurus A  
above 55 EeV



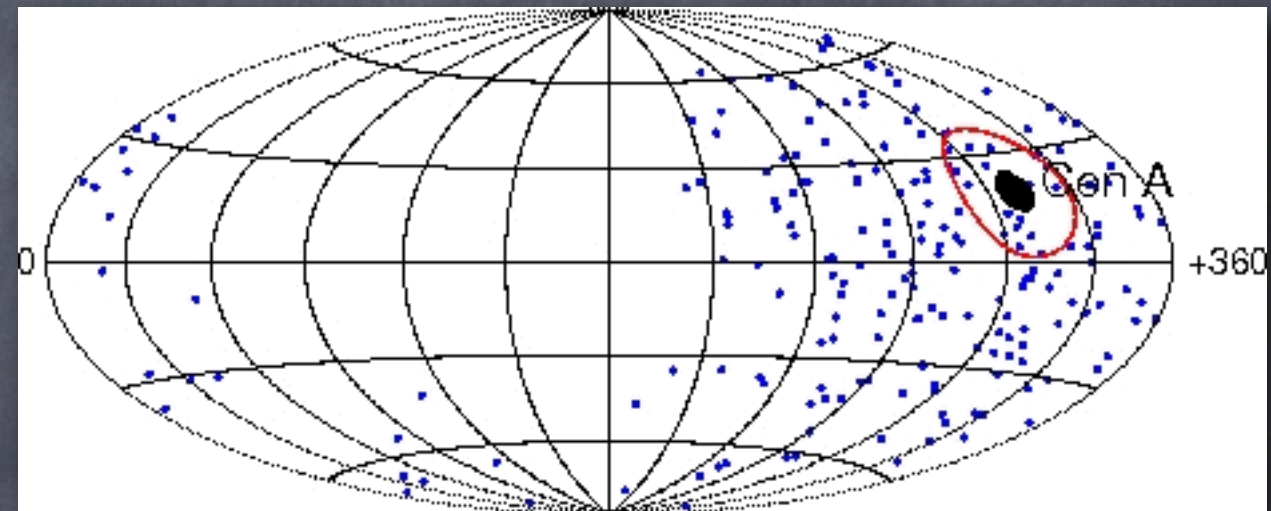
Pierre Auger Collaboration, *Astropart.Phys.* 34 (2010) 314



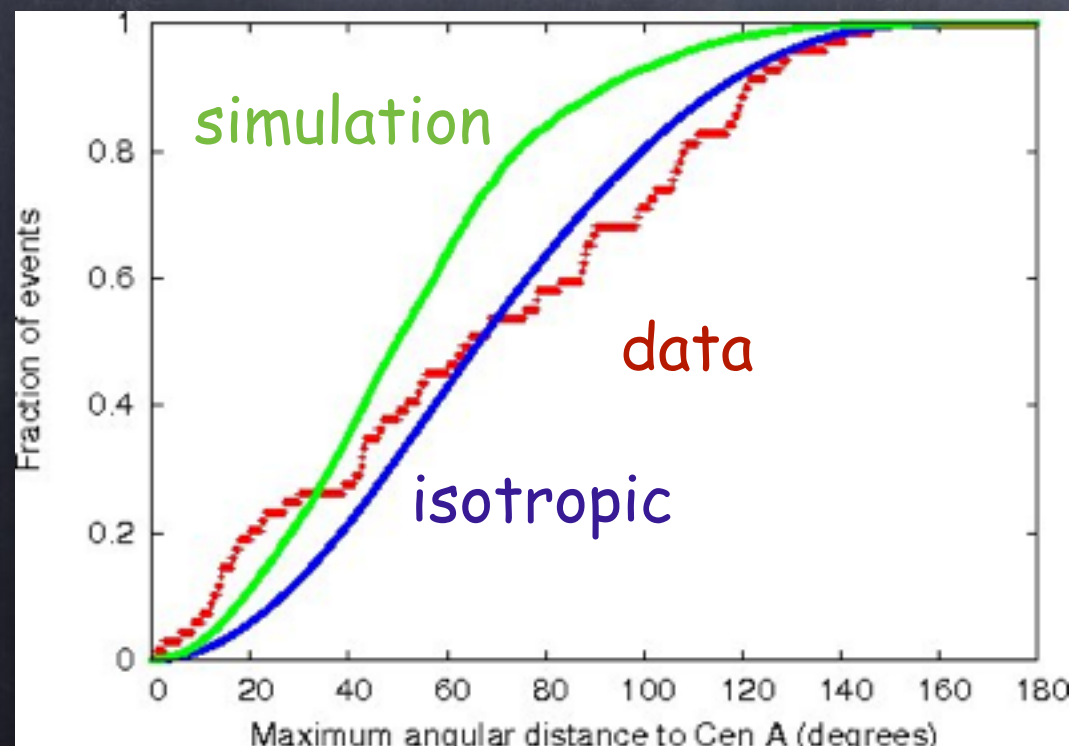
# But even for iron primaries Centaurus A can not be the only UHECR source



Iron Image of Cen A in the Prouza-Smida Galactic magnetic field model



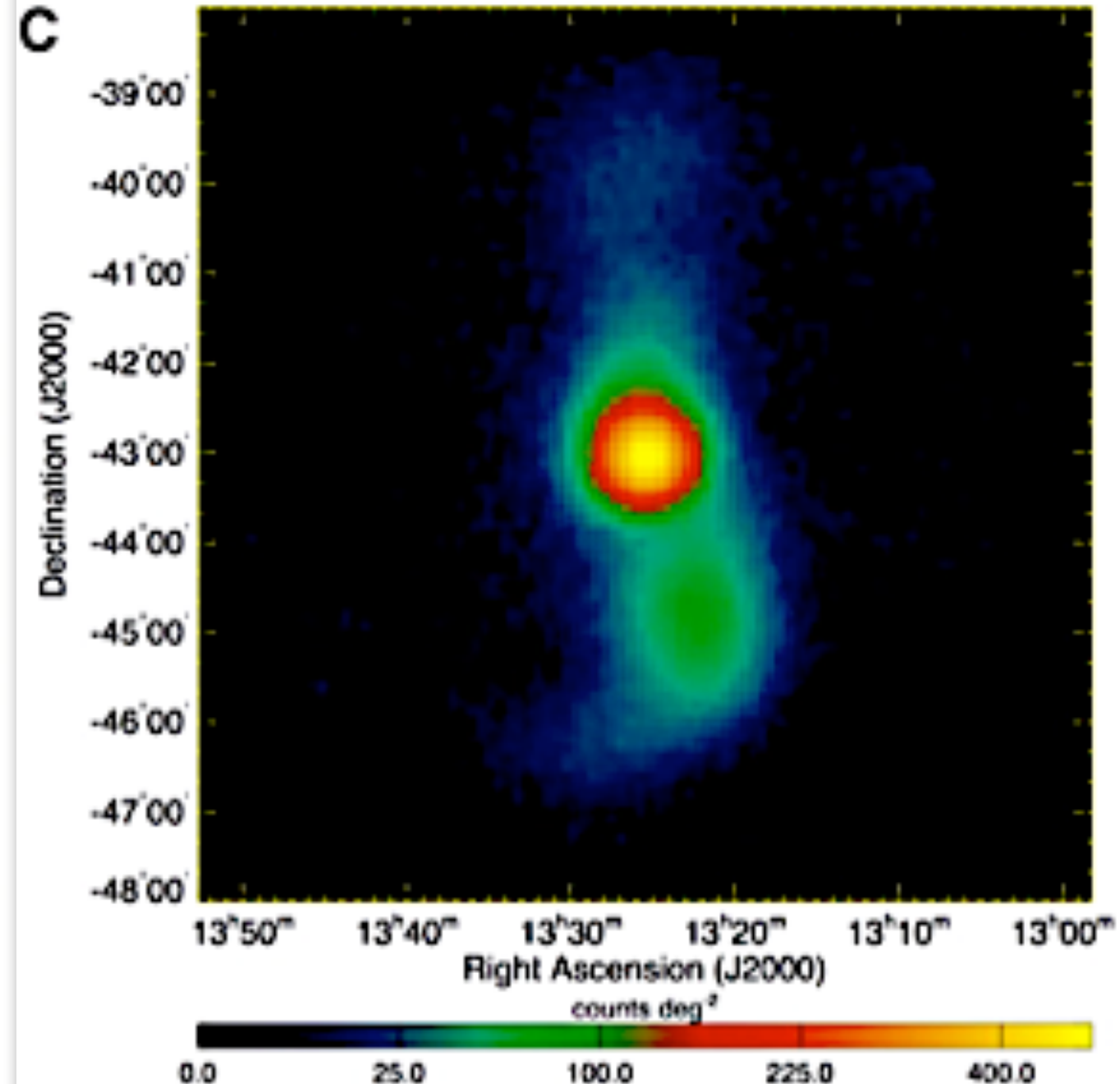
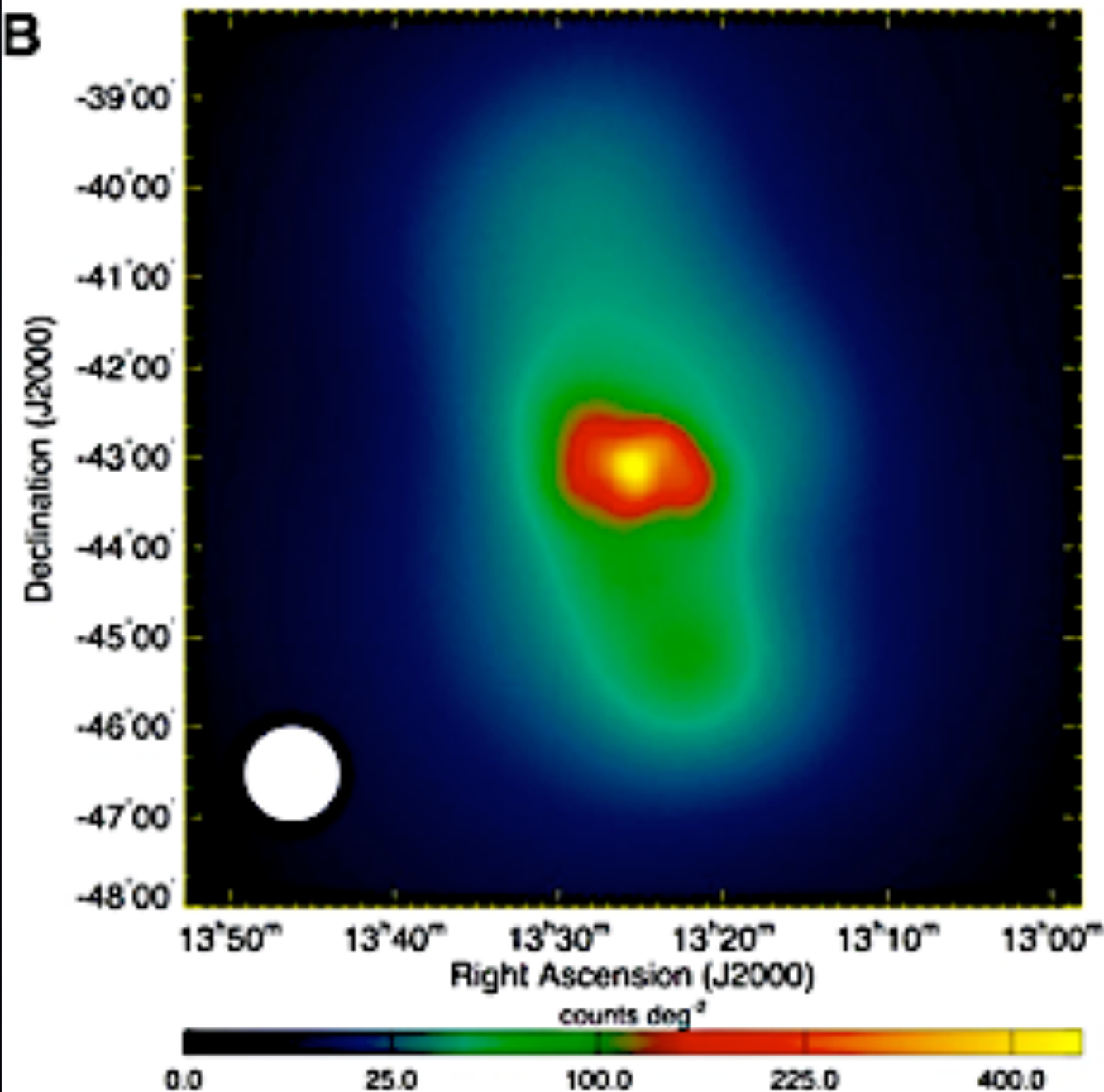
Including an extreme choice for the turbulent Galactic field component with strength  $10 \mu\text{G}$ , coherence length 50 pc, 10 kpc halo extension



Giacinti, Kachelriess, Semikoz, Sigl, *Astropart.Phys.* 35 (2011) 192



# Lobes of Centaurus A seen by Fermi-LAT

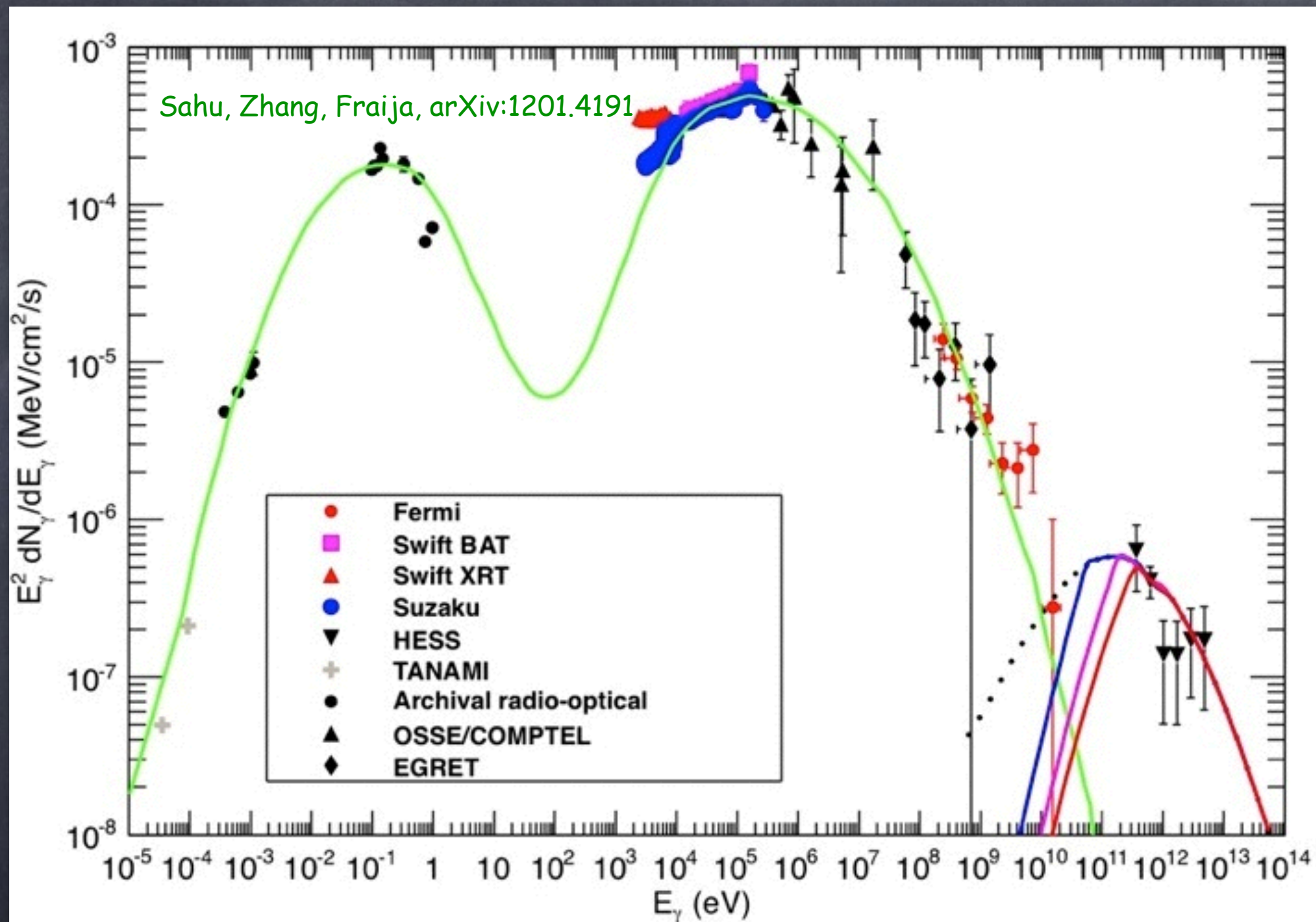


> 200 MeV  $\gamma$ -rays

Radio observations



# Centaurus A as Multimessenger Source: A Mixed hadronic+leptonic Model

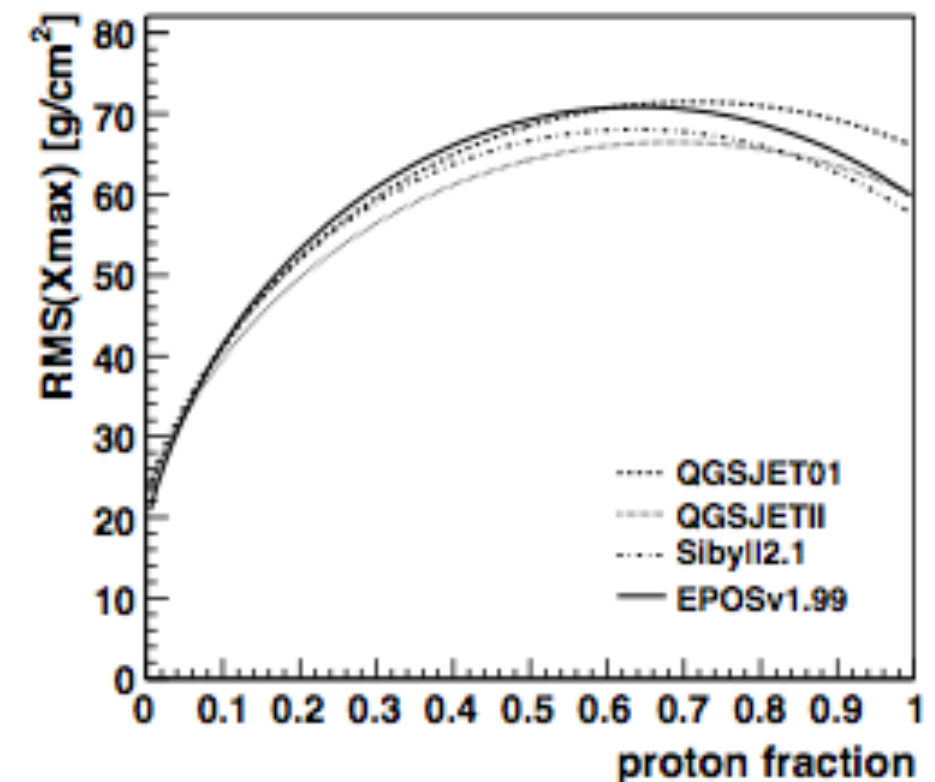
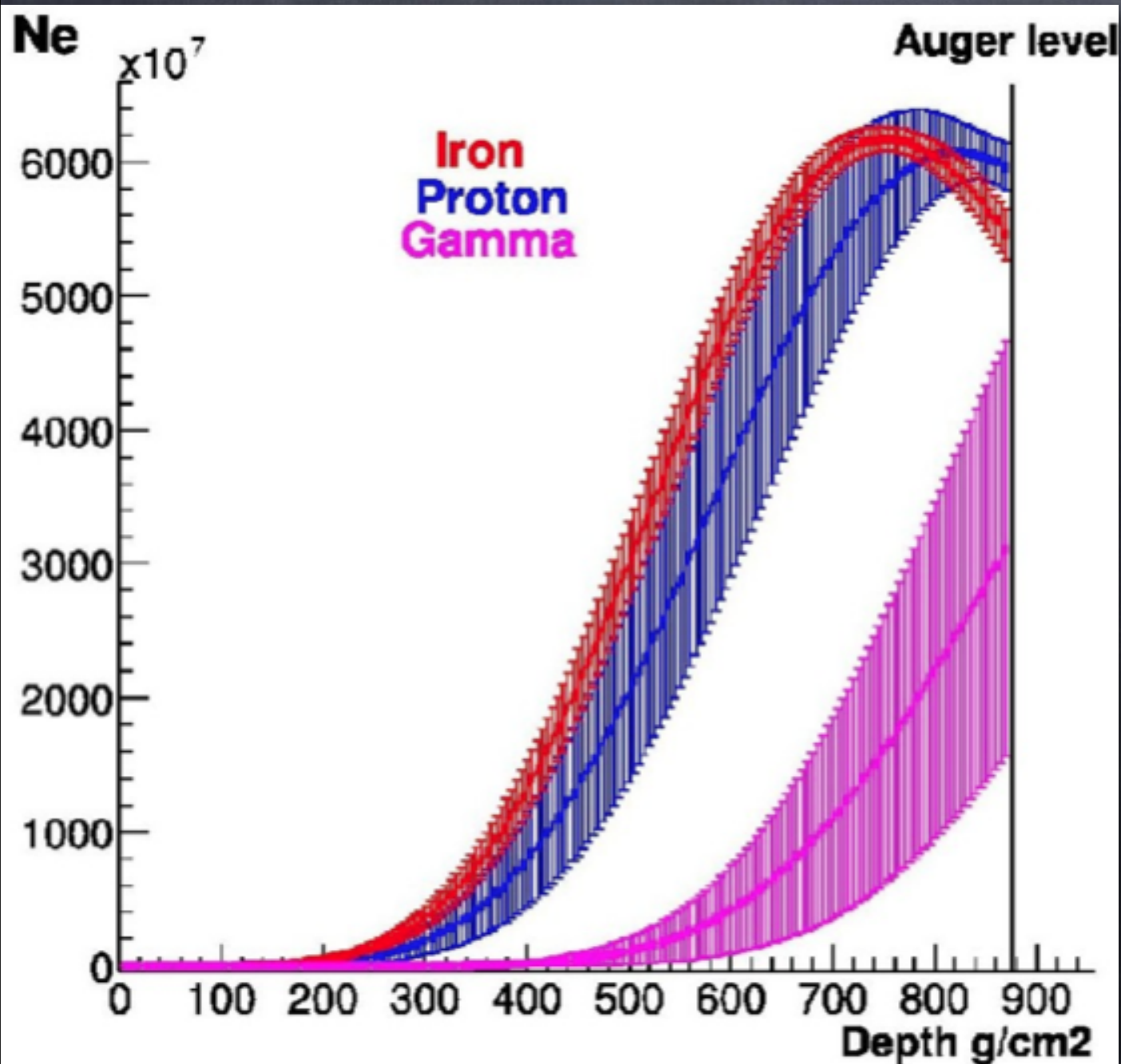


Low energy bump = synchrotron  
high energy bump = synchrotron self-Compton  
TeV- $\gamma$ -rays:  $p\gamma$  interactions of shock-accelerated protons



# Mass Composition

Depth of shower maximum and its distribution contain information on primary mass composition

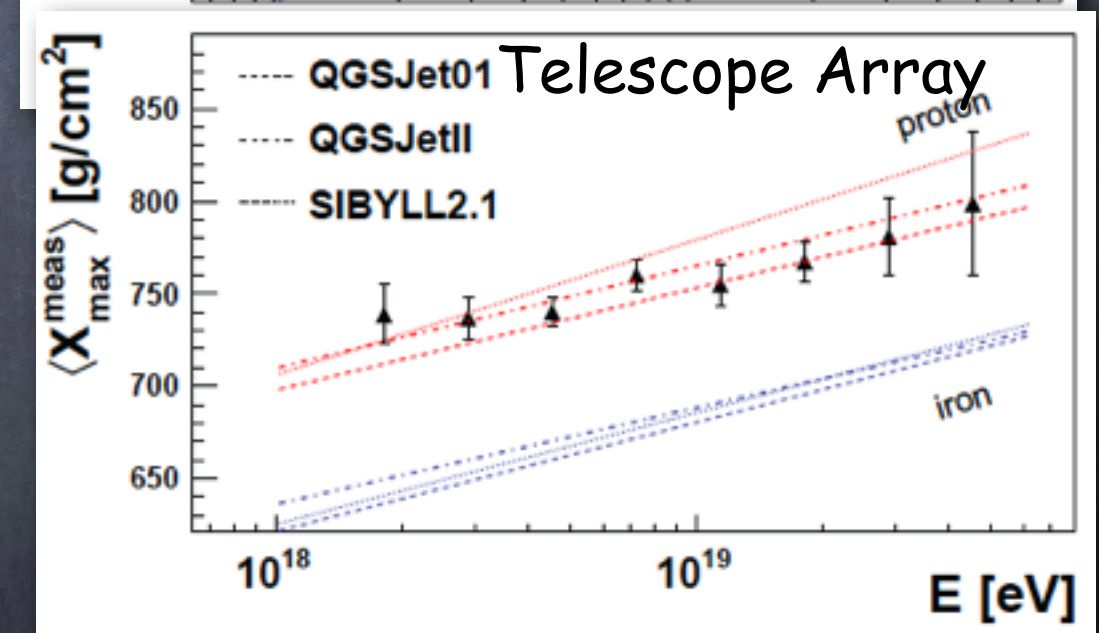
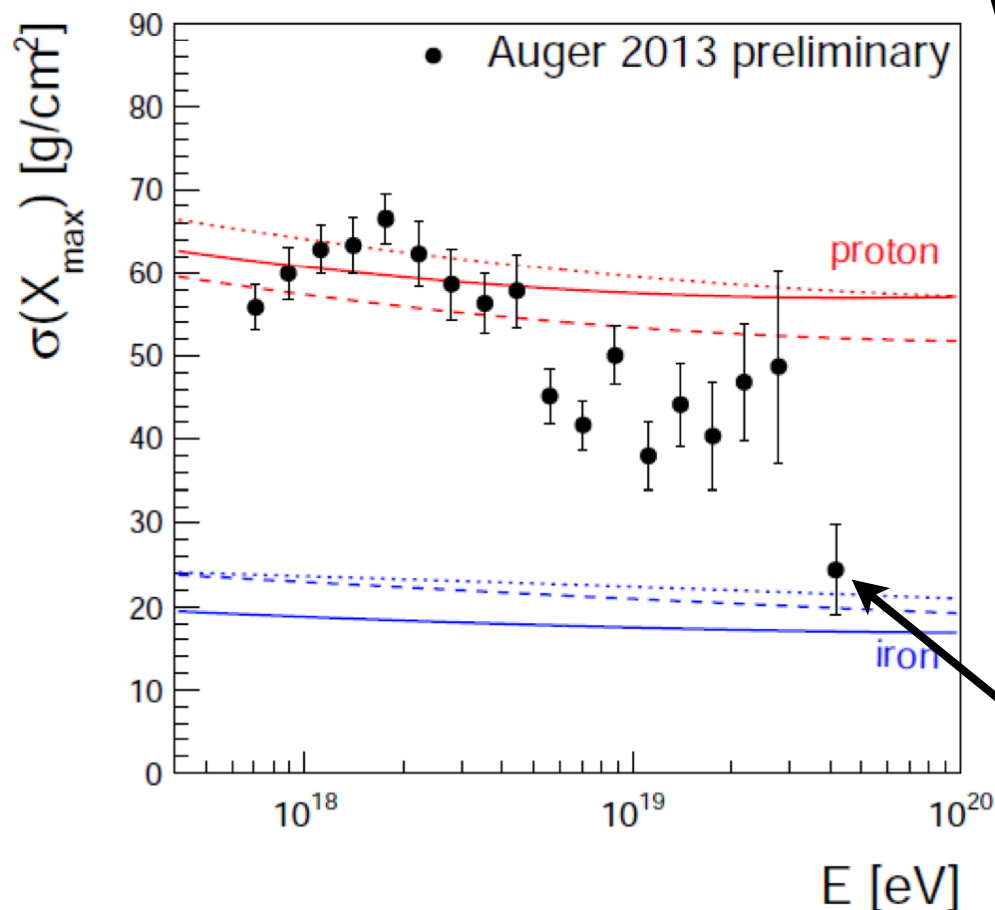
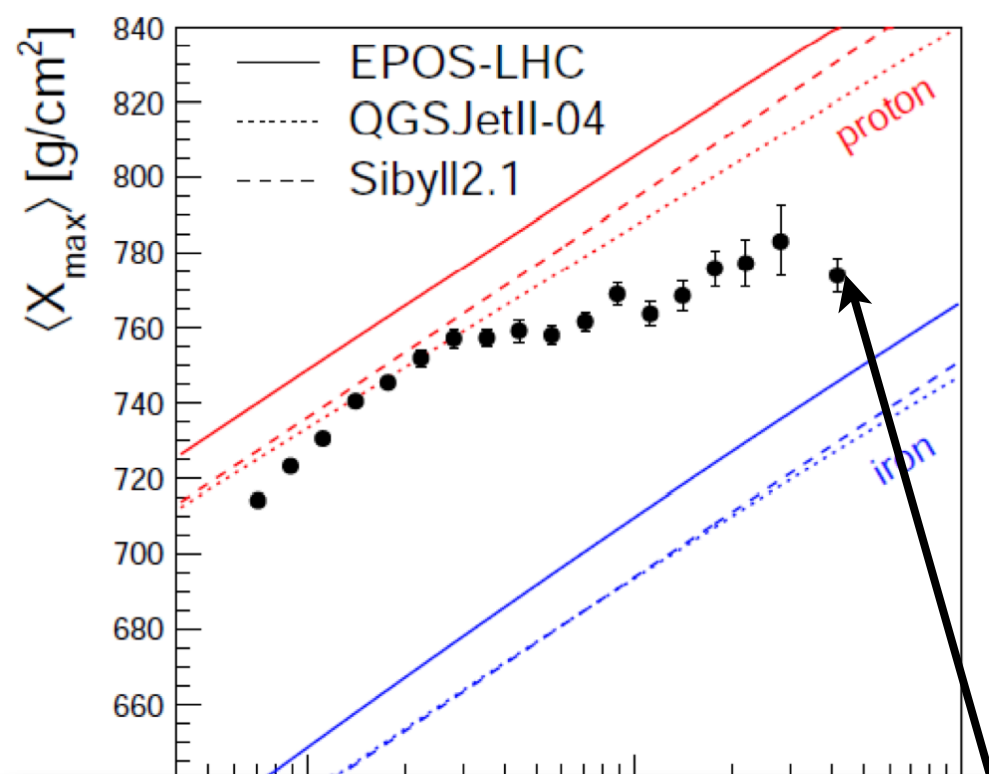


**FIGURE 1.**  $\text{RMS}(X_{\text{max}})$  from different hadronic interaction models [23] and a two-component p/Fe composition model ( $E = 10^{18}$  eV).



Pierre Auger data suggest a heavier composition toward highest energies:

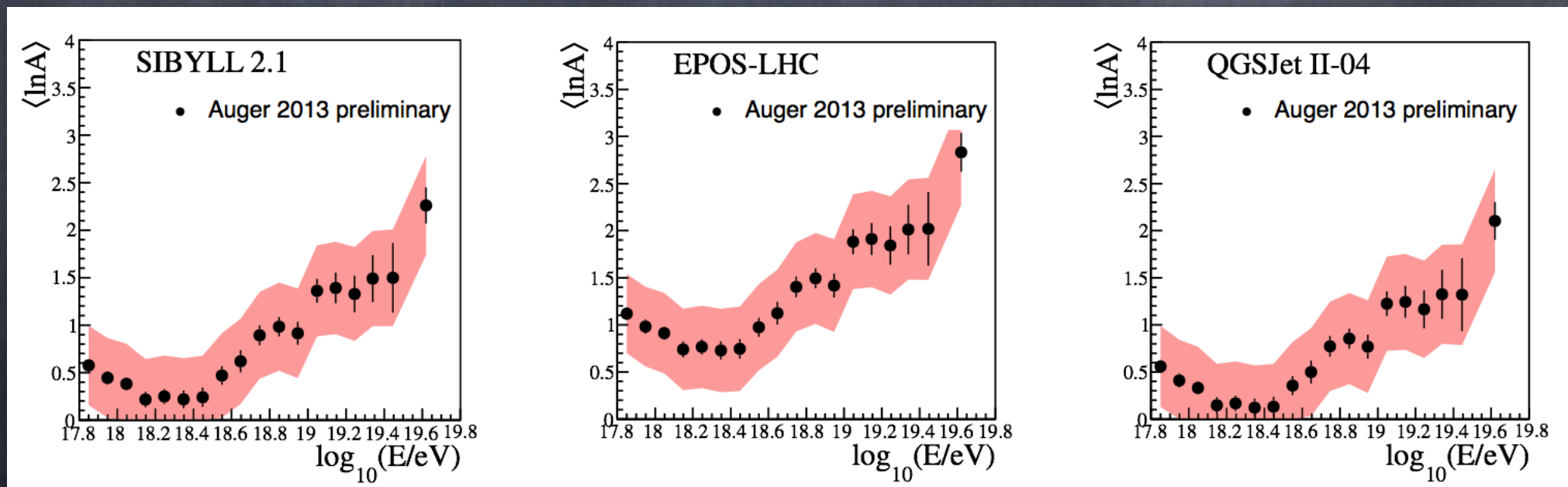
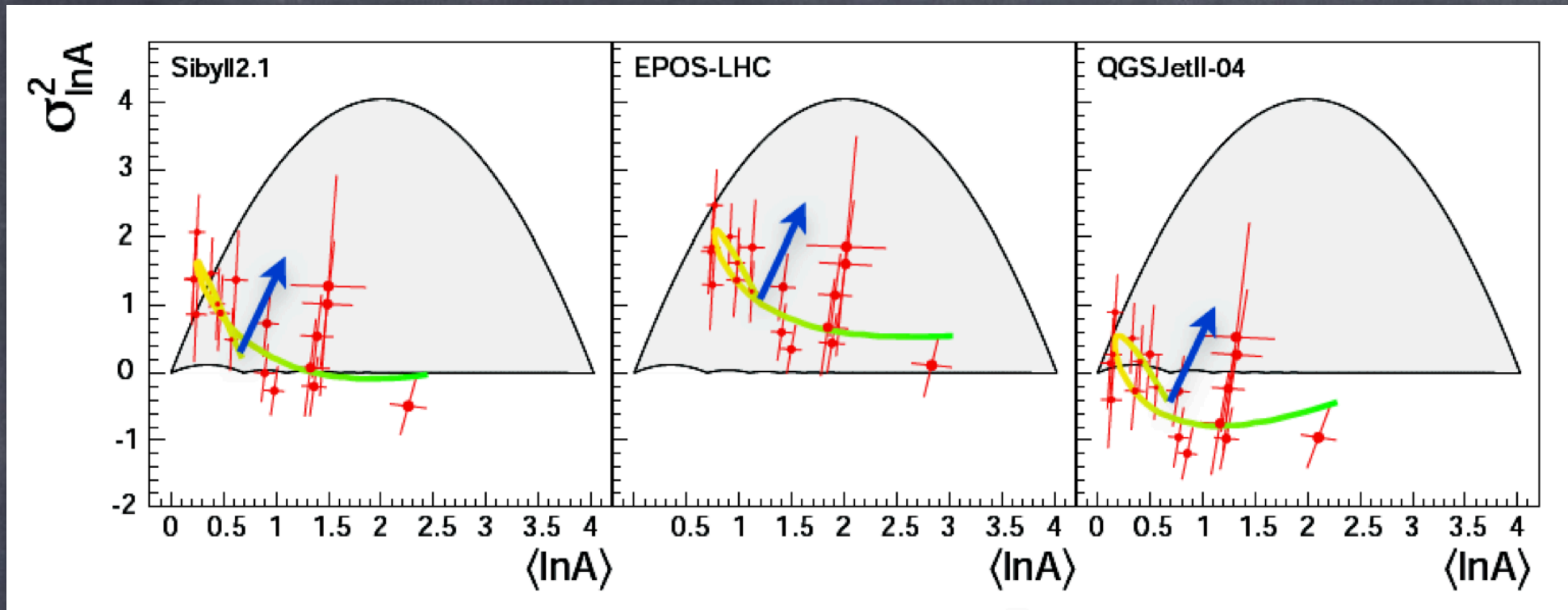
but not confirmed on the northern hemisphere by HiRes and Telescope Array which are consistent with protons



potential tension with air shower simulations and some hadronic interaction models because a mixed composition would predict larger  $\text{RMS}(X_{\max})$



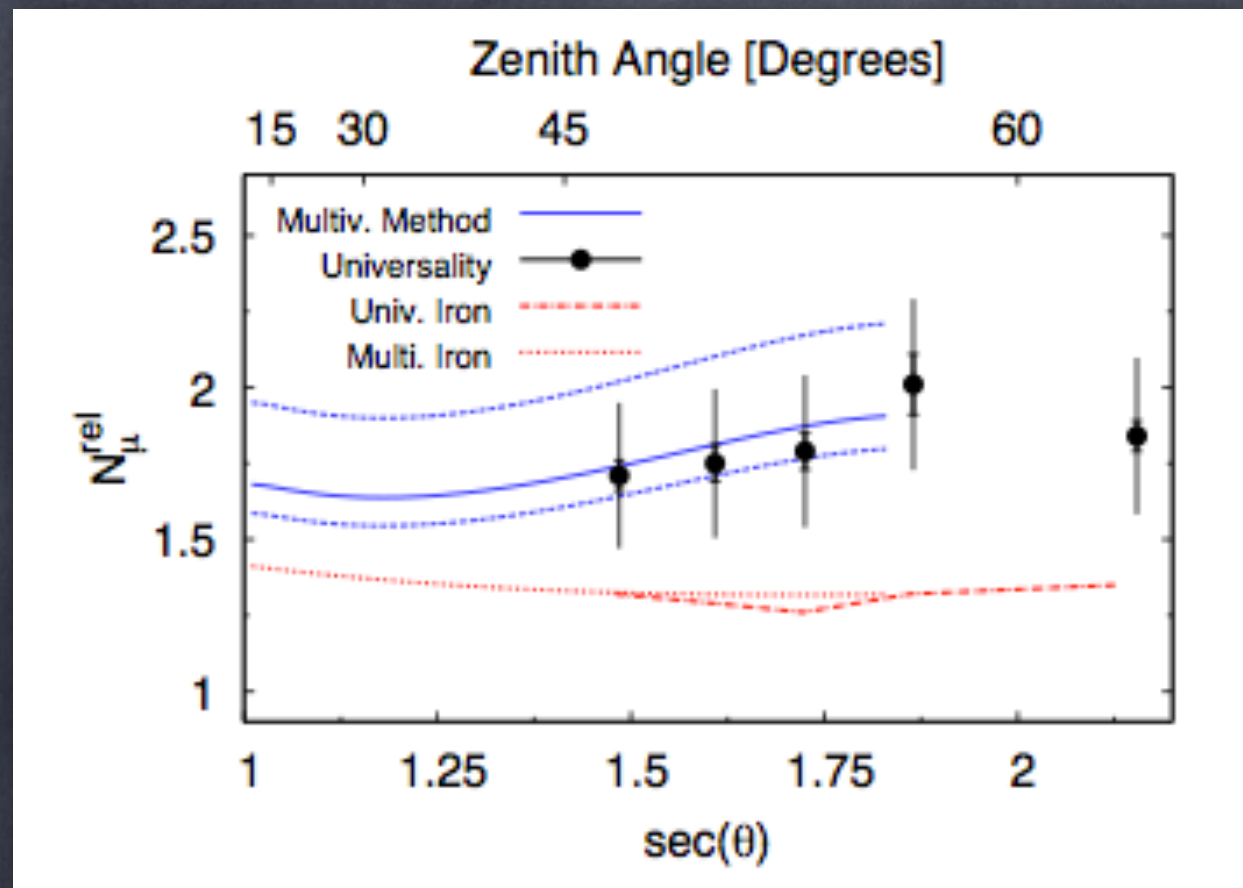
combined measurement of  $X_{\max}$  and its fluctuation  $\sigma$  constrains composition  
within a given hadronic interaction model



Kampert and Unger, arXiv:1201.0018, M. Roth at TeVPA 2013 and ICRC 2013



Muon number measured at 1000 m from shower core a factor  $\sim 2$  higher than predicted

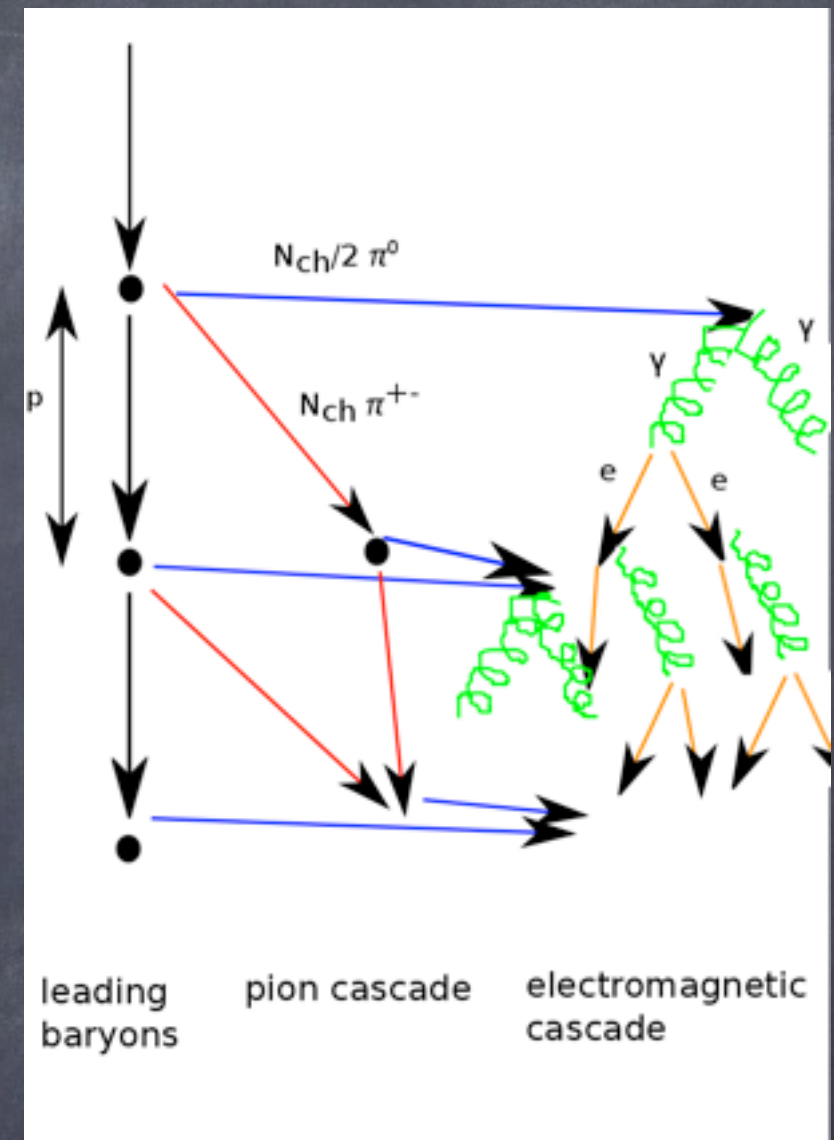


Pierre Auger Collaboration, ICRC 2011, Allen et al., arXiv:1107.4804

The muon number scales as

$$N_{\mu} \propto E_{\text{had}} \propto (1 - f_{\pi^0})^N,$$

with the fraction going into the electromagnetic channel  $f_{\pi^0} \simeq \frac{1}{3}$  and the number of generations  $N$  strongly constrained by  $X_{\text{max}}$ . Larger  $N_{\mu}$  thus requires smaller  $f_{\pi^0}$  !





# KASCADE data suggest a heavy composition below $\sim 10^{18}$ eV possibly becoming lighter around $10^{18}$ eV

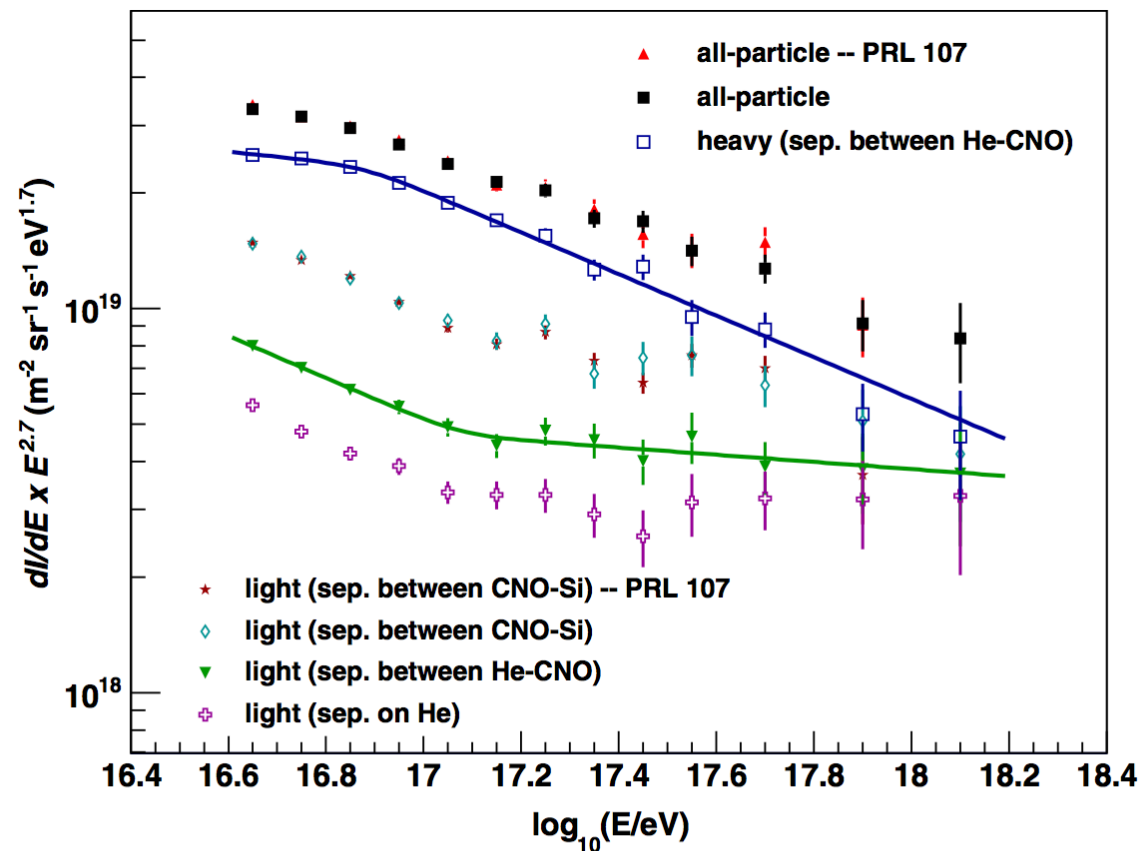
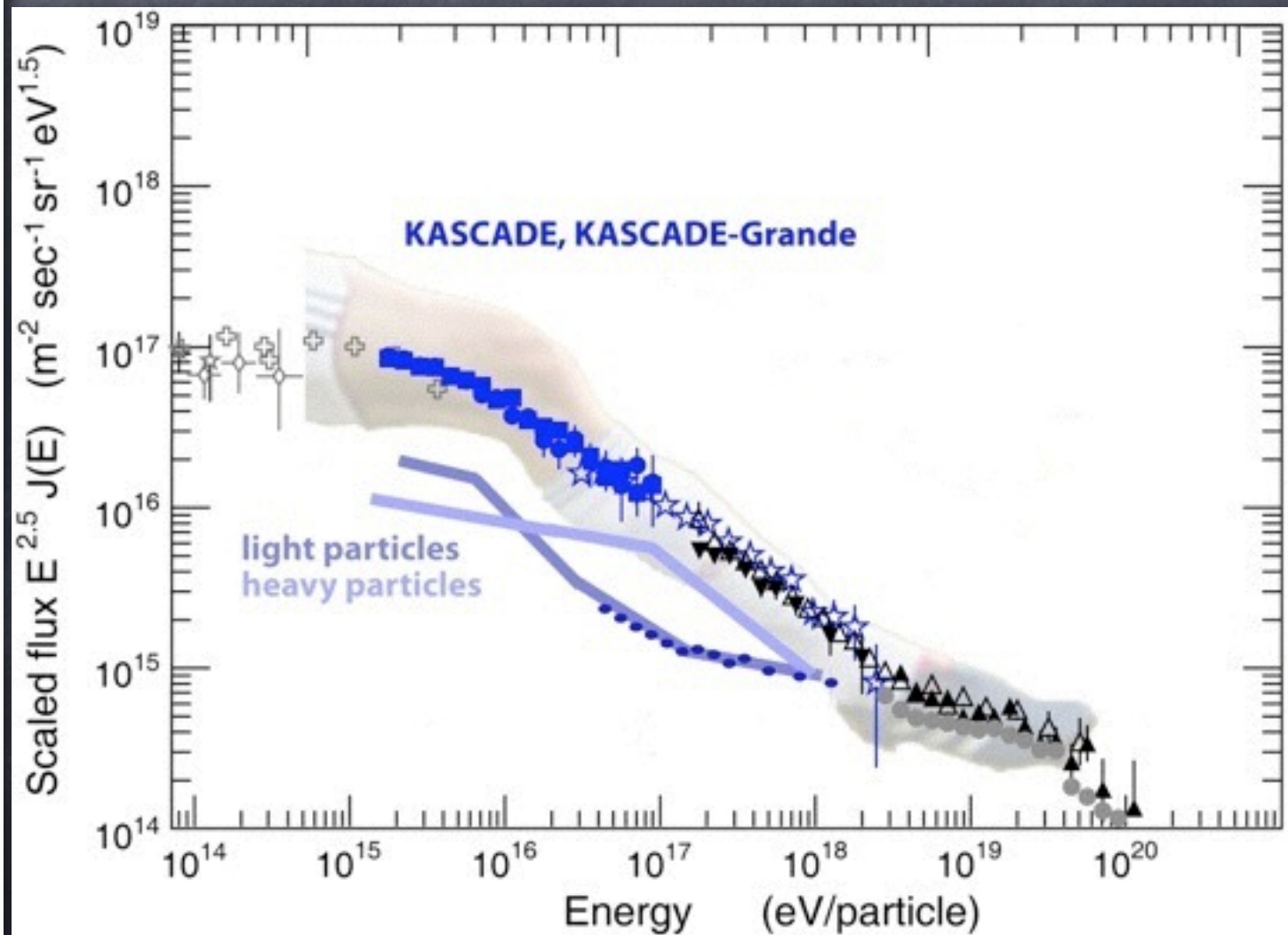


FIG. 4 (color online). The all-particle and electron-rich spectra from the analysis [8] in comparison to the results of this analysis with higher statistics. In addition to the light and heavy spectrum based on the separation between He and CNO, the light spectrum based on the separation on He is also shown. The error bars show the statistical uncertainties.

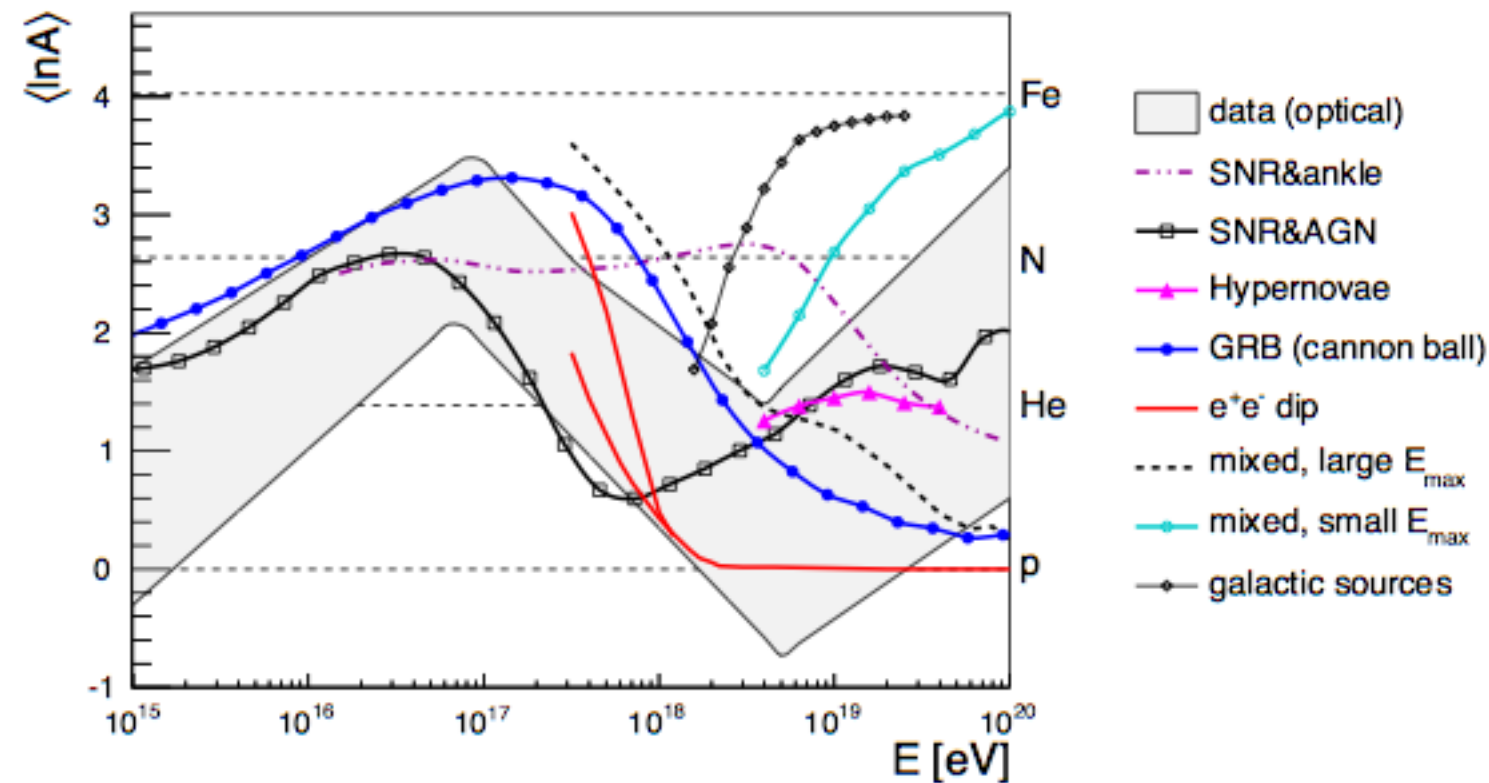
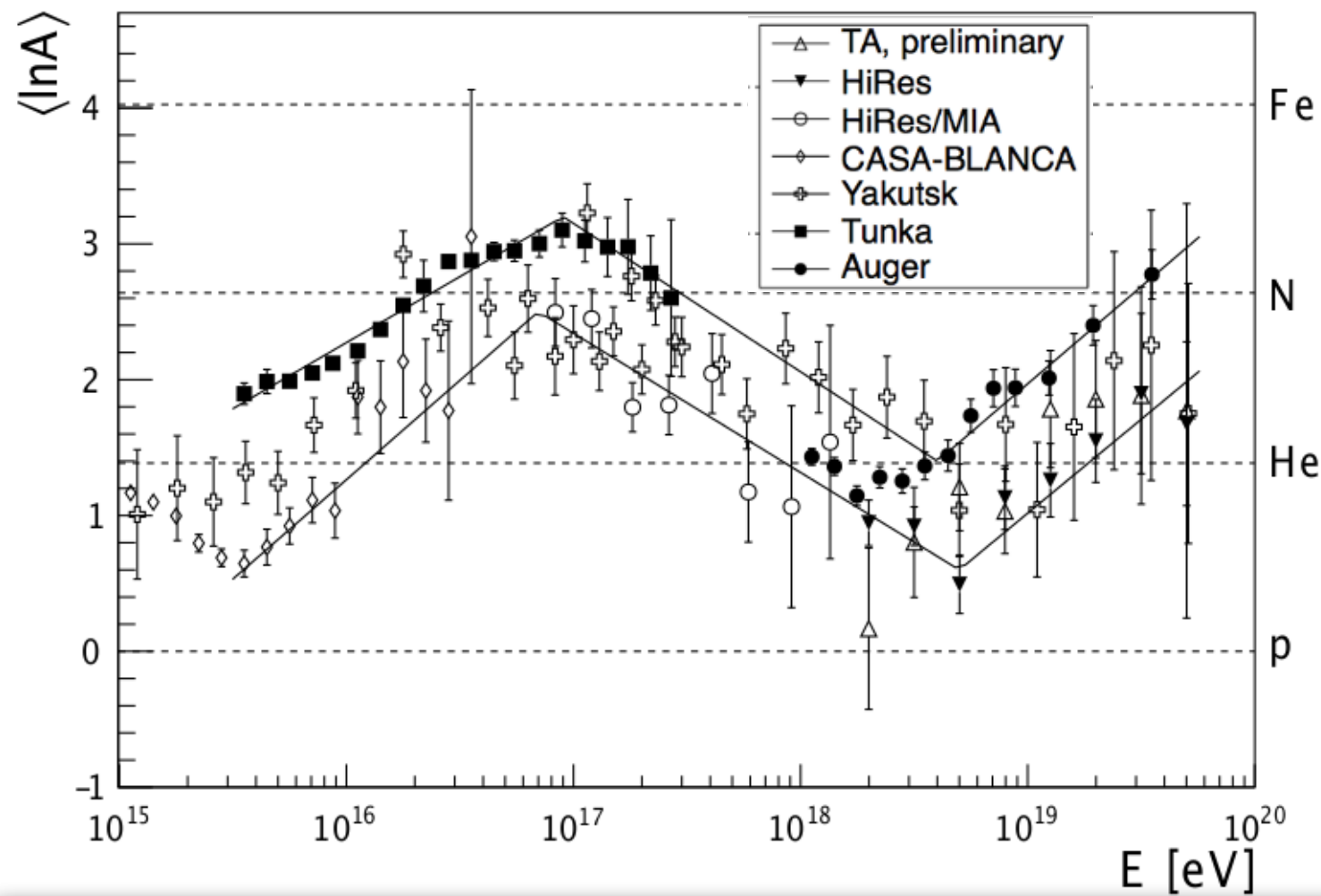
KASCADE Collaboration, Phys.Rev. D87 (2013) 081101,



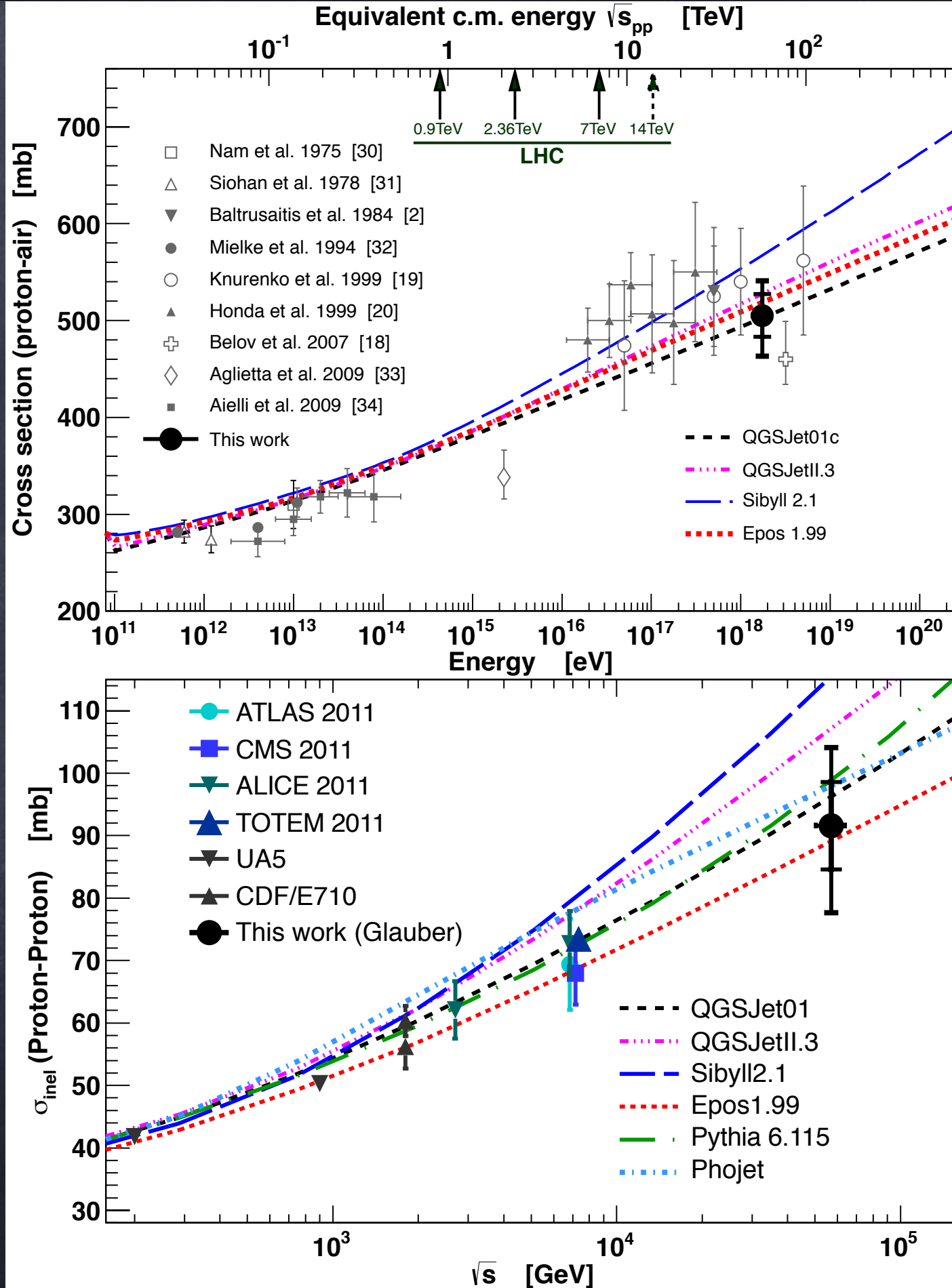


# The global picture for the mass composition

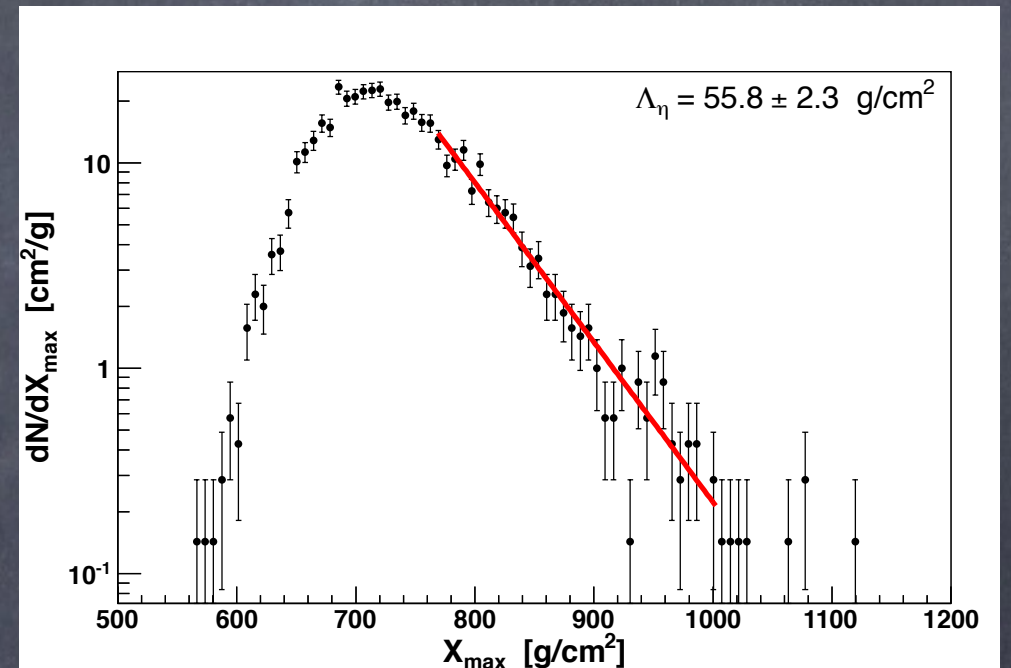
K.-H.Kampert and M.Unger,  
Astropart.Phys. 35 (2012) 660







p-air cross section derived from exponential tail of depth of shower maxima



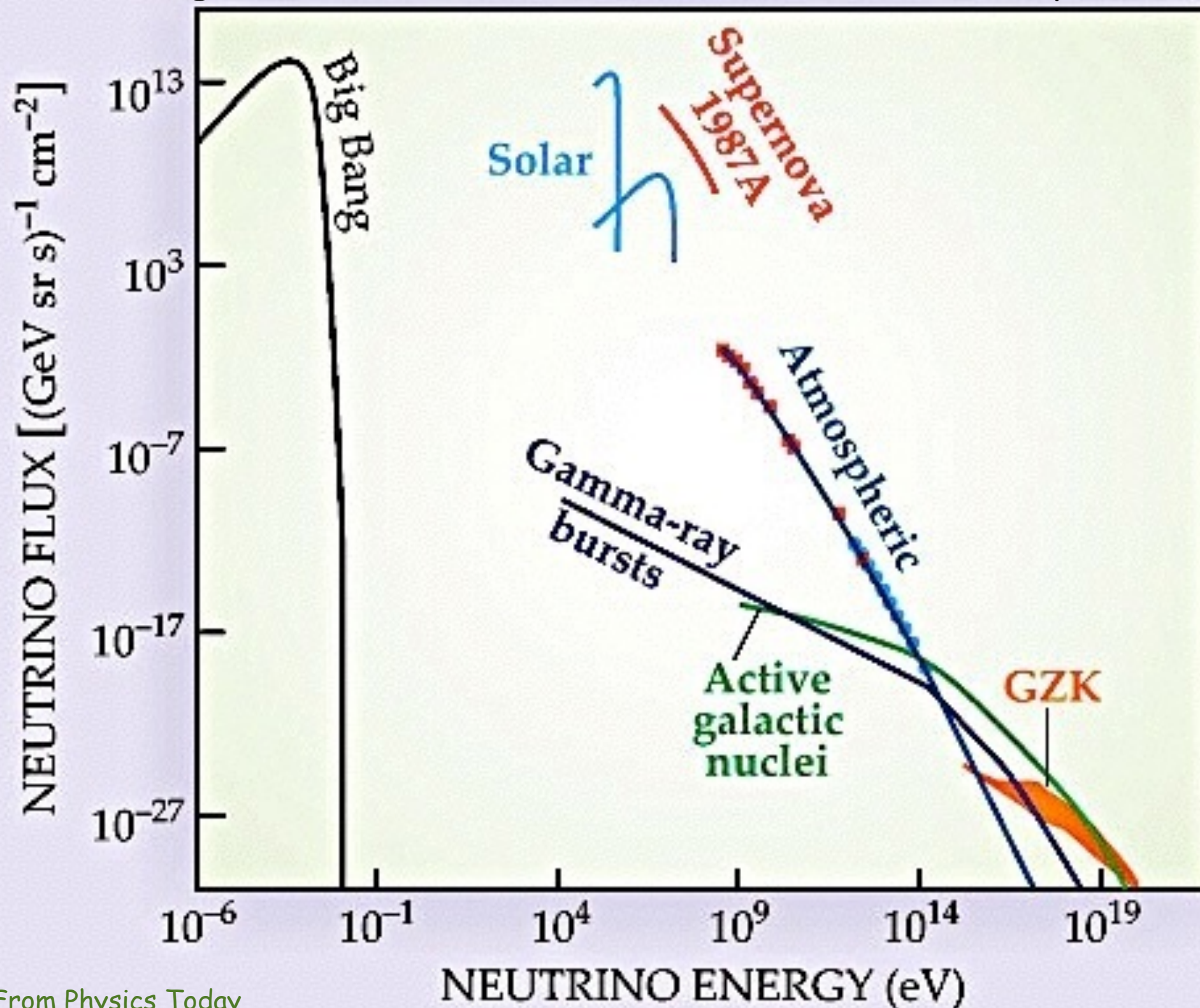
pp cross section derived from Glauber model

Pierre Auger Collaboration, PRL 109, 062002 (2012)



# Very High High Energy Neutrinos

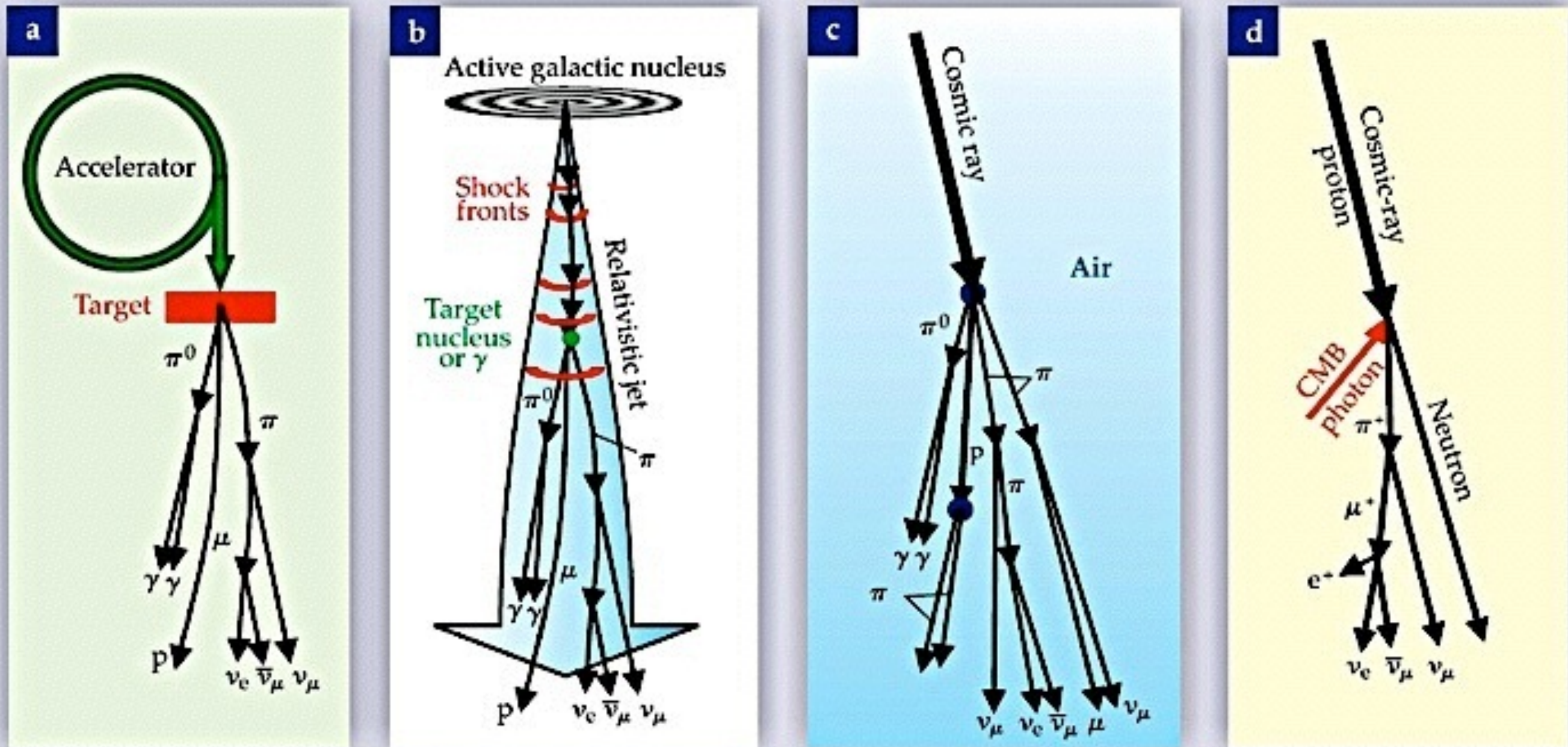
The „grand unified“ differential neutrino number spectrum



From Physics Today

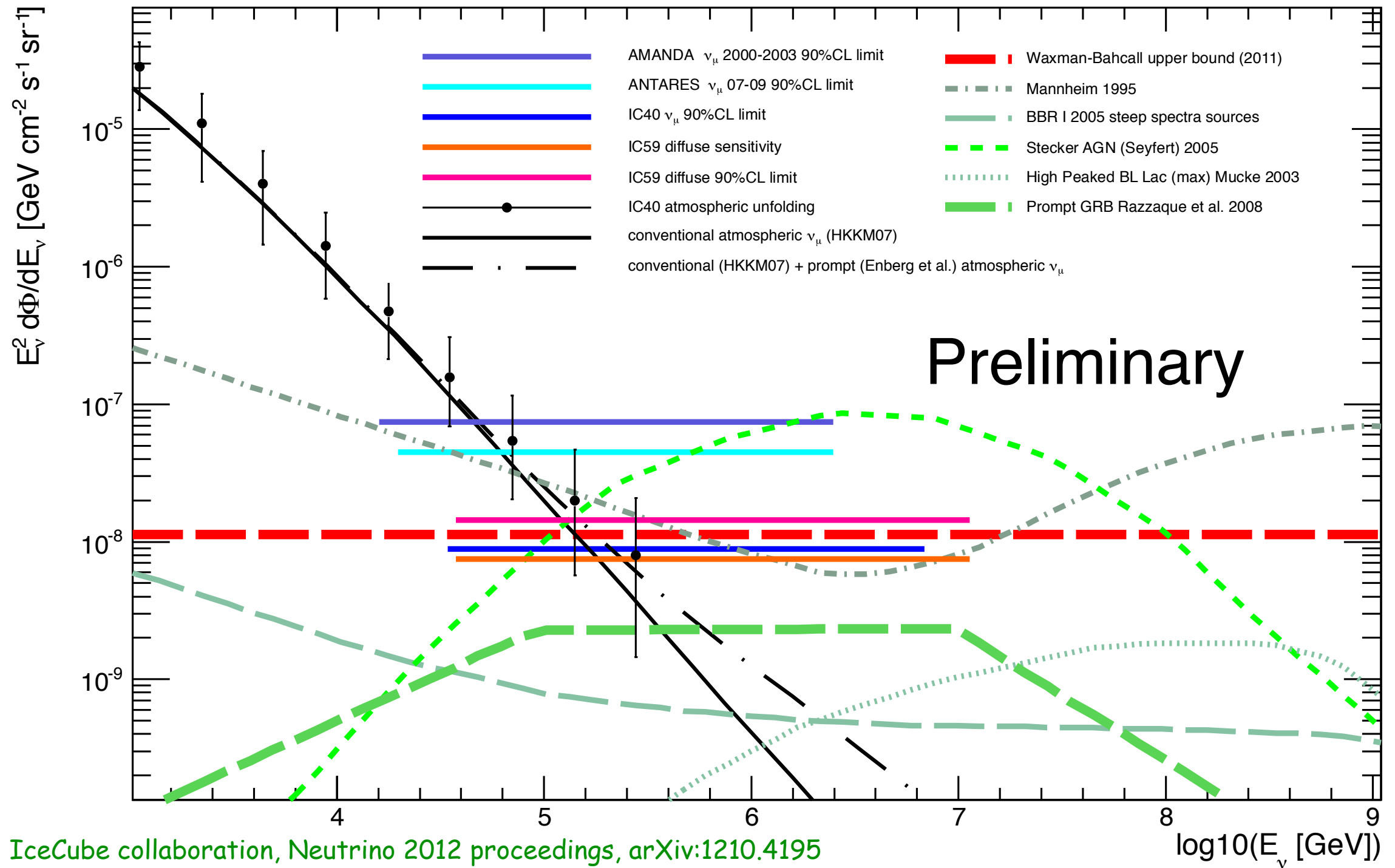


# Summary of neutrino production modes



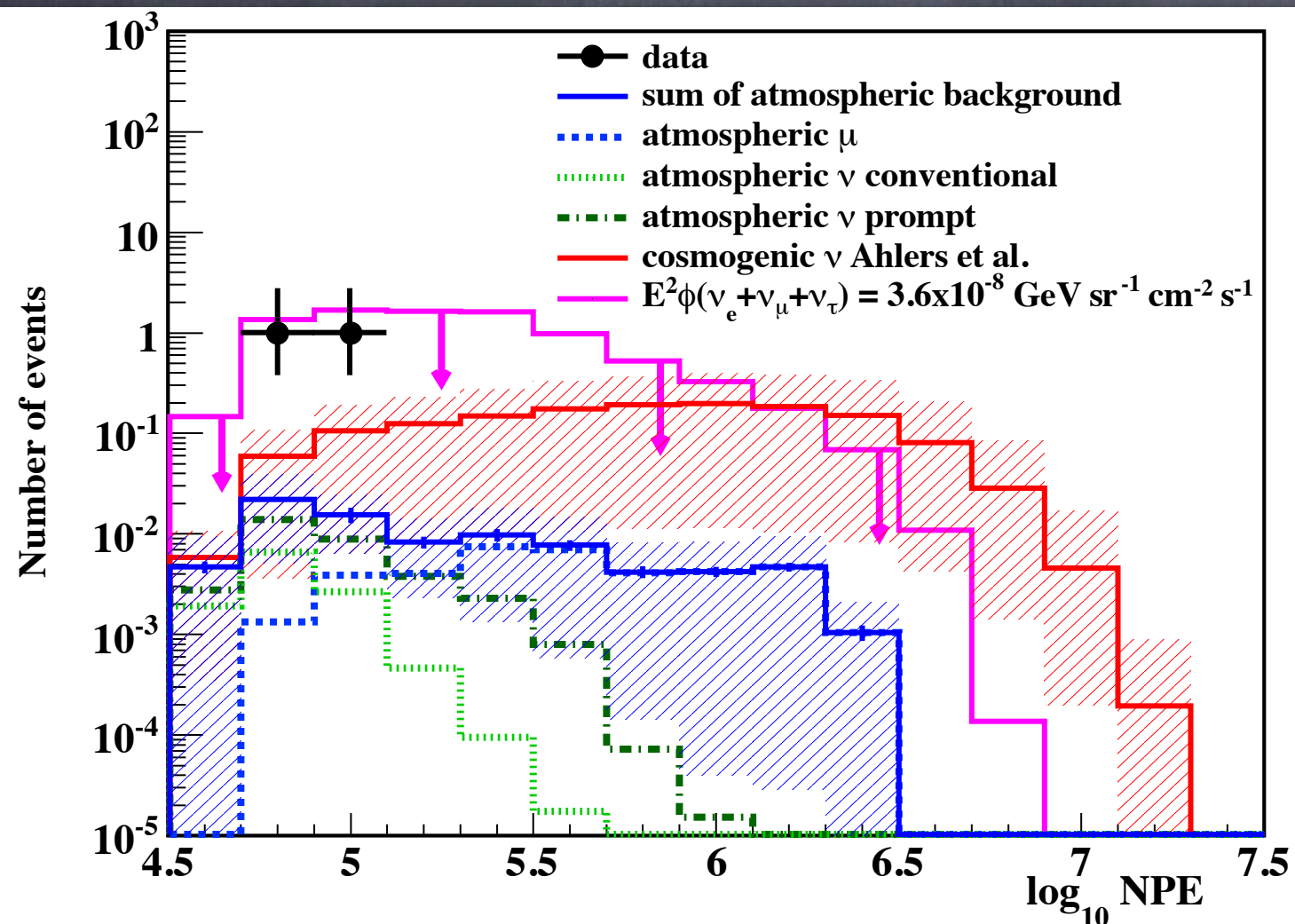


# Current Neutrino Flux Upper Limits at TeV-EeV energies

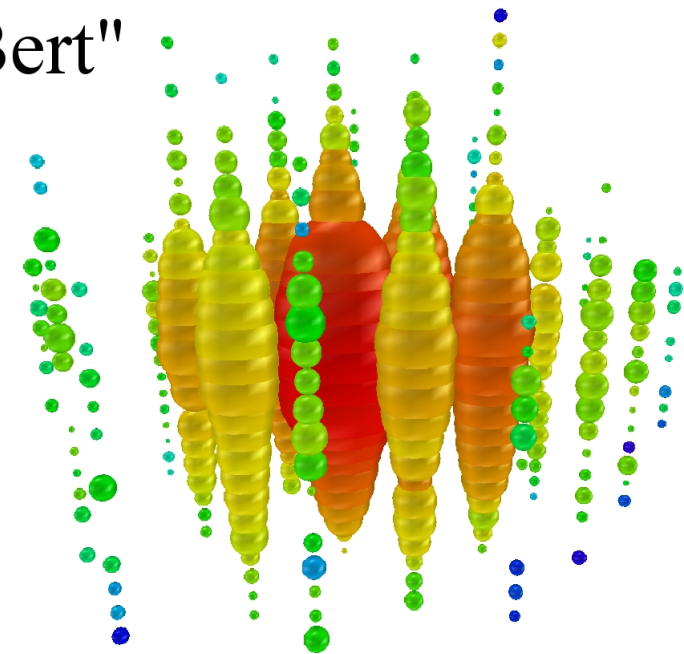




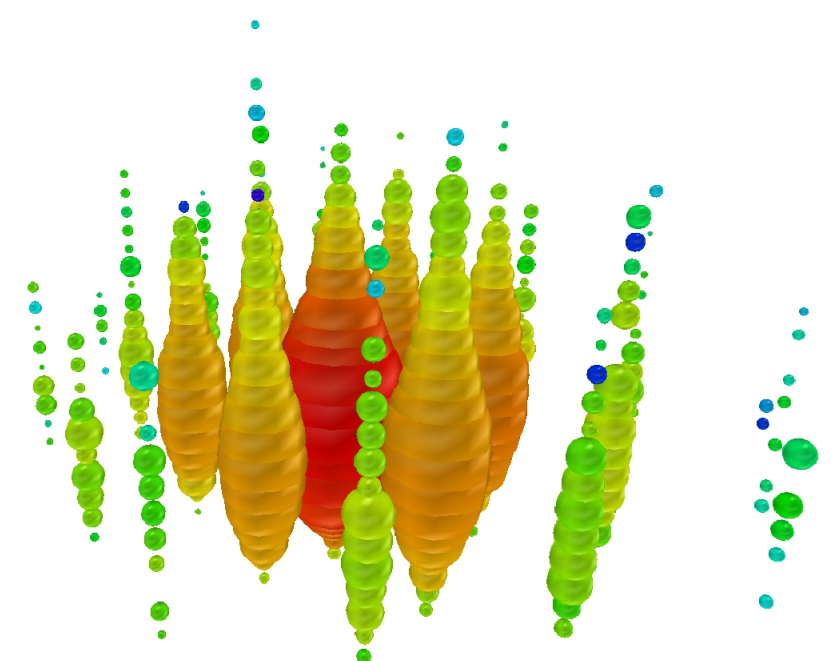
# But now two PeV energy candidate neutrinos observed by IceCube



"Bert"



"Ernie"

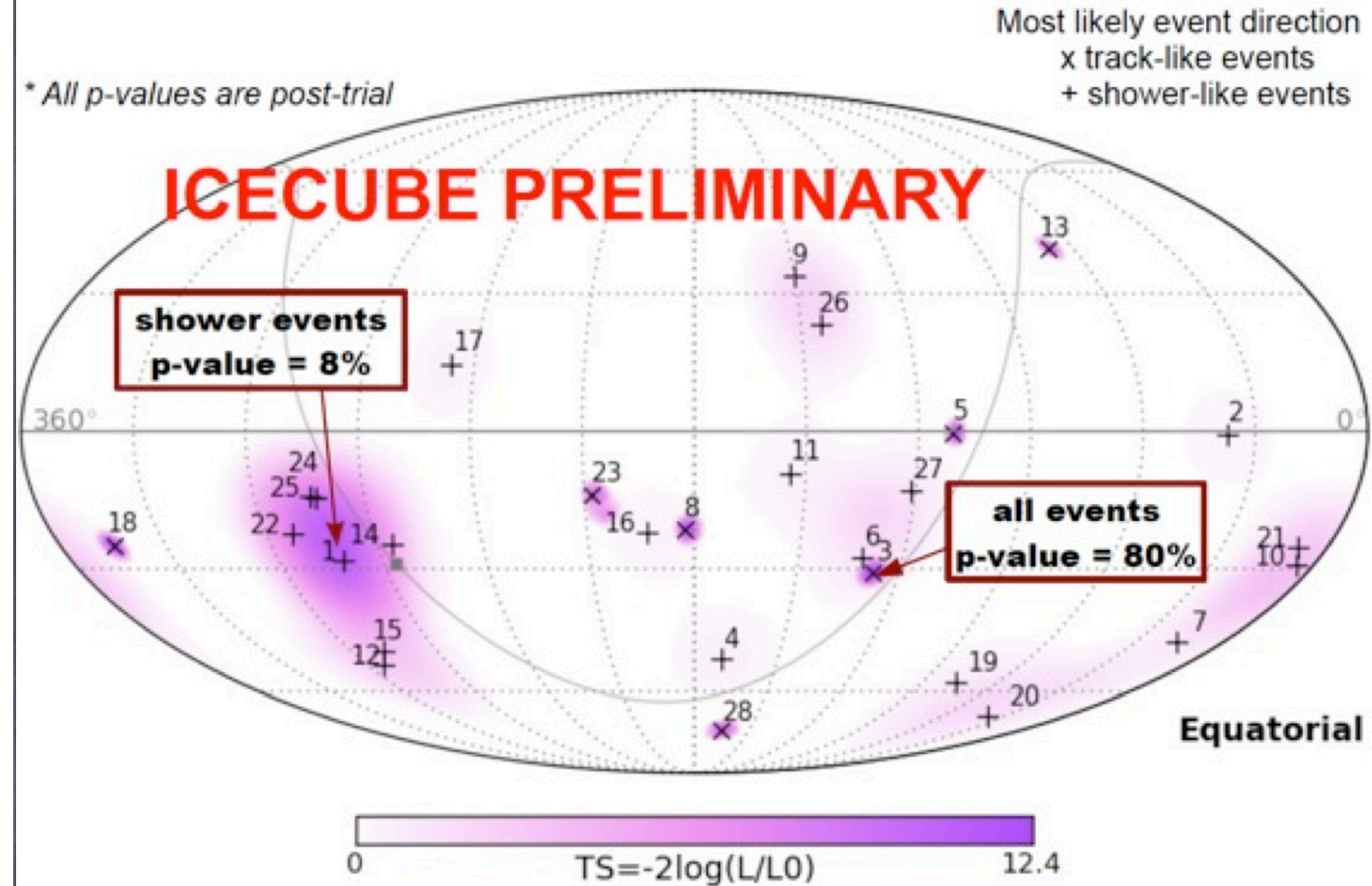
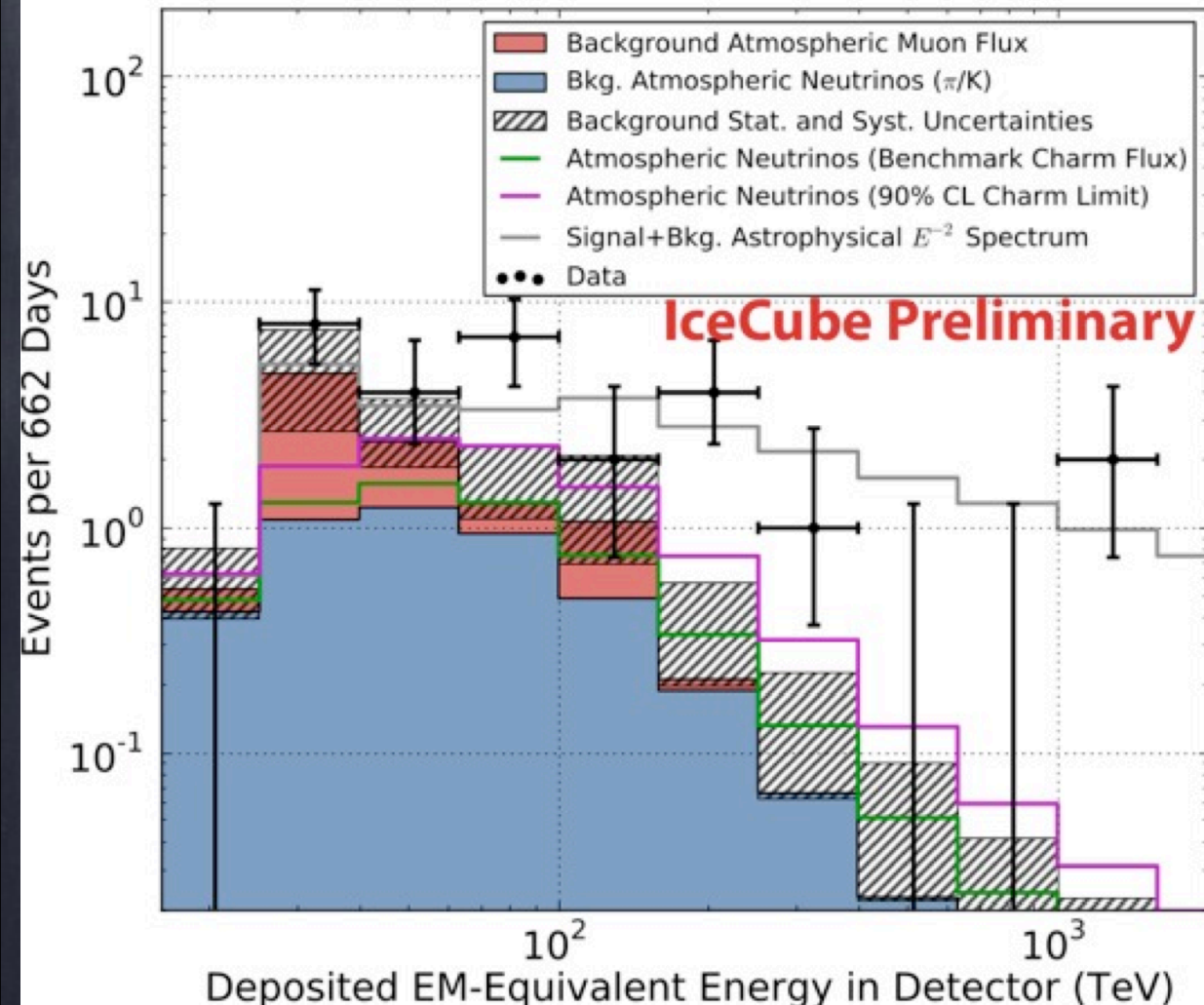


IceCube collaboration, arXiv:1304.5356



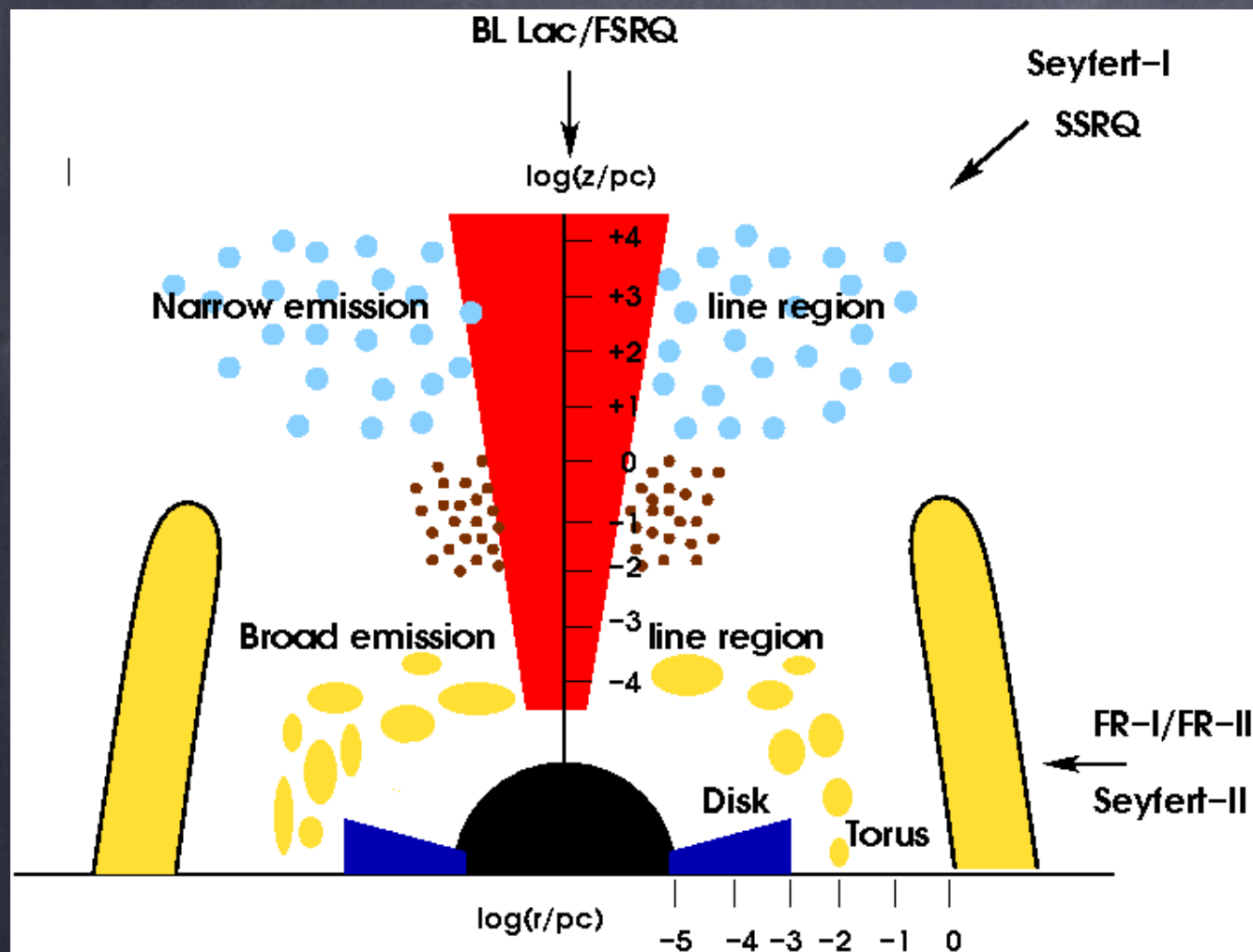
# and even more events at few 100 TeV: preliminary IceCube results

IceCube ICRC 2013

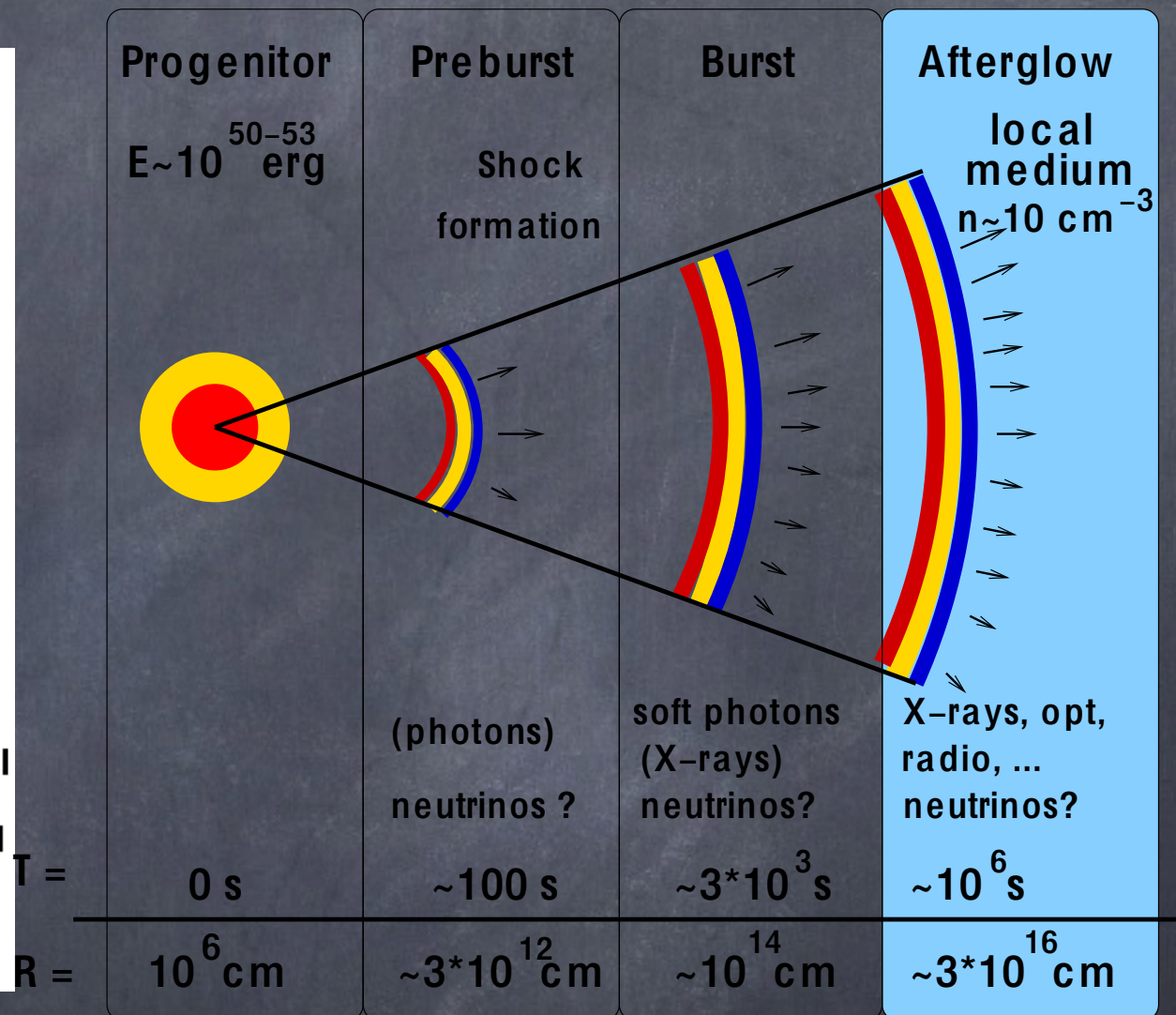




# Discrete Extragalactic High Energy Neutrino Sources



active galaxies



gamma ray bursts

Figures from J. Becker, Phys.Rep. 458 (2008) 173



# Neutrino Fluxes from Gamma-Ray Bursts

GRBs are optically thick to charged cosmic rays and nuclei are disintegrated  
=> only neutrons escape and contribute to the UHECR flux by decaying back into protons

Diffuse neutrino flux from GRBs can thus be linked to UHECR flux (if it is dominantly produced by GRBs)

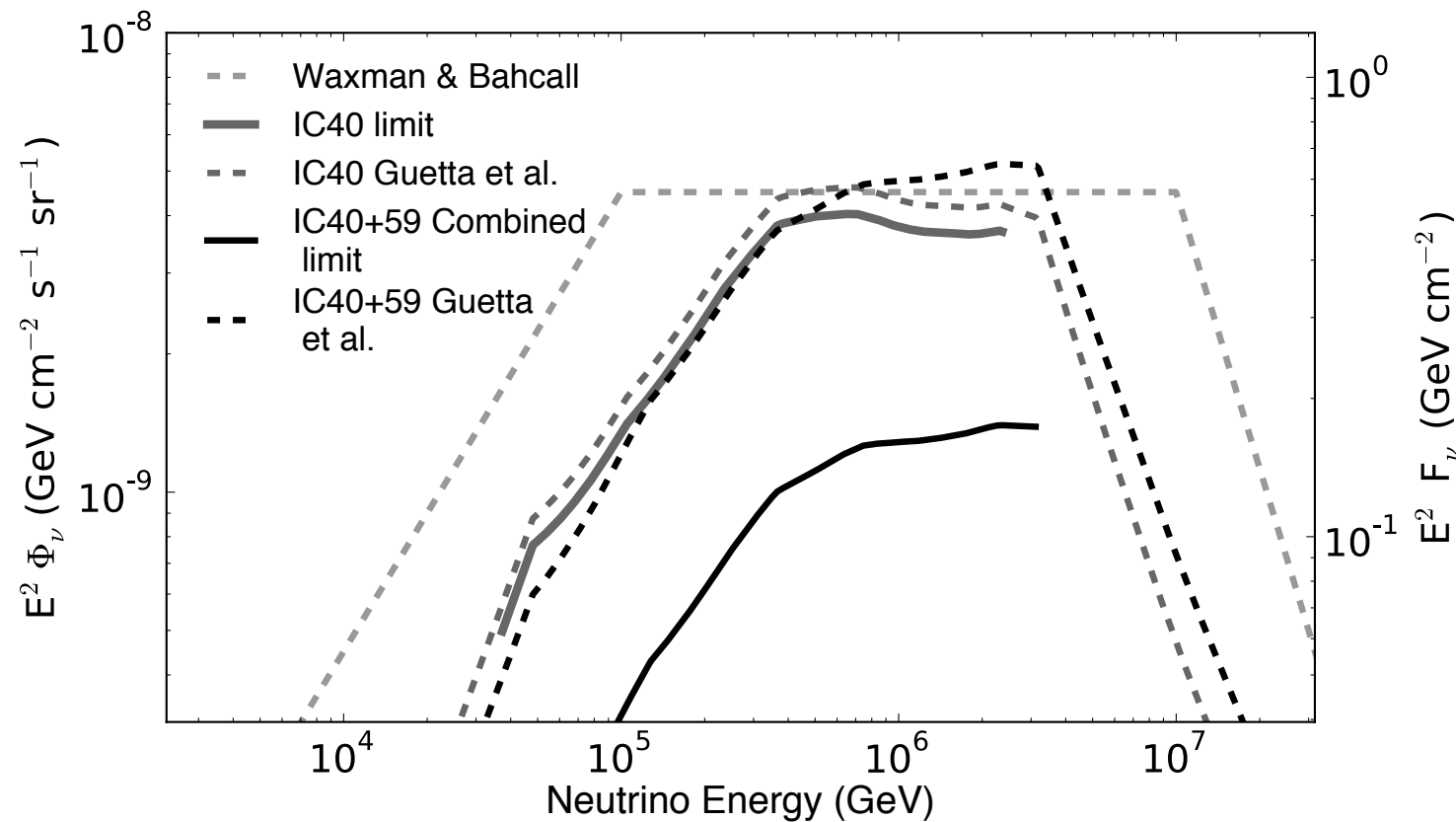
$$\Phi_\nu(E_\nu) \sim \frac{1}{\eta_\nu} \Phi_p \left( \frac{E}{\eta_\nu} \right),$$

where  $\eta_\nu \simeq 0.1$  is average neutrino energy in units of the parent proton energy.

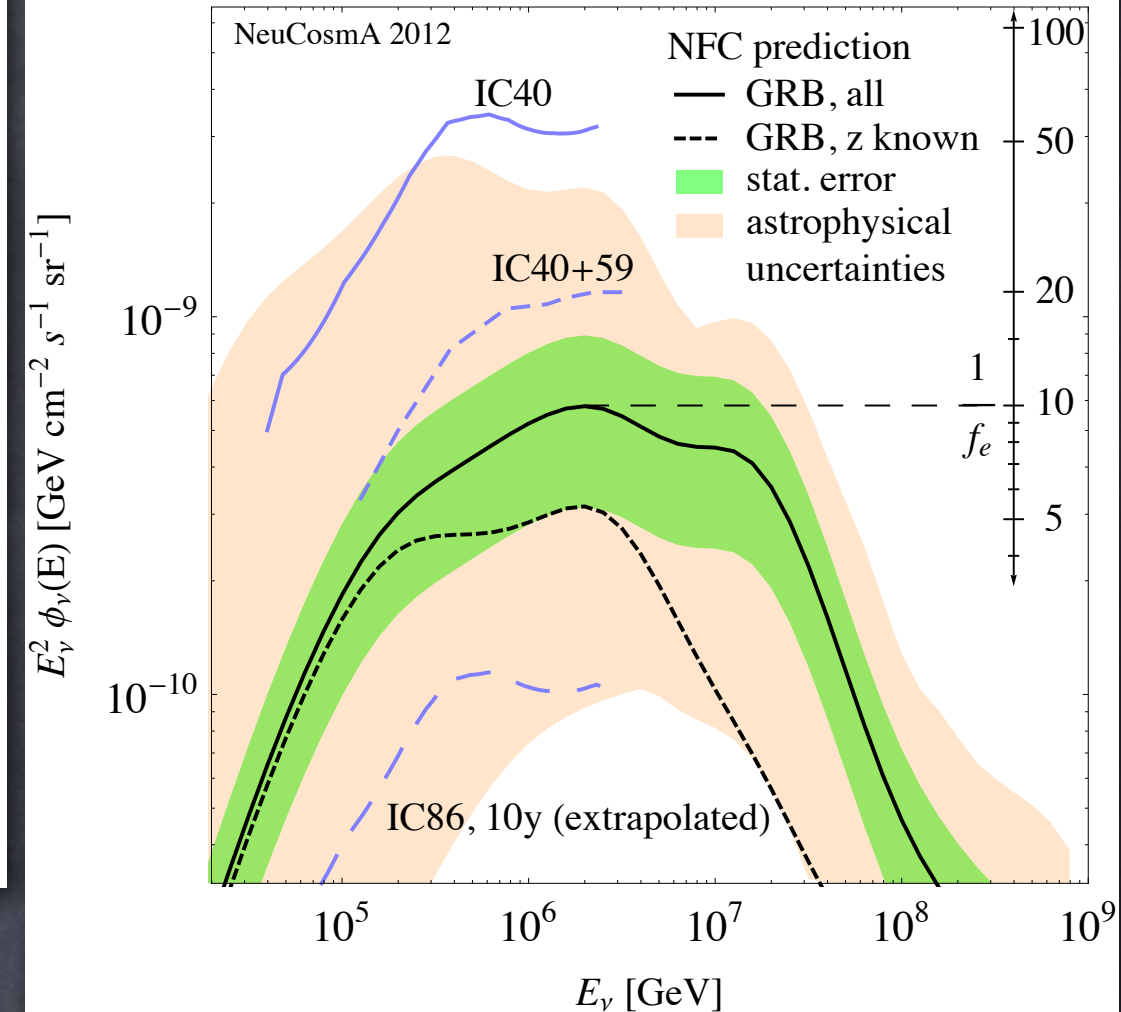
Above  $\sim 10^{17}$  eV neutrino spectrum is steepened by one power of  $E_\nu$  because pions/muons interact before decaying



# GRBs as UHECR sources now strongly constrained by non-observation of neutrinos by IceCube



IceCube collaboration, Nature 484 (2012) 351

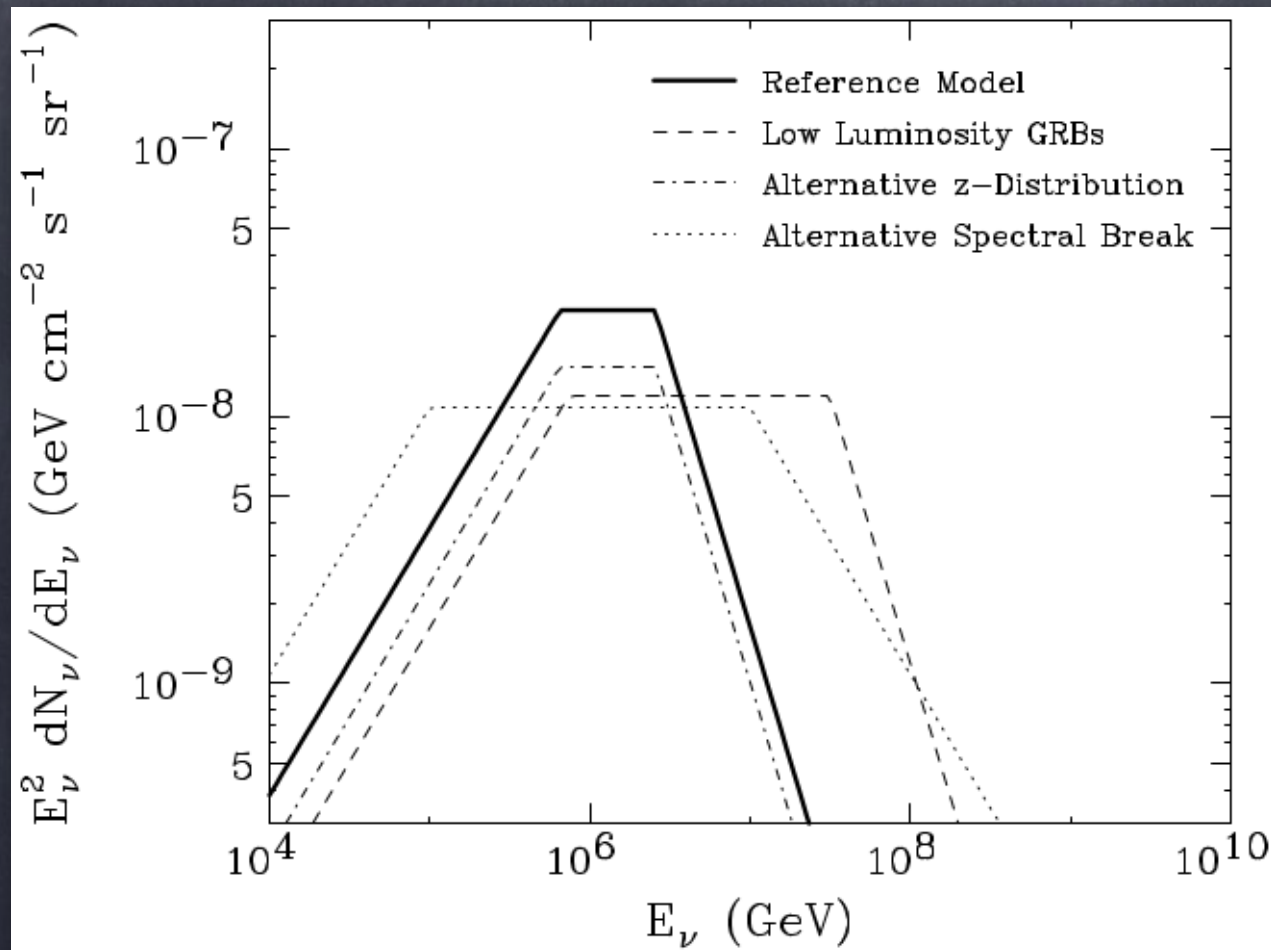


but re-evaluation of diffuse neutrino flux from GRBs gave factor ~10 smaller fluxes

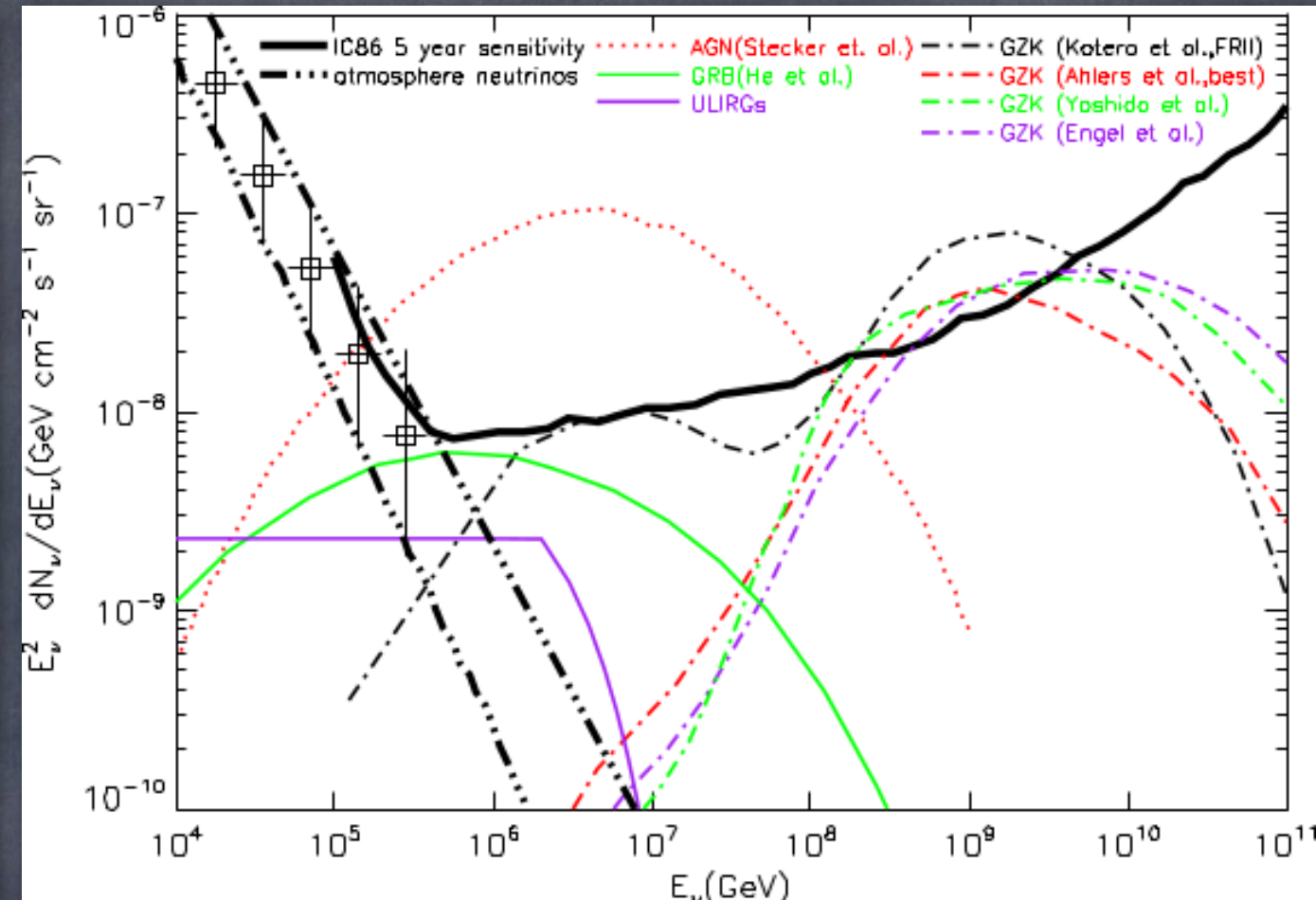
Hümmer, Baerwald, Winter, PRL 108 (2012) 231101



# But GRB models can still be tweaked to explain the IceCube events



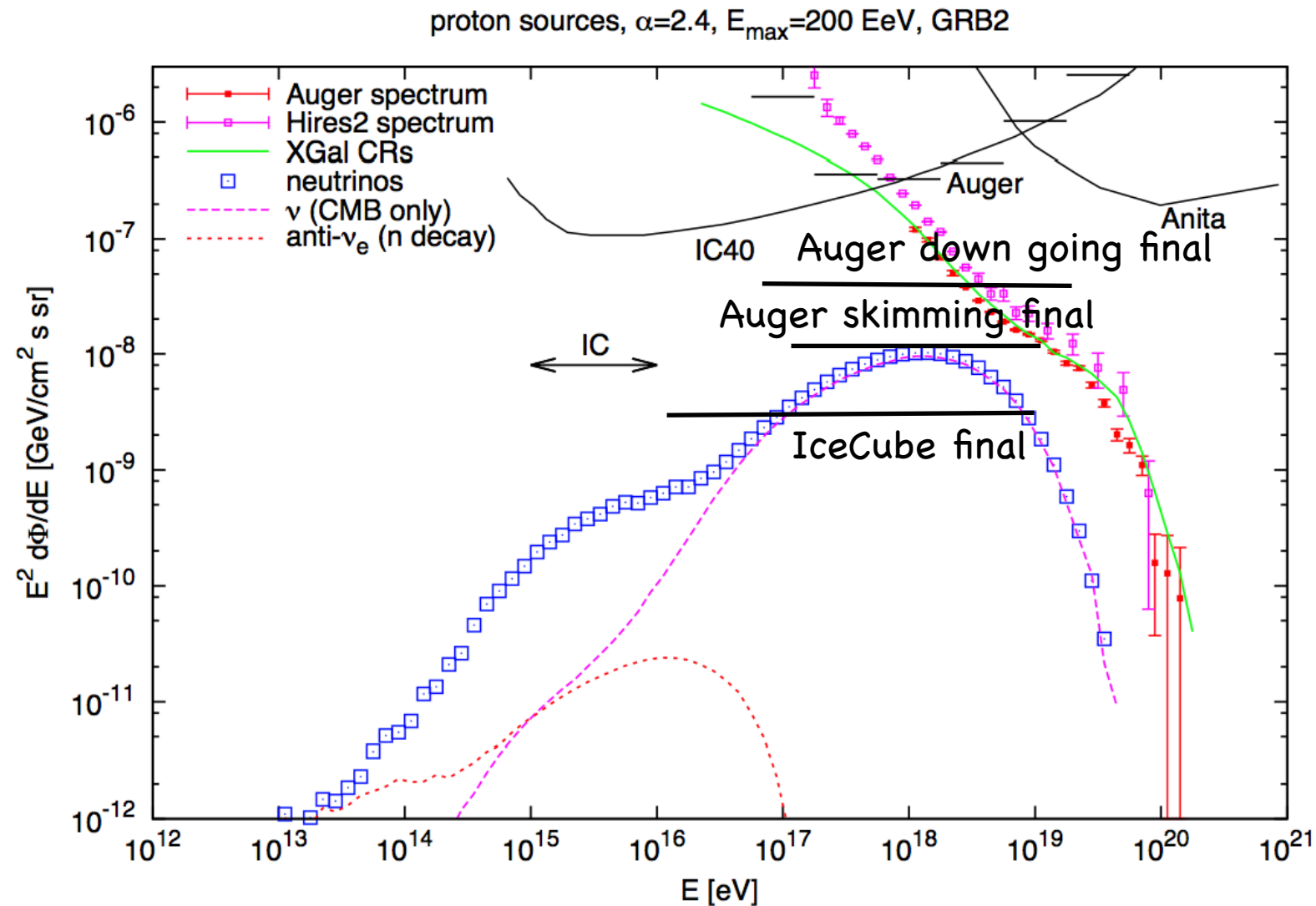
Cholis and Hooper, arXiv:1211.1974



He et al., arXiv:1303.1253



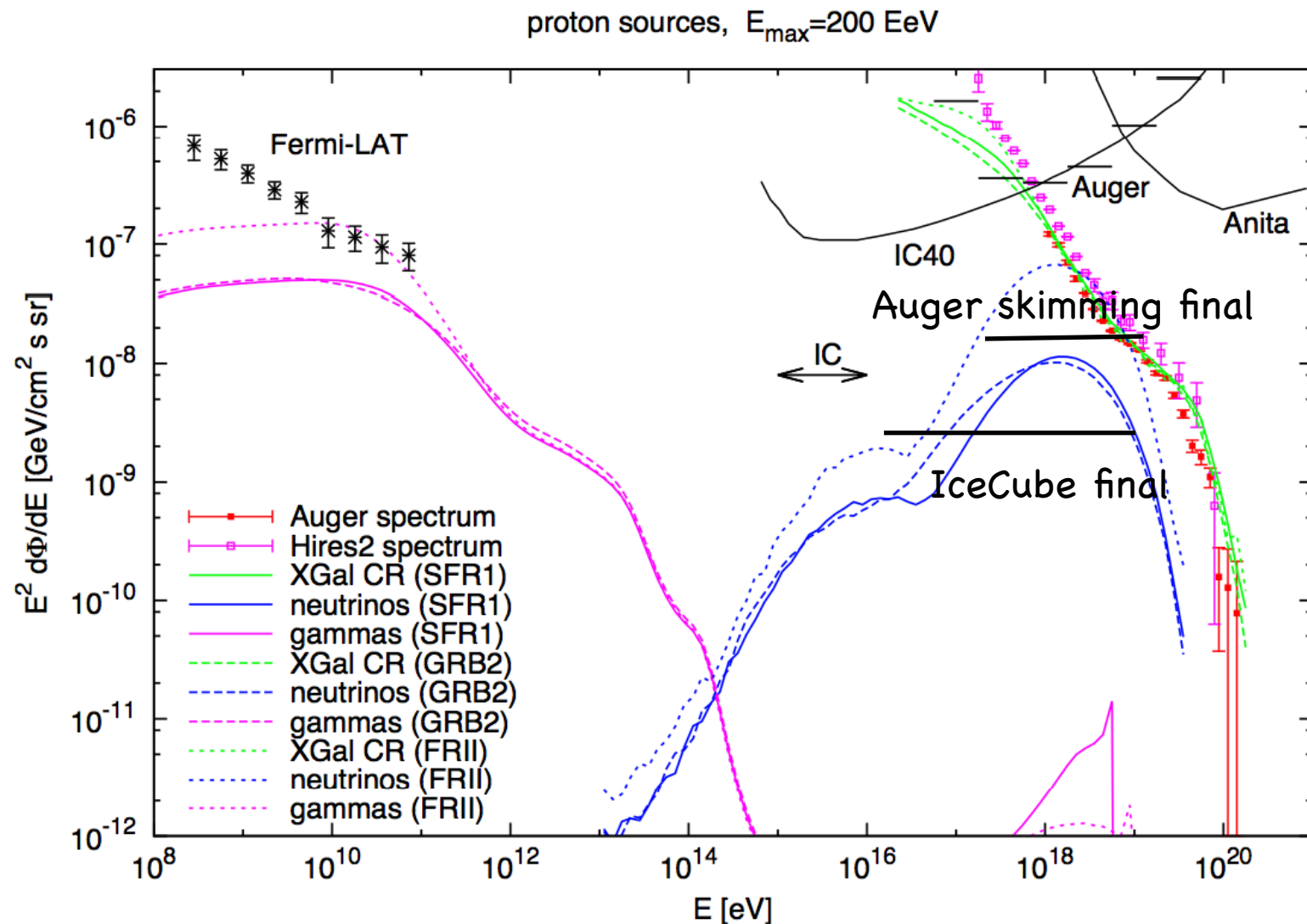
# IceCube events are unlikely to be produced during UHECR propagation (“cosmogenic neutrinos”)



**Figure 1.** Proton ‘dip’ scenario with source spectral index  $\alpha = 2.4$  and  $E_{\max} = 200$  EeV. Indicated are the propagated proton spectrum and the resulting all flavor neutrino fluxes (obtained with CR-Propa). We also show separately the neutrino backgrounds due to interactions with CMB alone as well as those resulting from  $n$  decays. The CR flux measured by Auger and Hires and the neutrino limits from IceCube, Auger and Anita are displayed. We also indicate the energy range and approximate flux level suggested by the two observed IceCube events.



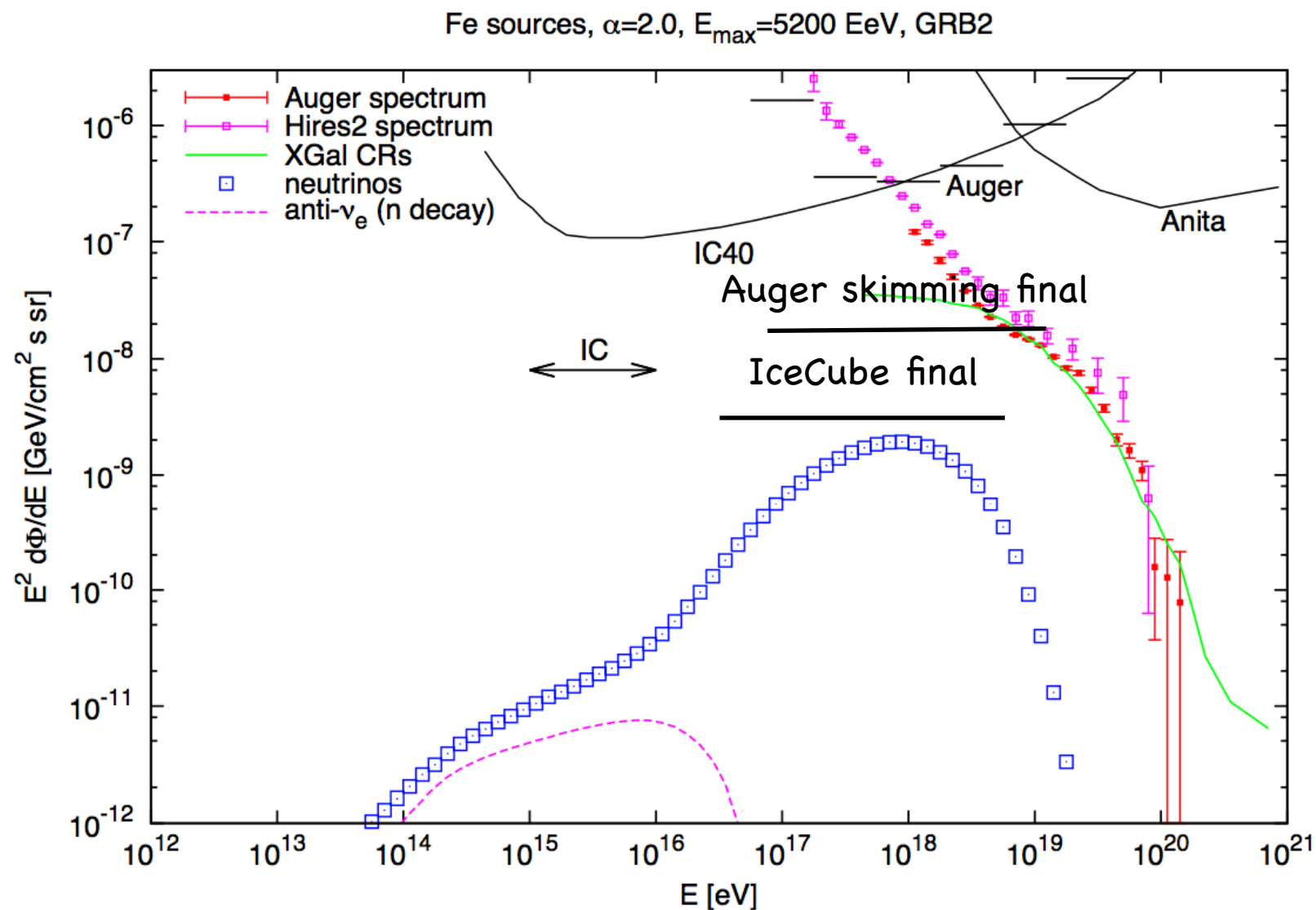
IceCube events are unlikely to be produced during UHECR propagation ("cosmogenic neutrinos")



**Figure 2.** Proton scenario with  $E_{\text{max}} = 200$  EeV for different source evolution models (SFR1, GRB2 and FRII). The source spectral index is  $\alpha = 2.4$  for the SFR1 and GRB2 models, while  $\alpha = 2.2$  for the FRII model. Indicated are the propagated proton spectrum, the resulting (all flavor) neutrino and the photon fluxes. The photon background measured by Fermi-LAT [10] is indicated, besides the CR spectra and  $\nu$  bounds included in figure 1.



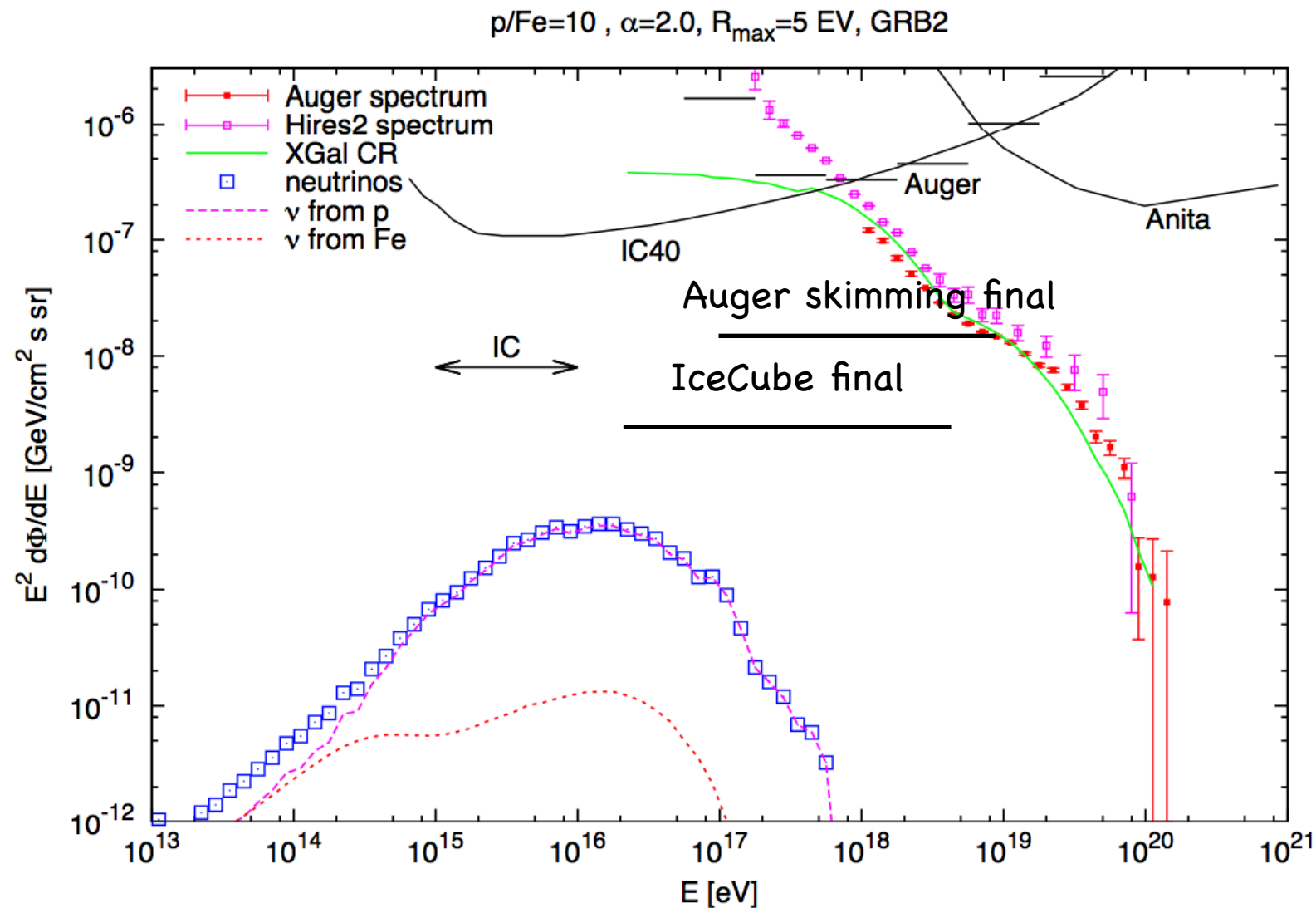
# IceCube events are unlikely to be produced during UHECR propagation (“cosmogenic neutrinos”)



**Figure 3.** Extragalactic Fe scenario with source spectral index  $\alpha = 2.0$  and  $E_{\text{max}} = 5200 \text{ EeV}$ . Indicated are the propagated CR spectrum and the resulting (all flavor) neutrino fluxes, as well as the neutrino background due to  $n$ -decays alone.



# IceCube events are unlikely to be produced during UHECR propagation (“cosmogenic neutrinos”)



**Figure 4.** Mixed composition (p-Fe) scenario with source spectral index  $\alpha = 2.0$  and  $E_{\max} = 5 Z$  EeV. Indicated are the propagated CR spectrum, the resulting (all flavor) neutrino fluxes and the separate contributions from  $p$  and Fe primaries.

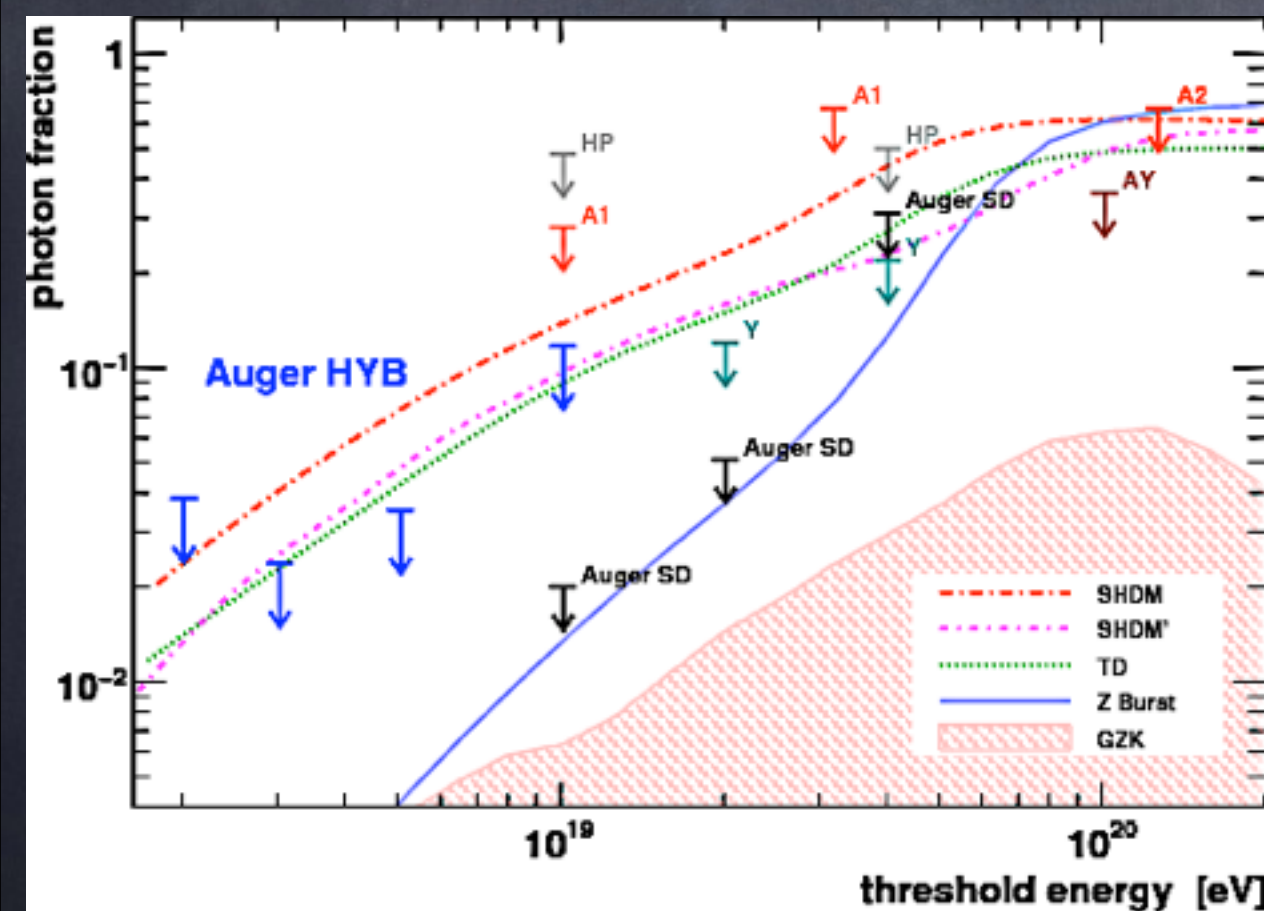


# Lorentz Symmetry Violation in the Electromagnetic Sector

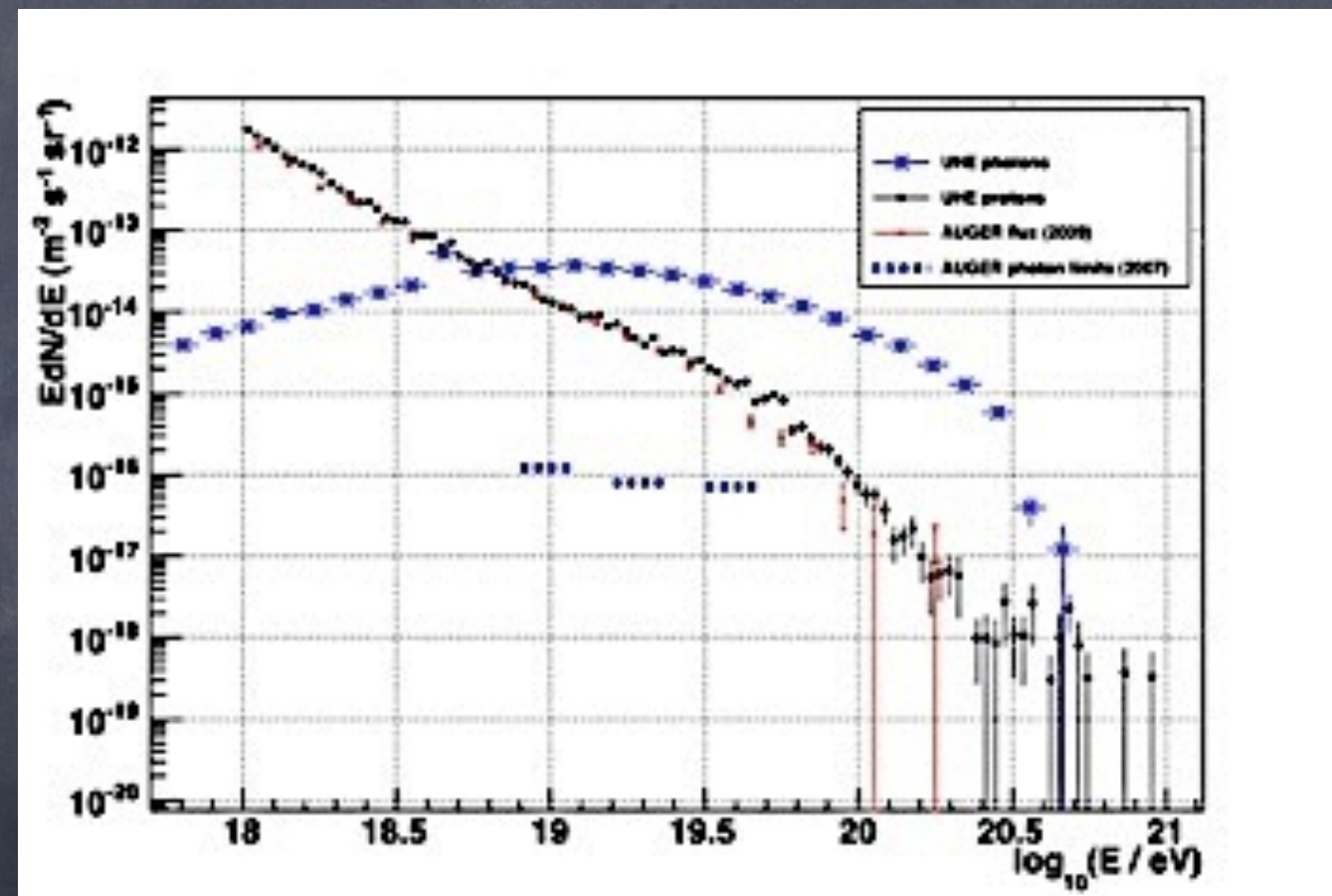
## The idea:

Experimental upper limits on  
UHE photon fraction

Contradict predictions if pair  
production is absent



Pierre Auger Collaboration,  
Astropart. Phys. 31 (2009) 399



Maccione, Liberati, Sigl,  
PRL 105 (2010) 021101



# Lorentz Symmetry Violation in the Photon Sector

For a photon dispersion relation

$$\omega_{\pm}^2 = k^2 + \xi_n^{\pm} k^2 \left( \frac{k}{M_{\text{Pl}}} \right)^n, n \geq 1,$$

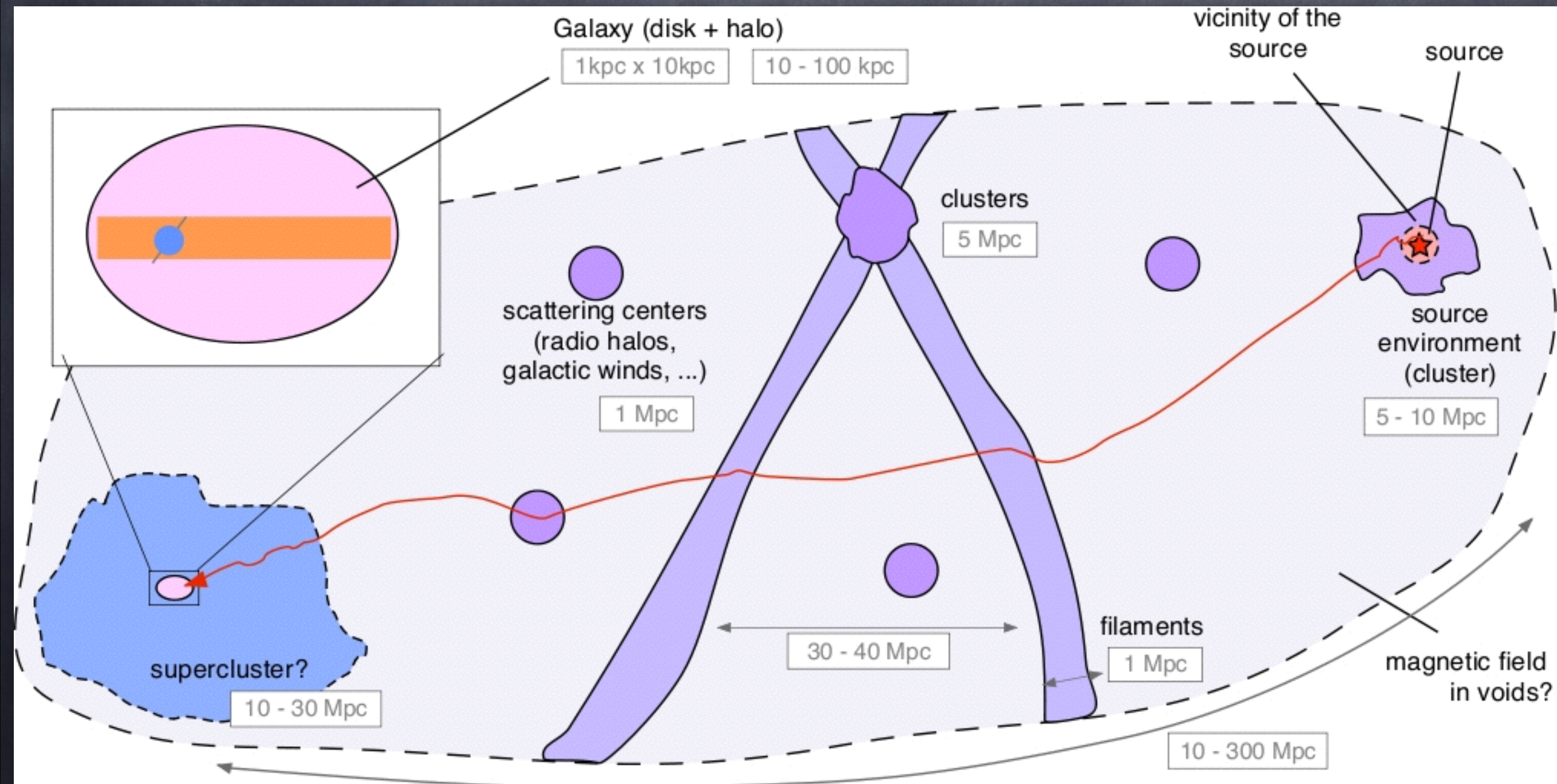
pair production may become inhibited, increasing GZK photon fluxes above observed upper limits: In the absence of LIV for electrons/positrons for  $n=1$  this yields:

$$\xi_1 \leq 10^{-12}$$

Such strong limits may indicate that Lorentz invariance violations are completely absent !

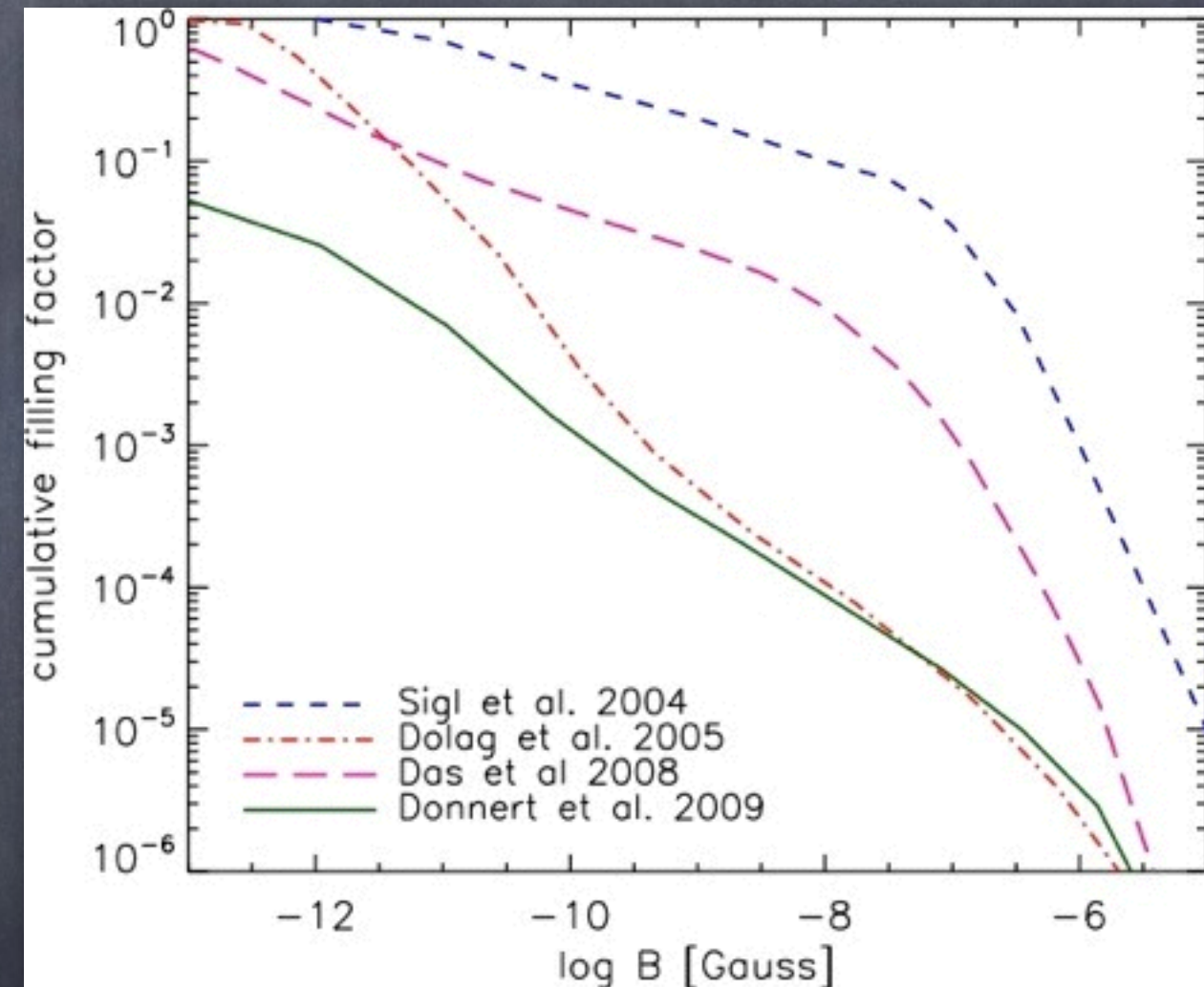
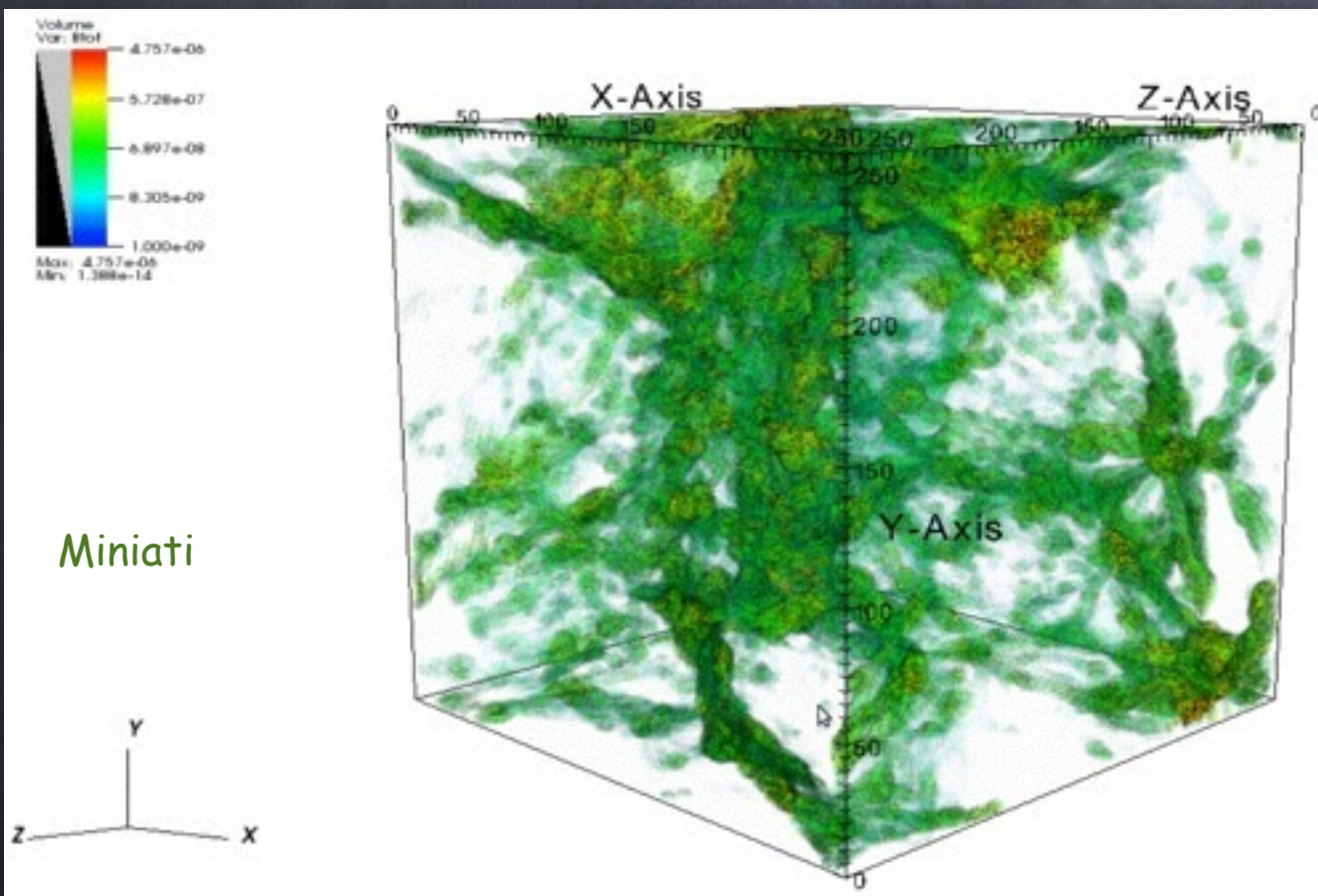


# 3-Dimensional Effects in Propagation





# Structured Extragalactic Magnetic Fields

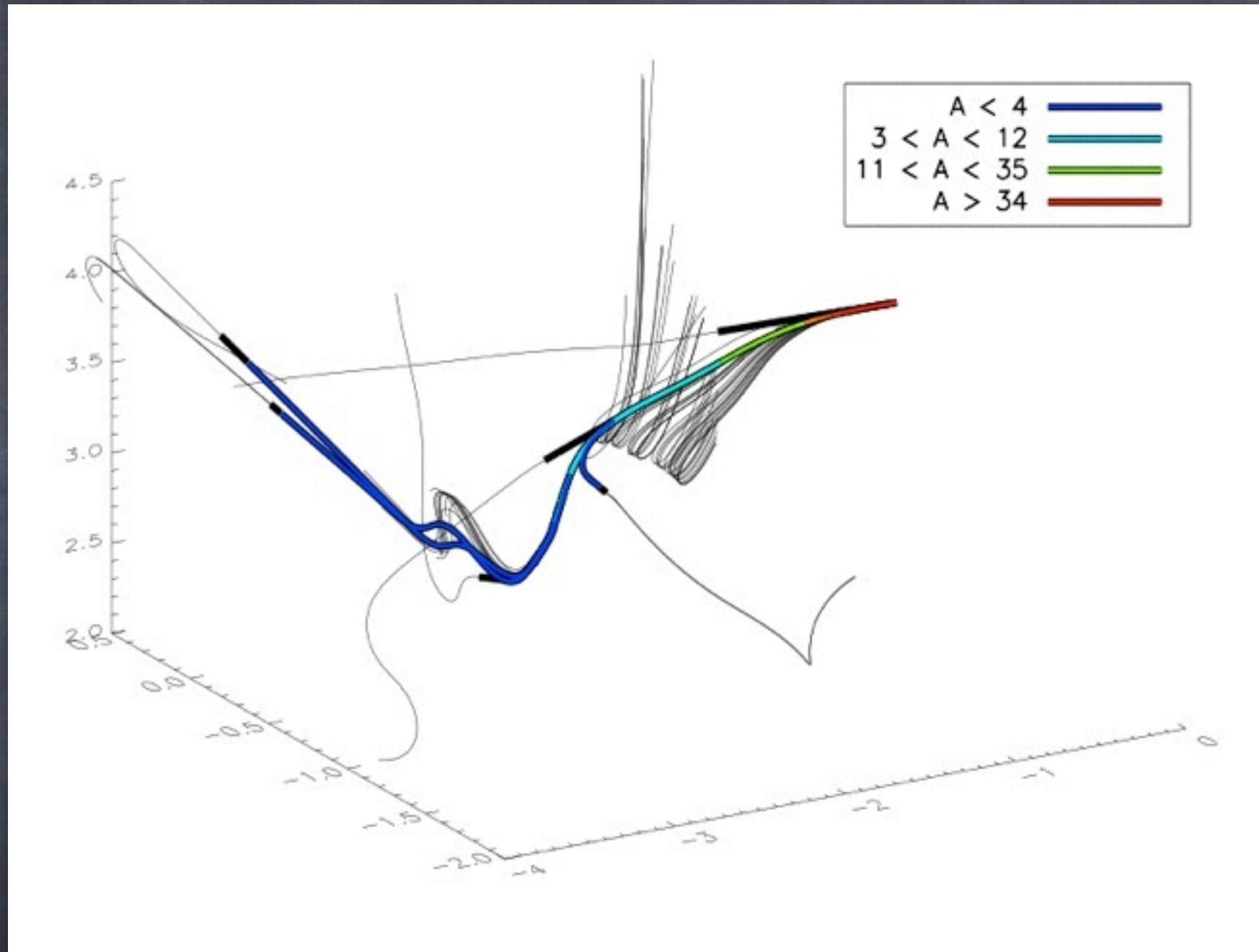


Kotera, Olinto, *Ann.Rev.Astron.Astrophys.* 49 (2011) 119

Filling factors of extragalactic magnetic fields are not well known and come out different in different large scale structure simulations



Extragalactic iron propagation produces nuclear cascades in structured magnetic fields:

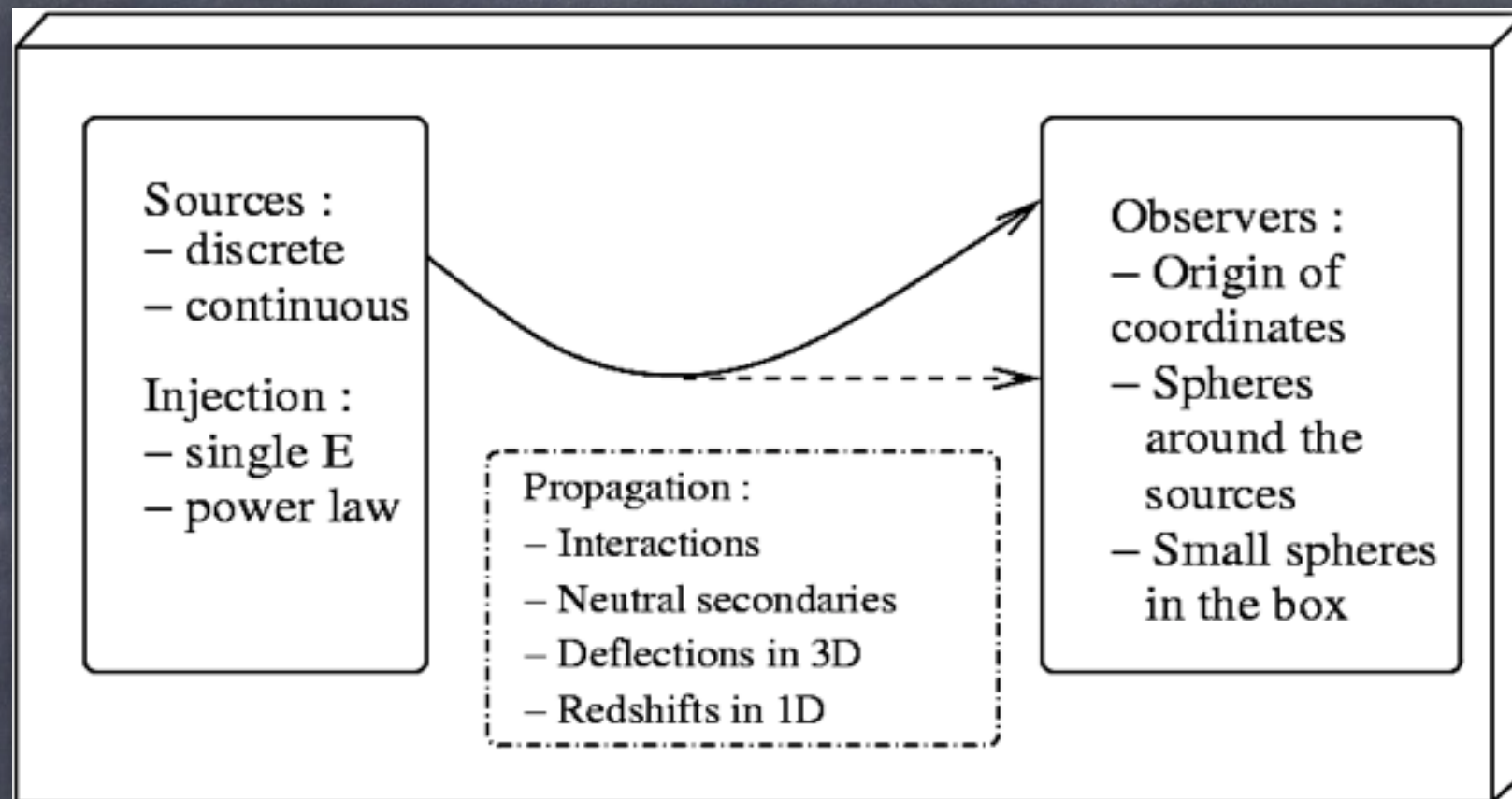


Initial energy  $1.2 \times 10^{21}$  eV, magnetic field range  $10^{-15}$  to  $10^{-6}$  G. Color-coded is the mass number of secondary nuclei



# CRPropa 2.0/3.0

CRPropa is a public code for UHE cosmic rays, neutrinos and  $\gamma$ -rays being extended to heavy nuclei and hadronic interactions



Version 1.4: Eric Armengaud, Tristan Beau, Günter Sigl, Francesco Miniati,  
Astropart.Phys.28 (2007) 463.

Version 2.0 at [https://crpropa.desy.de/Main\\_Page](https://crpropa.desy.de/Main_Page)

Now including: Jörg Kulbartz, Luca Maccione,  
Nils Nierstenhoefer, Karl-Heinz Kampert, Peter Schiffer, Arjen van Vliet  
arXiv:1206.3132, Astroparticle Physics

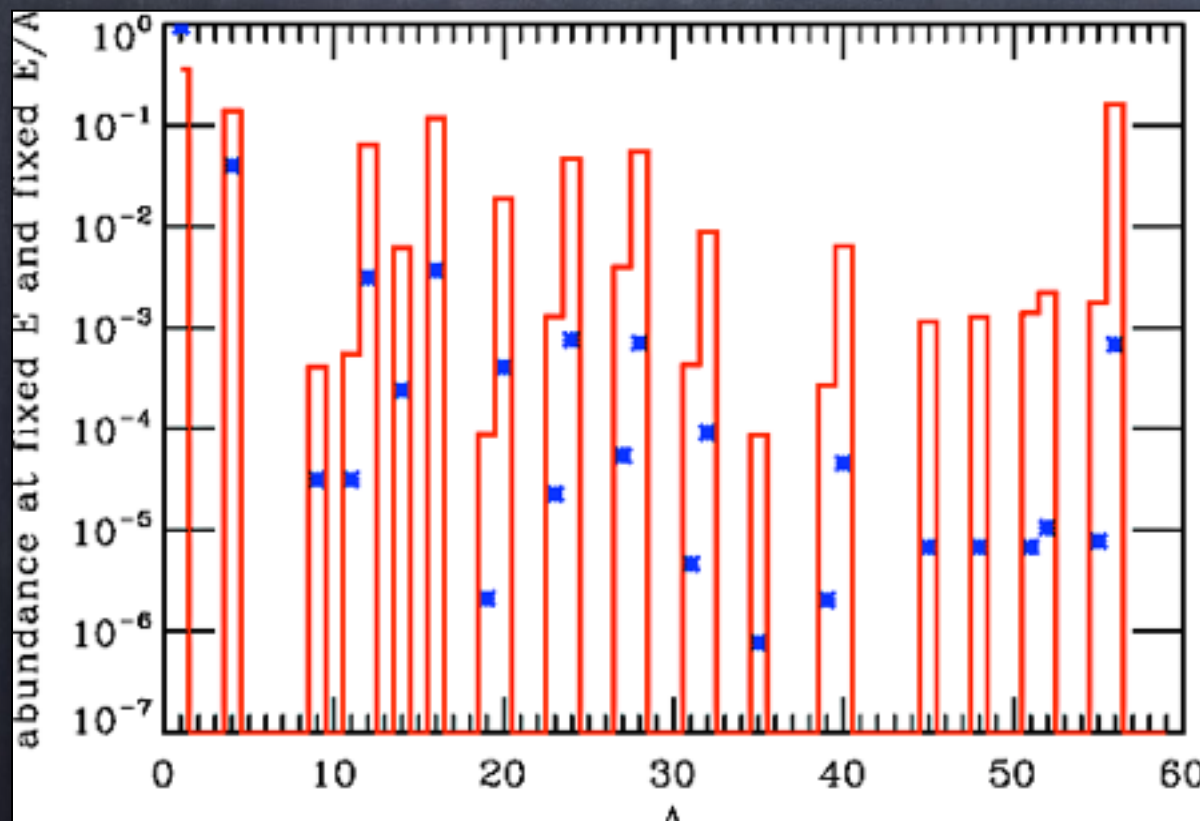


# Mixed chemical compositions

For an injection spectrum  $E^{-\alpha}$  elemental abundance at given energy  $E$  is modified to

$$\frac{dn_A}{dE}(E) = Nx_A A^{\alpha-1} E^{-\alpha} g(E)$$

where  $x_A$  is the abundance at given energy per nucleon  $E/A$  and  $g(E)$  is the cut-off shape.

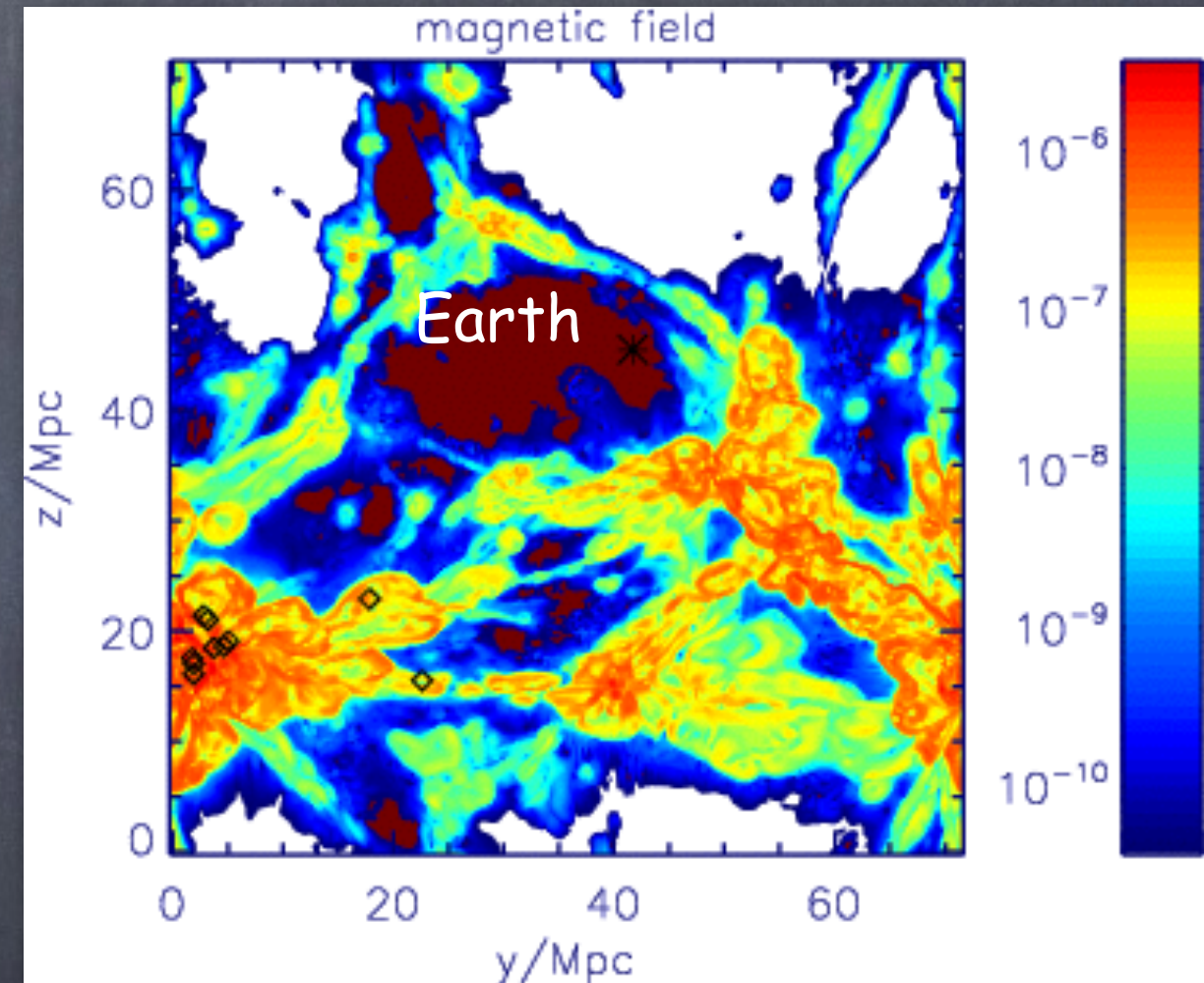
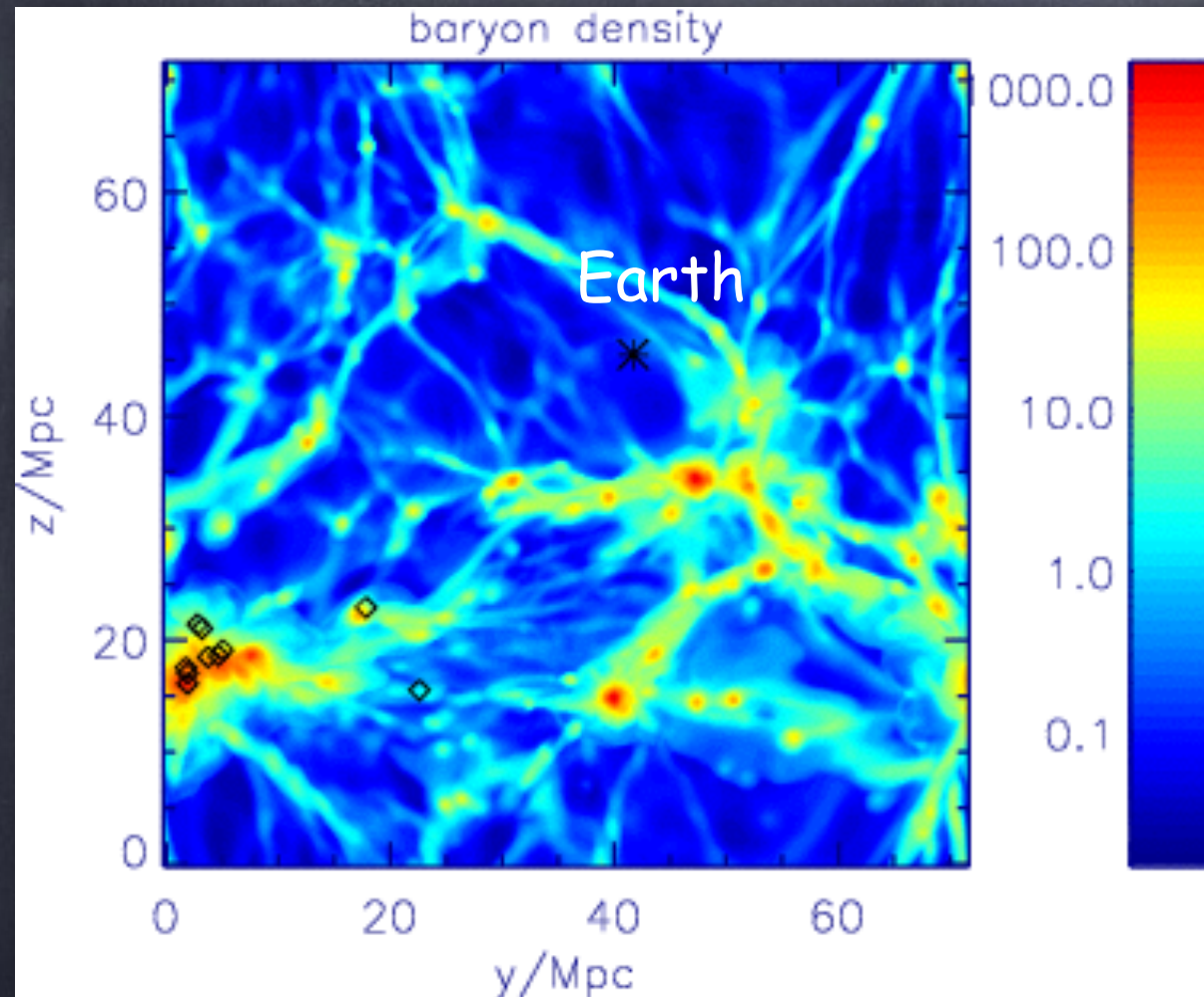


Composition at given  $E/A$  (blue)  
following elemental abundances in the  
Galaxy

Composition at given  $E$  for an  $E^{-2.6}$   
injection spectrum (red).

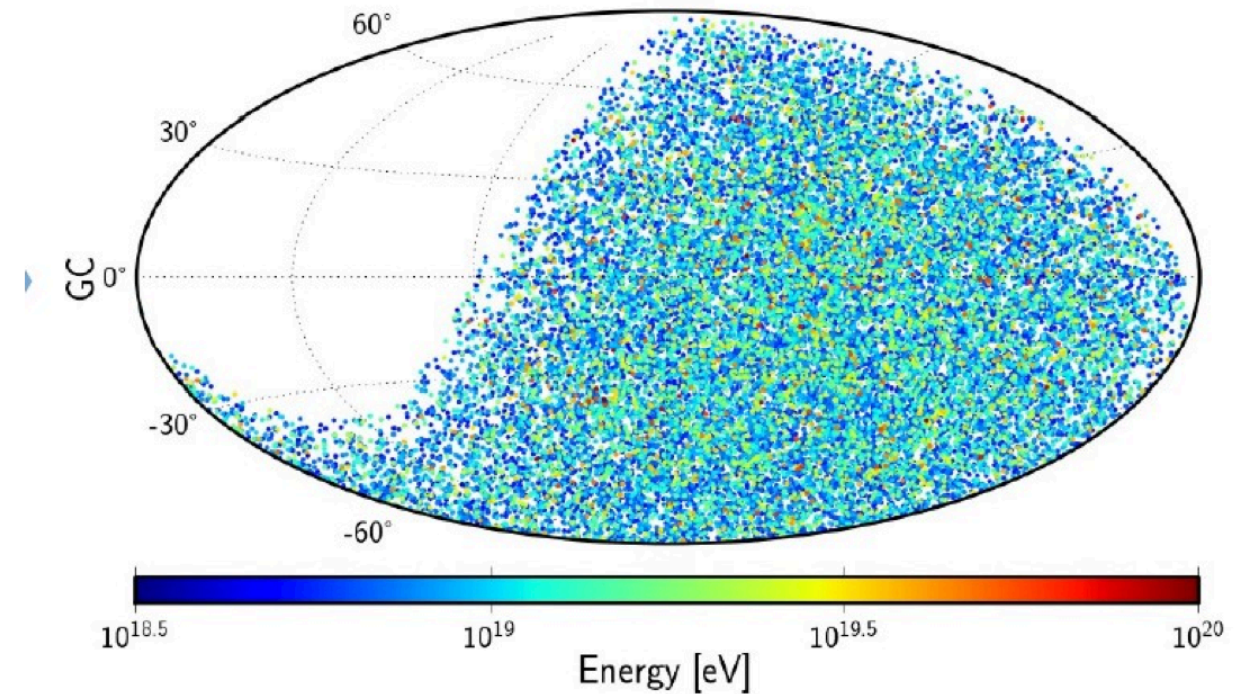
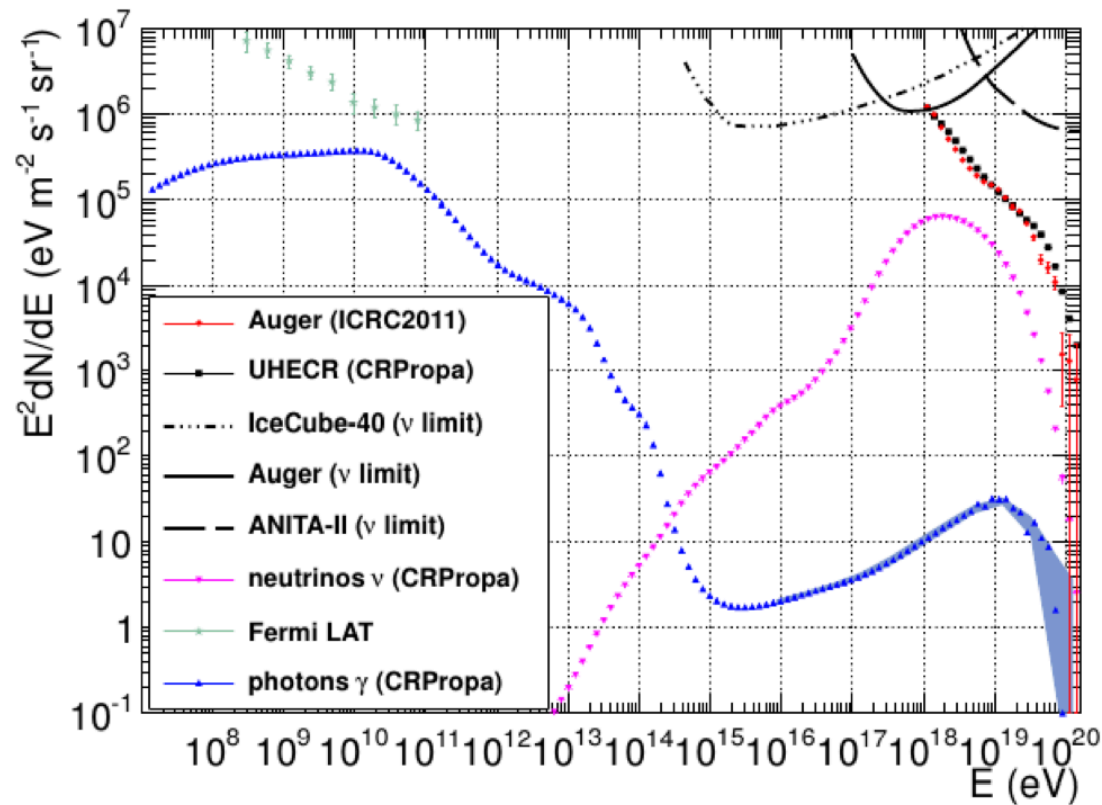
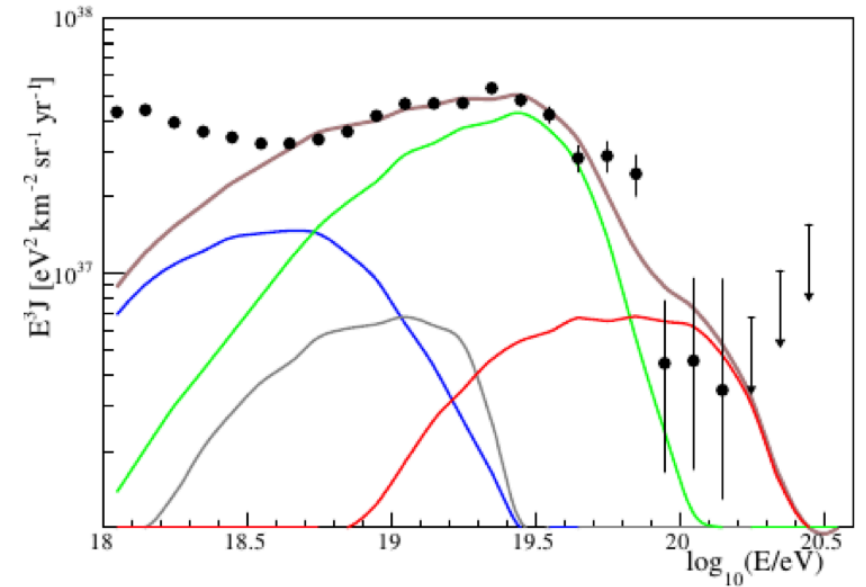
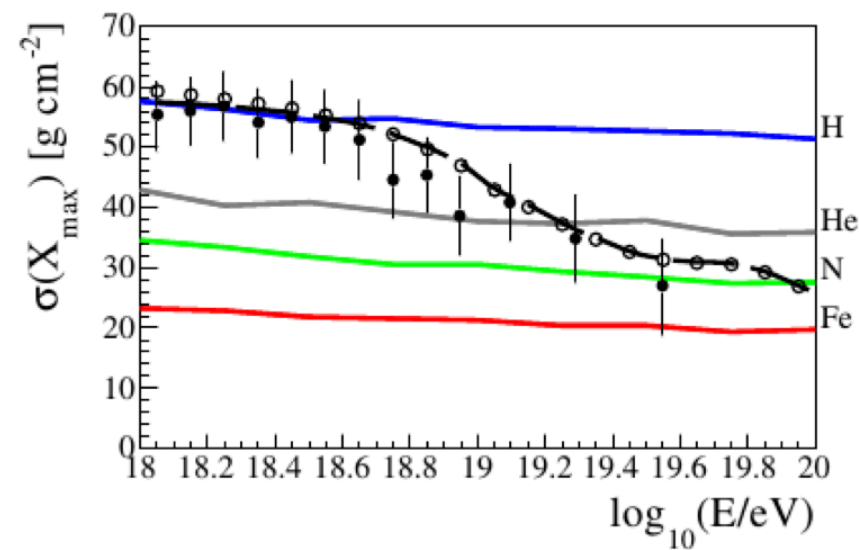
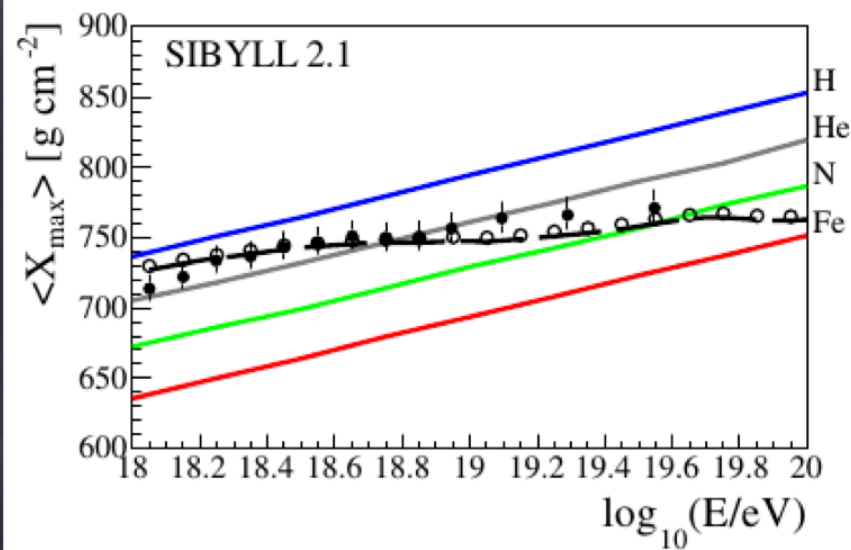


# Discrete Sources in nearby large scale structure



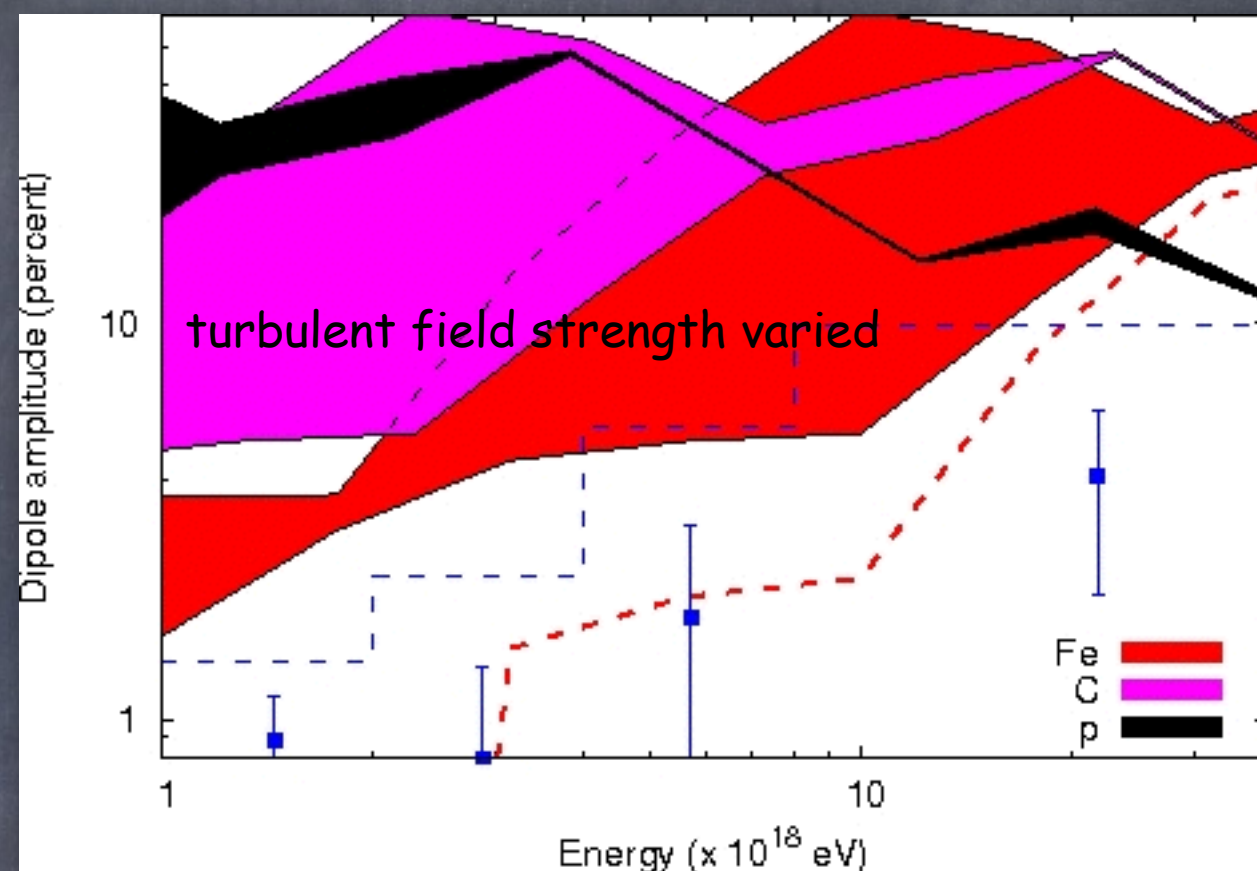
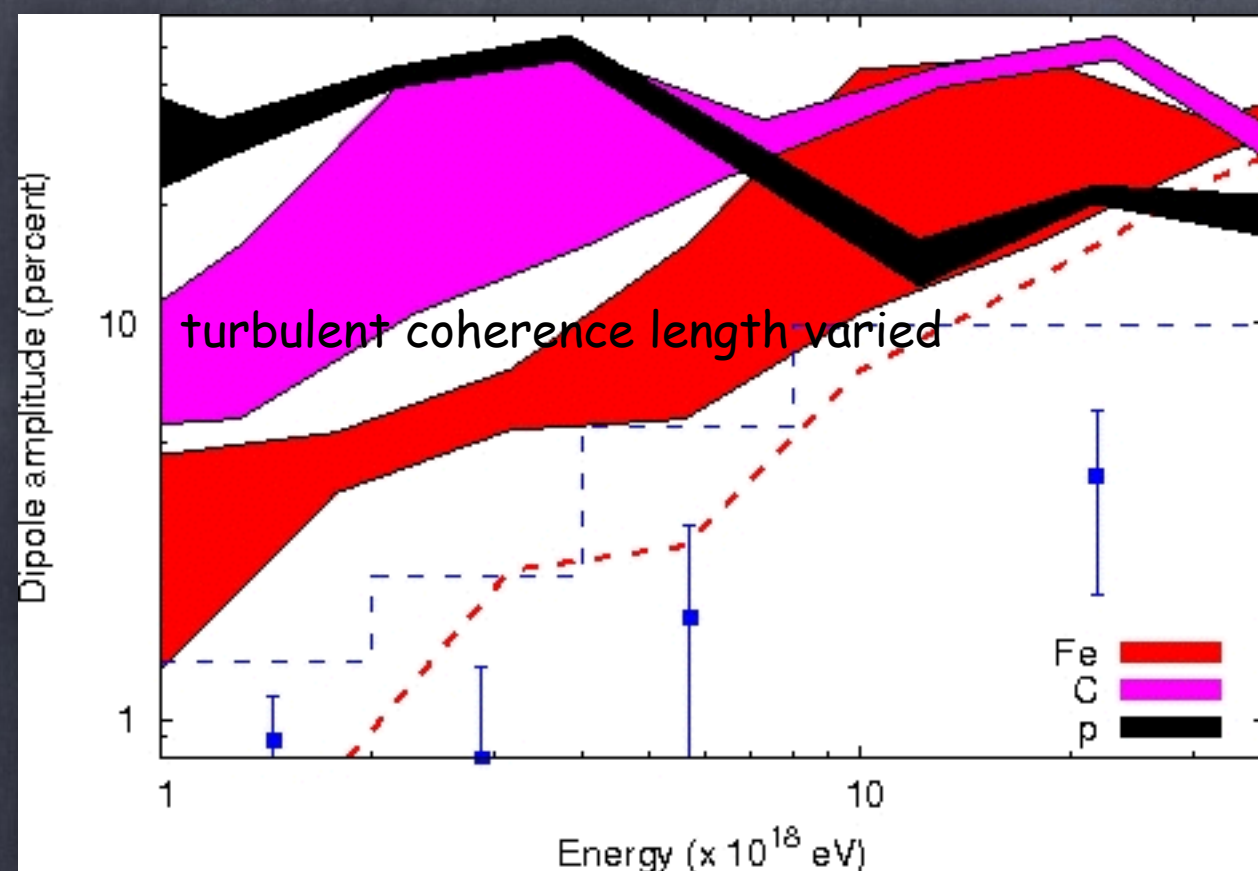


# Building Benchmark Scenarios





# Composition and the Transition Galactic/Extragalactic Cosmic Rays



Giacinti, Kachelriess, Semikoz, Sigl, arXiv:1112.5599 and Pierre Auger Collaboration, *Astrophys.J.* 762 (2012) L13

Light Galactic Nuclei produce too much anisotropy above  $\approx 10^{18}$  eV. This implies:

- 1.) if composition around  $10^{18}$  eV is light  $\Rightarrow$  probably extragalactic (and ankle may be due to pair production by protons)
- 2.) if composition around  $10^{18}$  eV is heavy  $\Rightarrow$  transition could be at the ankle if Galactic nuclei are produced by sufficiently frequent transients, e.g. magnetars



It is surprisingly difficult to construct simple scenarios with structured sources and magnetic fields that reproduce all observations: spectra, energy dependent composition and anisotropy; to explain them separately is quite easy

Relatively hard injection spectra and low maximal rigidities of few times  $10^{18}$  eV seem to be favored



# The future: JEM-EUSO





# Conclusions

- 1.) It is surprisingly difficult to construct simple scenarios with structured sources and magnetic fields that reproduce all observations: spectra, energy dependent composition and anisotropy; to explain them separately is quite easy
- 2.) The observed  $X_{\max}$  distribution of air showers provides potential constraints on hadronic interaction models: Some models are in tension even when "optimizing" unknown mass composition; however, systematic uncertainties are still high.



# Conclusions

- 3.) Both diffuse cosmogenic neutrino and photon fluxes mostly depend on chemical composition, maximal acceleration energy and redshift evolution of sources
- 4.) Multi-messenger modeling sources including gamma-rays and neutrinos start to constrain the source and acceleration mechanisms
- 5.) Highest Energy Cosmic Rays, Gamma-rays, and Neutrinos give the strongest constraints on violations of Lorentz symmetry  $\Rightarrow$  terms suppressed to first and second order in the Planck mass would have to be unnaturally small